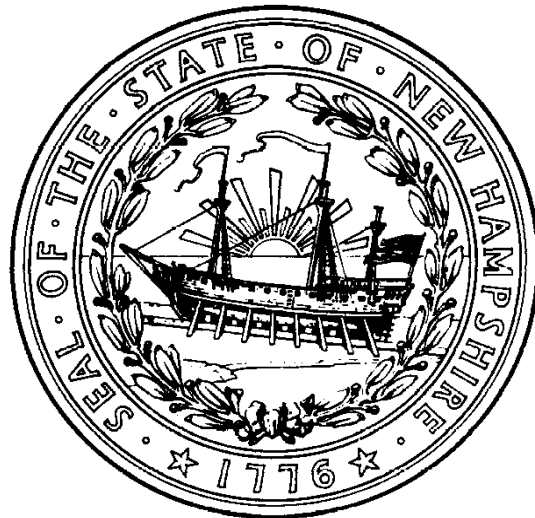
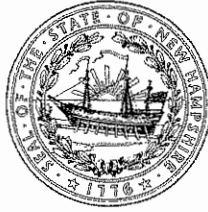


FISCAL COMMITTEE



**Meeting of January 10, 2014
10:00 a.m.
Room 210-211, LOB**



JEFFRY A. PATTISON
Legislative Budget Assistant
(603) 271-3161

MICHAEL W. KANE, MPA
Deputy Legislative Budget Assistant
(603) 271-3161

State of New Hampshire

OFFICE OF LEGISLATIVE BUDGET ASSISTANT
State House, Room 102
Concord, New Hampshire 03301

RICHARD J. MAHONEY, CPA
Director, Audit Division
(603) 271-2785

January 2, 2014

To the Members of the Fiscal Committee
of the General Court

The Chairman of the Fiscal Committee of the General Court, as established by RSA 14:30-a, of which you are a member, has requested that you be notified that the Fiscal Committee will hold a regular business meeting on Friday, January 10, 2014, at 10:00 a.m. in Room 210-211 of the Legislative Office Building.

Please find attached information to be discussed at that meeting.

Sincerely,

A handwritten signature in cursive script that reads "Jeffrey A. Pattison".

Jeffrey A. Pattison
Legislative Budget Assistant

JAP/pe
Attachments

FISCAL COMMITTEE
AGENDA

Friday, January 10, 2014 in Room 210-211 of the Legislative Office Building

10:00 a.m.

- (1) Acceptance of Minutes of the November 22, 2013 meeting

NOTE: ALL ITEMS LISTED ON THE AGENDA UNDER CONSENT CALENDAR ARE IN BOLD.

- (2) Old Business:

FIS 13-081 Additional Information – request from the Members of the Joint Legislative Performance Audit and Oversight Committee dated, December 6, 2013, requesting that the proposed Police Standards and Training Council audit be removed from the table and voted on. (FIS 13-081 Letter from Representative Lucy McVitty Weber, Chairman of the Legislative Performance Audit and Oversight Committee relative to the recommendation of the performance audit topics for 5) Police Standards and Training Council, The Council in Performance of its Charter. Tabled 03/08/13)

- (3) RSA 14:30-a, III Audit Topic Recommendation by Legislative Performance Audit and Oversight Committee:

FIS 13-273 Letter from Representative Lucy McVitty Weber, Chairman of the Legislative Performance Audit and Oversight Committee relative to the recommendation of the following three (3) performance audit topics:

- Department of Administrative Services, Statewide Recycling
- Department of Safety, Homeland Security – Radio Interoperability Initiative
- Department of Education, Charter School Approval Process

CONSENT CALENDAR

- (4) **RSA 9:16-a Transfers Authorized and RSA 14:30-a, VI Fiscal Committee Approval Required for Acceptance and Expenditure of Funds Over \$100,000 from any Non-State Source:**

FIS 13-296 Department of Health and Human Services – authorization to 1) transfer \$19,500 in general funds and decrease related federal revenue in the amount of \$25,050 and 2) accept and expend \$36,211 in other funds through June 30, 2014

FIS 13-297 Department of Health and Human Services – authorization to 1) transfer \$245,492 in general funds and decrease related federal revenue in the amount of \$63,111 and 2) accept and expend \$22,046 in other funds through June 30, 2014

FIS 13-298 Department of Health and Human Services – authorization to 1) transfer \$2,051,634 in general funds and 2) accept and expend \$421,538 in federal funds and \$259,945 in other funds through June 30, 2014

(5) **RSA 14:30-a, VI Fiscal Committee Approval Required for Acceptance and Expenditure of Funds Over \$100,000 from any Non-State Source:**

FIS 13-269 Department of Agriculture, Markets & Food – accept and expend \$224,025.35

FIS 13-279 Department of Safety – authorization to retroactively amend FIS 13-052, approved March 8, 2013 and subsequently amended on July 10, 2013 (FIS 13-134), by extending the end-date only with no increase in funding from September 30, 2013 to September 30, 2014

FIS 13-286 Office of Energy and Planning – authorization to retroactively accept and expend \$99,501 in other funds effective September 30, 2013 through June 30, 2015

FIS 13-287 Department of Safety – authorization to 1) accept and expend \$196,371 in federal funds through June 30, 2015 and 2) accept and expend \$510,353 in federal funds through June 30, 2015

FIS 13-290 Department of Health and Human Services – accept and expend \$198,000

FIS 13-294 Department of Health and Human Services – accept and expend \$722,837

FIS 13-295 Department of Health and Human Services – accept and expend \$9,544,393

FIS 13-299 Department of Education – authorization to amend FIS 10-237, approved July 20, 2010, and subsequently amended on September 14, 2012 (FIS 12-283) and June 7, 2013 (FIS 13-129), by reallocating \$26,042 in federal ARRA funds and extending the end date from January 31, 2014 to September 30, 2014, with no increase in funding

(6) **RSA 14:30-a, VI Fiscal Committee Approval Required for Acceptance and Expenditure of Funds Over \$100,000 from any Non-State Source and RSA 124:15 Positions Restricted:**

FIS 13-280 Department of Safety – authorization to 1) accept and expend \$879,887 in federal funds through June 30, 2015, and 2) establish consultant positions through June 30, 2015

FIS 13-291 Department of Information Technology – authorization to 1) accept and expend \$254,995 in other funds through June 30, 2014, and 2) establish consultant positions through June 30, 2014

(7) **RSA 124:15 Positions Restricted:**

FIS 13-285 Department of Justice – authorization to hire into Class 050, Part-Time salaries for the purpose of hiring a part time Attorney I through June 30, 2015

(8) **RSA 21-I:19-g, III, Use of State-Owned Vehicles:**

FIS 13-292 Department of Administrative Services - agency requests for waivers to retain 57 State owned passenger vehicles which fall below the break-even mileage for FY 2013

(9) Chapter 144:31, Laws of 2013, Department of Administrative Services; Transfer Among Accounts and Classes:

FIS 13-288 Department of Administrative Services – authorization to transfer \$423,783 in and among accounting units and to create two (2) new expenditure classes

(10) Chapter 144:56, Laws of 2013, Department of Corrections; Transfers:

FIS 13-282 Department of Corrections – authorization to transfer \$12,000 in federal funds through June 30, 2014

FIS 13-284 Department of Corrections – authorization to create a new expenditure class and transfer \$85,120 in general funds through June 30, 2014

(11) Chapter 144:95, Laws of 2013, Department of Transportation; Transfer of Funds:

FIS 13-265 Department of Transportation – authorization to establish various non-budgeted classes and to transfer \$20,000 between Highway Fund accounts and classes through June 30, 2014

FIS 13-276 Department of Transportation – authorization to establish various non-budgeted classes in various accounting units and transfer \$257,475 between Highway Fund accounts and classes through June 30, 2014

FIS 13-289 Department of Transportation – authorization to establish various non-budgeted classes in various accounting units and transfer \$105,240 between Highway Fund accounts and classes through June 30, 2014

(12) Chapter 144:117, Laws of 2013, Department of Information Technology; Transfers Among Accounts:

FIS 13-275 Department of Information Technology – authorization to transfer \$150,000 in other funds through June 30, 2014

(13) Chapter 144:117, Laws of 2013, Department of Information Technology; Transfers Among Accounts, and RSA 124:15 Positions Restricted:

FIS 13-293 Department of Information Technology – authorization to 1) transfer \$489,443 in other funds through June 30, 2014, and 2) establish two (2) temporary part-time Systems Development Specialist VI positions through June 30, 2014

(14) Miscellaneous:

(15) Informational Materials:

LBAO Report on Additional Revenues for the Biennium Ending June 30, 2015 – Fiscal Committee Approvals through November 22, 2013 (RSA 14:30-a, VI and Chapter 224:14, II, Laws of 2011)

LBAO Report on American Recovery and Reinvestment Act (ARRA) Stimulus Funding – Fiscal Committee Approvals through November 22, 2013 (RSA 14:30-a, VI and Chapter 224:14, II, Laws of 2011)

Letter from Jeffry A. Pattison, Legislative Budget Assistant relative to a hiring, promotion or step increases granted to LBAO employees

Joint Legislative Facilities Committee
Legislative Branch
Detail of Balance of Funds Available
Fiscal Year 2014
As of 11/30/13

FIS 13-266 Department of Administrative Services – RSA 21-I:36-b – final report of the Cost Containment Commission Review of Retiree Health Care Benefits for Employees Hired After July 1, 2013 (See separate binder for Additional Information)

FIS 13-267 Department of Administrative Services – Chapter 319:32, Laws of 2013 – State Employee Health Insurance – Quarterly report regarding the self-funded health benefits program July 1, 2013 through September 30, 2013

FIS 13-268 New Hampshire Lottery Commission – Chapter 224:89, Laws of 2011 – Quarterly report on the status of the incentive employee recognition program for the sale of instant tickets

FIS 13-270 Department of Revenue Administration – RSA 21-J:45 – Refund report for November 2013

FIS 13-271 Department of Resources and Economic Development – RSA 12-A:58 – Office of Workforce Opportunity Annual report of the Job Training Fund

FIS 13-274 Department of Administrative Services – RSA 21-I:19-g, III-b – Annual report of all light duty trucks whose mileage is at or below the break-even mileage requirement during FY 2013

FIS 13-277 Department of Revenue Administration – Chapter 143:15, Laws of 2013 – First and final quarterly report of general fund appropriation reductions for FY 2014

FIS 13-278 Department of Health and Human Services – October 2013 Operating Statistics Dashboard report for the fiscal year ending June 30, 2014

FIS 13-281 New Hampshire Lottery Commission – RSA 284:21-f – Report of 100% Lottery funds transfer made in FY 2013

FIS 13-283 Department of Health and Human Services – November 2013 Operating Statistics Dashboard report for the fiscal year ending June 30, 2014

FIS 13-300 New Hampshire Veterans Home – Chapter 144:144, Laws of 2013 – biannual financial report

10:30 a.m.

Audits:

State Of New Hampshire
Comprehensive Annual Financial Report
For the Fiscal Year Ended June 30, 2013

State of New Hampshire
Department of Transportation
Turnpike System
Annual Financial Report
For the Fiscal Year Ended June 30, 2013

2013 Comprehensive Annual Financial Report
New Hampshire State Liquor Commission
A Department of The State of New Hampshire

New Hampshire Lottery Commission
A Department of The State of New Hampshire
Comprehensive Annual Financial Report
For the Fiscal Year Ended June 30, 2013

State of New Hampshire
Performance Audit
Community Development Finance Authority
Audit Report
January 2014

(16) Date of Next Meeting and Adjournment

FISCAL COMMITTEE OF THE GENERAL COURT

MINUTES

November 22, 2013

The Fiscal Committee of the General Court met on Friday, November 22, 2013 at 9:30 a.m. in Room 210-211 of the Legislative Office Building.

Members in attendance were as follows:

Representative Mary Jane Wallner, Chairman
Representative Ken Weyler, Clerk
Representative Peter Leishman
Representative Bernard Benn (Alternate)
Representative Naida Kaen (Alternate)
Senator Jeanie Forrester
Senator Bob Odell
Senate President Chuck Morse
Senator Sylvia Larsen
Senator Andy Sanborn

Chairman Wallner opened the meeting at 9:37 a.m.

ACCEPTANCE OF MINUTES:

On a motion by Representative Weyler, seconded by Senator Larsen, that the minutes of the October 18, 2013 meeting be accepted as written. MOTION ADOPTED.

OLD BUSINESS:

FIS 13-081 Letter from Representative Lucy McVitty Weber, Chairman of the Legislative Performance Audit and Oversight Committee relative to the recommendation of the performance audit topics for 5) Police Standards and Training Council, The Council in Performance of its Charter. Tabled 03/08/13. No Action Taken.

NEW BUSINESS:

RSA 9:16-c, I, TRANSFER OF FEDERAL GRANT FUNDS:

CONSENT CALENDAR

On a motion by Representative Benn, seconded by Senator Larsen, that the Committee approve the request under this section as submitted. MOTION ADOPTED. [FIS 13-244 Department of Safety – transfer \$1,440,319]

RSA 14:30-a, VI FISCAL COMMITTEE APPROVAL REQUIRED FOR ACCEPTANCE AND EXPENDITURE OF FUNDS OVER \$100,000 FROM ANY NON-STATE SOURCE:

CONSENT CALENDAR

At the request of Representative Leishman, FIS 13-239 Department of Environmental Services and FIS 13-257 Department of Justice, and at the request of Senator Sanborn, FIS 13-254 Department of Resources and Economic Development were removed from the consent calendar.

On a motion by Senator Larsen, seconded by Representative Kaen, that the Committee approve the remaining requests under this section as submitted. MOTION ADOPTED. [FIS 13-245 Department of Environmental Services – authorization for the Oil Fund Disbursement Board to budget and expend \$1,250,000 in prior year carry forwards funds through June 30, 2015, and FIS 13-256 Department of Health and Human Services – accept and expend \$414,964]

FIS 13-239 Department of Environmental Services – On a motion by Senator Odell, seconded by Senator Forrester, that the Committee approve the request of the Department of Environmental Services to accept and expend \$182,262. MOTION ADOPTED.

FIS 13-254 Department of Resources and Economic Development – Brad Simpkins, Interim Director, Division of Forests and Lands, and Susan Francher, Administrator, Planning and Community Forestry Bureau, Department of Resources and Economic Development presented the request and responded to questions of the Committee.

On a motion by Senator Odell, seconded by Senator Sanborn, that the Committee approve the request of the Department of Resources and Economic Development to accept \$9,100,000 in federal grant funds and assign it directly to Plum Creek Maine Timberlands, L.L.C. to pay the purchase price for acquisition of a Conservation Easement on 22,991 +/- acres in the Towns of Cambridge, Errol and Wentworth Location in Coos County, known as the “Androscoggin Headwaters Forest Legacy Project”. MOTION ADOPTED.

FIS 13-257 Department of Justice – Ann Rice, Deputy Attorney General, Allen Brooks, Chief, Environmental Protection Bureau, Department of Justice, and Michael Wimsatt, Director, Waste Management Division, Department of Environmental Services presented the request and responded to questions of the Committee.

Representative Leishman requested that the quarterly Department of Environmental Services report to the Department of Justice be submitted monthly, with a copy submitted to the Fiscal Committee.

On a motion by Representative Leishman, seconded by Senator Larsen, that the Committee approve the request of the Department of Justice to accept and expend \$22,316,661. MOTION ADOPTED.

RSA 14:30-a, VI FISCAL COMMITTEE APPROVAL REQUIRED FOR ACCEPTANCE AND EXPENDITURE OF FUNDS OVER \$100,000 FROM ANY NON-STATE SOURCE AND RSA 124:15 POSITIONS RESTRICTED:

CONSENT CALENDAR

At the request of Representative Leishman and Senator Sanborn respectively, FIS 13-246 Department of Environmental Services and FIS 13-247 Department of Insurance were removed from the consent calendar.

FIS 13-246 Department of Environmental Services – On a motion by Senator Larsen, seconded by Representative Kaen, that the Committee approve the request of the Department of Environmental Services to 1) accept and expend \$22,316,661 in “MTBE” settlement funds from the Department of Justice through June 30, 2015, and 2) establish five (5) full-time temporary positions consisting of; one (1) Administrator IV position, one (1) Administrator III position, one (1) Civil Engineer VI position, and two (2) Environmentalist IV positions through June 30, 2015. MOTION ADOPTED.

FIS 13-247 Insurance Department – Tyler Brannen, Health Care Policy Analyst, and Alain Couture, Senior Insurance Company Examiner, New Hampshire Insurance Department, presented the request and responded to questions of the Committee.

On a motion by Senator Sanborn, seconded by Senator Larsen, that the Committee approve the request of the Insurance Department to 1) accept and expend \$2,318,181 in federal funds for FY 2014-2015 through June 30, 2015, and 2) establish a Class 046 (Consultants) to enter into contracts for consulting services with various vendors through June 30, 2015. MOTION ADOPTED.

RSA 7:12, ASSISTANTS:

FIS 13-252 Department of Justice – On a motion by Representative Leishman, seconded by Senator Larsen, that the Committee approve the request of the Department of Justice to accept and expend a sum not to exceed \$900,000 from funds not otherwise appropriated for the purpose of covering projected shortfalls in the general litigation expenses incurred in the defense of the State and the prosecution of criminal law through June 30, 2014. MOTION ADOPTED.

CHAPTER 144:56, LAWS OF 2013, DEPARTMENT OF CORRECTIONS; TRANSFERS:

FIS 13-248 Department of Corrections – William Wrenn, Commissioner, and Robert Mullen, Director of Administration, Department of Corrections, presented the request and responded to questions of the Committee.

On a motion by Senator Larsen, seconded by Representative Benn, that the Committee approve the request of the Department of Corrections to transfer \$1,032,000 in general funds through June 30, 2014. MOTION ADOPTED.

CHAPTER 144:95, LAWS OF 2013, DEPARTMENT OF TRANSPORTATION; TRANSFER OF FUNDS:

FIS 13-261 Department of Transportation – On a motion by Senator Larsen, seconded by Representative Weyler, that the Committee approve the request of the Department of Transportation to transfer \$260,450 in highway funds through June 30, 2014. MOTION ADOPTED.

CHAPTER 144:177, LAWS OF 2013, STATE EMPLOYEE HEALTH PLAN; APPLICATION:

FIS 13-249 Additional Information Department of Administrative Services – request to Withdraw FIS 13-249 Department of Administrative Services request for authorization to change the health plan design, dental plan design and cost sharing amounts, and the level of life insurance of unrepresented active state employees who participate in the State Employee Health Plan, effective January 1, 2014. ITEM WITHDRAWN.

LATE ITEM:

FIS 13-264 Department of Corrections – On a motion by Representative Leishman, seconded by Senator Larsen that the Committee approve the request of the Department of Corrections to purchase a 48” Omega 100 series Signmasker in an amount not to exceed \$18,700 through June 30, 2014. MOTION ADOPTED.

MISCELLANEOUS:

Michael Kane, Deputy Legislative Budget Assistant, requested authorization by the Committee to release publicly, as soon as they are available, the: FY 2013 Comprehensive Annual Financial Report for the State of New Hampshire; the FY 2013 Comprehensive Annual Financial Report for the Turnpike System; the FY 2013 Comprehensive Annual Financial Report for the Lottery System; and the FY 2013 Annual Reports of the UNIQUE College Investing Plan and the Fidelity Advisor 529 Plan.

On a motion by Senator Sanborn, seconded by Senator Larsen, that the Committee approve the request of the Office of Legislative Budget Assistant to release publicly, as soon as they are available, the: FY 2013 Comprehensive Annual Financial Report for the State of New Hampshire; the FY 2013 Comprehensive Annual Financial Report for the Turnpike System; the FY 2013 Comprehensive Annual Financial Report for the Lottery System; and the FY 2013 Annual Reports of the UNIQUE College Investing Plan and the Fidelity Advisor 529 Plan. MOTION ADOPTED.

FIS 13-263 Office of Legislative Budget Assistant – Michael Kane, Deputy Legislative Budget Assistant, presented the request and responded to questions of the Committee.

On a motion by Senator Larsen, seconded by Representative Leishman, that the Committee approve the request of the Office of Legislative Budget Assistant for benefits as provided for classified employees, for employees of the Office of Legislative Budget Assistant effective January 1, 2014. MOTION ADOPTED.

INFORMATIONAL MATERIALS:

The informational items were accepted and placed on file.

AUDITS:

State of New Hampshire, Department of Education, Internal Control Over Adequate Education Aid Calculations, November 2013 – Richard Mahoney, Director of Audits, and James LaRiviere, Senior Audit Manager, Audit Division, Office of Legislative Budget Assistant presented the report and responded to questions of the Committee. Virginia Barry, Commissioner, and Paul Leather, Deputy Commissioner, Department of Education, were also present to respond to questions of the Committee. Also present was Ronald LeClerc, Systems Development Specialist, Bureau of Data Management, Department of Education.

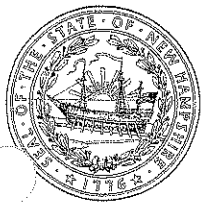
On a motion by Representative Weyler, seconded by Senator Forrester, that the report be accepted, placed on file, and released in the usual manner. MOTION ADOPTED.

State of New Hampshire, Department of Corrections, Transitional Housing And Work Release Program, Performance Audit Report, November 2013 – Richard Mahoney, Director of Audits, and Jay Henry, Senior Audit Manager, Audit Division, Office of Legislative Budget Assistant presented the report and responded to questions of the Committee. William Wrenn, Commissioner, and Robert Mullen, Director of Administration, Department of Corrections, were also present to respond to questions of the Committee.

On a motion by Representative Weyler, seconded by Senator Forrester, that the report be accepted, placed on file, and released in the usual manner. MOTION ADOPTED.

ADJOURNMENT:

The next regular meeting of the Fiscal Committee was set for Friday, January 10, 2014 at 10:00 a.m. (Whereupon the meeting adjourned at 11:09 a.m.)



State of New Hampshire

FIS 13-081
Additional Information

HOUSE OF REPRESENTATIVES

CONCORD

December 6, 2013

Fiscal Committee of the General Court
The Honorable Mary Jane Wallner, Chairman
State House
Concord, NH 03301

Dear Representative Wallner and Members of the Committee,

I am writing to you at the request of the members of the Joint Legislative Performance Audit and Oversight Committee. As you know, the committee authorized the proposal of a performance audit of the Police Standards and Training Council at its meeting on February 22, 2013. The proposal was forwarded to the Fiscal Committee for approval, where it was tabled pending the resolution of concerns about who should pay the costs of the audit. Those concerns were resolved by the passage of Chapter 144:125, Laws of 2013.

RSA 14:30-a provides in pertinent part that "[t]he fiscal committee shall consider recommendations proposed to it by the legislative performance audit and oversight committee established under RSA 17-N:1. The fiscal committee shall adopt all recommendations proposed to it as provided in RSA 17-N:1, III by the performance audit and oversight committee *unless the fiscal committee refuses by unanimous vote to adopt such recommendations.*" (Emphasis added.)

We would respectfully request that the proposed audit be removed from the table and voted on so that the issue can be finally resolved for all concerned.

With best regards,

A handwritten signature in cursive script that reads "Lucy Weber".

Lucy Weber, Chair
Joint Legislative Performance Audit and Oversight Committee



State of New Hampshire

FIS 13 081

HOUSE OF REPRESENTATIVES

CONCORD

February 27, 2013

Fiscal Committee of the General Court
The Honorable Mary Jane Wallner, Chairman
State House
Concord, NH 03301

Dear Representative Wallner and Members of the Committee,

RSA 14:30-a, III requires the Fiscal Committee to consider recommendations proposed to it by the Legislative Performance Audit and Oversight Committee.

At its February 22, 2013 meeting, the Legislative Performance Audit and Oversight Committee voted to recommend six new performance audit topics for the Office of Legislative Budget Assistant, Audit Division. The new topics are:

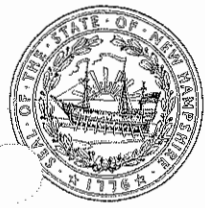
1. Department of Corrections, Division of Community Corrections.
2. Department of Health and Human Services, Health Facilities Administration, Assisted Living and Nursing Facility Inspections.
3. Department of Health and Human Services, Electronic Benefit Transfer Cards.
4. Department of Resources and Economic Development, Economic Development Programs.
5. Police Standards and Training Council, The Council in Performance of its Charter.
6. Community Development Finance Authority, Program Results.

I respectfully request the Fiscal Committee's approval of these topics at its next meeting.

Sincerely,

A handwritten signature in black ink, appearing to read "L. Weber".

Representative Lucy McVitty Weber, Chairman
Joint Legislative Performance Audit
and Oversight Committee



State of New Hampshire

FIS 13 273

HOUSE OF REPRESENTATIVES

CONCORD

December 5, 2013

Fiscal Committee of the General Court
The Honorable Mary Jane Wallner, Chairman
State House
Concord, NH 03301

Dear Representative Wallner and Members of the Committee,

RSA 14:30-a, III requires the Fiscal Committee to consider recommendations proposed to it by the Legislative Performance Audit and Oversight Committee.

At its December 3, 2013 meeting, the Legislative Performance Audit and Oversight Committee voted to recommend three new performance audit topics for the Office of Legislative Budget Assistant, Audit Division. These new topics are:

1. Department of Administrative Services, Statewide Recycling.
2. Department of Safety, Homeland Security – Radio Interoperability Initiative.
3. Department of Education, Charter School Approval Process.

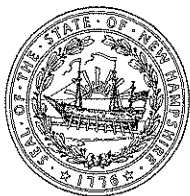
I respectfully request the Fiscal Committee's approval of these topics at its next meeting.

Sincerely,

A handwritten signature in cursive script that reads "Lucy McVitty Weber".

Representative Lucy McVitty Weber, Chair
Joint Legislative Performance Audit and Oversight Committee

SSM



FIS 13 296

STATE OF NEW HAMPSHIRE
DEPARTMENT OF HEALTH AND HUMAN SERVICES
OFFICE OF THE COMMISSIONER

129 PLEASANT STREET, CONCORD, NH 03301-3857
603-271-9200 1:800-852-3345 Ext. 9200
Fax: 603-271-4912 TDD Access: 1-800-735-2964

Nicholas A. Toumpas
Commissioner

December 20, 2013

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

- 1) Pursuant to the provisions of RSA 9:16-a, authorize the Department of Health and Human Services to transfer general funds in the amount of, \$19,500 and decrease related Federal revenue in the amount of \$25,050.
- 2) Pursuant to the provisions of RSA 14:30-a, VI authorize the Department of Health and Human Services to accept and expend Other Funds in the amount of \$36,211.

The transfers and adjustments are summarized below and detailed in the attached worksheets, effective upon approval of the Fiscal Committee and the Governor and Executive Council through June 30, 2014.

<u>From: (Various Accounts):</u>	<u>Account</u>	<u>Amount</u>
Division for Children, Youth and Families	Various	(17,000)
Division of Public Health Services	Various	\$ (2,500)
Total Department of Health and Human Services		(19,500)

<u>To: (Various Accounts):</u>	<u>Account</u>	<u>Amount</u>
Division for Children, Youth and Families	Various	\$ 17,000
Division of Public Health Services	Various	\$ 2,500
Total Department of Health and Human Services		\$ 19,500

EXPLANATION

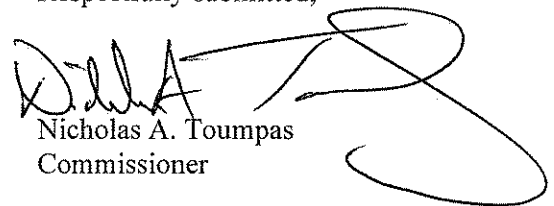
These transfers reflect adjustments to various benefit class lines to address projected expenses in the Department. Expenditure patterns for the first five months of SFY 2014 have been analyzed and taken into consideration when projecting expenditures for the balance of the year. Based upon this thorough review, a number of accounts were found to require additional funds, while other accounts were experiencing less than originally anticipated expenditures. This transfer will provide for the continued efficient operation of the Department.

The following is the information specifically required when transfers are requested, in accordance with the Budget Officer's instructional memorandum dated April 17, 1985, to support the above requested actions:

- A. Justification: See the attached appendix for justification of the availability of funds and required additional funds.
- B. Does this transfer involve continuing programs or one-time projects? This transfer involves continuing programs.
- C. Is this transfer required to maintain existing program levels or will it increase the program level? This transfer is required to maintain existing program levels.
- D. Cite any requirements which make this program mandatory. The programs of the Department are mandated by various state and federal laws.
- E. Identify the source of funds on all accounts listed on this transfer. See the attached worksheet for the source of funds for all accounts.
- F. Will there be any effect on revenue if this transfer is not approved? There is no anticipated effect on revenue as a result of this transfer. Federal participation in Department expenditures is detailed in the attached appendix.
- G. Are funds expected to lapse if this transfer is not approved? It is anticipated that some funds will lapse whether this transfer is approved or not.
- H. Are personnel services involved? No positions are being transferred as a result of this request.

The Department has conducted a detailed review of every line item in the budget to ensure that available funds are maximized to the greatest degree possible. An appendix is attached which summarizes the changes across the Department.

Respectfully submitted,


Nicholas A. Toumpas
Commissioner

Benefits	Account From	General Funds Only			Net FF/Oth	Account To
		From	To	Net		
Division for Children, Youth and Families	Various	\$ (17,000)	\$ 17,000	\$ -	\$ 18,050	Various
Division of Public Health Services	Various	\$ (2,500)	\$ 2,500	\$ -	\$ (6,889)	Various
Total Department of Health and Human Services		(19,500)	19,500	-	11,161	
			Net Federal Funds		(25,050)	
			Net Other Funds		36,211	
					11,161	

A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	
1	Fund	Agcy	Org	Clas	Rcpt Acc't	Class Title	Increase/ Decrease Amount	Net Gen'l Fund by Org. Code	Net Gen'l Fund By Agency	GF Amount	S/T	FF	OF	GF		FF	SOF OF	GF	
2															Transfer Amount				
3															FF	SOF OF	GF		
4	LAWSON ACCOUNTING FORMAT																		
5	<u>COMPANY</u>	<u>N/A</u>	<u>ACCOUNTING UNIT</u>	<u>CLASS</u>	<u>ACCOUNT</u>														
6	DIVISION FOR CHILDREN, YOUTH AND FAMILIES																		
9	Teen Independent Living																		
10	010	042	29700000	000	404213	Federal Funds	\$ 3,500												
11	010	042	29700000			General Funds	\$ -	\$ -											
12	Total Revenue						\$ 3,500												
14	010	042	29700000	060	500601	Benefits	\$ 3,500			0.00	\$ -	\$ 3,500	\$ -	\$ -		100%	0%	0%	
15	Total Expense						\$ 3,500												
17	Child Development - Operations																		
18	010	042	29760000	000	403944	Federal Funds	\$ 6,500												
19	010	042	29760000			Other Funds	\$ -												
20	010	042	29760000			General Funds	\$ -	\$ -											
21	Total Revenue						\$ 6,500												
23	010	042	29760000	060	500601	Benefits	\$ 6,500			\$ -	\$ -	\$ 6,500	\$ -	\$ -		100%	0%	0%	
24	Total Expense						\$ 6,500			\$ -	\$ -								
26	Child Care Development-Quality Assurance																		
27	010	042	29780000	000	400987	Federal Funds	\$ (6,500)												
28	010	042	29780000			Other Funds	\$ -	\$ -											
29	010	042	29780000			General Funds	\$ -	\$ -											
30	Total Revenue						\$ (6,500)												
32	010	042	29780000	060	500601	Benefits	\$ (6,500)			\$ -	\$ -	\$ (6,500)	\$ -	\$ -		100%	0%	0%	
33	Total Expense						\$ (6,500)			\$ -	\$ -								
35	Head Start Collaboration																		
36	010	042	29790000	000	404660	Federal Funds	\$ 1,050												
37	010	042	29790000			Other Funds	\$ -												
38	010	042	29790000			General Funds	\$ -	\$ -											
39	Total Revenue						\$ 1,050												
41	010	042	29790000	060	500601	Benefits	\$ 1,050			\$ -	\$ -	\$ 1,050	\$ -	\$ -		100%	0%	0%	
42	Total Expense						\$ 1,050			\$ -	\$ -								
44	Health Services																		
45	010	042	79150000			Federal Funds	\$ -												
46	010	042	79150000			Other Funds	\$ -												
47	010	042	79150000			General Funds	\$ (17,000)	\$ (17,000)											
48	Total Revenue						\$ (17,000)												
50	010	042	79150000	060	500601	Benefits	\$ (17,000)			\$ (17,000)	\$ -	\$ -	\$ (17,000)	\$ -		0%	0%	100%	
51	Total Expense						\$ (17,000)			\$ (17,000)	\$ -	\$ -							
53	Rehabilitative Programs																		
54	010	042	79160000			Federal Funds	\$ -												
55	010	042	79160000			Other Funds	\$ -												
56	010	042	79160000			General Funds	\$ 17,000	\$ 17,000											
57	Total Revenue						\$ 17,000												
59	010	042	79160000	060	500601	Benefits	\$ 17,000			\$ 17,000	\$ -	\$ -	\$ 17,000	\$ -		0%	0%	100%	
60	Total Expense						\$ 17,000			\$ 17,000	\$ -	\$ -							
62	Chapter I Neglected - Disad																		
63	010	042	79190000			Federal Funds	\$ -												
64	010	042	79190000	001	405568	Other Funds	\$ 13,500												
65	010	042	79190000			General Funds	\$ -												
66	Total Revenue						\$ 13,500												
68	010	042	79190000	060	500601	Benefits	\$ 13,500			\$ -	\$ -	\$ 13,500	\$ -	\$ -		0%	100%	0%	
69	Total Expense						\$ 13,500			\$ -	\$ -								
71	TOTAL DIVISION FOR CHILDREN, YOUTH AND FAMILIES									\$ -	\$ -	\$ 4,550	\$ 13,500	\$ -					

A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S
1	Fund	Agcy	Org	Clas	Rcpt	Class Title	Increase/	Net Gen'l	Net Gen'l									
2					Acc't		Decrease	Fund by	Fund By	GF			Transfer Amount					
3							Amount	Org. Code	Agency	Amount	S/T	FF	OF	GF				
73	DIVISION OF PUBLIC HEALTH SERVICES																	
74																		
75	ACA MIEC																	
76	010	090	08310000	000	400338	Federal Funds	\$ 700											
77	010	090	08310000			Other Funds	\$ -											
78	010	090	08310000			General Funds	\$ -	\$ -										
79	Total Revenue						\$ 700											
80																		
81	010	090	08310000	060	500601	Benefits	\$ 700			\$ -		\$ 700	\$ -	\$ -		100%	0%	0%
82	Total Expense						\$ 700			\$ -	\$ -							
83																		
84	PRAMS																	
85	010	090	08360000	000	403948	Federal Funds	\$ (23,000)											
86	010	090	08360000			Other Funds	\$ -											
87	010	090	08360000			General Funds	\$ -	\$ -										
88	Total Revenue						\$ (23,000)											
89																		
90	010	090	08360000	060	500601	Benefits	\$ (23,000)			\$ -		\$ (23,000)	\$ -	\$ -		100%	0%	0%
91	Total Expense						\$ (23,000)			\$ -	\$ -							
92																		
93	OFFICE OF THE DIRECTOR																	
94	010	090	51100000	000	404594	Federal Funds	\$ 2,500											
95	010	090	51100000			Other Funds	\$ -											
96	010	090	51100000			General Funds	\$ 2,500	\$ 2,500										
97	Total Revenue						\$ 5,000											
98																		
99	010	090	51100000	060	500601	Benefits	\$ 5,000			\$ 2,500		\$ 2,500	\$ -	\$ 2,500		50%	0%	50%
100	Total Expense						\$ 5,000			\$ 2,500	\$ 2,500							
101																		
102	Health Svcs Planning - Review																	
103	010	090	51150000			Federal Funds	\$ -											
104	010	090	51150000	009	407324	Other Funds	\$ 22,711											
105	010	090	51150000			General Funds	\$ -	\$ -										
106	Total Revenue						\$ 22,711											
107																		
108	010	090	51150000	060	500601	Benefits	\$ 22,711			\$ -		\$ -	\$ 22,711	\$ -		0%	100%	0%
109	Total Expense						\$ 22,711			\$ -	\$ -							
110																		
111	POLICY AND PERFORMANCE																	
112	010	090	53620000	000	404611	Federal Funds	\$ (2,500)											
113	010	090	53620000			Other Funds	\$ -											
114	010	090	53620000			General Funds	\$ (2,500)	\$ (2,500)										
115	Total Revenue						\$ (5,000)											
116																		
117	010	090	53620000	060	500601	Benefits	\$ (5,000)			\$ (2,500)		\$ (2,500)	\$ -	\$ (2,500)		50%	0%	50%
118	Total Expense						\$ (5,000)			\$ (2,500)	\$ (2,500)							
119																		
120	Combined Chronic Disease																	
121	010	090	12270000	000	400146	Federal Funds	\$ 65,000											
122	010	090	12270000			Other Funds	\$ -											
123	010	090	12270000			General Funds	\$ -	\$ -										
124	Total Revenue						\$ 65,000											
125																		
126	010	090	12270000	060	500601	Benefits	\$ 65,000			\$ -		\$ 65,000	\$ -	\$ -		100%	0%	0%
127	Total Expense						\$ 65,000			\$ -	\$ -							
128																		
129	Obesity																	
130	010	090	90620000	000	406826	Federal Funds	\$ (65,000)											
131	010	090	90620000			Other Funds	\$ -											
132	010	090	90620000			General Funds	\$ -	\$ -										
133	Total Revenue						\$ (65,000)											
134																		
135	010	090	90620000	060	500601	Benefits	\$ (65,000)			\$ -		\$ (65,000)	\$ -	\$ -		100%	0%	0%
136	Total Expense						\$ (65,000)			\$ -	\$ -							
137																		
138	CANCER REGISTRY																	
139	010	090	86660000	000	403096	Federal Funds	\$ 4,600											
140	010	090	86660000			Other Funds	\$ -											
141	010	090	86660000			General Funds	\$ -	\$ -										
142	Total Revenue						\$ 4,600											

A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S		
1	Fund	Agcy	Org	Clc	Rcpt	Class Title	Increase/ Decrease Amount	Net Gen'l Fund by Org. Code	Net Gen'l Fund By Agency	GF Amount	S/T	FF	OF	GF						
2					Acc't							Transfer Amount								
3																	FF	SCF OF	GF	
144	010	090	86660000	060	500601	Benefits	\$ 4,600			\$ -		\$ 4,600	\$ -	\$ -			100%	0%	0%	
145	Total Expense						\$ 4,600				\$ -									
146																				
147	BRFS																			
148	010	090	86670000	000	403096	Federal Funds	\$ 600													
149	010	090	86670000			Other Funds	\$ -													
150	010	090	86670000			General Funds	\$ -	\$ -												
151	Total Revenue						\$ 800													
152																				
153	010	090	86670000	060	500601	Benefits	\$ 600			\$ -		\$ 600	\$ -	\$ -			100%	0%	0%	
154	Total Expense						\$ 600				\$ -									
155																				
156	USDA FERN GRANT																			
157	010	090	30560000	000		Federal Funds	\$ (12,500)													
158	010	090	30560000			Other Funds	\$ -													
159	010	090	30560000			General Funds	\$ -	\$ -												
160	Total Revenue						\$ (12,500)													
161																				
162	010	090	30560000	060	500601	Benefits	\$ (12,500)			\$ -		\$ (12,500)	\$ -	\$ -			100%	0%	0%	
163	Total Expense						\$ (12,500)				\$ -									
164																				
165	TOTAL DIVISION OF PUBLIC HEALTH SERVICES								\$ -		\$ -	\$ (29,600)	\$ 22,711	\$ -						
166																				
167	Total DHHS								\$ -		\$ -	\$ (25,050)	\$ 36,211	\$ -						

**DEPARTMENT OF HEALTH AND HUMAN SERVICES
TRANSFER OF FUNDS SFY 2014 – Benefits (060)**

DIVISION FOR CHILDREN, YOUTH & FAMILIES

05-95-042-421010-29700000

Teen Independent Living

Funding in this organization code represents the costs associated with the Teen Independent Living Bureau. Functions include; providing adult living preparation services to all DCYF adolescents in out-of-home care who are 16 years of age or older, or 14 years of age and in DCYF's Guardianship. Service areas addressed include education, employment, healthcare and housing. This transfer will fund a projected deficit in the Benefit line item in this account. The projected deficit in the Benefit item is due to the class line being under budgeted. Source of Funds: 100% Federal (Chafee Foster Care Independence Program under Title IV-E).

05-95-042421110-29760000

Child Development Operations

Funding in this organization code represents the costs associated with the staff and operations of the Child Development Unit. This transfer will fund a projected deficit in the Benefit line item in this account. The projected deficit in the Benefit item is due to the class line being under budgeted. The funds will come from another DCYF account to cover this projected deficit. Source of funds: 100% Federal (CCDF).

05-95-042-421110-29780000

Child Care Development-Quality Assurance

Funding in this organization represents costs associated with recruitment and training of child care facilities. This transfer will take funds from a projected surplus in the Benefit line item in this account to help fund a projected deficit in the Benefit line item in another DCYF account. The projected surplus in the Benefit item is due to the class line being over budgeted. Source of funds: 100% Federal Funds (CCDF) for the line items mentioned above.

05-95-42-421110-29790000

Head Start Collaboration

Funding in this organization code represents the costs associated with the operations of the Head Start State Collaborative. This transfer will help fund a projected deficit in the Benefit line item with carry forward funds from the previous federal fiscal year. The amount of carry forward funds was not known at the time of the establishment of the SFY14-15 budget. The projected deficit in the Benefit line item is due to the class line being under budgeted. Source of funds: 100% Federal (Head Start).

05-95-042-421510-79150000
Health Services

Funding in this organization represents costs associated with medical services provided to the residents of the John H. Sununu Youth Services Center. As a result of the retirement of two full-time staff, there is a projected surplus in the Benefits line item. This transfer will help fund a projected deficit in the Benefits line item in the Rehabilitative Programs organization. Source of funds: 100% General.

05-95-042-421510-79160000
Rehabilitative Programs

Funding in this organization represents costs associated with the John H Sununu Youth Services Center direct care staff, including youth counselors, treatment coordinators, operations staff, and other program costs. As a result of the reclassification of 10 part-time youth counselor positions to 5 full-time youth counselor positions, there is a projected deficit in the Benefits line item. This deficit is being funded by a projected surplus in the Health Services organization benefit line item detailed above. Source of funds: 100% General.

05-95-042-421510-79190000
Chapter I Neglected - Disadvantaged

Funding in this organization represents costs associated with the John H Sununu Youth Services Center educational department's Title I grant. This grant funds one full-time teacher assistant and the part-time summer school staff. As a result of a change in the full-time staff funded by this grant, there is a projected deficit in the Benefits line item. This deficit will be funded by additional carryover funds that were not anticipated during the budget process. Source of funds: 100% Other.

DIVISION OF PUBLIC HEALTH SERVICES

05-95-090-902010-08310000
ACA- MIEC

Funding in this organization represents costs associated with the ACA Maternal, Infant and Early Childhood Home Visiting Program grant within the Division of Public Health Services. Funds are needed in Class 060 (Benefits) to satisfy a deficit due to higher than anticipated costs. Source of Funds: 100% Federal.

05-95-090-902010-08360000
PRAMS - Pregnancy Risk Assessment Monitoring System

Funding in this organization represents costs associated with the PRAMS grant within the Division of Public Health Services. Funds are available due a less than anticipated need in Class 060 (Benefits). Source of Funds: 100% Federal.

05-95-090-900010-51100000

Office of the Director

Funding in this organization represents costs associated with the Office of the Director within the Division of Public Health Services. Funds are needed in Class 060 (Benefits) to satisfy a deficit due to higher than anticipated costs. Source of Funds: 50% Federal, 50% General

05-95-090-900010-51150000

Health Services Planning & Review

Funding in this organization represents costs associated with the Health Services Planning and Review program within the Division of Public Health Services. Funds are needed in Class 060 (Benefits) to satisfy a deficit due to higher than anticipated costs. Source of Funds: 100% Other (Fees).

05-95-090-901010-53620000

Policy and Performance

Funding in this organization represents costs associated with the Bureau of Policy and Performance Management within the Division of Public Health Services. Funds are available in Class 060 (Benefits) due to cost less than anticipated. Source of Funds: 50% Federal, 50% General

05-95-090-902010-12270000

Combined Chronic Disease

Funding in this organization represents costs associated with the Combined Chronic Disease grant within the Division of Public Health Services. Funds are needed in Benefits (Class 060), as the actual cost of salaries will be greater than the adjusted authorized for currently filled positions in SFY 14. This is a new grant created during 14/15 budget to absorb the Obesity grant, that ended on June 30, 2013. The staffs were moved into AU 1227 from the Obesity AU 90620000. This transfer will move the necessary benefits to cover the positions now funded with this new grant. Source of Funds: 100% Federal

05-95-090-902010-90620000

Obesity Grant

Funding in this organization represents costs associated with the Obesity grant within the Division of Public Health Services. The Obesity grant ended June 30, 2013 and the new Combined Chronic Disease was received to combine the activities of the Obesity and Diabetes Grants. At the time of the Budget, it was not determined if all the positions would move to the new grant. This transfer will move the funds for the benefit cost of the staff currently funded under the new Combined Chronic Disease Grant that were originally funded under the Obesity Grant. Source of Funds: 100% Federal

05-95-090-900510-86660000
Cancer Registry

Funding in this organization represents costs associated with the Cancer Registry activities within the Division of Public Health Services. Funds are needed in Class 060 (Benefits) to satisfy a deficit due to higher than anticipated costs. Source of Funds: 100% Federal

05-95-090-900510-86670000
BRFS

Funding in this organization represents costs associated with the Behavioral Risk Factor Survey (BRFS) activities within the Division of Public Health Services. Funds are needed in Class 060 (Benefits) to satisfy a deficit due to higher than anticipated costs. Source of Funds: 100% Federal

05-95-090-903010-30560000
USDA FERN Grant - NH Food Emergency Response Network

Funding in this organization represents costs associated with the FERN grant activities within the Division of Public Health Services. Funds are available due a less than anticipated need in Class 060 (Benefits). Source of Funds: 100% Federal.



Nicholas A. Toumpas
Commissioner

STATE OF NEW HAMPSHIRE
DEPARTMENT OF HEALTH AND HUMAN SERVICES
OFFICE OF THE COMMISSIONER

129 PLEASANT STREET, CONCORD, NH 03301-3857
603-271-9200 1-800-852-3345 Ext. 9200
Fax: 603-271-4912 TDD Access: 1-800-735-2964

FIS 13 297

December 20, 2013

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

- 1) Pursuant to the provisions of RSA 9:16-a, authorize the Department of Health and Human Services to transfer general funds in the amount of \$245,492 and decrease related Federal revenue in the amount of \$63,111. in the Department of Health and Human Services.
- 2) Pursuant to the provisions of RSA 14:30-a, VI authorize the Department of Health and Human Services to accept and expend Other Funds in the amount of \$22,046.

The transfers and adjustments are summarized below and detailed in the attached worksheets, effective upon approval of the Fiscal Committee and the Governor and Executive Council through June 30, 2014

<u>From: (Various Accounts):</u>	<u>Account</u>	<u>Amount</u>
Division for Children, Youth and Families	Various	\$ (115,605)
Bureau of Developmental Services	Various	\$ (17,000)
Office of the Commissioner	Various	\$ (18,990)
Office of Administration	Various	\$ (84,609)
Office of Improvement and Integrity	Various	\$ (513)
Office of Operations Support and Program Integrity	Various	\$ (8,775)
Total Department of Health and Human Services		(245,492)
<u>To: (Various Accounts):</u>	<u>Account</u>	<u>Amount</u>
Division for Children, Youth and Families	Various	\$ 102,236
Office of Minority Health and Refugee Affairs	Various	\$ 1,880
Division of Family Assistance	Various	\$ 13,993
Division of Client Services	Various	\$ 2,000
Division of Public Health Services	Various	\$ 72,500
Bureau of Developmental Services	Various	\$ 17,000
Office of the Commissioner	Various	\$ 12,815

Office of Operations Support and Program Integrity	Various	\$	23,068
Total Department of Health and Human Services		\$	245,492

EXPLANATION

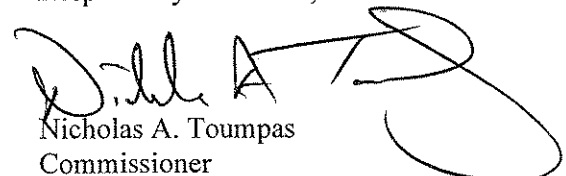
These transfers reflect adjustments to various salaries class lines to address projected expenses in the Department. Expenditure patterns for the first five months of SFY 2014 have been analyzed and taken into consideration when projecting expenditures for the balance of the year. Based upon this thorough review, a number of accounts were found to require additional funds, while other accounts were experiencing less than originally anticipated expenditures. This transfer will provide for the continued efficient operation of the Department.

The following is the information specifically required when transfers are requested, in accordance with the Budget Officer's instructional memorandum dated April 17, 1985, to support the above requested actions:

- A. Justification: See the attached appendix for justification of the availability of funds and required additional funds.
- B. Does this transfer involve continuing programs or one-time projects? This transfer involves continuing programs.
- C. Is this transfer required to maintain existing program levels or will it increase the program level? This transfer is required to maintain existing program levels.
- D. Cite any requirements which make this program mandatory. The programs of the Department are mandated by various state and federal laws.
- E. Identify the source of funds on all accounts listed on this transfer. See the attached worksheet for the source of funds for all accounts.
- F. Will there be any effect on revenue if this transfer is not approved? There is no anticipated effect on revenue as a result of this transfer. Federal participation in Department expenditures is detailed in the attached appendix.
- G. Are funds expected to lapse if this transfer is not approved? It is anticipated that some funds will lapse whether this transfer is approved or not.
- H. Are personnel services involved? No positions are being transferred as a result of this request.

The Department has conducted a detailed review of every line item in the budget to ensure that available funds are maximized to the greatest degree possible. An appendix is attached which summarizes the changes across the Department.

Respectfully submitted,


Nicholas A. Toumpas
Commissioner

Salaries	Account From	General Funds Only		Net	Net FF/Oth	Account To
		From	To			
Division for Children, Youth and Families	Various	\$ (115,605)	\$ 102,236	(13,368)	(26,579)	Various
Office of Minority Health and Refugee Affairs	Various	\$ -	\$ 1,880	1,880	(3,860)	Various
Division of Family Assistance	Various	\$ -	\$ 13,993	13,993	11,507	Various
Division of Client Services	Various	\$ -	\$ 2,000	2,000	2,000	Various
Division of Public Health Services	Various	\$ -	\$ 72,500	72,500	42,213	Various
Bureau of Developmental Services	Various	\$ (17,000)	\$ 17,000	-	-	Various
Office of the Commissioner	Various	\$ (18,990)	\$ 12,815	(6,175)	(9,325)	Various
Office of Administration	Various	\$ (84,609)	\$ -	(84,609)	(56,406)	Various
Office of Improvement and Integrity	Various	\$ (513)	\$ -	(513)	(487)	Various
Office of Operations Support and Program Integrity	Various	\$ (8,775)	\$ 23,068	14,293	(129)	Various
Total Department of Health and Human Services		(245,492)	245,492	0	(41,065)	
			Net Federal Funds		(63,111)	
			Net Other Funds		22,046	
					-	

A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S
Fund	Agency	Org	Clas	Rcpt	Class Title	Increase/	Net Gen'l	Net Gen'l	GF	GF	FF	Transfer Amount	GF	FF	SOF	OF	GF	
				Acc'l		Amount	Fund by	Fund By	Amount	S/T	FF	OF	GF					
LAWSON ACCOUNTING FORMAT																		
	COMPANY	N/A	ACCOUNTING UNIT	CLASS	ACCOUNT													
DIVISION FOR CHILDREN, YOUTH AND FAMILIES																		
Child Protection																		
010	042	29570000	000	408050	Federal Funds	\$ (8,000)												
010	042	29570000			Other Funds	\$ -												
010	042	29570000			General Funds	\$ (12,000)		(12,000)										
Total Revenue						\$ (20,000)												
010	042	29570000	010	500100	Personal Services - Permanent	\$ (20,000)			\$ (12,000)		\$ (8,000)	\$ -	\$ (12,000)	40%			60%	
Total Expense						\$ (20,000)			\$ (12,000)		\$ (8,000)	\$ -	\$ (12,000)					
Child Development - Operations																		
010	042	29760000	000	403944	Federal Funds	\$ -												
010	042	29760000			Other Funds	\$ -												
010	042	29760000			General Funds	\$ -												
Total Revenue						\$ -												
010	042	29760000	010	500100	Personal Services - Permanent	\$ (900)												
010	042	29760000	012	500100	Personal Services - Unclassified	\$ 900					\$ (900)	\$ -	\$ -	100%			0%	
Total Expense						\$ -					\$ 900	\$ -	\$ -	100%			0%	
Director's Office																		
010	042	79090000	000	404323	Federal Funds	\$ (10,819)												
010	042	79090000			Other Funds	\$ -												
010	042	79090000			General Funds	\$ (62,628)		(62,628)										
Total Revenue						\$ (73,447)												
010	042	79090000	010	500100	Personal Services - Permanent	\$ 1,450			\$ 1,236		\$ 214	\$ -	\$ 1,236	15%	0%		85%	
010	042	79090000	012	500128	Personal Services - Unclassified	\$ (74,897)			\$ (63,865)		\$ (11,032)	\$ -	\$ (63,865)	15%	0%		85%	
Total Expense						\$ (73,447)			\$ (62,628)		\$ -	\$ -	\$ (63,865)					
Rehabilitative Programs																		
010	042	79160000			Federal Funds	\$ -												
010	042	79160000			Other Funds	\$ -												
010	042	79160000			General Funds	\$ 81,000		81,000										
Total Revenue						\$ 81,000												
010	042	79160000	010	500100	Personnel Services-Permanent	\$ 81,000			\$ 81,000		\$ -	\$ -	\$ 81,000	0%	0%		100%	
Total Expense						\$ 81,000			\$ 81,000		\$ -	\$ -	\$ 81,000					
Rehabilitative Education																		
010	042	79170000	000		Federal Funds	\$ -												
010	042	79170000	009	407034	Other Funds	\$ (10,260)												
010	042	79170000			General Funds	\$ (39,740)		(39,740)										
Total Revenue						\$ (50,000)												
010	042	79170000	010	500100	Personnel Services-Permanent	\$ (50,000)			\$ (39,740)		\$ -	\$ (10,260)	\$ (39,740)	0%	21%		79%	
Total Expense						\$ (50,000)			\$ (39,740)		\$ -	\$ (10,260)	\$ (39,740)					
Juvenile Detention Unit																		
010	042	79180000			Federal Funds	\$ -												
010	042	79180000			Other Funds	\$ -												
010	042	79180000			General Funds	\$ 20,000		20,000										
Total Revenue						\$ 20,000												
010	042	79180000	010	500100	Personnel Services-Permanent	\$ 20,000			\$ 20,000		\$ -	\$ -	\$ 20,000	0%	0%		100%	
Total Expense						\$ 20,000			\$ 20,000		\$ -	\$ -	\$ 20,000					
Chapter I Neglected - Disad																		
010	042	79190000			Federal Funds	\$ -												
010	042	79190000	001	405568	Other Funds	\$ 2,500												
010	042	79190000			General Funds	\$ -												
Total Revenue						\$ 2,500												
010	042	79190000	010	500100	Personnel Services-Permanent	\$ 2,500			\$ -		\$ -	\$ 2,500	\$ -	0%	100%		0%	
Total Expense						\$ 2,500			\$ -		\$ -	\$ 2,500	\$ -					
TOTAL DIVISION FOR CHILDREN, YOUTH AND FAMILIES									\$ (13,368)		\$ (13,368)	\$ (18,819)	\$ (7,760)	\$ (13,368)				
OFFICE OF MINORITY HEALTH AND REFUGEE AFFAIRS																		
Minority Hlth/Refugee Affairs																		

A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S
Fund	Agcy	Org	Clc	Rcpt	Class Title	Increase/ Decrease Amount	Net Gen'l Fund by Org. Code	Net Gen'l Fund By Agency	GF Amount	S/T	FF	Transfer Amount OF	GF	FF	SOF	OF	GF	
79	010	042	79210000	000	403900	Federal Funds	2,820											
80	010	042	79210000			Other Funds												
81	010	042	79210000			General Funds	1,880	1,880										
82	Total Revenue					4,700												
84	010	042	79210000	010	500100	Perm - Classified	600		\$ 240			\$ 360	\$ -	\$ 240		60%	0%	40%
85	010	042	79210000	012	500128	Perm - Unclassified	4,100		\$ 1,640			\$ 2,460	\$ -	\$ 1,640		60%	0%	40%
86	Total Expense					4,700					1,880							
88	Refugee Services																	
89	010	042	79220000	000	408181	Federal Funds	5,000											
90	010	042	79220000			Other Funds	-											
91	010	042	79220000			General Funds	-											
92	Total Revenue					5,000												
94	010	042	79220000	010	500100	Perm - Classified	5,000		\$ -			\$ 5,000	\$ -	\$ -		100%	0%	0%
95	Total Expense					5,000												
97	OMH State Partnership Grant																	
98	010	042	79230000	000	400874	Federal Funds	(11,680)											
99	010	042	79230000			Other Funds	-											
100	010	042	79230000			General Funds	-											
101	Total Revenue					(11,680)												
103	010	042	79230000	010	500100	Perm - Classified	(11,680)		\$ -			\$ (11,680)	\$ -	\$ -		100%	0%	0%
104	Total Expense					(11,680)												
106	TOTAL OFFICE OF MINORITY HEALTH AND REFUGEE AFFAIRS								1,880		1,880	(3,860)	-	1,880				
108	DIVISION OF FAMILY ASSISTANCE																	
110	Directors Office																	
111	010	045	61250000	000	403950	Federal Funds	\$ 9,786											
112	010	045	61250000	007	408282	Other Funds	\$ -											
113	010	045	61250000			General Funds	\$ 11,214	\$ 11,214										
114	Total Revenue					\$ 21,000												
116	010	045	61250000	012	500128	Personal Services - Unclass	\$ 21,000		\$ 11,214			\$ 9,786	\$ -	\$ 11,214		47%	0%	53%
117	Total Expense					\$ 21,000				\$ 11,214		\$ -						
119	Employment Support																	
120	010	045	61270000	000	403719	Federal Funds	\$ 1,721											
121	010	045	61270000			Other Funds	\$ -											
122	010	045	61270000			General Funds	\$ 2,779	\$ 2,779										
123	Total Revenue					\$ 4,500												
125	010	045	61270000	012	500128	Personal Services - Unclass	\$ 4,500		\$ 2,779			\$ 1,721	\$ -	\$ 2,779		38%	0%	62%
126	Total Expense					\$ 4,500				\$ 2,779								
127	TOTAL DIVISION OF FAMILY ASSISTANCE								\$ 13,993		\$ 13,993	\$ 11,507	\$ -	\$ 13,993				
130	DIVISION OF CLIENT SERVICES																	
132	Client Eligibility & Enroll Ops (MCS)																	
133	010	045	79960000	000	403951	Federal Funds	\$ 2,000											
134	010	045	79960000			Other Funds	\$ -											
135	010	045	79960000			General Funds	\$ 2,000	\$ 2,000										
136	Total Revenue					\$ 4,000												
138	010	045	79960000	012	500128	Personal Services - Unclass	\$ 4,000		\$ 2,000			\$ 2,000	\$ -	\$ 2,000		50%	0%	50%
139	Total Expense					\$ 4,000				\$ 2,000								
141	TOTAL DIVISION OF FAMILY ASSISTANCE								\$ 2,000		\$ 2,000	\$ 2,000	\$ -	\$ 2,000				
143	DIVISION OF PUBLIC HEALTH SERVICES																	
145	PRAMS																	
146	010	090	08360000	000	403948	Federal Funds	\$ (23,000)											
147	010	090	08360000			Other Funds	\$ -											
148	010	090	08360000			General Funds	\$ -	\$ -										
149	Total Revenue					\$ (23,000)												
151	010	090	08360000	010	500109	Personal Services Full time	\$ (23,000)		\$ -			\$ (23,000)	\$ -	\$ -		100%	0%	0%
152	Total Expense					\$ (23,000)				\$ -								
154	Health Planning - Review																	

A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	
1	Fund	Agcy	Org	Clia	Rcpt Acc't	Class Title	Increase/ Decrease Amount	Net Gen'l Fund by Org. Code	Net Gen'l Fund By Agency	GF Amount	S/T	FF	OF	GF		FF	SOP OF	GF	
2															Transfer Amount				
3															FF	SOP OF	GF		
231	010	090	53900000			General Funds	\$ -	\$ -											
232	Total Revenue						\$ (108)												
233																			
234	010	090	53900000	010	500100	Personal Services Perm Clas	\$ (108)			\$ -		\$ -	\$ (108)	\$ -		0%	100%	0%	
235	Total Expense						\$ (108)			\$ -		\$ -	\$ (108)	\$ -					
236																			
237	CHRONIC DISEASE - ASTHMA																		
238	010	090	56670000	000	404125	Federal Funds	\$ (40)												
239	010	090	56670000			Other Funds	\$ -												
240	010	090	56670000			General Funds	\$ -	\$ -											
241	Total Revenue						\$ (40)												
242																			
243	010	090	56670000	010	500106	Personal Services Perm Clas	\$ (40)			\$ -		\$ (40)	\$ -	\$ -		100%	0%	0%	
244	Total Expense						\$ (40)			\$ -		\$ (40)	\$ -	\$ -					
245																			
246	CLIMATE EFFECTS STATE HEALTH																		
247	010	090	79360000	000	400146	Federal Funds	\$ 6,100												
248	010	090	79360000			Other Funds	\$ -												
249	010	090	79360000			General Funds	\$ -	\$ -											
250	Total Revenue						\$ 6,100												
251																			
252	010	090	79360000	010	500100	Personal Services Perm Clas	\$ 6,100			\$ -		\$ 6,100	\$ -	\$ -		100%	0%	0%	
253	Total Expense						\$ 6,100			\$ -		\$ 6,100	\$ -	\$ -					
254																			
255	LEAD PREVENTION																		
256	010	090	79640000	000	403948	Federal Funds	\$ (2,100)												
257	010	090	79640000			Other Funds	\$ -												
258	010	090	79640000			General Funds	\$ -	\$ -											
259	Total Revenue						\$ (2,100)												
260																			
261	010	090	79640000	010	500100	Personal Services Perm Clas	\$ (2,100)			\$ -		\$ (2,100)	\$ -	\$ -		100%	0%	0%	
262	Total Expense						\$ (2,100)			\$ -		\$ (2,100)	\$ -	\$ -					
263																			
264	ACA COORDINATED CHRONIC DISEASE																		
265	010	090	79670000	000	404125	Federal Funds	\$ (21,000)												
266	010	090	79670000			Other Funds	\$ -												
267	010	090	79670000			General Funds	\$ -	\$ -											
268	Total Revenue						\$ (21,000)												
269																			
270	010	090	79670000	010	500106	Personal Services Perm Clas	\$ (21,000)			\$ -		\$ (21,000)	\$ -	\$ -		100%	0%	0%	
271	Total Expense						\$ (21,000)			\$ -		\$ (21,000)	\$ -	\$ -					
272																			
273	WIC SUPPLEMENTAL NUTRITION PRG																		
274	010	090	52600000	000	404852	Federal Funds	\$ (2,388)												
275	010	090	52600000			Other Funds	\$ -												
276	010	090	52600000			General Funds	\$ -	\$ -											
277	Total Revenue						\$ (2,388)												
278																			
279	010	090	52600000	010	500100	Personal Services Perm Clas	\$ (2,388)			\$ -		\$ (2,388)	\$ -	\$ -		100%	0%	0%	
280	Total Expense						\$ (2,388)			\$ -		\$ (2,388)	\$ -	\$ -					
281																			
282	TOBACCO PREVENTION FEDERAL																		
283	010	090	56080000	000	403754	Federal Funds	\$ 4,850												
284	010	090	56080000			Other Funds	\$ -												
285	010	090	56080000			General Funds	\$ -	\$ -											
286	Total Revenue						\$ 4,850												
287																			
288	010	090	56080000	010	500100	Personal Services Perm Clas	\$ 4,850			\$ -		\$ 4,850	\$ -	\$ -		100%	0%	0%	
289	Total Expense						\$ 4,850			\$ -		\$ 4,850	\$ -	\$ -					
290																			
291	ACA HOME VISITING																		
292	010	090	58960000	000	408114	Federal Funds	\$ 3,300												
293	010	090	58960000			Other Funds	\$ -												
294	010	090	58960000			General Funds	\$ -	\$ -											
295	Total Revenue						\$ 3,300												
296																			
297	010	090	58960000	010	500100	Personal Services Perm Clas	\$ 3,300			\$ -		\$ 3,300	\$ -	\$ -		100%	0%	0%	
298	Total Expense						\$ 3,300			\$ -		\$ 3,300	\$ -	\$ -					
299																			
300	DISEASE CONTROL																		
301	010	090	51700000	000	404533	Federal Funds	\$ (9,100)												
302	010	090	51700000			Other Funds	\$ -												
303	010	090	51700000			General Funds	\$ -	\$ -											
304	Total Revenue						\$ (9,100)												
305																			
306	010	090	51700000	010	500100	Personal Services Perm Clas	\$ (9,100)			\$ -		\$ (9,100)	\$ -	\$ -		0%	0%	0%	

A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S
1	Fund	Agcy	Org	Cta	Rcpt	Class Title	Increase/ Decrease Amount	Net Gen'l Fund by Org. Code	Net Gen'l Fund By Agency	GF Amount	S/T	FF	Transfer Amount OF	GF				
2					Acc't													
3																		
383	OFFICE OF ADMINISTRATION																	
384	DHHS District Office																	
385	010	095	56870000	000	404717	Federal Funds	(56,406)											
386	010	095	56870000			General Funds	(84,609)	(84,609)										
387	Total Revenue																	
388	(141,015)																	
389	010	095	56870000	010	500100	Perm - Classified	(141,015)											
390	Total Expense																	
391	(141,015)																	
392	TOTAL OFFICE OF ADMINISTRATION																	
393	\$ (84,609)																	
394	\$ (84,609)																	
395	\$ (56,406)																	
396	\$ -																	
397	\$ (84,609)																	
398	OFFICE OF IMPROVEMENT AND INTEGRITY																	
399	OFFICE OF IMPROVEMENT AND INTEGRITY																	
400	010	095	79350000	000	404460	Federal Funds	(487)											
401	010	095	79350000			Other Funds	-											
402	010	095	79350000			General Funds	(513)	(513)										
403	Total Revenue																	
404	(1,000)																	
405	010	095	79350000	010	500100	Perm Personnel	(1,000)											
406	Total Expense																	
407	(1,000)																	
408	TOTAL OFFICE OF IMPROVEMENT AND INTEGRITY																	
409	\$ (513)																	
410	\$ (513)																	
411	\$ (487)																	
412	\$ -																	
413	\$ (513)																	
414	\$ (1,000)																	
415	OFFICE OF OPERATION SUPPORT																	
416	HEALTH FACILITIES ADMINISTRATION																	
417	010	095	51460000	000	403805	Federal Funds	(9,240)											
418	010	095	51460000	003	407698	Other Funds	(2,581)											
419	010	095	51460000			General Funds	(2,516)	(2,516)										
420	Total Revenue																	
421	(14,337)																	
422	010	095	51460000	010	500100	Perm Personnel	(14,337)											
423	Total Expense																	
424	(14,337)																	
425	LEGAL SERVICES																	
426	010	095	56800000	000	404714	Federal Funds	-											
427	010	095	56800000	003	407234	Other Funds	-											
428	010	095	56800000			General Funds	-	-										
429	Total Revenue																	
430	-																	
431	010	095	56800000	010	500100	Perm Personnel	(2,200)											
432	010	095	56800000	012	500128	Perm Unclassified	2,200											
433	Total Expense																	
434	-																	
435	010	095	56800000	010	500100	Perm Personnel	(12,000)											
436	010	095	56830000	011	500102	Perm Unclassified	1,000											
437	010	095	56830000	012	500128	Perm Unclassified	12,000											
438	Total Expense																	
439	1,000																	
440	PROGRAM SUPPORT ADMINISTRATION																	
441	010	095	56830000	000	404715	Federal Funds	517											
442	010	095	56830000	001	405816	Other Funds	50											
443	010	095	56830000			General Funds	434	434										
444	Total Revenue																	
445	1,000																	
446	010	095	56830000	010	500100	Perm Personnel	(12,000)											
447	010	095	56830000	011	500102	Perm Unclassified	1,000											
448	010	095	56830000	012	500128	Perm Unclassified	12,000											
449	Total Expense																	
450	1,000																	
451	OMBUDSMAN																	
452	010	095	56900000	000	404454	Federal Funds	11,126											
453	010	095	56900000			Other Funds	-											
454	010	095	56900000			General Funds	16,375	16,375										
455	Total Revenue																	
456	27,501																	
457	010	095	56900000	010	500100	Perm Personnel	27,500											
458	Total Expense																	
459	27,500																	
460	TOTAL OFFICE OF OPERATION SUPPORT																	
461	\$ 14,292																	
462	\$ 14,293																	
463	\$ 2,403																	
464	\$ (2,531)																	
465	\$ 14,293																	
466	Total DHHS																	
467	\$ (0)																	
468	\$ 0																	
469	\$ (63,110)																	
470	\$ 22,046																	
471	\$ 0																	

**DEPARTMENT OF HEALTH AND HUMAN SERVICES
TRANSFER OF FUNDS SFY 2014 – Salaries (010, 011, 012)**

DIVISION FOR CHILDREN, YOUTH & FAMILIES

**05-95-042-421010-29570000
Bureau of Child Protection**

Funding in this organization represents costs associated with the staff of Bureau of Child Protection that provide direct services to abused and neglected children. This transfer will take projected surplus due to vacancies in the Personal Services - Permanent line item to help fund projected deficits elsewhere in the Department. Source of funds: 40% Federal (various federal programs through cost allocation); 60% General Funds.

**05-95-042-421110-29760000
Child Development Operations**

Funding in this organization code represents the costs associated with the staff and operations of the Child Development Unit. This transfer will help fund a projected deficit in the Personal Services-Unclassified line item with a projected surplus in the Personal Services-Permanent line item. The projected deficit in the Personal Services-Unclassified line item is due to this line item being under budgeted. The projected surplus in the Personal Services-Permanent line item is due to vacancies. Source of funds: 100% Federal (CCDF).

**05-95-042-421510-79090000
Director's Office**

Funding in this organization represents costs associated with the operation of the Office of the Director along with other administrative support staff. There is a small projected deficit in the Personal Services-Permanent line item as a result of under budgeting. This deficit will be funded by a projected salary surplus in the Personal Services-Unclassified line item, which is the result of a vacancy. This projected surplus will also be used to help fund other projected salary deficits within the Division. Source of funds: 14.73% Federal, 85.27% General.

**05-95-042-421510-79160000
Rehabilitative Programs**

Funding in this organization represents costs associated with the John H Sununu Youth Services Center direct care staff, including youth counselors, treatment coordinators, operations staff, and other program costs. As a result of the reclassification of 10 part-time youth counselor positions to 5 full-time youth counselor positions, there is a projected deficit in the Personal Services-Permanent line item. These deficits will be funded by other salary surpluses within this and other organizations within the Division. Source of funds: 100% General.

05-95-042-421510-79170000

Rehabilitative Education

Funding in this organization represents costs associated with the education of the residents at the John H Sununu Youth Services Center. As a result of vacancies, there is a projected surplus in the Personnel Services-Permanent line item, which will be used to help fund a projected deficit in the Personal Services – Temporary line item within this organization, as well as other projected salary deficits within the Division. Source of funds: 79.48% General, and 20.52% Other.

05-95-042-421510-79180000

Juvenile Detention Unit

Funding in this organization represents costs associated with the temporary care of juveniles awaiting court decisions. Due to under budgeting there is a projected deficit in the Personal Services – Permanent line item that will be funded by a projected surplus in the Personal Services – Temporary line item within this organization. Source of funds: 100% General.

05-95-042-421510-79190000

Chapter I Neglected - Disadvantaged

Funding in this organization represents costs associated with the John H Sununu Youth Services Center educational department's Title I grant. This grant funds one full-time teacher assistant and the part-time summer school staff. Carryover funds from the previous year's grant enabled the use of additional teachers for the summer school program, which was not previously budgeted. Additionally, as a result of a change in the full-time staff funded by this grant, there is a projected deficit in the Personal Services – Permanent line item, which will also be funded by the above-mentioned carryover funds. Source of funds: 100% Other.

OFFICE OF MINORITY HEALTH AND REFUGEE SERVICES

05-95-042-422010-79210000

Office of Minority Health and Refugee Affairs

Funding in this organization represents costs associated with the operation of the Office of Minority Health and Refugee Affairs, which administers the programs, and policies that reduce health disparities in minority and refugee communities throughout the State. Funds are needed in permanent personnel services (class 010) and Unclassified Personnel (class 012) due to actual amount needed exceeding the amount budgeted for SFY 2014. Source of fund: 60% Federal, 40% general.

05-95-042-422010-79220000

Refugee Services

Funding in this organization represents costs associated with Refugee grants as awarded from the Office of Refugee Resettlement. Funds are needed in permanent personnel services (class 010) due to actual amount needed exceeding the amount budgeted for SFY 2014. Source of Funds: 100% Federal (Refugee Resettlement Grants).

05-95-042-422010-792300000
OMH State Partnership Grant

Funding in this organization represents costs associated with the operation of the State Partnership Grant to eliminate health disparities in minority populations. Funds are available in permanent classified personnel services (class 010) because adjusted authorized exceeds the cost for currently filled positions. Source of Funds is 100% Federal from the Minority Health State Partnership Grant.

DIVISION OF FAMILY ASSISTANCE

05-95-045-450010-61250000
Director's Office

Funding in this organization represents costs associated with the administration of the Division and its programs. This transfer will satisfy the projected shortfall caused by a payroll increase for all unclassified employees. Source of Funds: 47% Federal Funds, 53% General Funds.

05-95-045-450010-61270000
Employment Support

Funding in this organization represents costs associated with the administration of the New Hampshire Employment Program (NHEP). This transfer will satisfy the projected shortfall caused by a payroll increase for all unclassified employees. Source of Funds: 38% Federal Funds, 62% General Funds.

DIVISION OF CLIENT SERVICES

05-95-045-451010-79960000
Client Elig & Enrollment Operations

Funding in this appropriation primarily represents costs associated with the management and operation of Medicaid programs serving citizens throughout New Hampshire. This transfer will satisfy the projected shortfall caused by a payroll increase for all unclassified employees. Source of Funds: 50% Federal, 50% General

DIVISION OF PUBLIC HEALTH SERVICES

05-95-090-902010-08360000
PRAMS - Pregnancy Risk Assessment Monitoring System

Funding in this organization represents costs associated with the PRAMS grant within the Division of Public Health Services. Funds are available in classified personnel (Class 010) as the actual cost of salaries will be less than the adjusted authorized for currently filled positions in SFY14. Source of Funds: 100% Federal

05-95-090-900010-51150000
Health Services Planning & Review

Funding in this organization represents costs associated with the Health Services Planning and Review program within the Division of Public Health Services. Funds are needed in Classified Personnel (Class 010) and Personal Services Unclassified (Class 012) as the actual cost of salaries will be greater than the adjusted authorized for currently filled positions in SFY 14.

Source of Funds: 100% Other (Fees).

05-95-090-902010-12270000
Combined Chronic Disease

Funding in this organization represents costs associated with the Combined Chronic Disease grant within the Division of Public Health Services. Funds are needed in Classified Personnel (Class 010), as the actual cost of salaries will be greater than the adjusted authorized for currently filled positions in SFY 14. This is a new grant created during 14/15 budget to absorb the Obesity grant that ended on June 30, 2013. The staff was moved into AU 1227 from the Obesity AU 90620000. Source of Funds: 100% Federal

05-95-090-902010-90620000
Obesity Grant

Funding in this organization represents costs associated with the Obesity grant within the Division of Public Health Services. The Obesity grant ended June 30, 2013 and the new Combined Chronic Disease was received to combine the activities of the Obesity and Diabetes Grants. At the time of the Budget, it was not determined if all the positions would move to the new grant. This transfer will move the funds for the staff currently funded under the new Combined Chronic Disease Grant. Source of Funds: 100% Federal

05-95-090-900510-22030000
Informatics

Funding in this organization represents costs associated with the Informatics activities within the Division of Public Health Services. Funds are needed in classified personnel (Class 010) and Personal Services Unclassified (Class 012), as the actual cost of salaries will be greater than the adjusted authorized for currently filled positions in SFY 14. Source of Funds: 100% General

05-95-090-900510-51500000
Health Statistics

Funding in this organization represents costs associated with the Health Statistics activities within the Division of Public Health Services. Funds are needed in classified personnel (Class 010), as the actual cost of salaries will be greater than the adjusted authorized for currently filled positions in SFY 14. Source of Funds: 100% General

05-95-090-900510-86660000
Cancer Registry

Funding in this organization represents costs associated with the Cancer Registry activities within the Division of Public Health Services. Funds are needed in classified personnel (Class

010), as the actual cost of salaries will be greater than the adjusted authorized for currently filled positions in SFY 14. Source of Funds: 100% Federal

05-95-090-901010-22180000

Hospital Flex

Funding in this organization represents costs associated with the Hospital Flex Program within the Division of Public Health Services. Funds are needed in classified personnel (Class 010), as the actual cost of salaries will be greater than the adjusted authorized for currently filled positions in SFY 14. Source of Funds: 100% Federal

05-95-090-901010-59970000

Strengthening PH Infrastructure

Funding in this organization represents costs associated with the Strengthening Public Health Infrastructure program within the Division of Public Health Services. Funds are needed in classified personnel (Class 010), as the actual cost of salaries will be greater than the adjusted authorized for currently filled positions in SFY 14. Source of Funds: 100% Federal.

05-95-090-901510-53900000

Food Protection

Funding in this organization represents costs associated with the Food Protection Section within the Division of Public Health Services. Funds are available in Class 010 (Classified personnel) to cover a deficit for other class. Source of Funds: 100% Other Funds - Fees

05-95-090-901510-56670000

Chronic Disease - Asthma

Funding in this organization represents costs associated with the Asthma Section within the Division of Public Health Services. Funds are available in Class 010 (Classified personnel) to cover a deficit for other class. Source of Funds: 100% Federal

05-95-090-901510-79360000

Climate Effects State Health

Funding in this organization represents costs associated with the Climate Effects Section within the Division of Public Health Services. Funds are needed in classified personnel (Class 010), as the actual cost of salaries will be greater than the adjusted authorized for currently filled positions in SFY 14. Source of Funds: 100% Federal

05-95-090-901510-79640000

Lead Prevention

Funding in this organization represents costs associated with the Lead Prevention section within the Division of Public Health Services. Funds are available in Class 010 (Classified personnel)

and based on the current grant budget are being redirected to other areas within the Lead Program. Source of Funds: 100% Federal

05-95-090-902010-79670000
ACA Coordinated Chronic Disease

Funding in this organization represents costs associated with the ACA Coordinated Chronic Disease Grant within the Division of Public Health Services. Funds are available in Class 010 (Classified personnel) to cover a deficit in another class. Source of Funds: 100% Federal

05-95-090-902010-52600000
WIC Supplemental Nutrition Program

Funding in this organization represents costs associated with the WIC program within the Division of Public Health Services. Funds are available in Class 010 (Classified personnel) to cover a deficit for other class. Source of Funds: 100% Federal

05-95-090-902010-56080000
Tobacco Prevention Federal

Funding in this organization represents costs associated with the Tobacco Prevention program within the Division of Public Health Services. Funds are needed in classified personnel (Class 010), as the actual cost of salaries will be greater than the adjusted authorized for currently filled positions in SFY 14. Source of Funds: 100% Federal

05-95-090-902010-58960000
ACA- Home Visiting

Funding in this organization represents costs associated with the ACA HomeVisiting grant within the Division of Public Health Services. Funds are needed in classified personnel (Class 010), as the actual cost of salaries will be greater than the adjusted authorized for currently filled positions in SFY 14. Source of Funds: 100% Federal

05-95-090-902510-51700000
Disease Control

Funding in this organization represents costs associated with the Disease Control section within the Division of Public Health Services. Funds are available in classified personnel (Class 010), as the actual cost of salaries will be less than anticipated for currently filled positions in SFY 14. Source of Funds: 100% Federal

05-95-090-902510-51780000
Immunization

Funding in this organization represents costs associated with the Immunization Program within the Division of Public Health Services. Funds are available in classified personnel (Class 010), as the actual cost of salaries will be less than anticipated for currently filled positions in SFY 14.

Source of Funds: 100% Federal

05-95-090-903010-18350000

NH ELC - NH ELC Building and Strengthening Epidemiology, Laboratory and Health Information

Funding in this organization represents costs associated with the ELC section within the Division of Public Health Services. Funds are available in classified personnel (Class 010), as the actual cost of salaries will be less than anticipated for currently filled positions in SFY 14. Source of Funds: 100% Federal

05-95-090-903010-53500000

FED FDA Micro – Federal Drug Administration Food Emergency Response Network Microbiological Program

Funding in this organization represents costs associated with the FDA Micro section within the Division of Public Health Services. Funds are needed in classified personnel (Class 010) as the actual cost of salaries will be greater than anticipated for currently filled positions in SFY 14. Source of Funds: 100% Federal

BUREAU OF DEVELOPMENTAL SERVICES

05-95-093-930010-51910000

DEVELOPMENTAL SERVICES, SPECIAL MEDICAL SERVICES

Funding in this organization represents costs associated with the Special Medical Services unit within the Bureau of Developmental Services. Funds are available in Class 010 (Personal Services - Permanent) due to vacancies. Funds are needed in Class 12 (Personal Services - Unclassified) to satisfy a projected deficit. Source of Funds: 70% General, 30% Federal.

05-95-093-930010-59470000

DEVELOPMENTAL SERVICES, PROGRAM SUPPORT

Funding in this organization represents costs associated with the operation of the Community Developmental Services central office within the Bureau of Developmental Services. Funds are available in Class 010 (Personal Services - Permanent) due to vacancies. Funds are needed in Class 012 (Personal Services – Unclassified) to cover the retirement payout of a long-term employee. Source of Funds: 64% General, 36% Federal.

OFFICE OF THE COMMISSIONER

05-95-095-950010-50000000

Office of the Commissioner

Funding in this organization represents costs associated with the operation of the Commissioner's Office. Funds are available in permanent classified personnel services (class 010) and funds are needed in Unclassified Personnel (class 011) because actual costs exceed the adjusted authorized

for currently filled positions. Source of funds for this transfer reflect the anticipated federal revenues from cost allocation earnings.

05-95-095-950010-50250000
Employee Assistance Program

Funding in this organization represents costs associated with the operation of this program that provides assistance to employees who are having problems in their work or personal lives by helping them secure appropriate assistance. Funds are needed in permanent classified personnel services (class 010) because actual costs exceed the adjusted authorized for currently filled positions. Source of funds for this transfer reflect the anticipated federal revenues from cost allocation earnings.

OFFICE OF ADMINISTRATION

05-95-095-953010-56870000
DHHS District Office

Funding in this organization represents costs for staff in the District Offices throughout the State that perform the administrative and programmatic activities, and community relations, on behalf of employees, clients and providers. Funds are available in permanent personnel services (class 010) because adjusted authorized exceeds the cost for currently filled positions. Source of funds for this transfer reflect the anticipated federal revenues from cost allocation earnings.

OFFICE OF IMPROVEMENT AND INTEGRITY

05-95-095-951010-79350000
OFFICE OF IMPROVEMENT AND INTEGRITY

Funding in this unit represents costs associated with the audit and review of DHHS financial and medical programs, such as provider payments, third party liability and quality assurance programs, Office of Reimbursements and Parental Reimbursement Unit. Funds are available in class 010 for the Office of Improvement and Integrity available because of staff turnover and retirements. Source of Funds: 49% Federal Funds (numerous federal programs through cost allocation) and 51% General Funds.

OFFICE OF OPERATIONS SUPPORT

05-95-095-952010-51460000
OFFICE OF PROGRAM SUPPORT, HEALTH FACILITIES ADMINISTRATION

Funding in this unit represents costs associated with the licensure monitoring and investigation of health facilities. Funds are available in class 010 due to staff turnover and retirements to cover a deficit for other class. Source of Funds: 64% Federal Funds (numerous federal programs through cost allocation) 18% Other Funds and 18% General Funds.

05-95-095-952010-56800000
OFFICE OF PROGRAM SUPPORT, LEGAL SERVICES

Funding in this unit represents costs associated with attorneys and other professional staff that provide legal services across the Department of Health and Human Services. Permanent unclassified Class (012) also needs to be adjusted because of budget to be offset by Class (010) available due to staff leaving state service. Source of Funds: 44% Federal Funds 8% Other and 48% General Funds.

05-95-095-952010-56830000

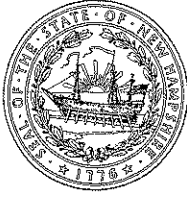
**OFFICE OF PROGRAM SUPPORT, PROGRAM SUPPORT
ADMINISTRATION**

Funding in this unit represents costs associated with attorneys and other professional staff that provide legal services across the Department of Health and Human Services including the Administrative Appeals unit and rules unit. Due to under budgeting of unclassified positions and a retirement we have anticipated needs in class 011 and 012 with a corresponding reduction coming from permanent personnel class 010. Source of Funds: 52% Federal Funds, 5% Other Funds and 43% General Funds.

05-95-95-952010-56900000

OFFICE OF PROGRAM SUPPORT, OFFICE OF OMBUDSMAN

Funding in this unit represents costs to provide assistance to clients and employees of the Department by investigating and resolving complaints regarding any matter within the jurisdiction of the Department, including services and assistance provided by the Department or its contractors. Due to the retirement of an employee Class 010 shortfall can be covered by an expected surplus in Class 010 for Child Care Licensing due to staff turnover. Source of Funds: 40% Federal Funds (numerous federal programs through cost allocation) and 60% General Funds.



STATE OF NEW HAMPSHIRE
DEPARTMENT OF HEALTH AND HUMAN SERVICES
OFFICE OF THE COMMISSIONER

129 PLEASANT STREET, CONCORD, NH 03301-3857
603-271-9200 1-800-852-3345 Ext. 9200
Fax: 603-271-4912 TDD Access: 1-800-735-2964

Nicholas A. Toumpas
Commissioner

December 20, 2013

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

- 1) Pursuant to the provisions of RSA 9:16-a, authorize the Department of Health and Human Services to transfer general funds in the amount of \$2,051,634.
- 2) Pursuant to the provisions of RSA 14:30-a, VI authorize the Department of Health and Human Services to accept and expend Federal Funds in the amount of \$421,538 and Other Funds in the amount of \$259,945.

The transfers and adjustments are summarized below and detailed in the attached worksheets, effective upon approval of the Fiscal Committee and the Governor and Executive Council through June 30, 2014

<u>From: (Various Accounts):</u>	<u>Account</u>	<u>Amount</u>
Division for Children, Youth and Families	Various	\$ (247,519)
Office of Minority Health and Refugee Affairs	Various	\$ (120)
Division for Homeless Housing Services	Various	\$ (5,000)
Division of Child Support Services	Various	\$ -
Division of Family Assistance	Various	\$ (408,897)
Division of Client Services	Various	\$ -
Office of Medicaid Business and Policy	Various	\$ (896,000)
Bureau of Elderly and Adult Services	Various	\$ (13,732)
Division of Community Based Care Services	Various	\$ -
Division of Public Health Services	Various	\$ (80,225)
Glenclyff Home for the Elderly	Various	\$ (260,355)
Bureau of Behavioral Health	Various	\$ (123)
Bureau of Developmental Services	Various	\$ (83,090)
Office of the Commissioner	Various	\$ (31,978)
Office of Improvement and Integrity	Various	\$ (3,589)
Office of Administration	Various	\$ (15,000)
Office of Operations Support and Program Integrity	Various	\$ (6,006)
Total Department of Health and Human Services		<u>\$ (2,051,634)</u>

<u>To: (Various Accounts):</u>	<u>Account</u>	<u>Amount</u>
Division for Children, Youth and Families	Various	\$ 278,935
Office of Minority Health and Refugee Affairs	Various	\$ 120
Division for Homeless Housing Services	Various	\$ 1,000
Division of Child Support Services	Various	\$ -
Division of Family Assistance	Various	\$ 359,420
Division of Client Services	Various	\$ 49,477
Office of Medicaid Business and Policy	Various	\$ 52,000
Bureau of Elderly and Adult Services	Various	\$ 12,000
Division of Community Based Care Services	Various	\$ -
Division of Public Health Services	Various	\$ 3,975
Glenclyff Home for the Elderly	Various	\$ 269,484
Bureau of Behavioral Health	Various	\$ 826,817
Bureau of Developmental Services	Various	\$ 83,090
Office of the Commissioner	Various	\$ 79,356
Office of Improvement and Integrity	Various	\$ 4,102
Office of Administration	Various	\$ 23,770
Office of Operations Support and Program Integrity	Various	\$ 8,088
Total Department of Health and Human Services		<u>\$ 2,051,634</u>

EXPLANATION

These transfers reflect adjustments to various other class lines to address projected expenses in the Department. Expenditure patterns for the first five months of SFY 2014 have been analyzed and taken into consideration when projecting expenditures for the balance of the year. Based upon this thorough review, a number of accounts were found to require additional funds, while other accounts were experiencing less than originally anticipated expenditures. This transfer will provide for the continued efficient operation of the Department.

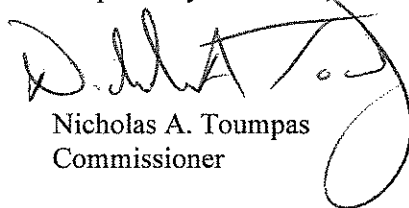
The following is the information specifically required when transfers are requested, in accordance with the Budget Officer's instructional memorandum dated April 17, 1985, to support the above requested actions:

- A. Justification: See the attached appendix for justification of the availability of funds and required additional funds.
- B. Does this transfer involve continuing programs or one-time projects? This transfer involves continuing programs.
- C. Is this transfer required to maintain existing program levels or will it increase the program level? This transfer is required to maintain existing program levels.
- D. Cite any requirements which make this program mandatory. The programs of the Department are mandated by various state and federal laws.
- E. Identify the source of funds on all accounts listed on this transfer. See the attached worksheet for the source of funds for all accounts.
- F. Will there be any effect on revenue if this transfer is not approved? There is no anticipated effect on revenue as a result of this transfer. Federal participation in Department expenditures is detailed in the attached appendix.

- G. Are funds expected to lapse if this transfer is not approved? It is anticipated that some funds will lapse whether this transfer is approved or not.
- H. Are personnel services involved? No positions are being transferred as a result of this request.

The Department has conducted a detailed review of every line item in the budget to ensure that available funds are maximized to the greatest degree possible. An appendix is attached which summarizes the changes across the Department.

Respectfully submitted,



Nicholas A. Toumpas
Commissioner

Other	Account From	General Funds Only			Net FF/Oth	Account To
		From	To	Net		
Division for Children, Youth and Families	Various	(247,519)	278,935	31,416	410,706	Various
Office of Minority Health and Refugee Affairs	Various	(120)	120	-	(18,320)	Various
Division for Homeless Housing Services	Various	(5,000)	1,000	(4,000)	13,000	Various
Division of Child Support Services	Various	-	-	-	95,000	Various
Division of Family Assistance	Various	(408,897)	359,420	(49,477)	139,180	Various
Division of Client Services	Various	-	49,477	49,477	46,023	Various
Office of Medicaid Business and Policy	Various	(896,000)	52,000	(844,000)	(547,667)	Various
Bureau of Elderly and Adult Services	Various	(13,732)	12,000	(1,732)	(2,888)	Various
Division of Community Based Care Services	Various	-	-	-	750	Various
Division of Public Health Services	Various	(80,225)	3,975	(76,250)	(620)	Various
Glenclyff Home	Various	(260,355)	269,484	9,129	-	Various
Bureau of Behavioral Health	Various	(123)	826,817	826,694	501,226	Various
Bureau of Developmental Services	Various	(83,090)	83,090	-	-	Various
Office of the Commissioner	Various	(31,978)	79,356	47,378	31,122	Various
Office of Improvement and Integrity	Various	(3,589)	4,102	513	487	Various
Office of Administration	Various	(15,000)	23,770	8,770	2,230	Various
Office of Operations Support and Program Integrity	Various	(6,006)	8,088	2,082	11,254	Various
Total Department of Health and Human Services		(2,051,634)	2,051,634	0	681,483	
			Net Federal Funds		421,538	
			Net Other Funds		259,945	
					681,483	
					-	

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S					
1	Fund	Agcy	Org	Clas	Rcpt	Class Title		Increase/ Decrease Amount	Net Gen'l Fund by Org. Code	Net Gen'l Fund By Agency	GF Amount	S/T	FF	OF	GF		FF	OF	GF					
2																	Transfer Amount			SOF				
3																	Amount	S/T	FF	OF	GF	FF	OF	GF
4	LAWSON ACCOUNTING FORMAT																							
5	COMP	N/A	ACCOU TING UNIT	CLASS	ACCOUNT																			
6	DIVISION FOR CHILDREN, YOUTH AND FAMILIES																							
7	Child Protection																							
8																								
9	010	042	29570000	000	408050	Federal Funds		(265)																
10	010	042	29570000			Other Funds		-																
11	010	042	29570000			General Funds		(494)	\$	(494)														
12	Total Revenue																							
13																								
14	010	042	29570000	020	500200	Current Expense		\$ 1,000			\$ 650		\$ 350	\$ -	\$ 650		35%	0%	65%					
15	010	042	29570000	070	500707	In-State Travel		\$ 3,473			\$ 2,257		\$ 1,216	\$ -	\$ 2,257		35%	0%	65%					
16	010	042	29570000	102	500734	Contracts for Program Services		\$ (5,232)			\$ (3,401)		\$ (1,831)	\$ -	\$ (3,401)		35%	0%	65%					
17	Total Expense																							
18																								
19																								
20	Child & Family Services																							
21	010	042	29580000	000	404230	Federal Funds		\$ -																
22	010	042	29580000	007	407139	Private Local Funds		\$ -																
23	010	042	29580000			General Funds		\$ -	\$	-		\$ -												
24	Total Revenue																							
25																								
26	010	042	29580000	049	500294	Transfer to Other State Agency		\$ 1,000			\$ 1,000		\$ -	\$ -	\$ 1,000		0.00%	0.00%	100.00%					
27	010	042	29580000	550	500376	Assessment and Counseling		\$ 60,000			\$ 60,000		\$ -	\$ -	\$ 60,000		0.00%	0.00%	100.00%					
28	010	042	29580000	563	500915	Community Based Services		\$ (61,000)			\$ (61,000)		\$ -	\$ -	\$ (61,000)		0.00%	0.00%	100.00%					
29	Total Expense																							
30																								
31	Organizational Learning and Quality Improvement																							
32	010	042	29600000	000	408075	Federal Funds		\$ 800																
33	010	042	29600000			Other Funds		\$ -																
34	010	042	29600000			General Funds		\$ 1,200	\$	1,200														
35	Total Revenue																							
36																								
37	010	042	29600000	039	500188	Telecommunications		\$ 3,000			\$ 1,800		\$ 1,200	\$ -	\$ 1,800		40%		60%					
38	010	042	29600000	070	500707	In-State Travel		\$ (1,000)			\$ (600)		\$ (400)	\$ -	\$ (600)		40%		60%					
39	Total Expense																							
40																								
41	Foster Care Health Program																							
42	010	042	29610000	000	400665	Federal Funds		\$ 1,690																
43	010	042	29610000			Other Funds		\$ -																
44	010	042	29610000			General Funds		\$ 910	\$	910														
45	Total Revenue																							
46																								
47	010	042	29610000	020	500200	Current Expense		\$ 100			\$ 35		\$ 65	\$ -	\$ 35		65%	0%	35%					
48	010	042	29610000	039	500188	Telecommunications		\$ 300			\$ 105		\$ 195	\$ -	\$ 105		65%	0%	35%					
49	010	042	29610000	070	500707	In-State Travel		\$ 2,200			\$ 770		\$ 1,430	\$ -	\$ 770		65%	0%	35%					
50	Total Expense																							
51																								
52	Teen Independent Living																							
53	010	042	29700000	000	404213	Federal Funds		\$ 13,000																
54	010	042	29700000			General Funds		\$ -	\$	-														
55	Total Revenue																							
56																								
57	010	042	29700000	039	500188	Telecommunications		\$ 1,000			\$ -		\$ 1,000	\$ -	\$ -		100%	0%	0%					
58	010	042	29700000	502	500891	Payments to Providers		\$ 12,000			\$ -		\$ 12,000	\$ -	\$ -		100%	0%	0%					
59	Total Expense																							
60																								

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S
1	Fund	Agcy	Org	Clia	Rcpt	Class Title	Increase/	Net Gen'l	Net Gen'l	GF				Transfer Amount			SOF		
2					Acc't		Decrease	Fund by	Fund By	Amount	S/T	FF	OF	GF			FF	OF	GF
3							Amount	Org. Code	Agency										
61	Adolescent After Care Services																		
62	010	042	29710000	000	404213	Federal Funds	\$ 20,000												
63	010	042	29710000			General Funds	\$ -	\$ -											
64	Total Revenue						\$ 20,000												
65																			
66	010	042	29710000	502	500891	Payments to Providers	\$ 20,000			\$ -		\$ 20,000	\$ -	\$ -			100%	0%	0%
67	Total Expense						\$ 20,000												
68																			
69	Adolescent Purchased Services																		
70	010	042	29720000	000	404213	Federal Funds	\$ 12,500												
71	010	042	29720000			General Funds	\$ -	\$ -											
72	Total Revenue						\$ 12,500												
73																			
74	010	042	29720000	502	500891	Payments to Providers	\$ 12,500			\$ -		\$ 12,500	\$ -	\$ -			100%	0%	0%
75	Total Expense						\$ 12,500												
76																			
77	Promoting Safe and Stable Families																		
78	010	042	29730000	000	400171	Federal Funds	\$ -												
79	010	042	29730000			Other Funds	\$ -												
80	010	042	29730000			General Funds	\$ -	\$ -											
81	Total Revenue						\$ -												
82																			
83	010	042	29730000	050	500109	Personal Services - Temp	\$ 19,000			\$ -		\$ 19,000	\$ -	\$ -			100%	0%	0%
84	010	042	29730000	070	500707	In-State Travel	\$ 5,000			\$ -		\$ 5,000	\$ -	\$ -			100%	0%	0%
85	010	042	29730000	102	500731	Contracts for Program Serv	\$ (24,000)			\$ -		\$ (24,000)	\$ -	\$ -			100%	0%	0%
86	Total Expense						\$ -			\$ -		\$ -	\$ -	\$ -					
87																			
88	Adoption Services																		
89	010	042	29740000	000	404134	Federal Funds	2,000												
90	010	042	29740000			Other Funds	\$ -												
91	010	042	29740000			General Funds	\$ -	\$ -											
92	Total Revenue						2,000												
93																			
94	010	042	29740000	020	500200	Current Expense	(2,000)			\$ -		\$ (2,000)	\$ -	\$ -			100%		0%
95	010	042	29740000	102	500734	Contracts for Program Services	4,000			\$ -		\$ 4,000	\$ -	\$ -			100%		0%
96	Total Expense						2,000												
97																			
98	Pass Thru Grants Title I																		
99	010	042	29750000	000		Federal Funds	\$ -												
100	010	042	29750000	001	405358	Other Funds	\$ 24,159												
101	010	042	29750000			General Funds	\$ -	\$ -											
102	Total Revenue						\$ 24,159												
103																			
104	010	042	29750000	571	500707	Pass Thru Grants	\$ 24,159			\$ -		\$ -	\$ 24,159	\$ -			0%	100%	0%
105	Total Expense						\$ 24,159					\$ -							
106																			
107	Child Development - Operations																		
108	010	042	29760000	000	403944	Federal Funds	\$ 900												
109	010	042	29760000			Other Funds	\$ -												
110	010	042	29760000			General Funds	\$ -	\$ -											
111	Total Revenue						\$ 900												
112																			
113	010	042	29760000	070	500707	In-State Travel	\$ 900			\$ -		\$ 900	\$ -	\$ -			100%		0%
114	Total Expense						\$ 900					\$ -							
115																			
116	Child Care Development-Quality Assurance																		
117	010	042	29780000	000	403841	Federal Funds	\$ (900)												
118	010		29780000			Other Funds	\$ -												
119	010		29780000			General Funds	\$ -	\$ -											

A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	
1	Fund	Agcy	Org	Cla	Rcpt	Class Title	Increase/ Decrease Amount	Net Gen'l Fund by Org. Code	Net Gen'l Fund By Agency	GF Amount	S/T	FF	OF	GF	FF	OF	GF		
2					Acct							Transfer Amount			SOF				
3																			
120	Total Revenue						\$ (900)												
121																			
122	010	042	29780000	049	500294	Transfer to Other State Age	\$ 6,000			\$ -		\$ 6,000	\$ -	\$ -	100%	0%	0%		
123	010	042	29780000	070	500707	In-State Travel	\$ 3,600			\$ -		\$ 3,600	\$ -	\$ -	100%	0%	0%		
124	010	042	29780000	102	500731	Contracts for Program Serv	\$ (10,500)			\$ -		\$ (10,500)	\$ -	\$ -	100%	0%	0%		
125	Total Expense						\$ (900)				\$ -								
126	Head Start Collaboration																		
127																			
128	010	042	29790000	000	404660	Federal Funds	\$ 9,011												
129	010	042	29790000			Other Funds	\$ -												
130	010	042	29790000			General Funds	\$ -	\$ -											
131	Total Revenue						\$ 9,011												
132																			
133	010	042	29790000	041	500801	Audit Set Aside	\$ 11			\$ -		\$ 11	\$ -	\$ -	100%	0%	0%		
134	010	042	29790000	072	500575	Grants - Federal	\$ 7,000			\$ -		\$ 7,000	\$ -	\$ -	100%	0%	0%		
135	010	042	29790000	080	500575	Out-of-State Travel	\$ 2,000			\$ -		\$ 2,000	\$ -	\$ -	100%	0%	0%		
136	Total Expense						\$ 9,011				\$ -								
137	Juvenile Field Services																		
138																			
139	010	042	79050000	000	408044	Federal Funds	(1,602)												
140	010	042	79050000			Other Funds	-												
141	010	042	79050000			General Funds	(3,398)	\$ (3,398)											
142	Total Revenue						(5,000)												
143																			
144	010	042	79050000	070	500704	In State Travel Reimbursement	(5,000)			\$ (3,398)		\$ (1,602)	\$ -	\$ (3,398)	32%	0%	68%		
145	Total Expense						(5,000)				\$ (3,398)								
146																			
147	QJJDJP																		
148	010	042	79060000	000	404865	Federal Funds	230,700												
149	010	042	79060000			Other Funds	-												
150	010	042	79060000			General Funds	-	\$ -											
151	Total Revenue						230,700												
152																			
153																			
154	010	042	79060000	020	500200	Current Expenses	(4,000)			\$ -		\$ (4,000)	\$ -	\$ -	100%	0%	0%		
155	010	042	79060000	026	500251	Organizational Dues	2,700			\$ -		\$ 2,700	\$ -	\$ -	100%	0%	0%		
156	010	042	79060000	072	502624	Grants Federal	232,000			\$ -		\$ 232,000	\$ -	\$ -	100%	0%	0%		
157	Total Expense						230,700				\$ -								
158																			
159	JAIBG																		
160	010	042	79070000	000	404802	Federal Funds	10,000												
161	010	042	79070000			Other Funds	-												
162	010	042	79070000			General Funds	-	\$ -											
163	Total Revenue						10,000												
164																			
165	010	042	79070000	102	500731	Contracts For Program Serv	10,000			\$ -		\$ 10,000	\$ -	\$ -	100%	0%	0%		
166	Total Expense						10,000				\$ -								
167																			
168	Material Mgt & Food Prep																		
169	010	042	79130000			Federal Funds	-												
170	010	042	79130000	007	405376	Other Funds	68,333												
171	010	042	79130000			General Funds	(107,333)	\$ (107,333)											
172	Total Revenue						(39,000)												
173																			
174	010	042	79130000	021	500211	Food Institutions	(68,333)			\$ (68,333)		\$ -	\$ -	\$ (68,333)	0%	0%	100%		
175	010	042	79130000	021	500211	Food Institutions	68,333			\$ -		\$ -	\$ 68,333	\$ -	0%	100%	0%		
176	010	042	79130000	021	500211	Food Institutions	(57,500)			\$ (57,500)		\$ -	\$ -	\$ (57,500)	0%	0%	100%		
177	010	042	79130000	022	500255	Rents Leases Other Than State	500			\$ 500		\$ -	\$ -	\$ 500	0%	0%	100%		
178	010	042	79130000	030	500306	Equipment	14,500			\$ 14,500		\$ -	\$ -	\$ 14,500	0%	0%	100%		

A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S
1	Fund	Agcy	Org	Cl	Rcpt	Class Title	Increase/ Decrease Amount	Net Gen'l Fund by Org. Code	Net Gen'l Fund By Agency	GF Amount	S/T	FF	OF	GF	FF	OF	GF	
2					Acc't							Transfer Amount			SO#			
3																		
179	010	042	79130000	050	500109	Personal Services - Temporary	3,500			\$ 3,500		\$ -	\$ -	\$ 3,500	0%	0%	100%	
180	Total Expense						(39,000)				\$ (107,333)							
181	Maintenance																	
183	010	042	79140000			Federal Funds	-											
184	010	042	79140000			Other Funds	-											
185	010	042	79140000			General Funds	72,000	\$ 72,000										
186	Total Revenue						72,000											
187																		
188	010	042	79140000	024	500225	Maint Other Than Build-Grn	76,000			\$ 76,000		\$ -	\$ -	\$ 76,000	0%	0%	100%	
189	010	042	79140000	070	500704	In State Travel Reimbursement	(4,000)			\$ (4,000)		\$ -	\$ -	\$ (4,000)	0%	0%	100%	
190	Total Expense						72,000				\$ 72,000							
191																		
192	Health Services																	
193	010	042	79150000			Federal Funds	-											
194	010	042	79150000			Other Funds	-											
195	010	042	79150000			General Funds	57,416	\$ 57,416										
196	Total Revenue						57,416											
197																		
198	010	042	79150000	020	500200	Current Expenses	(10,000)			\$ (10,000)		\$ -	\$ -	\$ (10,000)	0%	0%	100%	
199	010	042	79150000	050	500109	Personal Services - Temporary	(22,584)			\$ (22,584)		\$ -	\$ -	\$ (22,584)	0%	0%	100%	
200	010	042	79150000	101	500729	Medical Payments to Providers	90,000			\$ 90,000		\$ -	\$ -	\$ 90,000	0%	0%	100%	
201	Total Expense						57,416				\$ 57,416							
202																		
203	Rehabilitative Programs																	
204	010	042	79160000			Federal Funds	-											
205	010	042	79160000			Other Funds	-											
206	010	042	79160000			General Funds	(5,000)	\$ (5,000)										
207	Total Revenue						(5,000)											
208																		
209	010	042	79160000	020	500200	Current Expenses	(5,000)			\$ (5,000)		\$ -	\$ -	\$ (5,000)	0%	0%	100%	
210	Total Expense						(5,000)				\$ (5,000)							
211																		
212	Rehabilitative Education																	
213	010	042	79170000			Federal Funds	-											
214	010	042	79170000	009	407034	Other Funds	5,130											
215	010	042	79170000			General Funds	19,870	\$ 19,870										
216	Total Revenue						25,000											
217																		
218	010	042	79170000	050	500109	Personal Services-Temporary	\$ 35,000			\$ 27,818		\$ -	\$ 7,182	\$ 27,818	0%	21%	79%	
219	010	042	79170000	020	500200	Current Expenses	(10,000)			\$ (7,948)		\$ -	\$ (2,052)	\$ (7,948)	0%	21%	79%	
220	Total Expense						25,000				\$ 19,870							
221																		
222	Juvenile Detention Unit																	
223	010	042	79180000			Federal Funds	\$ -											
224	010	042	79180000			Other Funds	\$ -											
225	010	042	79180000			General Funds	\$ (3,755)	\$ (3,755)										
226	Total Revenue						\$ (3,755)											
227																		
228	010	042	79180000	050	500109	Personal Services-Temporary	\$ (3,755)			\$ (3,755)		\$ -	\$ -	\$ (3,755)	0%	0%	100%	
229	Total Expense						\$ (3,755)				\$ (3,755)							
230																		
231	Chapter I Neglected - Disad																	
232	010	042	79190000			Federal Funds	\$ -											
233	010	042	79190000	001	405568	Other Funds	\$ 15,250											
234	010	042	79190000			General Funds	\$ -	\$ -										
235	Total Revenue						\$ 15,250											
236																		
237	010		9190000	050	500109	Personal Services-Temporary	\$ 15,250			\$ -		\$ -	\$ 15,250	\$ -	0%	100%	0%	

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S
1	Fund	Agcy	Org	Clas	Rept	Class Title		Increase/ Decrease	Net Gen'l Fund by	Net Gen'l Fund By									
2					Acct			Amount	Org. Code	Agency	GF Amount	S/T	FF	OF	GF			SOF	
3																			
238						Total Expense		\$ 15,250				\$ -							
239																			
240						TOTAL DIVISION FOR CHILDREN, YOUTH AND FAMILIES				\$ 31,416		\$ 31,416	\$ 297,834	\$ 112,872	\$ 31,416				
241																			
242						OFFICE OF MINORITY HEALTH AND REFUGEE AFFAIRS													
243																			
244						Minority Hlth/Refugee Affairs													
245	010	042	79210000	000	403900	Federal Funds		-											
246	010	042	79210000			Other Funds		-											
247	010	042	79210000			General Funds		-											
248						Total Revenue		-											
249																			
250	010	042	79210000	020	500200	Current Expense		(300)			\$ (120)		\$ (180)	\$ -	\$ (120)		60%	0%	40%
251	010	042	79210000	039	500188	Telecommunications		300			\$ 120		\$ 180	\$ -	\$ 120		60%	0%	40%
252						Total Expense		-											
253																			
254						Refugee Services													
255	010	042	79220000	000	408181	Federal Funds		-											
256	010	042	79220000			Other Funds		-											
257	010	042	79220000			General Funds		-											
258						Total Revenue		-											
259																			
260	010	042	79220000	020	500200	Current Expense		800			\$ -		\$ 800	\$ -	\$ -		100%	0%	0%
261	010	042	79220000	049	584945	Transfers to Other Agencies		100,000					\$ 100,000	\$ -	\$ -		100%	0%	0%
262	010	042	79220000	102	500731	Contracts for Program Services		(100,800)					\$ (100,800)	\$ -	\$ -		100%	0%	0%
263						Total Expense		-											
264																			
265						OMH State Partnership Grant													
266	010	042	79230000	000	400874	Federal Funds		11,680											
267	010	042	79230000			Other Funds		-											
268	010	042	79230000			General Funds		-											
269						Total Revenue		11,680											
270																			
271	010	042	79230000	020	500200	Current Expense		1,500			\$ -		\$ 1,500	\$ -	\$ -		100%	0%	0%
272	010	042	79230000	039	500188	Telecommunications		180			\$ -		\$ 180	\$ -	\$ -		100%	0%	0%
273	010	042	79230000	102	500731	Contracts for Program Services		10,000			\$ -		\$ 10,000	\$ -	\$ -		100%	0%	0%
274						Total Expense		11,680											
275																			
276						Health Professional Opportunity Grant													
277	010	042	79240000	000	406923	Federal Funds		(30,000)											
278	010	042	79240000	000		Other Funds		-											
279	010	042	79240000	000		General Funds		-											
280						Total Revenue		(30,000)											
281																			
282	010	042	79240000	059	500117	Full Time Temp		50,000			-		\$ 50,000	\$ -	\$ -		100%	0%	0%
283	010	042	79240000	102	500731	Contracts for Program Services		(80,000)			-		\$ (80,000)	\$ -	\$ -		100%	0%	0%
284						Total Expense		(30,000)											
285																			
286						TOTAL OFFICE OF MINORITY HEALTH AND REFUGEE AFFAIRS				0			(18,320)	-	-				
287																			
288						DIVISION OF HOMELESS HOUSING SERVICES													
289																			
290						Housing - Shelter Program													
291	010	042	79270000	000	408072	Federal Funds		\$ 13,000											
292	010	042	79270000			Other Funds		\$ -											
293	010	042	79270000			General Funds		\$ -	\$ -										
294						Total Revenue		\$ 13,000											
295																			
296	010	042	79270000	022	502668	Rents Leases Other State		\$ (2,178)			\$ -		\$ (2,178)	\$ -	\$ -		100%	0%	0%
297	010	042	79270000	039	502668	Telecommunications		\$ 1,500			\$ -		\$ 1,500	\$ -	\$ -		100%	0%	0%

1	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	
2	Fund	Acy	Org	Cl	Rcpt	Class Title	Increase/Decrease Amount	Net Gen'l Fund by Org. Code	Net Gen'l Fund By Agency	GF Amount	S/T	FF	OF	GF	FF	OF	GF			
3																				
298	010	042	79270000	041	502668	Audit Fund Set Aside	\$ 1,400			\$ -		\$ 1,400	\$ -	\$ -	100%	0%	0%			
299	010	042	79270000	042	502668	Additional Fringe Benefits	\$ 1,500			\$ -		\$ 1,500	\$ -	\$ -	100%	0%	0%			
300	010	042	79270000	070	502668	In State Travel Reimb	\$ 4,000			\$ -		\$ 4,000	\$ -	\$ -	100%	0%	0%			
301	010	042	79270000	080	502668	Out of State Travel	\$ 13,000			\$ -		\$ 13,000	\$ -	\$ -	100%	0%	0%			
302	010	042	79270000	102	500801	Contracts for Prog Serv	\$ (6,222)			\$ -		\$ (6,222)	\$ -	\$ -	100%	0%	0%			
303	Total Expense						\$ 13,000				\$ -									
304																				
305	Emergency Shelters																			
306	010	042	79280000	000		Federal Funds	\$ -													
307	010	042	79280000			Other Funds	\$ -													
308	010	042	79280000			General Funds	\$ (4,000)	\$ (4,000)												
309	Total Revenue						\$ (4,000)													
310																				
311	010	042	79280000	020	500200	Current Expense	\$ 1,000			\$ 1,000		\$ -	\$ -	\$ 1,000	0%	0%	100%			
312	010	042	79280000	102	500200	Contracts for Program Services	\$ (5,000)			\$ (5,000)		\$ -	\$ -	\$ (5,000)	0%	0%	100%			
313	Total Expense						\$ (4,000)				\$ (4,000)									
314																				
315	TOTAL DIVISION OF HOMELESS HOUSING SERVICES								\$ (4,000)		\$ (4,000)	\$ 13,000	\$ -	\$ (4,000)						
316																				
317	DIVISION OF CHILD SUPPORT SERVICES																			
318																				
319	Child Support Services																			
320	010	042	79290000	000	403955	Federal Funds	\$ -													
321	010	042	79290000	009	407126	Other Funds	\$ 95,000													
322	010	042	79290000			General Funds	\$ -	\$ -												
323	Total Revenue						\$ 95,000													
324																				
325	010	042	79290000	080	500713	Out of State Travel	\$ 5,000			\$ -		\$ -	\$ 5,000	\$ -	0%	100%	0%			
326	010	042	79290000	102	500731	Contracts for Program Services	\$ 90,000			\$ -		\$ -	\$ 90,000	\$ -	0%	100%	0%			
327	Total Expense						\$ 95,000				\$ -									
328																				
329	TOTAL DIVISION OF CHILD SUPPORT SERVICES								\$ -		\$ -	\$ -	\$ 95,000	\$ -						
330																				
331	DIVISION OF FAMILY ASSISTANCE																			
332																				
333	Directors Office																			
334	010	045	61250000	000	403950	Federal Funds	\$ 28,600													
335	010	045	61250000	007	409282	Other Funds	\$ -													
336	010	045	61250000			General Funds	\$ -	\$ -												
337	Total Revenue						\$ 28,600													
338																				
339	010	045	61250000	050	500109	Part-Time Temp	\$ 28,600			\$ -		\$ 28,600	\$ -	\$ -	100%	0%	0%			
340	Total Expense						\$ 28,600				\$ -	\$ -	\$ -							
341																				
342	Employment Support																			
343	010	045	61270000	000	403719	Federal Funds	\$ 10,580													
344	010	045	61270000			Other Funds	\$ -													
345	010	045	61270000			General Funds	\$ (49,477)	\$ (49,477)												
346	Total Revenue						\$ (38,897)													
347																				
348	010	045	61270000	070	500707	In State Travel	\$ 20,000			\$ 9,420		\$ 10,580	\$ -	\$ 9,420	53%	0%	47%			
349	010	045	61270000	501	500425	Payments to Clients	\$ 50,000			\$ 50,000		\$ -	\$ -	\$ 50,000	0%	0%	100%			
350	010	045	61270000	502	500891	Payments to Providers	\$ (108,897)			\$ (108,897)		\$ -	\$ -	\$ (108,897)	0%	0%	100%			
351	Total Expense						\$ (38,897)				\$ (49,477)									
352																				
353	OAA Grants																			
354	010	045	61700000	000		Federal Funds	\$ -													
355	010	045	61700000			Other Funds	\$ -													
356	010	045	61700000			General Funds	\$ 300,000	\$ 300,000												
357	Total Revenue						\$ 300,000													

A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S
1	Fund	Agcy	Org	Cla	Rcpt	Class Title	Increase/Decrease Amount	Net Gen'l Fund by Org. Code	Net Gen'l Fund By Agency	GF Amount	S/T	FF	OF	GF	FF	OF	GF	
2					Acc't							Transfer Amount			SOF			
3																		
358																		
359	010	045	61700000	501	500425	Payments to Clients	\$ 300,000			\$ 300,000		\$ -	\$ -	\$ 300,000	0%	0%	100%	
360	Total Expense						\$ 300,000				\$ 300,000	\$ -						
361																		
362	Refugee Grants																	
363	010	045	61720000	000		Federal Funds	\$ -											
364	010	045	61720000	007	484995	Other Funds	\$ 100,000											
365	010	045	61720000			General Funds	\$ -	\$ -										
366	Total Revenue						\$ 100,000											
367																		
368	010	045	61720000	501	500425	Payments to Clients	\$ 100,000			\$ -		\$ -	\$ 100,000	\$ -	0%	100%	0%	
369	Total Expense						\$ 100,000				\$ -	\$ -						
370																		
371	IDP																	
372	010	045	61760000	000		Federal Funds	\$ -											
373	010	045	61760000			Other Funds	\$ -											
374	010	045	61760000			General Funds	\$ (300,000)	\$ (300,000)										
375	Total Revenue						\$ (300,000)											
376																		
377	010	045	61760000	501	500425	Payments to Clients	\$ (300,000)			\$ (300,000)		\$ -	\$ -	\$ (300,000)	0%	0%	100%	
378	Total Expense						\$ (300,000)				\$ (300,000)	\$ -						
379																		
380	TOTAL DIVISION OF FAMILY ASSISTANCE									\$ (49,477)	\$ (49,477)	\$ 39,180	\$ 100,000	\$ (49,477)				
381																		
382	DIVISION OF CLIENT SERVICES																	
383																		
384	Field Operations																	
385	010	045	79930000	000	403959	Federal Funds	\$ 45,773											
386	010	045	79930000	007	409282	Other Funds	\$ -											
387	010	045	79930000			General Funds	\$ 49,227	\$ 49,227										
388	Total Revenue						\$ 95,000											
389																		
390	010	045	79930000	020	500200	Current Expenses	\$ 20,000			\$ 10,412		\$ 9,588	\$ -	\$ 10,412	48%	0%	52%	
391	010	045	79930000	039	500188	Telecommunications	\$ 25,000			\$ 13,015		\$ 11,985	\$ -	\$ 13,015	48%	0%	52%	
392	010	045	79930000	070	500707	In-state Travel	\$ 50,000			\$ 25,800		\$ 24,200	\$ -	\$ 25,800	48%	0%	52%	
393	Total Expense						\$ 95,000				\$ 49,227							
394																		
395	Client Eligibility & Enroll Ops (MCS)																	
396	010	045	79960000	000	403951	Federal Funds	\$ 250											
397	010	045	79960000			Other Funds	\$ -											
398	010	045	79960000			General Funds	\$ 250	\$ 250										
399	Total Revenue						\$ 500											
400																		
401	010	045	79960000	070	500707	In-State Travel	\$ 500			\$ 250		\$ 250	\$ -	\$ 250	50%	0%	50%	
402	Total Expense						\$ 500				\$ 250							
403																		
404	TOTAL DIVISION OF CLIENT SERVICES									\$ 49,477	\$ 49,477	\$ 46,023	\$ -	\$ 49,477				
405																		
406	OFFICE OF MEDICAID BUSINESS AND POLICY																	
407																		
408	Medicaid Administration																	
409	010	047	79370000	000	403951	Federal Funds	\$ 6,000											
410	010	047	79370000			Other Funds	\$ -											
411	010	047	79370000			General Funds	\$ 6,000	\$ 6,000										
412	Total Revenue						\$ 12,000											
413																		
414	010	047	79370000	020	500200	Current Expense	\$ 30,000			\$ 15,000		\$ 15,000	\$ 0	\$ 15,000	50.00%	0.00%	50.0%	
415	010	047	79370000	101	500729	Provider Payments	\$ (18,000)			(\$ 9,000)		(\$ 9,000)	\$ 0	(\$ 9,000)	50.00%	0.00%	50.0%	
416	Total Expense						\$ 12,000				\$ 6,000							

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S
1	Fund	Agcy	Org	Clia	Rcpt	Class Title	Increase/	Net Gen'l	Net Gen'l										
2					Acc't		Decrease	Fund by	Fund By					Transfer Amount					SOF
3							Amount	Org. Code	Agency	GF	S/T	FF	OF	GF	FF	OF	GF		
417																			
418	Provider Payments																		
419	010	047	79400000	000	403978	Federal Funds	\$ (887,000)												
420	010	047	79400000			Other Funds	\$ -												
421	010	047	79400000			General Funds	\$ (887,000)	\$ (887,000)											
422	Total Revenue						\$ (1,774,000)												
423																			
424	010	047	79400000	101	500729	Provider Payments	\$ (1,774,000)				(\$887,000)		(\$887,000)	\$0	(\$887,000)		50.00%	0.00%	50.0%
425	Total Expense						\$ (1,774,000)				(\$887,000)								
426																			
427	Family Planning																		
428	010	047	79420000	000	403978	Federal Funds	\$ 333,333												
429	010	047	79420000			Other Funds	\$ -												
430	010	047	79420000			General Funds	\$ 37,000	\$ 37,000											
431	Total Revenue						\$ 370,333												
432																			
433	010	047	79420000	041	500801	Audit Set Aside	\$ 333				\$ -		\$ 333	\$ -	\$ -		100.00%	0.00%	0.00%
434	010	047	79420000	101	500729	Provider Payments	\$ 370,000				\$ 37,000		\$ 333,000	\$ -	\$ 37,000		90.00%	0.00%	10.00%
435	Total Expense						\$370,333				\$ 37,000								
436																			
437	TOTAL OFFICE OF MEDICAID BUSINESS AND POLICY									(\$844,000)		\$ (844,000)	\$ (547,667)	\$ -	\$ (844,000)				
438																			
439	BUREAU OF ELDERLY AND ADULT SERVICES																		
440																			
441	LTC Assessment & Counseling																		
442	010	048	61800000	000	404362	Federal Funds	\$ 100												
443	010	048	61800000			Other Funds	\$ -												
444	010	048	61800000			General Funds	\$ 100	\$ 100											
445	Total Revenue						\$ 200												
446																			
447	010	048	61800000	039	500190	Telecommunications	\$ 200				\$ 100		\$ 100	\$ -	\$ 100		50%	0%	50%
448	Total Expense						\$ 200				\$ 100								
449																			
450	Medicaid Administration																		
451	010	048	78560000	000	404596	Federal Funds	\$ (1,500)												
452	010	048	78560000			Other Funds	\$ -												
453	010	048	78560000			General Funds	\$ (1,500)	\$ (1,500)											
454	Total Revenue						\$ (3,000)												
455																			
456	010	048	78560000	039	500190	Telecommunications	\$ (3,000)				\$ (1,500)		\$ (1,500)	\$ -	\$ (1,500)		50%	0%	50%
457	Total Expense						\$ (3,000)				\$ (1,500)								
458																			
459	Administration on Aging																		
460	010	048	78720000	000	404871	Federal Funds	\$ -												
461	010	048	78720000			Other Funds	\$ -												
462	010	048	78720000			General Funds	\$ 1,700	\$ 1,700											
463	Total Revenue						\$ 1,700												
464																			
465	010	048	78720000	039	500190	Telecommunications	\$ 1,700				\$ 1,700		\$ -	\$ -	\$ 1,700		0.00%	0.00%	100.00%
466	Total Expense						\$ 1,700				\$ 1,700								
467																			
468	Office of Bureau Chief																		
469	010	048	78730000	000	404820	Federal Funds	\$ (250)												
470	010	048	78730000			Other Funds	\$ -												
471	010	048	78730000			General Funds	\$ (750)	\$ (750)											
472	Total Revenue						\$ (1,000)												
473																			
474	010	048	78730000	039	500190	Telecommunications	\$ (1,000)				\$ (750)		\$ (250)	\$ -	\$ (750)		25%	0%	75%
475	Total Expense						\$ (1,000)				\$ (750)								

1	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	
2	Fund	Agcy	Org	Clas	Rcpt	Class Title	Increase/	Net Gen'l	Net Gen'l											
3					Acc't		Decrease	Fund by	Fund By					Transfer Amount				SOF		
476							Amount	Org. Code	Agency	GF	S/T	FF	OF	GF	FF	OF	GF			
477	Long Term Care Ombudsman																			
478	010	048	89300000	000	404476	Federal Funds	\$ -													
479	010	048	89300000			Other Funds	\$ -													
480	010	048	89300000			General Funds	\$ -	\$ -												
481	Total Revenue						\$ -													
482																				
483	010	048	89300000	020	500200	Current Expenses	\$ (51.00)			\$ -		\$ (51)	\$ -	\$ -			100%	0%	0%	
484	010	048	89300000	022	500255	Rents-Leases Other Than Sta	\$ 51.00			\$ -		\$ 51	\$ -	\$ -			100%	0%	0%	
485	Total Expense						\$ -				\$ -									
486																				
487	Nursing Home Auditors																			
488	010	048	89320000	000	404675	Federal Funds	\$ (2,300)													
489	010	048	89320000			Other Funds	\$ -													
490	010	048	89320000			General Funds	\$ (2,300)	\$ (2,300)												
491	Total Revenue						\$ (4,600)													
492																				
493	010	048	89320000	039	500190	Telecommunications	\$ (4,600)			\$ (2,300)		\$ (2,300)	\$ -	\$ (2,300)			50%	0%	50%	
494	Total Expense						\$ (4,600)				\$ (2,300)									
495																				
496	Field Operations																			
497	010	048	92500000	000	404825	Federal Funds	\$ 1,062													
498	010	048	92500000			Other Funds	\$ -													
499	010	048	92500000			General Funds	\$ 6,018	\$ 6,018												
500	Total Revenue						\$ 7,080													
501																				
502	010	048	92500000	020	500200	Current Expenses	\$ (4,920)			\$ (4,182)		\$ (738)	\$ -	\$ (4,182)			15%	0%	85%	
503	010	048	92500000	039	500190	Telecommunications	\$ 12,000			\$ 10,200		\$ 1,800	\$ -	\$ 10,200			15%	0%	85%	
504	Total Expense						\$ 7,080				\$ 6,018									
505																				
506	Servicelink																			
507	010	048	95650000	000		Federal Funds	\$ -													
508	010	048	95650000			Other Funds	\$ -													
509	010	048	95650000			General Funds	\$ (5,000)	\$ (5,000)												
510	Total Revenue						\$ (5,000)													
511																				
512	010	048	95650000	039	500190	Telecommunications	\$ (5,000)			\$ (5,000)		\$ -	\$ -	\$ (5,000)			0%	0%	100%	
513	Total Expense						\$ (5,000)				\$ (5,000)									
514																				
515	TOTAL BUREAU OF ELDERLY AND ADULT SERVICES									\$ (1,732)	\$ (1,732)	\$ (2,888)	\$ -	\$ (1,732)						
516																				
517	DIVISION OF COMMUNITY BASED CARE SERVICES																			
518																				
519	Director's Office																			
520	010	049	29830000	000	404678	Federal Funds	\$ 750													
521	010	049	29830000			Other Funds	\$ -													
522	010	049	29830000			General Funds	\$ -	\$ -												
523	Total Revenue						\$ 750													
524																				
525	010	049	29830000	041	500801	Audit Fund Set Aside	\$ 750			\$ -		\$ 750	\$ -	\$ -			100%	0%	0%	
526	Total Expense						\$ 750				\$ -									
527																				
528																				
529	TOTAL DIVISION OF COMMUNITY BASED CARE SERVICES									\$ -	\$ -	\$ 750	\$ -	\$ -						
530																				
531	DIVISION OF PUBLIC HEALTH SERVICES																			
532																				
533	ACA MIEC																			
534	010	090	08310000	000	400338	Federal Funds	\$ (700)													
535	010	090	08310000			Other Funds	\$ -													

1	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	
2	Fund	Agcy	Org	Clas	Rcpt	Class Title	Increase/	Net Gen'l	Net Gen'l				Transfer Amount				SOF			
3							Decrease	Fund by	Fund By	GF			FF	OF	GF		FF	OF	GF	
4							Amount	Org. Code	Agency	Amount	S/T									
536	010	090	08310000			General Funds	\$ -	\$ -												
537	Total Revenue						\$ (700)													
538																				
539	010	090	08310000	050	500109	Personal Services Temp	\$ 4,000			\$ -			\$ 4,000	\$ -	\$ -		100%	0%	0%	
540	010	090	08310000	102	500731	Contracts for Program Services	\$ (4,700)			\$ -			\$ (4,700)	\$ -	\$ -		100%	0%	0%	
541	Total Expense						\$ (700)					\$ -								
542																				
543	PRAMS																			
544	010	090	08360000	000	403948	Federal Funds	\$ 23,000													
545	010	090	08360000			Other Funds	\$ -													
546	010	090	08360000			General Funds	\$ -	\$ -												
547	Total Revenue						\$ 23,000													
548																				
549	010	090	08360000	050	500109	Personal Services Temp Appt	\$ 23,000			\$ -			\$ 23,000	\$ -	\$ -		100%	0%	0%	
550	Total Expense						\$ 23,000					\$ -								
551																				
552	OFFICE OF THE DIRECTOR																			
553	010	090	51100000	000	404594	Federal Funds	\$ 1,250													
554	010	090	51100000			Other Funds	\$ -													
555	010	090	51100000			General Funds	\$ 1,250	\$ 1,250												
556	Total Revenue						\$ 2,500													
557																				
558	010	090	51100000	020	500200	Current Expense	\$ (450)			\$ (225)			\$ (225)	\$ -	\$ (225)		50%	0%	50%	
559	010	090	51100000	022	500255	Rents - Leases othr than state	\$ 450			\$ 225			\$ 225	\$ -	\$ 225		50%	0%	50%	
560	010	090	51100000	030	500311	Equipment	\$ 2,500			\$ 1,250			\$ 1,250	\$ -	\$ 1,250		50%	0%	50%	
561	Total Expense						\$ 2,500					\$ 1,250								
562																				
563	Health Svcs Planning - Review																			
564	010	090	51150000			Federal Funds	\$ -													
565	010	090	51150000	009	407324	Other Funds	\$ (55,156)													
566	010	090	51150000			General Funds	\$ -	\$ -												
567	Total Revenue						\$ (55,156)													
568																				
569	010	090	51150000	102	500731	Contracts for Program Services	\$ (55,156)			\$ -			\$ -	\$ (55,156)	\$ -		0%	100%	0%	
570	Total Expense						\$ (55,156)					\$ -								
571																				
572	FOOD PROTECTION																			
573	010	090	53900000	000		Federal Funds	\$ -													
574	010	090	53900000	007	7695	Other Funds	\$ 108													
575	010	090	53900000			General Funds	\$ -	\$ -												
576	Total Revenue						\$ 108													
577																				
578	010	090	53900000	018	500106	Overtime	\$ 108			\$ -			\$ -	\$ 108	\$ -		0%	100%	0%	
579	Total Expense						\$ 108					\$ -								
580																				
581	CHRONIC DISEASE - ASTHMA																			
582	010	090	56670000	000	404125	Federal Funds	\$ 40													
583	010	090	56670000			Other Funds	\$ -													
584	010	090	56670000			General Funds	\$ -	\$ -												
585	Total Revenue						\$ 40													
586																				
587	010	090	56670000	018	500106	Overtime	\$ 40			\$ -			\$ 40	\$ -	\$ -		100%	0%	0%	
588	Total Expense						\$ 40					\$ -								
589																				
590	COMBINED CHRONIC DISEASE																			
591	010	090	12270000	000	400146	Federal Funds	\$ -													
592	010	090	12270000			Other Funds	\$ -													
593	010	090	12270000			General Funds	\$ -	\$ -												
594	Total Revenue						\$ -													

A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	
1	Fund	Agcy	Org	Clas	Rcpt	Class Title	Increase/ Decrease Amount	Net Gen'l Fund by Org. Code	Net Gen'l Fund By Agency	GF Amount	S/T	FF	OF	GF	FF	OF	GF	FF	
2					Acc't							Transfer Amount			SOF				
3																			
595																			
596	010	090	12270000	020	500200	Current Expense	\$ (1,000)			\$ -		\$ (1,000)	\$ -	\$ -	100%	0%	0%		
597	010	090	12270000	039	500188	Telecommunications	\$ 1,000			\$ -		\$ 1,000	\$ -	\$ -	100%	0%	0%		
598	Total Expense						\$ -				\$ -								
599																			
600	NH ELC																		
601	010	090	18350000	000	400146	Federal Funds	\$ 4,950												
602	010	090	18350000			Other Funds	\$ -												
603	010	090	18350000			General Funds	\$ -	\$ -											
604	Total Revenue						\$ 4,950												
605																			
606	010	090	18350000	018	500106	Overtime	\$ 600			\$ -		\$ 600	\$ -	\$ -	100%	0%	0%		
607	010	090	18350000	050	500109	Personal Service - Temp	\$ 4,350			\$ -		\$ 4,350	\$ -	\$ -	100%	0%	0%		
608	010	090	18350000	066	500543	Employee Training	\$ 2,500			\$ -		\$ 2,500	\$ -	\$ -	100%	0%	0%		
609	010	090	18350000	070	500704	In-State Travel	\$ 700			\$ -		\$ 700	\$ -	\$ -	100%	0%	0%		
610	010	090	18350000	102	500731	Contracts for Program Services	\$ (3,200)			\$ -		\$ (3,200)	\$ -	\$ -	100%	0%	0%		
611	Total Expense						\$ 4,950				\$ -								
612																			
613	RYAN WHITE TITLE II																		
614	010	090	22220000	000	406825	Federal Funds	\$ -												
615	010	090	22220000			Other Funds	\$ -												
616	010	090	22220000			General Funds	\$ -												
617	Total Revenue						\$ -												
618																			
619	010	090	22220000	020	500200	Current Expense	\$ 2,000			\$ -		\$ 2,000	\$ -	\$ -	100%	0%	0%		
620	010	090	22220000	102	500731	Contracts for Program Services	\$ (2,000)			\$ -		\$ (2,000)	\$ -	\$ -	100%	0%	0%		
621	Total Expense						\$ -				\$ -								
622																			
623	HOSPITAL PREPAREDNESS																		
624	010	090	22390000	000	406842	Federal Funds	\$ -												
625	010	090	22390000			Other Funds	\$ -												
626	010	090	22390000			General Funds	\$ -												
627	Total Revenue						\$ -												
628																			
629	010	090	22390000	020	500200	Current Expense	\$ (14,700)			\$ -		\$ (14,700)	\$ -	\$ -	100%	0%	0%		
630	010	090	22390000	102	500731	Contracts for Program Services	\$ 14,700			\$ -		\$ 14,700	\$ -	\$ -	100%	0%	0%		
631	Total Expense						\$ -				\$ -								
632																			
633	EMERGENCY RESPONSE RADIOCHEM																		
634	010	090	30670000	000		Federal Funds	\$ -												
635	010	090	30670000	001	406536	Other Funds	\$ -												
636	010	090	30670000			General Funds	\$ -												
637	Total Revenue						\$ -												
638																			
639	010	090	30670000	020	500200	Current expense	\$ (5,000)			\$ -		\$ -	\$ (5,000)	\$ -	0%	100%	0%		
640	010	090	30670000	024	500225	Maint Other Than Bldg-Grn	\$ 5,000			\$ -		\$ -	\$ 5,000	\$ -	0%	100%	0%		
641	Total Expense						\$ -				\$ -								
642																			
643	HEALTH STATS																		
644	010	090	51500000	000	403801	Federal Funds	\$ -												
645	010	090	51500000			Other Funds	\$ -												
646	010	090	51500000			General Funds	\$ (77,500)	\$ (77,500)											
647	Total Revenue						\$ (77,500)												
648																			
649	010	090	51500000	020	500200	Current Exp	\$ 2,500			\$ 2,500		\$ -	\$ -	\$ 2,500	0%	0%	100%		
650	010	090	51500000	246	500792	GranteeAdministration cost	\$ (80,000)			\$ (80,000)		\$ -	\$ -	\$ (80,000)	0%	0%	100%		
651	Total Expense						\$ (77,500)				\$ (77,500)								
652																			
653																			

A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	
1	Fund	Agcy	Org	Cla	Rcpt	Class Title	Increase/ Decrease Amount	Net Gen'l Fund by Org. Code	Net Gen'l Fund By Agency	GF Amount	S/T	FF	OF	GF		FF	OF	GF	
2					Acc't							Transfer Amount					SO ^F		
3																			
654	DISEASE CONTROL																		
655	010	090	51700000	000	404533	Federal Funds	\$ -												
656	010	090	51700000			Other Funds	\$ -												
657	010	090	51700000			General Funds	\$ -	\$ -											
658	Total Revenue						\$ -												
659																			
660	010	090	51700000	066	500543	Employee Training	\$ 2,000			\$ -		\$ 2,000	\$ -	\$ -		100%	0%	0%	
661	010	090	51700000	102	500731	Contracts for Program Services	\$ (2,000)			\$ -		\$ (2,000)	\$ -	\$ -		100%	0%	0%	
662	Total Expense						\$ -				\$ -								
663																			
664	EPH TRACKING																		
665	010	090	51730000	000	404369	Federal Funds	\$ -												
666	010	090	51730000			Other Funds	\$ -												
667	010	090	51730000			General Funds	\$ -												
668	Total Revenue						\$ -												
669																			
670	010	090	51730000	030	500301	Equipment	\$ 1,830			\$ -		\$ 1,830	\$ -	\$ -		100%	0%	0%	
671	010	090	51730000	066	500543	Employee Training	\$ 9,460			\$ -		\$ 9,460	\$ -	\$ -		100%	0%	0%	
672	010	090	51730000	102	500731	Contracts for Program Services	\$ (11,290)			\$ -		\$ (11,290)	\$ -	\$ -		100%	0%	0%	
673	Total Expense						\$ -				\$ -								
674																			
675	IMMUNIZATION																		
676	010	090	51780000	000	404706	Federal Funds	\$ 2,500												
677	010	090	51780000			Other Funds	\$ -												
678	010	090	51780000			General Funds	\$ -												
679	Total Revenue						\$ 2,500												
680																			
681	010	090	51780000	018	500106	Overtime	\$ 2,500			\$ -		\$ 2,500	\$ -	\$ -		100%	0%	0%	
682	010	090	51780000	020	500200	Current Expenses	\$ 18,600			\$ -		\$ 18,600	\$ -	\$ -		100%	0%	0%	
683	010	090	51780000	026	500251	Organizational Dues	\$ 1,000			\$ -		\$ 1,000	\$ -	\$ -		100%	0%	0%	
684	010	090	51780000	039	500188	Telecommunications	\$ 2,400			\$ -		\$ 2,400	\$ -	\$ -		100%	0%	0%	
685	010	090	51780000	066	500543	Employee Training	\$ 2,000			\$ -		\$ 2,000	\$ -	\$ -		100%	0%	0%	
686	010	090	51780000	519	500360	Behavioral Risk Factor	\$ (9,000)			\$ -		\$ (9,000)	\$ -	\$ -		100%	0%	0%	
687	010	090	51780000	548	500396	Reagents	\$ (15,000)			\$ -		\$ (15,000)	\$ -	\$ -		100%	0%	0%	
688	Total Expense						\$ 2,500				\$ -								
689																			
690	HIV/AIDS PREVENTION																		
691	010	090	51890000	000	404183	Federal Funds	\$ -												
692	010	090	51890000			Other Funds	\$ -												
693	010	090	51890000			General Funds	\$ -	\$ -											
694	Total Revenue						\$ -												
695																			
696	010	090	51890000	020	500200	Current Expenses	\$ 11,000			\$ -		\$ 11,000	\$ -	\$ -		100%	0%	0%	
697	010	090	51890000	022	500255	Rents Other	\$ (2,000)			\$ -		\$ (2,000)	\$ -	\$ -		100%	0%	0%	
698	010	090	51890000	102	500731	Contracts for Program Services	\$ (4,500)			\$ -		\$ (4,500)	\$ -	\$ -		100%	0%	0%	
699	010	090	51890000	548	500396	Reagents	\$ (4,500)			\$ -		\$ (4,500)	\$ -	\$ -		100%	0%	0%	
700	Total Expense						\$ -				\$ -								
701																			
702	WIC SUPPLEMENTAL NUTRITION PROGRAM																		
703	010	090	52600000	000	404852	Federal Funds	\$ 2,388												
704	010	090	52600000			Other Funds	\$ -												
705	010	090	52600000			General Funds	\$ -	\$ -											
706	Total Revenue						\$ 2,388												
707																			
708	010	090	52600000	018	500106	Overtime	\$ 2,388			\$ -		\$ 2,388	\$ -	\$ -		100%	0%	0%	
709	010	090	52600000	020	500200	Current Expense	\$ (175)			\$ -		\$ (175)	\$ -	\$ -		100%	0%	0%	
710	010	090	52600000	026	500251	Organizational Dues	\$ 175			\$ -		\$ 175	\$ -	\$ -		100%	0%	0%	
711	Total Expense						\$ 2,388				\$ -								
712																			
713	PUBLIC HEALTH LABORATORIES																		

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	
1	Fund	Agcy	Org	Cla	Rcpt	Class Title	Increase/	Net Gen'l	Net Gen'l											
2					Acct		Decrease	Fund by	Fund By					Transfer Amount					SOF	
3							Amount	Org. Code	Agency	GF	S/T	FF	OF	GF	FF	OF	GF			
714	010	090	79660000	000	404972	Federal Funds	\$ -													
715	010	090	79660000			Other Funds	\$ -													
716						General Funds	\$ -	\$ -												
717	Total Revenue						\$ -													
718																				
719	010	090	79660000	020	500200	Current Expenses	\$ (16,950)			\$ -		\$ (16,950)	\$ -	\$ -			100%	0%	0%	
720	010	090	79660000	039	500188	Telecommunications	\$ 15,950			\$ -		\$ 15,950	\$ -	\$ -			100%	0%	0%	
721	010	090	79660000	070	500704	In-State Travel	\$ 1,000			\$ -		\$ 1,000	\$ -	\$ -			100%	0%	0%	
722	Total Expense						\$ -				\$ -									
723																				
724	ACA COORDINATED CHRONIC DISEASE																			
725	010	090	79670000	000	404125	Federal Funds	\$ 21,000													
726	010	090	79670000			Other Funds	\$ -													
727	010	090	79670000			General Funds	\$ -	\$ -												
728	Total Revenue						\$ 21,000													
729																				
730	010	090	79670000	050	500109	Personal Services Temp Appt	\$ 21,000			\$ -		\$ 21,000	\$ -	\$ -			100%	0%	0%	
731	Total Expense						\$ 21,000				\$ -									
732																				
733	FDA FERN MICRO																			
734	010	090	53500000	000	403724	Federal Funds	\$ -													
735	010	090	53500000			Other Funds	\$ -													
736	010	090	53500000			General Funds	\$ -	\$ -												
737	Total Revenue						\$ -													
738																				
739	010	090	53500000	018	500106	Overtime	\$ 500			\$ -		\$ 500	\$ -	\$ -			100%	0%	0%	
740	010	090	53500000	024	500225	Main Othr Build & Grn	\$ 5,000			\$ -		\$ 5,000	\$ -	\$ -			100%	0%	0%	
741	010	090	53500000	548	500396	Reagents	\$ (5,500)			\$ -		\$ (5,500)	\$ -	\$ -			100%	0%	0%	
742	Total Expense						\$ -				\$ -									
743																				
744	LEAD PREVENTION																			
745	010	090	79640000	000	403948	Federal Funds	\$ -													
746	010	090	79640000			Other Funds	\$ -													
747	010	090	79640000			General Funds	\$ -													
748	Total Revenue						\$ -													
749																				
750	010	090	79640000	020	500200	Current Expense	\$ 5,085			\$ -		\$ 5,085	\$ -	\$ -			100%	0%	0%	
751	010	090	79640000	030	500301	Equipment	\$ 1,500			\$ -		\$ 1,500	\$ -	\$ -			100%	0%	0%	
752	010	090	79640000	102	500731	Contracts for Program Services	\$ (6,585)			\$ -		\$ (6,585)	\$ -	\$ -			100%	0%	0%	
753	Total Expense						\$ -				\$ -									
754																				
755	TOBACCO PREVENTION FEDERAL																			
756	010	090	56080000	000	403754	Federal Funds	\$ -													
757	010	090	56080000			Other Funds	\$ -													
758	010	090	56080000			General Funds	\$ -	\$ -												
759	Total Revenue						\$ -													
760																				
761	010	090	56080000	072	509073	Grants Federal	\$ (25,839)			\$ -		\$ (25,839)	\$ -	\$ -			100%	0%	0%	
762	010	090	56080000	102	500731	Contracts for Program Services	\$ 25,839			\$ -		\$ 25,839	\$ -	\$ -			100%	0%	0%	
763	Total Expense						\$ -				\$ -									
764																				
765	COMPREHENSIVE CANCER																			
766	010	090	56590000	000	404545	Federal Funds	\$ -													
767	010	090	56590000	005	402739	Other Funds	\$ -													
768	010	090	56590000			General Funds	\$ -	\$ -												
769	Total Revenue						\$ -													
770																				
771	010	090	56590000	020	500200	Current Expense	\$ (4,000)			\$ -		\$ (4,000)	\$ -	\$ -			100%	0%	0%	
772	010	090	56590000	022	500255	Rental/Lease - Office Equipmt	\$ 1,000			\$ -		\$ 1,000	\$ -	\$ -			100%	0%	0%	

A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S
1	Fund	Agcy	Org	Cla	Rcpt	Class Title	Increase/ Decrease Amount	Net Gen'l Fund by Org. Code	Net Gen'l Fund By Agency	GF Amount	S/T	FF	OF	GF	FF	OF	GF	
2					Acc't							Transfer Amount			SCF			
3																		
773	010	090	56590000	102	500731	Contracts for Program Services	\$ 3,000			\$ -		\$ 3,000	\$ -	\$ -	100%	0%	0%	
774	Total Expense						\$ -				\$ -							
775																		
776	STRENGTHENING PH INFRASTRUCTURE																	
777	010	090	59970000	000	406926	Federal Funds	\$ -											
778	010	090	59970000			Other Funds	\$ -											
779	010	090	59970000			General Funds	\$ -											
780	Total Revenue						\$ -											
781																		
782	010	090	59970000	020	500200	Current Expense	\$ (750)			\$ -		\$ (750)	\$ -	\$ -	100%	0%	0%	
783	010	090	59970000	039	500188	Telecommunications	\$ 750			\$ -		\$ 750	\$ -	\$ -	100%	0%	0%	
784	Total Expense						\$ -				\$ -							
785																		
786	RURAL HEALTH PRIMARY CARE																	
787	010	090	79650000	000	404535	Federal Funds	\$ -											
788	010	090	79650000			Other Funds	\$ -											
789	010	090	79650000			General Funds	\$ -											
790	Total Revenue						\$ -											
791																		
792	010	090	79650000	020	500200	Current Expense	\$ 3,000			\$ -		\$ 3,000	\$ -	\$ -	100%	0%	0%	
793	010	090	79650000	102	500731	Contracts for Program Serv	\$ (3,000)			\$ -		\$ (3,000)	\$ -	\$ -	100%	0%	0%	
794	Total Expense						\$ -				\$ -							
795																		
796	TOTAL DIVISION OF PUBLIC HEALTH SERVICES								\$ (76,250)		\$ (76,250)	\$ 54,428	\$ (55,048)	\$ (76,250)				
797																		
798	GLENCLIFF HOME																	
799																		
800	Professional Care																	
801	010	091	57100000	000		Federal Funds	-											
802	010	091	57100000			Other Funds	-											
803	010	091	57100000			General Funds	(102,611)	\$ (102,611)										
804	Total Revenue						(102,611)											
805																		
806	010	091	57100000	017	500147	FT Employee-Special Pmt	\$ (70,611)			\$ (70,611)		\$ -	\$ -	\$ (70,611)	0%	0%	100%	
807	010	091	57100000	018	500106	Overtime	\$ 125,000			\$ 125,000		\$ -	\$ -	\$ 125,000	0%	0%	100%	
808	010	091	57100000	046	500464	Consultant	\$ (15,000)			\$ (15,000)		\$ -	\$ -	\$ (15,000)	0%	0%	100%	
809	010	091	57100000	050	500109	Personal Services-Temp	\$ (45,000)			\$ (45,000)		\$ -	\$ -	\$ (45,000)	0%	0%	100%	
810	010	091	57100000	066	500543	Inservice Training	\$ 3,000			\$ 3,000		\$ -	\$ -	\$ 3,000	0%	0%	100%	
811	010	091	57100000	101	500729	Medical Payments to Provider	(100,000)			\$ (100,000)		\$ -	\$ -	\$ (100,000)	0%	0%	100%	
812	Total Expense						(102,611)				\$ (102,611)							
813																		
814	Custodial																	
815	010	091	57200000	000		Federal Funds	-											
816	010	091	57200000			Other Funds	-											
817	010	091	57200000			General Funds	(10,016)	\$ (10,016)										
818	Total Revenue						(10,016)											
819																		
820	010	091	57200000	017	500147	FT Employee-Special Pmt	9,984			\$ 9,984		\$ -	\$ -	\$ 9,984	0%	0%	100%	
821	010	091	57200000	020	500200	Current Expense	(20,000)			\$ (20,000)		\$ -	\$ -	\$ (20,000)	0%	0%	100%	
822	Total Expense						(10,016)				\$ (10,016)							
823																		
824	Administration																	
825	010	091	57400000	000		Federal Funds	-											
826	010	091	57400000			Other Funds	-											
827	010	091	57400000			General Funds	12,000	\$ 12,000										
828	Total Revenue						12,000											
829																		
830	010	091	57400000	020	500200	Current Expenses	10,000			\$ 10,000		\$ -	\$ -	\$ 10,000	0%	0%	100%	
831	010		74000000	070	500704	In-State Travel	2,000			\$ 2,000		\$ -	\$ -	\$ 2,000	0%	0%	100%	
832	Total Expense						12,000				\$ 12,000							

A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S
1	Fund	Agcy	Org	Clas	Rcpt	Class Title	Increase/Decrease Amount	Net Gen'l Fund by Org. Code	Net Gen'l Fund By Agency	GF Amount	S/T	FF	OF	GF	FF	OF	GF	SOF
2					Acct							Transfer Amount						
3																		
833																		
834	Maintenance																	
835	010	091	78920000	000		Federal Funds	-											
836	010	091	78920000			Other Funds												
837	010	091	78920000			General Funds	109,756	\$ 109,756										
838	Total Revenue						109,756											
839																		
840	010	091	78920000	017	500147	FT Employee-Special Pmt	(3,744)			\$ (3,744)		\$ -	\$ -	\$ (3,744)	0%	0%	100%	
841	010	091	78920000	018	500106	Overtime	7,500			\$ 7,500		\$ -	\$ -	\$ 7,500	0%	0%	100%	
842	010	091	78920000	019	500105	Holiday	1,000			\$ 1,000		\$ -	\$ -	\$ 1,000	0%	0%	100%	
843	010	091	78920000	020	500200	Current Expenses	20,000			\$ 20,000		\$ -	\$ -	\$ 20,000	0%	0%	100%	
844	010	091	78920000	030	500306	Equipment	40,000			\$ 40,000		\$ -	\$ -	\$ 40,000	0%	0%	100%	
845	010	091	78920000	048	500226	Contractual Maint Bldg & Grns	51,000			\$ 51,000		\$ -	\$ -	\$ 51,000	0%	0%	100%	
846	010	091	78920000	050	500109	Personal Services-Temp	(6,000)			\$ (6,000)		\$ -	\$ -	\$ (6,000)	0%	0%	100%	
847	Total Expense						109,756				\$ 109,756							
848																		
849	TOTAL GLENCLIFF HOME								\$ 9,129		\$ 9,129	\$ -	\$ -	\$ 9,129				
850																		
851	BUREAU OF BEHAVIORAL HEALTH																	
852																		
853	Financial Mgt/Audits																	
854	010	092	70010000	000	404560	Federal Funds	\$ 726											
855	010	092	70010000			Other Funds	\$ -											
856	010	092	70010000			General Funds	\$ 1,694	\$ 1,694										
857	Total Revenue						\$ 2,420											
858																		
859	010	092	70010000	030	500321	Equipment	\$ 2,420			\$ 1,694		\$ 726	\$ -	\$ 1,694	30%	0%	70%	
860	Total Expense						\$ 2,420				\$ 1,694							
861																		
862	CMH Program Support																	
863	010	092	59450000	000	408147	Federal Funds	\$ -											
864	010	092	59450000			Other Funds	\$ -											
865	010	092	59450000			General Funds	\$ 825,000	\$ 825,000										
866	Total Revenue						\$ 825,000											
867																		
868	010	092	59450000	102	500731	Contracts for Program Services	\$ 825,000			\$ 825,000		\$ -	\$ -	\$ 825,000	0%	0%	100%	
869	Total Expense						\$ 825,000				\$ 825,000							
870																		
871	Mental Health Block Grant																	
872	010	092	71430000	000	404551	Federal Funds	\$ -											
873	010	092	71430000			Other Funds	\$ -											
874	010	092	71430000			General Funds	\$ -	\$ -										
875	Total Revenue						\$ -											
876																		
877	010	092	71430000	030	500310	Equipment	\$ 3,000			\$ -		\$ -	\$ -	\$ -	100%	0%	0%	
878	010	092	71430000	102	500731	Contracts for Prog Services	\$ (3,000)			\$ -		\$ -	\$ -	\$ -	100%	0%	0%	
879	Total Expense						\$ -											
880																		
881	Medicaid Payments																	
882	010	092	71550000	000	404663	Federal Funds	\$ 500,500											
883	010	092	71550000			Other Funds	\$ -											
884	010	092	71550000			General Funds	\$ -	\$ -										
885	Total Revenue						\$ 500,500											
886																		
887	010	092	71550000	041	500801	Audit Fund Set Aside	\$ 500			\$ -		\$ 500	\$ -	\$ -	100%	0%	0%	
888	010	092	71550000	510	500898	Medicaid to Institutions	\$ 500,000			\$ -		\$ 500,000	\$ -	\$ -	100%	0%	0%	
889	Total Expense						\$ 500,500											
890																		
891	Office of Director																	
892	010	092	78770000	000	406762	Federal Funds	\$ -											

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	
1	Fund	Agcy	Org	Cl	Rcpt	Class Title	Increase/	Net Gen'l	Net Gen'l											
2					Acc't		Decrease	Fund by	Fund By					Transfer Amount					SOF	
3							Amount	Org. Code	Agency	GF	S/T	FF	OF	GF	FF	OF	GF			
893	010	092	78770000			Other Funds	\$ -													
894	010	092	78770000			General Funds	\$ -	\$ -												
895	Total Revenue						\$ -													
896																				
897	010	092	78770000	020	500200	Current Expenses	\$ (150)			\$ (123)		\$ (27)	\$ -	\$ (123)	18%	0%	82%			
898	010	092	78770000	022	500255	Rents-Leases other than State	\$ 150			\$ 123		\$ 27	\$ -	\$ 123	18%	0%	82%			
899	Total Expense						\$ -				\$ -									
900																				
901	TOTAL BUREAU OF BEHAVIORAL HEALTH									\$ 826,694		\$ 826,694	\$ 501,226	\$ -	\$ 826,694					
902																				
903	BUREAU OF DEVELOPMENTAL SERVICES																			
904																				
905	Special Medical Services																			
906	010	093	51910000	000	404599	Federal Funds	\$ -													
907	010	093	51910000			Other Funds	\$ -													
908	010	093	51910000			General Funds	\$ -	\$ -												
909	Total Revenue						\$ -													
910																				
911	010	093	51910000	021	502668	Food institutions	\$ (288)			\$ (202)		\$ (86)	\$ -	\$ (202)	30%	0%	70%			
912	010	093	51910000	039	500191	Telecommunications	\$ 1,000			\$ 700		\$ 300	\$ -	\$ 700	30%	0%	70%			
913	010	093	51910000	070	500704	In-State Travel	\$ 3,000			\$ 2,100		\$ 900	\$ -	\$ 2,100	30%	0%	70%			
914	010	093	51910000	102	500731	Contracts for Program Svcs	\$ (3,712)			\$ (2,598)		\$ (1,114)	\$ -	\$ (2,598)	30%	0%	70%			
915	Total Expense						\$ -				\$ -									
916																				
917	Program Support																			
918	010	093	59470000	000	408148	Federal Funds	\$ -													
919	010	093	59470000			Other Funds	\$ -													
920	010	093	59470000			General Funds	\$ 72,000	\$ 72,000												
921	Total Revenue						\$ 72,000													
922																				
923	010	093	59470000	018	500106	Overtime	\$ 5,000			\$ 3,200		\$ 1,800	\$ -	\$ 3,200	36%	0%	64%			
924	010	093	59470000	050	500109	Personal Service Temp	\$ (5,000)			\$ (3,200)		\$ (1,800)	\$ -	\$ (3,200)	36%	0%	64%			
925	010	093	59470000	102	500731	Contracts for Program Svcs	\$ 72,000			\$ 72,000		\$ -	\$ -	\$ 72,000	0%	0%	100%			
926	Total Expense						\$ 72,000				\$ 72,000									
927																				
928	Family Support Services																			
929	010	093	70130000	000		Federal Funds	\$ -													
930	010	093	70130000			Other Funds	\$ -													
931	010	093	70130000			General Funds	\$ (30,618)	\$ (30,618)												
932	Total Revenue						\$ (30,618)													
933																				
934	010	093	70130000	102	500731	Contracts for Program Svcs	\$ (30,618)			\$ (30,618)		\$ -	\$ -	\$ (30,618)	0%	0%	100%			
935	Total Expense						\$ (30,618)				\$ (30,618)									
936																				
937	Early Intervention																			
938	010	093	70140000	000	406738	Federal Funds	\$ -													
939	010	093	70140000			Other Funds	\$ -													
940	010	093	70140000			General Funds	\$ (41,382)	\$ (41,382)												
941	Total Revenue						\$ (41,382)													
942																				
943	010	093	70140000	102	500731	Contracts for Program Svcs	\$ (41,382)			\$ (41,382)		\$ -	\$ -	\$ (41,382)	0%	0%	100%			
944	Total Expense						\$ (41,382)				\$ (41,382)									
945																				
946	NH Designated Rec Facility																			
947	010	093	71640000	000		Federal Funds	\$ -													
948	010	093	71640000			Other Funds	\$ -													
949	010	093	71640000			General Funds	\$ -	\$ -												
950	Total Revenue						\$ -													
951																				

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	
1	Fund	Agcy	Org	Cla	Rcpt	Class Title	Increase/	Net Gen'l	Net Gen'l											
2					Acc't		Decrease	Fund by	Fund By	GF			Transfer Amount					SOF		
3							Amount	Org. Code	Agency	Amount	S/T	FF	OF	GF	FF	OF	GF			
952	010	093	71640000	022	500255	Rents-Leases other than State	\$ 90			\$ 90		\$	-	\$	90	0%	0%	100%		
953	010	093	71640000	024	500228	Maint Other Than Bldg/Grds	\$ (2,090)			\$ (2,090)		\$	-	\$	(2,090)	0%	0%	100%		
954	010	093	71640000	039	500191	Telecommunications	\$ 1,000			\$ 1,000		\$	-	\$	1,000	0%	0%	100%		
955	010	093	71640000	070	500704	In-State Travel	\$ 3,000			\$ 3,000		\$	-	\$	3,000	0%	0%	100%		
956	010	093	71640000	501	500425	Payments to Clients	\$ 1,000			\$ 1,000		\$	-	\$	1,000	0%	0%	100%		
957	010	093	71640000	550	500398	Assessment & Counseling	\$ (3,000)			\$ (3,000)		\$	-	\$	(3,000)	0%	0%	100%		
958	Total Expense						\$ -				\$ -									
959																				
960	TOTAL BUREAU OF DEVELOPMENTAL SERVICES									\$ -		\$ -	\$ -	\$ -						
961																				
962	OFFICE OF COMMISSIONER																			
963																				
964	Office of Commissioner																			
965	010	095	50000000	000	403900	Federal Funds	\$ (18,350)													
966	010	095	50000000			Other Funds	\$ -													
967	010	095	50000000			General Funds	\$ (31,650)	(31,650)												
968	Total Revenue						(50,000)													
969																				
970	010	095	50000000	020	500200	Current Expense	(25,000)			\$ (15,825)		\$ (9,175)	\$ -	\$ (15,825)	37%	0%	63%			
971	010	095	50000000	039	500188	Telecommunications	(25,000)			\$ (15,825)		\$ (9,175)	\$ -	\$ (15,825)	37%	0%	63%			
972	Total Expense						(50,000)				(31,650)									
973																				
974	Employee Assistance Program																			
975	010	095	50250000	000	403900	Federal Funds	\$ -													
976	010	095	50250000			Other Funds	\$ -													
977	010	095	50250000			General Funds	\$ -													
978	Total Revenue						-													
979																				
980	010	095	50250000	018	500106	Overtime	350			\$ 328		\$ 22	\$ -	\$ 328	6%	0%	94%			
981	010	095	50250000	066	500544	Training	(350)			\$ (328)		\$ (22)	\$ -	\$ (328)	6%	0%	94%			
982	Total Expense						-													
983																				
984	Office of Business Operations																			
985	010	095	56760000	000	403970	Federal Funds	\$ 49,472													
986	010	095	56760000			Other Funds	\$ -													
987	010	095	56760000			General Funds	\$ 79,028	79,028												
988	Total Revenue						128,500													
989																				
990	010	095	56760000	018	500106	Overtime	30,000			\$ 18,450		\$ 11,550	\$ -	\$ 18,450	39%	0%	62%			
991	010	095	56760000	020	500200	Current Expense	95,000			\$ 58,425		\$ 36,575	\$ -	\$ 58,425	39%	0%	62%			
992	010	095	56760000	050	500109	Personnel - Temporary	2,000			\$ 1,230		\$ 770	\$ -	\$ 1,230	39%	0%	62%			
993	010	095	56760000	070	500704	In-State Travel	1,500			\$ 923		\$ 577	\$ -	\$ 923	39%	0%	62%			
994	Total Expense						128,500				79,028									
995																				
996	Homeland Security																			
997	010	095	71780000	000	403900	Federal Funds	\$ -													
998	010	095	71780000	009	407079	Other Funds	\$ -													
999	010	095	71780000			General Funds	\$ -													
1000	Total Revenue						-													
1001																				
1002	010	095	71780000	039	500188	Telecommunications	5,000			\$ -		\$ -	\$ 5,000	\$ -	0%	100%	0%			
1003	010	095	71780000	050	500109	Personnel - Temporary	(5,000)			\$ -		\$ -	\$ (5,000)	\$ -	0%	100%	0%			
1004	Total Expense						-													
1005																				
1006	TOTAL OFFICE OF THE COMMISSIONER									\$ 47,378		\$ 47,378	\$ 31,122	\$ -	\$ 47,378					
1007																				
1008	OFFICE OF IMPROVEMENT AND INTEGRITY																			
1009																				
1010	Office of Improvement and Integrity																			
1011	010	095	79350000	000	404460	Federal Funds	\$ 487													

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S
1	Fund	Agcy	Org	Cla	Rept	Class Title	Increase/Decrease	Net Gen'l Fund by	Net Gen'l Fund By	GF	Transfer Amount						SOF		
2					Acc't		Amount	Org. Code	Agency	Amount	S/T	FF	OF	GF	FF	OF	GF		
3																			
1012	010	095	79350000			Other Funds	\$ -												
1013	010	095	79350000			General Funds	\$ 513	\$ 513											
1014	Total Revenue						\$ 1,000												
1015																			
1016	010	095	79350000	019	500105	Holiday Pay	\$ 1,000.00			\$ 513		\$ 487	\$ -	\$ 513	49%	0%	51%		
1017	010	095	79350000	020	500200	Current Expense	\$ 5,000.00			\$ 2,563		\$ 2,437	\$ -	\$ 2,563	49%	0%	51%		
1018	010	095	79350000	022	500222	Lease: Copier	\$ 1,000.00			\$ 513		\$ 487	\$ -	\$ 513	49%	0%	51%		
1019	010	095	79350000	030	500301	Equipment	\$ 1,000.00			\$ 513		\$ 487	\$ -	\$ 513	49%	0%	51%		
1020	010	095	79350000	040	500800	Indirect	\$ (16,000.00)			\$ -		\$ (16,000)	\$ -	\$ -	100%	0%	0%		
1021	010	095	79350000	042	500820	Additional Frindge Benefits	\$ 16,000.00			\$ -		\$ 16,000	\$ -	\$ -	100%	0%	0%		
1022	010	095	79350000	103	500737	Contracts for Ops Services	\$ (7,000.00)			\$ (3,589)		\$ (3,411)	\$ -	\$ (3,589)	49%	0%	51%		
1023	Total Expense						\$ 1,000				\$ 513								
1024																			
1025	TOTAL OFFICE OF IMPROVEMENT AND INTEGRITY									\$ 513	\$ 513	\$ 487	\$ -	\$ 513					
1026																			
1027	OFFICE OF ADMINISTRATION																		
1028																			
1029	Bureau Human Resource																		
1030	010	095	56770000	000	403971	Federal Funds	\$ 4,030												
1031	010	095	56770000			Other Funds	\$ -												
1032	010	095	56770000			General Funds	\$ 11,470	11,470											
1033	Total Revenue						15,500												
1034																			
1035	010	095	56770000	018	500106	Overtime	15,000			\$ 11,100		\$ 3,900	\$ -	\$ 11,100	26%	0%	74%		
1036	010	095	56770000	070	500704	In-State Travel	500			\$ 370		\$ 130	\$ -	\$ 370	26%	0%	74%		
1037	Total Expense						15,500				11,470								
1038																			
1039	Management Support																		
1040	010	095	56850000	000	400716	Federal Funds	\$ (10,000)												
1041	010	095	56850000			Other Funds	\$ -												
1042	010	095	56850000			General Funds	\$ (15,000)	(15,000)											
1043	Total Revenue						(25,000)												
1044																			
1045	010	095	56850000	039	500188	Telecommunications	(25,000)			\$ (15,000)		\$ (10,000)	\$ -	\$ (15,000)	40%	0%	60%		
1046	Total Expense						(25,000)				(15,000)								
1047																			
1048	DHHS District Office																		
1049	010	095	56870000	000	404717	Federal Funds	\$ 8,200												
1050	010	095	56870000			Other Funds	\$ -												
1051	010	095	56870000			General Funds	\$ 12,300	12,300											
1052	Total Revenue						20,500												
1053																			
1054	010	095	56870000	018	500106	Overtime	2,500			\$ 1,500		\$ 1,000	\$ -	\$ 1,500	40%	0%	60%		
1055	010	095	56870000	020	500200	Current Expense	2,000			\$ 1,200		\$ 800	\$ -	\$ 1,200	40%	0%	60%		
1056	010	095	56870000	070	500704	In-State Travel	16,000			\$ 9,600		\$ 6,400	\$ -	\$ 9,600	40%	0%	60%		
1057	Total Expense						20,500				12,300								
1058																			
1059	TOTAL OFFICE OF ADMINISTRATION									\$ 8,770	\$ 8,770	\$ 2,230	\$ -	\$ 8,770					
1060																			
1061	OFFICE OF OPERATION SUPPORT																		
1062																			
1063	CHILD CARE LICENSING																		
1064	010	095	51430000	000	400553	Federal Funds	\$ -												
1065	010	095	51430000			Other Funds	\$ -												
1066	010	095	51430000			General Funds	\$ -	\$ -											
1067	Total Revenue						\$ -												
1068																			
1069	010	095	51430000	020	500200	Current Expenses	\$ 3,000			\$ 1,328		\$ 1,672	\$ -	\$ 1,328	56%	0%	44%		
1070	010	095	51430000	049	500420	Transfers to Other State Agency	\$ 6,000			\$ 2,657		\$ 3,343	\$ -	\$ 2,657	56%	0%	44%		
1071	010	095	51430000	086	500543	Training	\$ 2,000			\$ 885		\$ 1,115	\$ -	\$ 885	56%	0%	44%		

I	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S
2	Fund	Agcy	Org	Clas	Rcpt	Class Title	Increase/	Net Gen'l	Net Gen'l	GF	Transfer Amount			SOF					
3					Acc't		Decrease	Fund by	Fund By	Amount	S/T	FF	OF	GF	FF	OF	GF		
							Amount	Org. Code	Agency	Amount									
1072	010	095	51430000	103	500737	Contracts for Op Services	\$ (11,000)			\$ (4,870)		\$ (6,130)	\$ -	\$ (4,870)	56%	0%	44%		
1073	Total Expense						\$ -					\$ -							
1074	HEALTH FACILITIES ADMINISTRATION																		
1076	010	095	51460000	000	403805	Federal Funds	\$ 4,650												
1077	010	095	51460000	003	407698	Other Funds	\$ 7,171												
1078	010	095	51460000			General Funds	\$ 2,516	\$ 2,516											
1079	Total Expense						\$ 14,337												
1081	010	095	51460000	018	500106	Overtime	\$ 13,510			\$ 2,371		\$ 8,707	\$ 2,432	\$ 2,371	64%	18%	18%		
1082	010	095	51460000	019	500105	Holiday Pay	\$ 827			\$ 145		\$ 533	\$ 149	\$ 145	64%	18%	18%		
1083	010	095	51460000	020	500200	Current Expenses	\$ 1,000			\$ 176		\$ -	\$ 1,148	\$ 176	0%	82%	18%		
1084	010	095	51460000	039	500188	Telecommunications	\$ 3,000			\$ 526		\$ -	\$ 3,442	\$ 526	0%	82%	18%		
1085	010	095	51460000	070	500704	In State Travel	\$ (4,000)			\$ (702)		\$ (4,590)	\$ -	\$ (702)	82%	0%	18%		
1086	Total Expense						\$ 14,337					\$ 2,516							
1087	PROGRAM SUPPORT ADMINISTRATION																		
1089	010	095	56830000	000	404715	Federal Funds	\$ (517)												
1090	010	095	56830000	001	405815	Other Funds	\$ (50)												
1091	010	095	56830000			General Funds	\$ (434)	\$ (434)											
1092	Total Revenue						\$ (1,001)												
1094	010	095	56830000	050	500109	Temporary Personnel	\$ (1,000)			\$ (434)		\$ (517)	\$ (50)	\$ (434)	52%	5%	43%		
1095	Total Expense						\$ (1,000)					\$ (434)							
1097	TOTAL OFFICE OF OPERATION SUPPORT									\$ 2,082	\$ 2,082	\$ 4,133	\$ 7,121	\$ 2,082					
1099	Total DHHS									\$ 0	\$ 0	\$ 0	\$ 421,538	\$ 259,945	\$ 0				

Transfer Summary - General Funds Only												
		DCYF	OMHRA	BHHS	DCSS	DFA	DCS	OMBP	BEAS	DCBCS	DPHS	GHE
10	Personal Services	0	0	0	0	0	0	0	0	0	0	0
012	Full-Time Unclassified	0	0	0	0	0	0	0	0	0	0	0
017	FT Employee-Special Pmt	0	0	0	0	0	0	0	0	0	0	(64,371)
18	Overtime	0	0	0	0	0	0	0	0	0	0	132,500
19	Holiday	0	0	0	0	0	0	0	0	0	0	1,000
02*	Current Expense/Utilities	(71,596)	(120)	1,000	0	0	10,412	15,000	(4,182)	0	2,500	10,000
30	Equipment	14,500	0	0	0	0	0	0	0	0	1,250	40,000
37	Technology-Hardware	0	0	0	0	0	0	0	0	0	0	0
039	Telecommunications	1,905	120	0	0	0	13,015	0	2,450	0	0	0
040	Indirect	0	0	0	0	0	0	0	0	0	0	0
41	Audit Fund Set Aside	0	0	0	0	0	0	0	0	0	0	0
42	Additional Fringe	0	0	0	0	0	0	0	0	0	0	0
45	Personal Serv-Non Ben	0	0	0	0	0	0	0	0	0	0	0
46	Consultants	0	0	0	0	0	0	0	0	0	0	(15,000)
47	Own Forces	0	0	0	0	0	0	0	0	0	0	0
48	Cont Maint	0	0	0	0	0	0	0	0	0	0	51,000
49	Trans Other Agency	1,000	0	0	0	0	0	0	0	0	0	0
05*	Temporary Personnel	4,979	0	0	0	0	0	0	0	0	0	(51,000)
60	Benefits	0	0	0	0	0	0	0	0	0	0	0
061	Unemployment Compensation	0	0	0	0	0	0	0	0	0	0	0
062	Workers Compensation	0	0	0	0	0	0	0	0	0	0	0
066	Employee Training	0	0	0	0	0	0	0	0	0	0	3,000
067	Other Personnel Costs	0	0	0	0	0	0	0	0	0	0	0
068	Remuneration	0	0	0	0	0	0	0	0	0	0	0
70	In State Travel	(4,971)	0	0	0	9,420	26,050	0	0	0	0	2,000
072	Grants Federal	0	0	0	0	0	0	0	0	0	0	0
073	Grants Non-Federal	0	0	0	0	0	0	0	0	0	0	0
87	Home Health	0	0	0	0	0	0	0	0	0	0	0
80	Out of State Travel	0	0	0	0	0	0	0	0	0	0	0
89	Outpatient Hospital-BEAS	0	0	0	0	0	0	0	0	0	0	0
100	Prescription Drug Exp	0	0	0	0	0	0	0	0	0	0	0
101	Medical Payments to Providers	90,000	0	0	0	0	0	(859,000)	0	0	0	(100,000)
102	Contracts for Program Services	(3,401)	0	(5,000)	0	0	0	0	0	0	0	0
103	Contracts for Operational Services	0	0	0	0	0	0	0	0	0	0	0
108	Provider Payments Legal Services	0	0	0	0	0	0	0	0	0	0	0
230	Interpreter Services	0	0	0	0	0	0	0	0	0	0	0
246	GranteeAdministration cost	0	0	0	0	0	0	0	0	0	(80,000)	0
501	Payment to Clients	0	0	0	0	50,000	0	0	0	0	0	0
502	Payment to Providers	0	0	0	0	(108,897)	0	0	0	0	0	0
503	State Phase Down	0	0	0	0	0	0	0	0	0	0	0
504	Nursing Home Payment	0	0	0	0	0	0	0	0	0	0	0
505	Mid Level Care Expenses	0	0	0	0	0	0	0	0	0	0	0
506	Home Nursing Services	0	0	0	0	0	0	0	0	0	0	0

	DCYF	OMHRA	BHHS	DCSS	DFA	DCS	OMBP	BEAS	DCBCS	DPHS	GHE
509 Other Nursing Services	0	0	0	0	0	0	0	0	0	0	0
511 Medicaid to Schools	0	0	0	0	0	0	0	0	0	0	0
512 Transportation of Clients	0	0	0	0	0	0	0	0	0	0	0
518 MMA Supplemental Assistance	0	0	0	0	0	0	0	0	0	0	0
521 Food Rebate	0	0	0	0	0	0	0	0	0	0	0
523 Client Benefit	0	0	0	0	0	0	0	0	0	0	0
525 Cedarcrest	0	0	0	0	0	0	0	0	0	0	0
526 Specialty Hospital- Pediatrics	0	0	0	0	0	0	0	0	0	0	0
529 Home Health Services	0	0	0	0	0	0	0	0	0	0	0
530 Drug Rebates	0	0	0	0	0	0	0	0	0	0	0
533 Foster Care Services	0	0	0	0	0	0	0	0	0	0	0
534 Adoption Services	0	0	0	0	0	0	0	0	0	0	0
535 Out of Home Placements	0	0	0	0	0	0	0	0	0	0	0
537 Education Supplies	0	0	0	0	0	0	0	0	0	0	0
539 Payments to Towns & Cities	0	0	0	0	0	0	0	0	0	0	0
542 Homemaker	0	0	0	0	0	0	0	0	0	0	0
543 Adult In Home Care	0	0	0	0	0	0	0	0	0	0	0
546 Patient Care	0	0	0	0	0	0	0	0	0	0	0
547 Disease Control Emergencies	0	0	0	0	0	0	0	0	0	0	0
548 Reagents	0	0	0	0	0	0	0	0	0	0	0
550 Assessment and Counseling	60,000	0	0	0	0	0	0	0	0	0	0
557 Waiver Services	0	0	0	0	0	0	0	0	0	0	0
558 Waitlist	0	0	0	0	0	0	0	0	0	0	0
559 Catastrophic Aid	0	0	0	0	0	0	0	0	0	0	0
560 Transportation of Clients	0	0	0	0	0	0	0	0	0	0	0
561 Specialty Clinics	0	0	0	0	0	0	0	0	0	0	0
562 CSHCN	0	0	0	0	0	0	0	0	0	0	0
563 Community Based Services	(61,000)	0	0	0	0	0	0	0	0	0	0
565 Outpatient Hospital	0	0	0	0	0	0	0	0	0	0	0
566 Adult Group Daycare	0	0	0	0	0	0	0	0	0	0	0
568 HIV CARE Boston EMA	0	0	0	0	0	0	0	0	0	0	0
GF SOF Mix Change	0	0	0	0	0	0	0	0	0	0	0
Total	31,416	0	(4,000)	0	(49,477)	49,477	(844,000)	(1,732)	0	(76,250)	9,129

Transfer Summary - General Funds Or								
		BBH	BDS	OCOM	Oll	Oadm	Oos	Total
10	Personal Services	0	0	0	0	0	0	0
012	Full-Time Unclassified	0	0	0	0	0	0	0
017	FT Employee-Special Pmt	0	0	0	0	0	0	(64,371)
18	Overtime	0	3,200	18,778	0	12,600	2,371	169,449
19	Holiday	0	0	0	513	0	145	1,658
02*	Current Expense/Utilities	0	(2,202)	42,600	3,076	1,200	1,504	9,192
30	Equipment	1,694	0	0	513	0	0	57,957
37	Technology-Hardware	0	0	0	0	0	0	0
039	Telecommunications	0	1,700	(15,825)	0	(15,000)	526	(11,109)
040	Indirect	0	0	0	0	0	0	0
41	Audit Fund Set Aside	0	0	0	0	0	0	0
42	Additional Fringe	0	0	0	0	0	0	0
45	Personal Serv-Non Ben	0	0	0	0	0	0	0
46	Consultants	0	0	0	0	0	0	(15,000)
47	Own Forces	0	0	0	0	0	0	0
48	Cont Maint	0	0	0	0	0	0	51,000
49	Trans Other Agency	0	0	0	0	0	2,657	3,657
05*	Temporary Personnel	0	(3,200)	1,230	0	0	(434)	(48,425)
60	Benefits	0	0	0	0	0	0	0
061	Unemployment Compensation	0	0	0	0	0	0	0
062	Workers Compensation	0	0	0	0	0	0	0
066	Employee Training	0	0	(328)	0	0	885	3,557
067	Other Personnel Costs	0	0	0	0	0	0	0
068	Remuneration	0	0	0	0	0	0	0
70	In State Travel	0	5,100	923	0	9,970	(702)	47,790
072	Grants Federal	0	0	0	0	0	0	0
073	Grants Non-Federal	0	0	0	0	0	0	0
87	Home Health	0	0	0	0	0	0	0
80	Out of State Travel	0	0	0	0	0	0	0
89	Outpatient Hospital-BEAS	0	0	0	0	0	0	0
100	Prescription Drug Exp	0	0	0	0	0	0	0
101	Medical Payments to Providers	0	0	0	0	0	0	(869,000)
102	Contracts for Program Services	825,000	(2,598)	0	0	0	0	814,001
103	Contracts for Operational Services	0	0	0	(3,589)	0	(4,870)	(8,459)
108	Provider Payments Legal Services	0	0	0	0	0	0	0
230	Interpreter Services	0	0	0	0	0	0	0
246	GranteeAdministration cost	0	0	0	0	0	0	(80,000)
501	Payment to Clients	0	1,000	0	0	0	0	51,000
502	Payment to Providers	0	0	0	0	0	0	(108,897)
503	State Phase Down	0	0	0	0	0	0	0
504	Nursing Home Payment	0	0	0	0	0	0	0
505	Mid Level Care Expenses	0	0	0	0	0	0	0
506	Home Nursing Services	0	0	0	0	0	0	0

	BBH	BDS	OCOM	Oll	Oadm	Oos	Total
509 Other Nursing Services	0	0	0	0	0	0	0
511 Medicaid to Schools	0	0	0	0	0	0	0
512 Transportation of Clients	0	0	0	0	0	0	0
518 MMA Supplemental Assistance	0	0	0	0	0	0	0
521 Food Rebate	0	0	0	0	0	0	0
523 Client Benefit	0	0	0	0	0	0	0
525 Cedarcrest	0	0	0	0	0	0	0
526 Specialty Hospital- Pediatrics	0	0	0	0	0	0	0
529 Home Health Services	0	0	0	0	0	0	0
530 Drug Rebates	0	0	0	0	0	0	0
533 Foster Care Services	0	0	0	0	0	0	0
534 Adoption Services	0	0	0	0	0	0	0
535 Out of Home Placements	0	0	0	0	0	0	0
537 Education Supplies	0	0	0	0	0	0	0
539 Payments to Towns & Cities	0	0	0	0	0	0	0
542 Homemaker	0	0	0	0	0	0	0
543 Adult In Home Care	0	0	0	0	0	0	0
546 Patient Care	0	0	0	0	0	0	0
547 Disease Control Emergencies	0	0	0	0	0	0	0
548 Reagents	0	0	0	0	0	0	0
550 Assessment and Counseling	0	(3,000)	0	0	0	0	57,000
557 Waiver Services	0	0	0	0	0	0	0
558 Waitlist	0	0	0	0	0	0	0
559 Catastrophic Aid	0	0	0	0	0	0	0
560 Transportation of Clients	0	0	0	0	0	0	0
561 Specialty Clinics	0	0	0	0	0	0	0
562 CSHCN	0	0	0	0	0	0	0
563 Community Based Services	0	0	0	0	0	0	(61,000)
565 Outpatient Hospital	0	0	0	0	0	0	0
566 Adult Group Daycare	0	0	0	0	0	0	0
568 HIV CARE Boston EMA	0	0	0	0	0	0	0
GF SOF Mix Change	0	0	0	0	0	0	0
Total	826,694	0	47,378	513	8,770	2,082	0

**DEPARTMENT OF HEALTH AND HUMAN SERVICES
TRANSFER OF FUNDS SFY 2014 – OTHER EXPENDITURES**

DIVISION FOR CHILDREN, YOUTH & FAMILIES (DCYF)

05-95-042-421010-29570000

Child Protection

Funding in this organization code represents the costs associated with the staff of Bureau of Child Protection that provide direct services to abused and neglected children. This transfer will take projected surplus in the Contracts for Program Services line item in this account to help fund projected deficits in other line items in this account. The projected surplus in the Contracts for Program Services line item is due to the negotiation of a lower cost for the contract paid from this organization code. The projected deficit in the Current Expense line item is due to the need to print additional materials over what was anticipated at the time that the budget was established. The projected deficit in the In-State Travel line item is due to a slight increase in the number of miles traveled by staff. Source of funds: 35% Federal Funds (various federal programs through cost allocation) and 65% General Funds for the Overtime and Current Expenses and 100% Federal Funds for Indirect Costs.

05-95-042-421010-29580000

Child and Family Services

Funding in this organization represents costs associated with purchased services for Abuse and Neglect, CHINS and Delinquent clients. These services include board and care, as well as, community-based services as ordered by the courts. The transfers in this appropriation will move funds between line items to cover projected deficits with projected surpluses in other line items. The change in the definition of CHINS modified the expenditures differently than anticipated at the time the budget was established. The source of funds in this account differ line item to line item, based on the clients eligibility and the federal eligibility for the service. Source of funds: 100% General Funds.

05-95-042-421010-29600000

Organizational Learning and Quality Improvement

Funding in this organization code represents the costs associated with the operation of the Organizational Learning and Quality Improvement Bureau, which assesses needs and develops, delivers, and evaluates training for DCYF staff, to assist them in performing work effectively/efficiently and training foster and adoptive parents. Additional functions include Quality Improvement, Strategic Planning, federally mandated Child and Family Services Review and the administrative case practice review processes. This transfer will fund a projected deficit in the Telecommunication line item is due to SFY14 was the first SFY that Telecommunications was a stand alone line item, and the estimated cost of this line item was under budgeted. The

funding will come in part from projected surplus in the In-State Travel line item. The projected surplus in the In-State Travel line item is due to reduced travel compare to what was anticipated at the time that the budget was establish. Source of funds: 40% Federal (primarily Tile IV-E); 60% General.

05-95-042-421010-29610000

Foster Care Health

Funding in this organization represents costs associated with Foster Care Health Care Program where the staff promotes the health and well being of all children in substitute care. This transfer will fund a projected deficit in the Current Expense, Telecommunication and In-State Travel line items in this account. The projected deficit in the Current Expense line item is due to the need to print additional materials over what was anticipated at the time that the budget was established. The projected deficit in the Telecommunication line item is due to SFY14 was the first SFY that Telecommunications was a stand-alone line item, and the estimated cost of this line item was under budgeted. The projected deficit in the In-State Travel line item is due to more travel was required than anticipated at the time that the budget was established. The funds for these transfers will come from other DCYF accounts. Source of funds: 65% Federal (Medicaid); 35% General Funds for these line items.

05-95-042-421010-29700000

Teen Independent Living

Funding in this organization code represents the costs associated with the Teen Independent Living Bureau. Functions include; providing adult living preparation services to all DCYF/DJJS adolescents in out-of-home care who are 16 years of age or older, or 14 years of age and in DCYF's Guardianship. Service areas addressed include education, employment, healthcare and housing. This transfer will fund a projected deficit in the Telecommunications and Payment to Providers line items in this account. The projected deficit in Telecommunication line item is due to SFY14 was the first SFY that Telecommunications was a stand-alone line item, and the estimated cost of this line item was under budgeted. The projected deficit in the Payment to Providers line item is due to more youth are eligible for these services. The additional funds are from carry forward of unspent Federal funds from the last Federal Fiscal Year's grant. Source of Funds: 100% Federal (Chafee Foster Care Independence Program under Title IV-E).

05-95-042-421010-29710000

Adolescent After Care Services

Funding in this organization code represents the costs associated with the Teen Independent Living Bureau's Adolescent After Care Services account. The Adolescent After Care Services account is also known as the Education and Training Voucher program. Service areas addressed include education related services for former youth in the foster care system, such as tuition payments, reference books, lab fees, etc. This transfer will help fund projected deficits in the Payments to Providers line item in this account, with federal carry forward funds from last

Federal Fiscal Year's grant. There is a projected deficit in this line item, because there has been a greater demand for these services than budgeted. Source of Funds: 100% Federal (Education and Training Voucher Grant under Title IV-E).

05-95-042-421010-29720000
Adolescent Purchased Services

Funding in this organization code represents the costs associated with the Teen Independent Living Bureau's Adolescent Purchased Services account. Functions include; providing adult living preparation services to all DCYF/DJJS adolescents in out-of-home care who are 16 years of age or older and have exited our care. Service areas addressed include education, employment, healthcare and housing. This transfer will help fund projected deficits in the Payments to Providers line item in this account, with federal carry forward funds from last Federal Fiscal Year's grant. There is a projected deficit in this line item, because there has been a greater demand for these services than budgeted. Source of Funds: 100% Federal (Chafee Foster Care Independence Program under Title IV-E).

05-95-042-421010-29730000
Promoting Safe & Stable Families

Funding in this organization code represents the costs associated with the operations of the Promoting Safe and Stable Families Grant. Activities include the funding of the family resource centers to assist at risk families, foster family support and retention services, family empowerment programs, and time limited family reunification activities. This transfer adds additional funds into the In-State Travel and Personal Services – Temporary line items to cover projected deficits in these line items. The projected deficit in In-State Travel is due to more travel related expenses have occurred than was anticipated at the time that the budget was established. All child welfare agencies are required to visit every child in an out-of-home placement each month as a requirement to receive federal funding. This transfer will take funds from the Contracts for Program Services line item to cover the projected deficit in the In-State Travel line item and the Personal Services-Temporary line item. Source of Funds: 100% Federal (Promoting Safe and Stable Families Grant, Title IV-B, Subpart II).

05-95-042-421010-29740000
Adoption Services

Funding in this organization code represents the costs associated with the Adoption Incentive program. This transfer will add funding to the Contracts for Program Services line item, because the grant award was higher than anticipated when the budget was established. The transfer will also take some funding from the Current Expense line item and to transfer that amount to the Contracts for Program Services line item. The project surplus in the Current Expense line item is

due to the cost of requested printed materials was cheaper than anticipated. Source of funds: 100% Federal (Adoption Incentive Funds).

05-95-042-421010-29750000
Pass Thru Grants Title I

Funding in this organization code represents the costs associated with the federal funds passed through from the Department of Education to support educational programs for abused, neglected, or delinquent children and youth. This transfer will add additional funding received as carryover funds from the previous State Fiscal Year to the Pass Thru Grants line item. Source of funds: 100% Other Funds (from the Department of Education).

05-95-042-421110-29760000
Child Development Operations

Funding in this organization code represents the costs associated with the staff and operations of the Child Development Unit. This transfer will help fund a projected deficit in the In-State Travel line item in this account, with a projected surplus in another account within the Child Development Bureau. The projected deficit is in the In-State Travel line item due to more travel related expenses have occurred than was anticipated at the time that the budget was established. The funds for this transfer will come from another Child Development account. Source of funds: 100% Federal (CCDF).

05-95-042-421110-29780000
Child Care Development-Quality Assurance

Funding in this organization code represents the costs associated with recruitment and training of child care facilities. The projected deficit in the Transfer to the Other State Agencies line item is because more childcare providers are receiving background checks than in the previous year, which was used to establish the budget. The projected deficit in the In-State Travel line item due to more travel related expenses have occurred than was anticipated at the time that the budget was established. The projected surplus in the Contracts for Program Services line item is due to negotiations of lower contracts. The transfer out of the Contracts for Program Services line item will cover the projected deficits in this and another Child Development account. Source of funds: 100% Federal Funds (CCDF) for the line items mentioned above.

05-95-42-421110-29790000
Head Start Collaboration

Funding in this organization code represents the costs associated with the operations of the Head Start State Collaborative. This transfer will help fund projected deficits in this account with carry forward funding from the previous Federal Fiscal Year's grant. The additional funds in the Audit Set Aside line item are required to cover the additional federal funds this State Fiscal Year.

due to the additional, carry forward, federal funds. The additional funds in the Grants Federal line item is due to the carry forward of funds, and now a high priority program can be implemented this State Fiscal Year. The additional funds for the Out-of-State Travel line item is to cover the attendance to a federally mandated conference. The Source of Funds: 100% Federal Funds (Head Start).

05-95-042-421410-79050000
Juvenile Field Services

Funding in this organization represents costs associated with Juvenile Justice Field Services, including Juvenile Probation & Parole Officers, Juvenile Probation & Parole Supervisors, and support staff located at itinerant and District Offices. Vacancies within this organization have resulted in reduced position-related travel, creating a projected surplus in the In State Travel line item. This surplus will be used to help fund other projected deficits within the Division. Source of funds: 32.04% Federal, 67.96% General.

05-95-042-421410-79060000
OJJDP

Funding in this organization represents costs associated with the Jail Compliance Monitor and Program Specialist positions, as well as programs funded by the Formula, Challenge, and Title V grants from the Office of Juvenile Justice & Delinquency Prevention (OJJDP) for prevention and intervention. This transfer represents carryover from previous Federal fiscal years, which will fund a projected deficit in the Grants Federal line item, which funds grants to community partners, and will increase the Organizational Dues line item, allowing the Facility to acquire membership in the Council of Juvenile Correctional Administrators. Funds are available in Current Expense as adjusted authorized exceeds the amount needed. Source of funds: 100% Federal.

05-95-042-421410-79070000
JAIBG

Funding in this organization represents programs funded by the Juvenile Accountability and Incentive Block Grant from the Office of Juvenile Justice & Delinquency Prevention (OJJDP). This transfer represents carryover from previous Federal fiscal years, which will fund Contracts for Program Services. Source of funds: 100% Federal.

05-95-042-421510-79130000
Material Mgt & Food Prep

Funding in this organization represents costs associated with the custody of minors at the John H. Sununu Youth Services Center including food, clothing, and consumables. As a result of under budgeting lease fees for a multi-function copier, there is a projected deficit in the Rents Leases

Other Than State line item. Second, a large piece of critical kitchen equipment (steamer) has broken and needs to be replaced, resulting in a need for additional Equipment line item funds. These funds will come from a projected surplus in the Maintenance organizational code's Equipment line item. Additionally, as a result of measures implemented to reduce food costs, coupled with the reduction in portions for health purposes, there is a projected surplus in the Food Institutions line item, which will be used to fund other deficits within the organization. There is also a projected deficit in the Personal Services – Temporary line item due to vacant positions. Finally, movement of funds from the Food Institutions line item from General to Other reflects the acceptance of additional Other funds earned that were not originally budgeted. Source of funds: 100% General for all line items except Food Institutions where the source of funds is 81.38% General and 18.62% Other.

010-95-042-79140000
Maintenance

Funding in this organization represents costs associated with the operations and maintenance of the John H. Sununu Youth Services Center campus. As the result of structural deficiencies found during a roofing project for the Boilerhouse Building, there is a projected deficit in the Maint. other than Building-Grounds line item. Funding for this deficit will come from other projected surpluses within the Division. A projected surplus in the In-State Travel Reimbursement line item has also been identified as the result of decreased travel, and will be used to fund the above-mentioned deficits. Source of Funds: 100% General.

05-95-042-421510-79150000
Health Services

Funding in this organization represents costs associated with the medical services provided to the residents of the John H. Sununu Youth Services Center. There is a projected deficit within the Medical Payments to Provider line item due to under budgeting. This deficit will be funded in part by a projected surplus within this organization's Current Expenses line item (the result of various cost-containment measures) and the remainder with other projected surpluses within the Division. As a result of a vacancy, there is also a projected surplus in the Personal Services-Temporary line item. Source of Funds: 100% General.

05-95-042-421510-79160000
Rehabilitative Programs

Funding in this organization represents costs associated with the John H Sununu Youth Services Center direct care staff, including youth counselors, treatment coordinators, operations staff, and other program costs. As a result of various cost-containment measures, there is a projected surplus in the Current Expenses line item that will be used to help fund other projected deficits within the Division. Source of Funds: 100% General.

05-95-042-421510-79170000

Rehabilitative Education

Funding in this organization represents costs associated with the education of the residents at the John H Sununu Youth Services Center. As a result of various cost-containment measures, there is a projected surplus in the Current Expenses line item that will be used to help fund other projected deficits within the Division. Due to under budgeting, there is a projected deficit in the Personal Services – Temporary line item, which will be funded by other projected surpluses within the Division. Source of funds: 79.48% General, and 20.52% Other.

05-95-042-421510-79180000

Juvenile Detention Unit

Funding in this organization represents costs associated with the temporary care of juveniles awaiting court decisions. Due to under budgeting there is a projected deficit in the Personal Services – Permanent line item that will be funded by a projected surplus in the Personal Services – Temporary line item within this organization. This surplus is the result of the movement of all part-time staff within this organization to Rehabilitative Programs. This surplus will also help fund a projected deficit in the Rehabilitative Programs Personnel Services – Temporary line item. Source of funds: 100% General.

05-95-042-421510-79190000

Chapter I Neglected - Disadvantaged

Funding in this organization represents costs associated with the John H Sununu Youth Services Center educational department's Title I grant. This grant funds one full-time teacher assistant and the part-time summer school staff. Carryover funds from the previous year's grant enabled the use of additional teachers for the summer school program, which was not previously budgeted; therefore funds are needed in Personal Services - Temporary. Source of funds: 100% Other.

OFFICE OF MINORITY HEALTH AND REFUGEE AFFAIRS

05-95-042-422010-79210000

Office of Minority Health and Refugee Affairs

Funding in this organization represents costs associated with the operation of the Office of Minority Health and Refugee Affairs, which administers the programs, and policies that reduce health disparities in minority and refugee communities throughout the State. Funds are required in Telecommunications (class 039) to cover a deficit in the account, due to the break out of Telecommunications from class 020 in the 14/15 biennium, there is a corresponding availability of funds in Current Expense (class 020). Source of funds: 60% Federal, 40% General

05-95-042-422010-79220000

Refugee Cash & Medical

Funding in this organization represents costs associated with Refugee grants as awarded from the Office of Refugee Resettlement. Funds are required in Current Expense (class 020) and Transfers to Other State Agencies (class 049) and are offset by available funds in Contracts for Program Services (class 102); this will align the adjusted authorized with actual costs. Source of Funds: 100% Federal (Refugee Resettlement Grants).

05-95-042-422010-79230000 OMH State Partnership Grant

Funding in this organization represents costs associated with the operation of the Office of Minority Health, which administers the programs, and policies that reduce health disparities in minority communities throughout the State. Funds are required in Current Expense (class 020), Telecommunications (class 039) and Contracts for Program Services (class 102) due to actual costs exceeding the adjusted authorized for SFY 2014. Source of Funds is 100% Federal from the Minority Health State Partnership Grant.

05-95-042-422010-79240000 Health Professional Opportunity Grant

Funding in this organization represents costs associated with the Health Professional Opportunity grant to assist low-income individuals with education and training for occupations in the healthcare field. Funds are required in Full Time Temp (class 059) and are offset by available funds in Contracts for Program Services (class 102) to align the State appropriation with the Federal grant as awarded. Source of Funds: 100% Federal (HPOP Grant).

DIVISION OF HOMELESS HOUSING SERVICES

05-95-042-423010-79270000 Housing Shelter Program

This accounting unit is the operating account for the US Department of Housing and Urban Development grants to the Bureau of Homeless and Housing Services (BHHS). Funds are required in Telecommunications (class 039), Audit Fund Set Aside (class 041), Additional Fringe Benefits (class 042), In-State Travel Reimbursement (class 070), and Out of State Travel (class 080). This transfer is needed to cover costs associated with BHHS staff attending trainings on new US Department of Housing and Urban Development regulations and administrative rules governing homeless assistance programs, and implementing those changes with more provider monitoring visits. Funds are available in Rents-Leases Other Than State (class 022) and Contracts for Program Services (class 102). Source of Funds is 100% Federal from US Department of Housing and Urban Development grants.

05-95-042-423010-79280000

Emergency Shelter Program

Funding in this organization represents costs associated with the operation of the Bureau of Homeless and Housing Services, which administers the State's Emergency Shelter programs and US Department of Housing and Urban Development homeless assistance programs. Funds are required in Current Expense (class 020) to align appropriations with projected expenditures. Funds are available in Contracts for Program Services (class 102) due an Emergency Shelter Program recipient not accepting funds made available to them. Source of Funds is 100% General.

DIVISION OF CHILD SUPPORT SERVICES

05-95-042-427010-79290000

Child Support Services

Funding in this organization represents the costs associated with the operation of the Division of Child Support Services. This transfer will be used to resolve potential budget deficiencies in operating expenses and out-of-state travel costs. Funds are needed in Class 080 (Out of State Travel) to cover expenses associated with out of state training. Funds are needed in Class 102 (Contracts for Program Services) due to higher than anticipated costs from the prior fiscal year. Source of Funds: 100% Other funds.

DIVISION OF FAMILY ASSISTANCE

05-95-045-450010-61250000

Director's Office

Funding in this organization represents costs associated with the administration of the Division and its programs. This transfer increases Class 050, Part-Time Temp. This transfer will satisfy the projected shortfall caused by expenses being higher than anticipated when budgeted. Source of Funds: Class 050 – 100% Federal Funds.

05-95-045-450010-61270000

Employment Support

Funding in this organization represents costs associated with the administration of the New Hampshire Employment Program (NHEP). This transfer increases Class 070, In-State Travel and Class 501, Payments to Clients, while decreasing Class 502, Payments to Providers. The transfer for Class 070 is needed due to expenses being higher than anticipated when budgeted and the transfer for Class 501 is necessary due to increased caseloads compared to the budget. The transfer for Class 502 will take projected surplus to help fund projected deficits in the Division. Source of Funds (Class 070): 53% Federal Funds, 47% General Funds; Source of Funds (Class 501): 100% General Funds; Source of Funds (Class 502): 100% General Funds.

05-95-045-450010-61700000
Age Assistance Grants

Funding in this organization represents costs associated with the Age Assistance Grants. Funds are required in Class 501; Payments to Clients, due to caseloads being higher than anticipated when budgeted. This transfer will satisfy the projected shortfalls. Source of Funds: 100% General Funds.

05-95-045-450010-61720000
Refugee Grants

Funding in this organization represents costs associated with the Refugee Grants. Funds are required in Class 501; Payments to Clients, due to caseloads being higher than anticipated when budgeted. This transfer will satisfy the projected shortfalls. Source of Funds: 100% Other Funds.

05-95-045-450010-61760000
IDP

Funding in this organization represents costs associated with the Interim Disabled Parent (IDP) cash assistance grant. Funds are available in Class 501; Payments to Clients, due to caseloads being lower than anticipated when budgeted. This transfer will take projected surplus to help fund projected deficits in the Division. Source of Funds: 100% General Funds.

DIVISION OF CLIENT SERVICES

05-95-045-451010-79930000
Client Svcs – DFA Field Svcs

Funding in this organization represents costs associated with the staff in the district offices providing direct services to the clients of New Hampshire. This transfer increases Class 020, Current Expenses, Class 039, Telecommunications and Class 070, In-State Travel. The transfers for Class 020, Class 039 and Class 070 are needed due to expenses being higher in these class lines than anticipated when budgeted. This transfer will satisfy the projected shortfalls. Source of funds: 48% Federal Funds, 52% General Funds.

05-95-451010-79960000
Client Elig & Enrollment Operations

Funding in this appropriation primarily represents costs associated with the management and operation of Medicaid programs serving citizens throughout New Hampshire. This transfer

increases Class 070, In-State Travel. The transfer for Class 070 is needed due to expenses being higher than anticipated when budgeted. Source of Funds: 50% Federal, 50% General

OFFICE OF MEDICAID & BUSINESS POLICY

05-95-047-470010-79370000

Medicaid Administration

Funding in this appropriation represents costs associated with the management and operation of Medicaid programs serving citizens throughout New Hampshire. Funds are needed in Class 020, Current Expense to cover an anticipated shortfall in this account for the remainder of the fiscal year due to costs associated with Medicaid client and provider mailings required for the implementation and rollout of the Medicaid Care Management Program. Funds are available in Class 101, Medical Payments to Providers, due to less than projected claims reimbursement costs in this class line. Source of Funds: Class 020 Current Expense 50% Federal, 50% General and Class 101 Medical Payments to Providers; 50% Federal, 50% General

05-95-047-470010-79400000

Provider Payments

Funding in this appropriation represents costs associated with the Medicaid payments to healthcare providers that deliver healthcare services to New Hampshire's Medicaid population. Funds were budgeted in Class 101, Medical Payments to Providers to cover expenses for a 10-bed Designated Receiving Facility (DRF). A Designated Receiving Facility is a hospital-based psychiatric unit designated to provide care, custody and treatment to persons involuntarily admitted to the state mental health services system. The Division of Community Based Care Services, Bureau of Behavioral Health (BBH) submitted a Governor and Council request, Item #71, approved on November 6, 2013 to fund the DRF and enter into an agreement with Lakes Region General Hospital. This necessitates the need to transfer those budgeted funds from Provider Payments to BBH. The remaining transfer request will be used to satisfy the projected deficit in the Family Planning account to cover related costs associated with the NH Family Planning Medical Assistance Program. Source of Funds: Class 101 Medical Payments to Providers 50% Federal, 50% General.

05-95-047-470010-79420000

Family Planning

Funding in this organization represents costs associated with the Medicaid payments to healthcare providers to deliver family planning services provided under the NH Family Planning Medical Assistance Program. Funds are needed in class 101, Medical Payments to Providers to cover family planning medical expenses. This is a new program implemented July 1, 2013 and the need and utilization for these services year-to date have been higher than what was originally projected. Source of Funds: Class 041 Audit Set-aside 100% Federal and Class 101 Medical Payments to Providers 90% Federal, 10% General

BUREAU OF ELDERLY AND ADULT SERVICES

05-95-048-481510-61800000

LTC Assessment & Counseling

Funding in this organization represents Medicaid funded expenditures for contracted services to Medicaid applicants to nursing facilities and home and community based care services for assessment & counseling and to provide assistance, information and education to consumers, families and the community. Funds are needed in Class 039 (Telecommunications) due to a projected deficit as a result of additional VoIP Expenditures not anticipated. Funds are available in Class 039 (Telecommunications) in various accounting units to fund this shortfall due to less than anticipated expenditures. Source of Funds: 50% Federal, 50% General.

05-95-048-481510-78560000

MEDICAID ADMINISTRATION

Funding in this organization represents costs associated with the administration of all Medicaid Services. Funds are available in Class 039 (Telecommunications) due to less than anticipated expenditures and will be used to support the mobile technology initiative in accounting unit 9250 and deficits in additional VoIP expenditures in various accounting units. Source of Funds: 50% Federal, 50% General.

05-95-048-481010-78720000

ADMINISTRATION ON AGING

Funding in this organization represents costs associated with administering grants received from the Administration for Community Living (ACL – formerly Administration on Aging (AoA)). Funds are needed in Class 039 (Telecommunications) due to a projected deficit as a result of additional VoIP Expenditures not anticipated. Funds are available in Class 039 (Telecommunications) in various accounting units to fund this shortfall due to less than anticipated expenditures. Source of Funds: 100% General.

05-95-048-480010-78730000

OFFICE OF BUREAU CHIEF

Funding in this organization represents costs associated with overseeing all aspects of the Bureau of Elderly and Adult Services. The Bureau of Elderly and Adult Services is undertaking an initiative in which their Adult Protective Service Workers (Accounting Unit 9250) will be utilizing mobile technology, Smart phones, to perform their work in a more productive manner, where their clients are. This initiative was not budgeted in the SFY14 & 15 biennium budget. As such, funds from Class 039 (Telecommunications) in various accounting units will be used to fund this shortfall, due to less than anticipated expenditures. Funds are available in Class 039

(Telecommunications) due to less than anticipated expenditures. Source of Funds: 25% Federal, 75% General.

05-95-048-480510-89300000
LONG TERM CARE OMBUDSMAN

Funding in this organization represents costs associated with providing long term care ombudsman services and to administrating grants received from the Administration for Community Living (ACL – formerly Administration on Aging (AoA)). Funds are needed in Class 022 (Rents-Leases) to satisfy a deficit related to the Office of Long Term Care Ombudsman's copier lease. Funds are available in Class 020 (Current Expenses) due to less than anticipated expenditures. Source of Funds: 100% Federal.

05-95-048-481510-89320000
NURSING HOME AUDITORS

Funding in this organization represents costs associated with the administration and oversight of rate setting for services provided and audits/reviews of Medicaid and contracted providers, including nursing homes. Funds are available in Class 039 (Telecommunications) due to less than anticipated expenditures and will be used to support the mobile technology initiative in accounting unit 9250 and deficits in additional VoIP expenditures in various accounting units. Source of Funds: 50% Federal, 50% General.

05-95-048-481010-92500000
FIELD OPERATIONS

Funding in this organization represents costs associated with direct social services to elderly and incapacitated adults. Funds are needed in Class 039 (Telecommunications) to satisfy projected deficits due to the mobile technology initiative and addition VoIP expenditures in this accounting unit. Funds are available in Class 020 (Current Expense), in this accounting unit, and funds are available in Class 039 (Telecommunications) in various accounting units to fund this shortfall due to less than anticipated expenditures. Source of Funds: 15% Federal, 85% General.

05-95-048-481010-95650000
SERVICELINK

Funding in this organization represents expenditures for contracted services to Medicaid applicants to nursing facilities and home and community based care services for assessment & counseling and to provide assistance, information and education to consumers, families and the community. Funds are available in Class 039 (Telecommunications) due to less than anticipated expenditures and will be used to support the mobile technology initiative in accounting unit 9250 and deficits in additional VoIP expenditures in various accounting units. Source of Funds: 100% General.

DIVISION OF COMMUNITY BASED AND ADULT SERVICES

05-95-049-490510-29830000

COMMUNITY BASED CARE SERVICES, DIRECTOR'S OFFICE

Funding in this organization represents costs associated with the DCBCS Director's Office. Funds are needed in Class 041 (Audit Fund Set Aside) to satisfy a projected deficit. Source of Funds: 100% Federal.

DIVISION OF PUBLIC HEALTH SERVICES

05-95-090-902010-08310000

ACA- MIEC

Funding in this organization represents costs associated with the ACA Maternal, Infant and Early Childhood Home Visiting Program grant within the Division of Public Health Services. Funds are needed in Personnel Services temp (Class 050), as the actual cost of salaries will be greater than the adjusted authorized for currently filled positions in SFY 14. Funds are available in Class 102 (Contract) as expenses in this class are less than anticipated. Source of Funds: 100% Federal.

05-95-090-902010-08360000

PRAMS

Funding in this organization represents costs associated with the PRAMS grant within the Division of Public Health Services. Funds are needed in Personnel Services temp (Class 050), as the actual cost of salaries will be greater than the adjusted authorized for currently filled positions in SFY 14. Source of Funds: 100% Federal

05-95-090-900010-51100000

Office of the Director

Funding in this organization represents costs associated with the Office of the Director within the Division of Public Health Services. Funds are available in Current Expense (Class 020) due to less than anticipated need. Funds are needed in Rents – Leases other than state (Class 022) and Equipment (Class 030) to satisfy a deficit due to higher than anticipated projected cost. Equipment funds are needed to cover the cost of replacing the Division Plotter/printer for program poster boards for conferences and public awareness announcement. Source of Funds: 50% Federal, 50% General

05-95-090-900010-51150000

Health Services Planning & Review

Funding in this organization represents costs associated with the Health Services Planning and Review program within the Division of Public Health Services. Funds are available in Contracts for Program Services (Class 102). This function is being performed by a filled position. Contract funds are no longer needed. Source of Funds: 100% Other (Fees).

05-95-090-901510-53900000

Food Protection

Funding in this organization represents costs associated with the Food Protection Section within the Division of Public Health Services. Funds are needed in class 018 (Overtime) for hours greater than anticipated. Source of Funds: 100% Other Funds - Fees

05-95-090-901510-56670000

Chronic Disease - Asthma

Funding in this organization represents costs associated with the Asthma Section within the Division of Public Health Services. Funds are needed in class 018 (Overtime) for hours greater than anticipated. Source of Funds: 100% Federal

05-95-090-902010-12270000

COMBINED CHRONIC DISEASE

Funding in this organization represents costs associated with prevention and control of diabetes, heart disease, obesity and associated risk factors and school health activities within the Division of Public Health Services. Funds are needed in Class 039 (Telecommunications) for higher than estimated telephone expense. Funds are available in Class 020 (Current Expense) as expenses in this class have been less than anticipated. Source of Funds: 100% Federal.

05-95-090-903010-18350000

NH ELC

Funding in this organization represents costs associated with testing, surveillance, and health information activities within the Division of Public Health Services. Funds are needed in overtime (Class 018) and temporary personnel (Class 050) as hours needed are greater than anticipated. Funds are needed in Class 066 (Employee Training) for a staff member to take on-line training courses. Funds are needed in Class 070 (Instate travel) due to greater need than originally anticipated. Funds are available in the Class 102 (Contracts for Program Services). Source of Funds: 100% Federal.

05-95-090-902010-22220000

Ryan White Title II

Funding in this organization represents costs associated with the Ryan White Title II CARE Program within the Division of Public Health Services. Funds are available in Class 102

(Contracts for Program Services), as encumbrances have been less than anticipated. Funds are needed in Class 020 (Current Expenses) to support the operating costs of this program. Source of Funds: 100% Federal.

05-95-090-902510-22390000

Hospital Preparedness

Funding in this organization represents costs associated with the hospital emergency preparedness activities funded by the Hospital Preparedness component of the public health emergency preparedness federal grant within the Division of Public Health Services and the Department of Safety. Additional funds are needed in Class 102 (Contracts for Program Services) for grant-funded contractual services related to hospital preparedness. Funds are available in Class 020 (Current Expense) as anticipated operational expenses are less than originally anticipated. Source of Funds: 100% Federal.

05-95-090-903010-30670000

Division of Public Health Services, Emergency Response Radiochem

Funding in this organization represents costs associated with the Emergency Response Radiochemistry Section within the Division of Public Health Services. Funds are needed in Class 024 (Maintenance Other Than Building & Grounds) for repair of laboratory instrumentation. Funds are available in Class 020 (Current expense) as funding approved by the Utilities provided for less current expenses than budgeted. Source of Funds: 100% Other Funds (Utilities).

05-95-090-900510-51500000

Health Statistics

Funding in this organization represents costs associated with the Health Statistics activities within the Division of Public Health Services. Funds are needed in Current Expense (Class 020) to support the operating cost of the program. Funds are available in the Grantee Administration (Class 246) to support the operational cost within the Division. Source of Funds: 100% General

05-95-090-902510-51700000

Disease Control

Funding in this organization represents costs associated with the Disease Control Investigation, Surveillance and Tuberculosis programs within the Division of Public Health Services. Additional funds are needed in Class 066 (Employee Training) due to the additional funding made available by the HIV Surveillance program. Funds are available in Class 102 (Contracts for Program Services). Source of Funds: 100% Federal

05-95-090-900510-51730000

Environmental Public Health Tracking

Funding in this organization represents costs associated with the Environmental Public Health Tracking program within the Division of Public Health Services. Funds are available in Class 102 (Contracts for Program Services) due to a federal funding cut of 35%. Due to the funding cut DPHS was required to resubmit a budget and work plan. Funds are needed in Class 030 (Equipment) and Class 066 (Employee Training) to support the requirements of the new work plan. This includes purchasing updated computer equipment and training to expand knowledge, skills and abilities and provide staff with more efficient tools. Source of Funds: 100% Federal.

05-95-090-902510-51780000

Immunization Program

Funding in this organization represents costs associated with the Immunization Program within the Division of Public Health Services. Additional funds are needed: in Class 018 (Overtime) due to greater than anticipated hours needed; Class 020 (Current Expense) due to increase in the number of vaccine posters and pamphlets that need to be printed over what was originally budgeted; in Class 026 (Organizational Dues) for program membership in a national organization; in Class 039 (Telecommunications) for conference calls with immunization providers in the state; and in Class 066 (Employee Training) for training classes for new staff. Funds are available in Class 519 (BRFSS Behavior Risk Factor) and Class 548 (Reagents) due to lower than anticipated expenditures for that survey and lab supplies. Source of Funds: 100% Federal.

05-95-090-902510-51890000

HIV/AIDS Prevention

Funding in this organization represents costs associated with the HIV/AIDS Prevention Program grant within the Division of Public Health Services. Additional funds are needed: in Class 020 (Current Expense) due to higher than anticipated expenses for HIV test kits and printing costs. Funds are available: in Class 022 (Rents Other) due to lower than anticipated costs for office equipment rentals; in Class 102 (Contracts for Program Services) due to lower than anticipated grant-approved contractual services; and in Class 548 (Reagents) due to lower than anticipated cost for laboratory supplies. Source of Funds: 100% Federal.

05-95-090-902010-52600000

WIC Supplemental Nutrition Program

Funding in this organization represents costs associated with the WIC nutrition program within the Division of Public Health Services. Funds are needed in Class 018 (Overtime) for hours greater than anticipated. Funds are needed in Class 026 (Memberships) to support a membership increase. Funds are available in Class 020 (Current Expense) as expenses in this class have been less than anticipated. Source of Funds: 100% Federal.

05-95-090-903010-79660000

Division of Public Health Services, Public Health Laboratories

Funding in this organization represents costs associated with testing activities within the Division of Public Health Services. Funds are needed in Class 039 (Telecommunications) for expenses associated with telephones and VOIP. Funds are needed in Class 070 (In-State Travel) for pick-up of specimens during outbreaks and for the timely delivery of mosquito batches. Funds are available in Class 020 (Current Expense), as expenses have been less than originally anticipated. Source of Funds: 100% Federal.

05-95-090-902010-79670000

ACA Coordinated Chronic Disease

Funding in this organization represents costs associated with the ACA Coordinated Chronic Disease Grant within the Division of Public Health Services. Funds are available in Class 010 (Classified personnel) to cover the cost of higher than anticipated Personal Serv Temp (Class 050) for currently filled positions. Source of Funds: 100% Federal

05-95-090-903010-53500000

Division of Public Health Services, FDA FERN Micro

Funding in this organization represents costs associated with the Microbiological – Food Testing section within the Division of Public Health Services. Funds are needed in overtime (Class 018), as the actual cost of salaries will be greater than anticipated for currently filled positions in SFY 14. Funds are needed in Class 024 (Maint. Other than Building & Grounds) for service and repair of laboratory instruments in support of FDA food testing. Funds are available in Class 548 (Reagents), as expenses for the current grant period are expected to be less than originally anticipated. Source of Funds: 100% Federal.

05-95-090-901510-79640000

Lead Prevention

Funding in this organization represents costs associated with the Lead Prevention section within the Division of Public Health Services. Funds are available in Class 102 (Contracts for Program services) and based on the current grant budget are being redirected to other areas within the Lead Program. Funds are being redirected to Current Expense (Class 020) and Equipment (Class 030) to purchases necessary supplies and equipment for the Lead program. Source of Funds: 100% Federal

05-95-090-902010-56080000

Tobacco Prevention

Funding in this organization represents costs associated with the Tobacco prevention and awareness program within the Division of Public Health Services. Funds are needed in Class

102 (Contracts for Program Services) to support a contract for quit-line sustainability throughout the State of NH. Funds are available in Class 072 (Grants Federal) as expenses in this class have been less than anticipated. Source of Funds: 100% Federal.

05-95-090-902010-56590000

Comprehensive Cancer

Funding in this organization represents costs associated with the comprehensive cancer initiatives within the Division of Public Health Services. Funds are needed in Class 022 (Rental/Lease-Office Equipment) to support the rental of an up-to-date copier, scanner, fax device for use in the program and in Class 102 (Contracts for Program Services) to provide educational sessions regarding cervical cancer screening and diagnostic follow-up. Funds are available in Class 020 (Current Expense) as expenses in this class have been less than anticipated. Source of Funds: 100% Federal.

05-95-090-901010-59970000

Strengthening PH Infrastructure

Funding in this organization represents costs associated with the Strengthening Public Health Infrastructure program within the Division of Public Health Services. Funds are needed in Class 039 (Telecommunications) for the addition of a phone line for an additional full-time staff. Funds are available in Class 020 (Current Expenses) as expenses in this class have been less than anticipated. Source of Funds: 100% Federal.

05-95-090-901010-79650000

Rural Health & Primary Care

Funding in this organization represents costs associated with the Rural Health & Primary Care Section within the Division of Public Health Services. Funds are needed in class 020 (Current Expense) to assist with costs associated with auditor/copy costs and meeting obligations for conference sponsorship. Funds are available in Class 102 (Contracts for Program Services) due to actual contract costs being less than anticipated from when the budget was in development. Source of Funds: 100% Federal Funds

GLENCLIFF HOME

05-95-091-910010-57100000

Glenclyff Home, Professional Care

Funding in this organization represents costs associated with Professional Care Services delivered to clients. Funds are available in Class 017 (Personal Services-Other) due to savings from vacancies and from temporarily down graded licensed personnel position expenses. Funds are needed in Class 018 (Overtime) due to vacancies and staffing shortages. Funds are available in Class 046(Consultant) and Class 101(Medical Payments to Provider) due to expenses less than budgeted. Funds are available in Class 050 (Personal Services-Tem) due to vacancies. Funds are

needed in Class 066(In-Service Training) due to the need of additional educational services.
Source of Funds: 100% General

05-95-091-910010-57200000
Glenclyff Home, Custodial Care

Funding in this organization represents costs associated with the Dietary, Housekeeping and Laundry Services of Glenclyff Home. Funds are available in Class 017 (Personal Services-Other) due to savings from vacancies. Funds are available in Class 020(Current Expense) due to continued efficiencies. Source of Funds: 100% General

05-95-091-910010-57400000
Glenclyff Home, Administration

Funding in this organization represents costs associated with the Administration of Glenclyff Home. Funds are needed in Class 020(Current Expenses) due to increased phone expenses and printer rentals. Funds are required in Class 070 (In State Travel) due to increased use of employee vehicles when State vehicles are not available. Source of Funds: 100% General

05-95-091-910010-78920000
Glenclyff Home, Maintenance

Funding in this organization represents costs associated with the Maintenance Department. Funds are available in Class 017 (Personal Services-Other) due to savings from vacancies. Funds are needed in Class 018 (Overtime) and Class 019 (Holiday) due to vacancies and staffing shortages. Funds are available in Class 050 (Personal Services-Tem) due to vacancies. Funds are necessary in Class 020 (Current Expense) due to increased cost of repair materials. Funds are needed in Equipment to replace a tractor used in snow removal and grounds maintenance. Funds are needed in Class 048(Contractual Maintenance of Buildings and Grounds) due to unanticipated expenses related to transitioning to the new Chip Boiler system. Source of Funds: 100% General

BUREAU OF BEHAVIORAL HEALTH

05-95-092-920010-70010000
BEHAVIORAL HEALTH, FINANCIAL MGT/AUDITS

Funding in this accounting unit represents costs associated with the BBH Financial Management unit. Funds are needed in Class 030 (Equipment) due to greater than anticipated expenses. Source of Funds: 70% General and 30% Federal.

05-95-092-920010-59450000

BEHAVIORAL HEALTH, CMH PROGRAM SUPPORT

Funding in this accounting unit represents operational costs associated the Office of Community Mental Health Services. Funds are being transferred into Class 102 (Contracts for Program Services) from Provider Payments 470010-79400000 to pay for the startup costs of the Franklin Designated Receiving Facility. Source of Funds: 100% General.

05-95-092-920010-71430000

BEHAVIORAL HEALTH, MENTAL HEALTH BLOCK GRANT

Funding in this accounting unit represents costs associated with the Mental Health Block Grant. Funds are needed in Class 030 (Equipment) to pay for an additional laptop computer to be used on Block Grant activities. Funds are available in Class 102 (Contracts for Program Services) due to less than anticipated expenditures. Source of Funds: 100% Federal.

05-95-092-920010-71550000

BEHAVIORAL HEALTH, MEDICAID PAYMENTS

Funding in this organization represents Medicaid payments to New Hampshire Hospital and Glencliff Home. Funds are needed in Class 041 (Audit Fund Set Aside) and Class 510 (Medicaid to Institutions) to satisfy projected deficits. Source of Funds: 100% Federal.

05-95-092-920010-78770000

BEHAVIORAL HEALTH, OFFICE OF DIRECTOR

Funding in this accounting unit represents costs associated with the BBH Director's Office. Funds are available in Class 020 (Current Expenses) due to less than anticipated expenses. Funds are needed in Class 022 (Rents-Leases Other Than State) to cover the full year lease cost of a copier. Source of Funds: 82% General and 18% Federal.

BUREAU OF DEVELOPMENTAL SERVICES

05-95-093-930010-51910000

DEVELOPMENTAL SERVICES, SPECIAL MEDICAL SERVICES

Funding in this organization represents costs associated with the Special Medical Services unit within the Bureau of Developmental Services. Funds are available in Class 021 (Food Institutional) and Class 102 (Contracts for Program Services) because expenses have been less than anticipated. Funds are needed in Class 039 (Telecommunications) to cover unanticipated additional VOIP expenses and in Class 070 (In-State Travel) to satisfy a projected deficit. Source of Funds: 70% General, 30% Federal.

05-95-093-930010-59470000

DEVELOPMENTAL SERVICES, PROGRAM SUPPORT

Funding in this accounting unit represents costs associated with the operation of the Community Developmental Services central office within the Bureau of Developmental Services. Funds are needed in Class 018 (Overtime) to satisfy a projected deficit caused by additional work needed for the MMIS system. Funds are also needed in Class 102 (Contracts for Program Services) to cover contracts that were not anticipated during budgeting. Funds are available in Class 050 (Personal Services Temp) as expenses have been less than projected. Source of Funds: Classes 018 and 050 are 64% General and 36% Federal; Class 102 is 100% General.

05-95-093-930010-70130000

DEVELOPMENTAL SERVICES, FAMILY SUPPORT SERVICES

Funding in this accounting unit represents costs associated with Family Support services. Funds are available in Class 102 (Contracts for Program Services) as expenses have been less than anticipated. Source of Funds: 100% General.

05-95-093-930010-70140000

DEVELOPMENTAL SERVICES, EARLY INTERVENTION

Funding in this accounting unit represents costs associated with Early Intervention services. Funds are available in Class 102 (Contracts for Program Services) as expenses have been less than anticipated. Source of Funds: 100% General.

05-95-093-930010-71640000

DEVELOPMENTAL SERVICES, NH DESIGNATED REC FACILITY

Funding in this accounting unit represents costs associated with the operation of the Designated Receiving Facility in Laconia. Funds are needed in Class 022 (Rents-Leases Other Than State), Class 039 (Telecommunications), Class 070 (In-State Travel) and Class 501 (Payments to Clients) to cover projected deficits. Funds are available in Class 024 (Maintenance Other Than Bldg/Grounds) and Class 550 (Assessment & Counseling) due to less than anticipated expenses. Source of Funds: 100% General.

OFFICE OF THE COMMISSIONER

05-95-095-950010-50000000

Office of the Commissioner

Funding in this organization represents costs associated with the operation of the Commissioner's Office. Funds are available in Current Expense (class 020) and Telecommunications (class 039) to offset a need in the Office of Business Operations. Source of funds for this transfer reflect the anticipated federal revenues from cost allocation earnings.

05-95-095-950010-50250000

Employee Assistance Program

Funding in this organization represents costs associated with the operation of this program that provides assistance to employees who are having problems in their work or personal lives by helping them secure appropriate assistance. Funds are needed in Overtime (class 018) because actual costs exceed the adjusted authorized for SFY 2014 and are offset by available funds in Training (class 066). Source of funds for this transfer reflect the anticipated federal revenues from cost allocation earnings.

05-95-095-950010-56760000

Office of Business Operations

Funding in this organization represents costs associated with the operation of the Office of Business Operations. Funds are needed in Overtime (class 018), Current Expense (class 020), Temporary Personnel (class 050), and In-State Travel (class 070) because actual costs exceed the adjusted authorized for SFY 2014; a portion of this need is offset by available funds in the Commissioner's Office. Source of funds for this transfer reflect the anticipated federal revenues from cost allocation earnings.

05-95-095-950010-71780000

Homeland Security

Funding in this organization represents costs associated with maintaining an emergency preparedness capability as required by the Radiological Emergency Response Plan (RERP) and NH RSA 107-B, Nuclear Planning and Response Program. Funds are required in Telecommunications (class 039), and available in Personnel-Temporary (class 050) to align the state budget with the awarded budget from Department of Safety. Source of Funds: 100% Other (Dept of Safety).

OFFICE OF IMPROVEMENT AND INTEGRITY

05-95-095-951010-79350000

Office of Improvement and Integrity

Funding in this unit represents costs associated with the audit and review of DHHS financial and medical programs, such as provider payments, third party liability and quality assurance programs, Office of Reimbursements and Parental Reimbursement Unit. This transfer will fund a projected deficit in Class (019) Holiday Pay due to a higher than expected expense and a projected need in Class 020 for Office of Improvement and Integrity due to an increased need in funding for supplies and legal service delivery and an updated lease for a copier and a projected need in Class 030 equipment. This will be funded by a decrease in the contract line 103 due to ability to keep contract payments level. This will also cover a projected shortfall in Class 042 for Post Retirement and to be covered by Class 040 Indirect both 100% federal sources of revenue.

Source of Funds: 49% Federal Funds (numerous federal programs through cost allocation) and 51% General Funds.

OFFICE OF ADMINISTRATION

05-95-095-953010-56770000

Bureau of Human Resources

Funding in this organization represents costs associated with the management of Human Resources and Payroll operations within the Department. Funds are required in Overtime (class 018) and In-State Travel (class 070) because actual costs exceed the adjusted authorized for SFY 2014. Source of funds for this transfer reflect the anticipated federal revenues from cost allocation earnings.

05-95-095-953010-56850000

Management Support

Funding in this organization represents costs associated with the management of the facilities operations within the Department. This includes both the various locations in Concord and the District Office's throughout the State. Funds are available in Telecommunications (class 039) to offset a need in the District Office account. Source of funds for this transfer reflect the anticipated federal revenues from cost allocation earnings.

05-95-095-953010-56870000

DHHS District Office

Funding in this organization represents costs for staff in the District Offices throughout the State that perform the administrative and programmatic activities, and community relations, on behalf of employees, clients and providers. Funds are required in Overtime (class 018), Current Expense (class 020) and In-State Travel (class 070) as the amount needed exceeds the adjusted authorized for SFY 2014; this funding need is being offset by a surplus in the Management Support account. Source of funds for this transfer reflect the anticipated federal revenues from cost allocation earnings.

OFFICE OF OPERATIONS SUPPORT

05-95-095-952010-51430000

OFFICE OF PROGRAM SUPPORT, CHILD CARE LICENSING

Funding in this unit represents costs associated with the licensure and monitoring and investigation of child residential and day care facilities. This transfer will fund a projected deficit in Class 020 current expenses and Class 049 Transfer to Other State Agency for Criminal Background Checks and for Class 066 Training from Class 103, Contracts for Ops Services due to the needs in the above named class lines and the anticipation as we will not need to contract

services for this fiscal year. Source of Funds: 56% Federal Funds (numerous federal programs through cost allocation) and 44% General Funds.

05-95-095-952010-51460000

OFFICE OF PROGRAM SUPPORT, HEALTH FACILITIES ADMINISTRATION

Funding in this unit represents costs associated with the licensure monitoring and investigation of health facilities. This transfer will fund a projected need for overtime (018) to implementation of a new computer system and a slight increase over expected for and (019) holiday pay. This transfer will also fund a projected need in Class 020 for current expenses and Class 039 Telecommunications and a projected surplus is expected at this time for Class 070 for in state travel due to a modified complaint process within the unit. Source of Funds: Cls 018 and 019 64% Federal Funds (numerous federal programs), 18% Other, 18% General, Cls 070 - 82% Federal Funds (numerous federal programs through cost allocation), 18% General Funds Cls 020 & 039 – 82% Other, 18% General

05-95-095-952010-56830000

**OFFICE OF PROGRAM SUPPORT, PROGRAM SUPPORT
ADMINISTRATION**

Funding in this unit represents costs associated with attorneys and other professional staff that provide legal services across the Department of Health and Human Services including the Administrative Appeals unit and rules unit. We have needs in various operational lines so a surplus in class (050) part time personnel as a result of not hiring part time staff is needed to support those operational lines. Source of Funds: 52% Federal Funds, 5% Other Funds and 43% General Funds.

New Hampshire

Department of Agriculture,
Markets & Food

FIS 13 269

Lorraine S. Merrill, Commissioner

November 27, 2013

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to RSA 14:30-a, VI the New Hampshire Department of Agriculture, Markets & Food, Division of Agricultural Development (DAMF) respectfully requests authorization to accept and expend grant funds from the United States Department of Agriculture (USDA) Agricultural Marketing Service (AMS) in the amount of \$224,025.35 for the period of Fiscal Committee and Governor and Council approval through June 30, 2015. 100% Federal Funds.

Funding is available in account, Spec Crop Block Grant, as follows with the authority to adjust encumbrances in each of the State fiscal years through the Budget Office if needed and justified.

Funds to be budgeted into account as follows:

02-18-18-185010-88890000 SPEC CROP BLK GRANT #12-25-B-1684

OBJECT

<u>CLASS</u>	<u>ACCOUNT</u>	<u>FY2014</u>	<u>FY 2015</u>	<u>TOTAL</u>
040-500800	Indirect costs	\$ 11,100.00	\$ 11,101.00	\$ 22,201.00
041-500801	Audit Fund Set aside	101.00	101.00	202.00
072-500575	Grants Federal	<u>100,811.00</u>	<u>100,811.35</u>	<u>201,622.35</u>
	Total	\$112,012.00	\$112,013.35	\$224,025.35
<u>Revenue</u>				
000-403801	Federal Funds	\$112,012.00	\$112,013.35	\$224,025.35

EXPLANATION

The New Hampshire Department of Agriculture, Markets & Food, Division of Agricultural Development (DAMF) has been awarded a grant from the United States Department of Agriculture (USDA) Agricultural Marketing Service (AMS) Specialty Crop Block Grant Program to fund nine specific projects. The projects were solicited through the RFP process and submitted for review by USDA, Agricultural Marketing Service as part of a New Hampshire state application. USDA, AMS approved the NH State Plan and all of the included projects.

The funds from this grant will be utilized as follows:

Object Class – 040 – Indirect Cost \$22,201.00. Funds budgeted at the 10% maximum allowed by USDA AMS, for both indirect and audit costs.

Object Class – 041 – Audit Set Aside \$202.00. Funds budgeted covering the required rate.

Object Class – 072 – Grants \$201,622.35. Funds for conducting agricultural specialty crop program projects as approved by USDA, AMS.

In the event that these Federal funds become no longer available, General Funds will not be requested to support this program.

Respectfully Submitted,



LSM

Lorraine S. Merrill
Commissioner

United States Department of Agriculture
Agricultural Marketing Service
AGREEMENT FACE SHEET

1. Accounting Code: 13132501DB62FVDCS100RFV01NH		2. Vendor I.D. (EIN): 02-6000618		3. DUNS Number: 176836583	
4. Agreement Number: 12-25-B-1684		Amendment Number:		5. Type of Instrument: Grant	
6. CFDA Number: 10.170					
7. Title of Agreement: New Hampshire Department of Agriculture, Markets and Food Specialty Crop Block Grant Program – Farm Bill Activities.					
8. Objective: To solely enhance the competitiveness of New Hampshire specialty crops.					
9. Statement of Work: This agreement shall be carried out by the organizational units or officials of the Federal Agency and the Grantee in the manner and subject to the conditions provided in the Specialty Crop Block Grant Program – Farm Bill Terms and Conditions attached hereto and made a part of this agreement.					
10. Legal Authority: Section 101 of the Specialty Crops Competitiveness Act of 2004 (7 U.S.C. 1621 note) of Public Law 108–465; amended by Section 10109 of the Food, Conservation, and Energy Act of 2008, Public Law 110–246; 7 CFR Part 1291 (published March 27, 2009; 74 FR 13313); and Section 713 of Title VII (General Provisions) of Division A of Public Law 108–7.					
11. Federal Agency (Name and Address): Specialty Crop Block Grant Program – Farm Bill Agricultural Marketing Service United States Department of Agriculture Washington, DC 20250			12. Grantee: New Hampshire Department of Agriculture, Markets and Food Concord, NH 03302		
13. Federal Agency Project Manager: Trista Etzig, Project Manager Telephone: (202) 690-4942 Email: Trista.Etzig@ams.usda.gov			14. Grantee Project Coordinator: Gail McWilliam-Jellie, Director Telephone: (603) 271-3788 Email: gail.mcwilliam.jellie@agr.nh.gov		
15. Period of Performance: 9/30/2013 through 9/29/2016			16. Federal Agency Funding Amount: \$224,025.35		Non-Federal Matching Amount: N/A


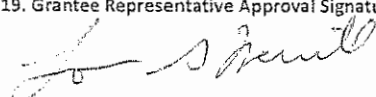
PROVISIONS

This Grant Award incorporates the following:

- The Approved State Plan, Approved Award Budget, and any amendments thereto – incorporated by reference
- \$575.93 is reallocated to the State Agency and shall be expended on projects in the referenced State plan. The reallocated amount is reflected in block 16. Federal Agency Funding Amount. The State Agency shall submit to the Federal Agency a Request for Grant Amendment if the reallocated funding results in a change of scope or objectives of any project; a budget change that equals or exceeds 20% of any project's total budget, and/or an extension of the grant period not to exceed three calendar years in accordance with the prior approval requirement in the Specialty Crop Block Grant Program-Farm Bill Terms and Conditions (3/2013).
- The State Agency will complete activities outlined in the approved State plan hereby entitled New Hampshire Department of Agriculture, Markets and Food Fiscal Year 2013 Specialty Crop Block Grant Program – Farm Bill Application dated, 7/8/2013
- Specialty Crop Block Grant Program – Farm Bill Terms and Conditions (3/2013) – incorporated by reference and can be found at:
<http://www.ams.usda.gov/AMSV1.0/getfile?dDocName=STELPRDCS103128>
- 7 CFR Part 3015, 7 CFR Part 3016, 7 CFR Part 3019, and 7 CFR 3052 – incorporated by reference
- Grant funds received under this award shall supplement the expenditure of State funds in support of specialty crops grown in that State, rather than replace State funds.
- The obligation of funds may be terminated without further cause unless the grantee commences the timely drawdown of funds; initial drawdown must be made within the first year of the grant period.

FOR THE UNITED STATES DEPARTMENT OF AGRICULTURE

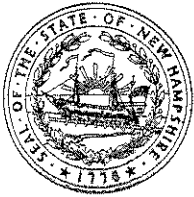
This agreement, subject to the provisions above, shall constitute an obligation of funds on behalf of the Government, unless amended or terminated by mutual consent of the parties in writing, or terminated by either party upon 60 days notice in writing.

17. Federal Agency Representative Approval:		18. Grantee Representative Approval (Please Print):	
 <p>Digitally signed by CHARLES PARROTT DN: ce=US, o=U.S. Government, ou=Department of Agriculture, cn=CHARLES PARROTT, 0.9.2342.19200300.100.1.1=12001600002278 Date: 2013.09.13 12:00:35-04'00'</p> <p>Deputy Administrator Agricultural Marketing Service</p>		<p>Name: Lorraine S. Merrill Title: Commissioner</p>	
		19. Grantee Representative Approval Signature:	Date:
			9-24-13

Paperwork Burden Statement

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is 0581-0248. Public reporting burden for this collection of information is estimated to average 2 hours per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0581-0248), Washington, DC 20503.

The U.S. Department of Agriculture (USDA) prohibits discrimination against its customers, employees, and applicants for employment on the bases of race, color, national origin, age, disability, sex, gender identity, religion, reprisal, and where applicable, political beliefs, marital status, familial or parental status, sexual orientation, or all or part of an individual's income is derived from any public assistance program, or protected genetic information in employment or in any program or activity conducted or funded by the Department. (Not all prohibited bases will apply to all programs and/or employment activities.) Persons with disabilities who require alternative means for communication of program information (Braille, large print, audiotape, etc.) should contact USDA's TARGET Center at (202) 720-2600 (voice and TDD). To file a complaint of discrimination, write to USDA, Assistant Secretary for Civil Rights, Office of the Assistant Secretary for Civil Rights, 1400 Independence Avenue, S.W., Stop 9410, Washington, DC 20250-9410, or call toll-free at (866) 632-9992 (English) or (800) 877-3339 (TDD) or (866) 377-8642 (English Federal-relay) or (800) 845-6136 (Spanish Federal-relay). USDA is an equal opportunity provider and employer.



State of New Hampshire

FIS 13 279

DEPARTMENT OF SAFETY
OFFICE OF THE COMMISSIONER
33 HAZEN DR. CONCORD, NH 03305
603/271-2791

JOHN J. BARTHELMES
COMMISSIONER

December 9, 2013

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

Requested Action

Pursuant to RSA 14:30-a, VI, authorize the Department of Safety (DOS), Division of State Police, to retroactively amend a federal pass-through grant received from the NH Department of Justice for the purpose of purchasing software enhancements to the existing Automated Fingerprint Identification System (AFIS) by extending the end-date only with no increase in funding from September 30, 2013 to September 30, 2014, effective upon Fiscal Committee and Governor and Council. The grant was originally approved by the Fiscal Committee (FIS 13-052) and Governor and Council (item #70) on March 8, 2013 and March 20, 2013, respectively. And subsequently amended and approved by the Fiscal Committee (FIS 13-134) and Governor and Council (item #96) on July 10, 2013. Funding Source: 100% Agency Income.

Funds are budgeted in the following account:

02-23-23-234010-33450000 Dept. of Safety – Division of State Police – NHDOJ Grants
RSRC: 407085

Explanation

This request is to amend the end date only for the Federal grant, received through NHDOJ, which ended on September 30, 2013, and as such is not budgeted in the 2014/2015 biennium budget. The Division received the grant after the budget was prepared; as this is a solitary award, the Division does not foresee continuing to receive this award. This item is retroactive as the Division did not receive the approved extension from NH Department of Justice until after it was expired. NH Department of Justice had to take the grant extension to Governor and Council for approval before granting the extension to the Department of Safety. The NHDOJ extension request was approved on October 2, 2013, Item #25, therefore requiring this item to be retroactive for the Department of Safety.

In accordance with the 1983 Interstate Identification Index compliance granted by the Federal Bureau of Investigation, the New Hampshire State Police, specifically the Criminal Records Unit, is the sole submitting agency for all criminal and applicant tenprint impressions to the FBI. All tenprint impressions, submitted via inked or electronically, by the state, county, local law enforcement agencies, and every correctional facility, are forwarded to the state police Criminal Records Unit for processing and submission to the FBI.

The Department of Safety's Automated Fingerprint Identification System (AFIS) is part of a tri-state system, comprised of the states of New Hampshire, Maine, and Vermont (Tri-State). New Hampshire is the host state for the system, housing the back end of the AFIS. Each state uses its own independent workflow.

The current OmniTrak version of the Tri-State AFIS stores Tenprint, Unsolved Latent File (ULF), Palmprint File, and Unsolved Latent Palmprint File data and has the capability of unilaterally updating the state's Central Criminal History (CCH) database with an arrestee's demographic information when tenprint data is entered into the AFIS either electronically by livescan capture or by inked tenprint impressions digitally scanned into the system.

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
November 19, 2013
Page 2 of 2

New Hampshire's AFIS interfaced with its CCH in March of 2011. Shortly thereafter, it was discovered that when scanning an inked tenprint card into AFIS, a new State Identification number (SID) was assigned to the images without checking the CCH for an existing SID. This required a manual check of the CCH for an existing SID and removing the new SID if one had already been assigned. This added step actually slowed the process beyond the normal data entry into criminal history before the interface. This workflow problem was not within the original interface design and to correct it requires the added expense of an additional workflow change. This grant will be used to fund this workflow change.

The FBI will soon no longer accept hard-copy (inked) tenprint cards or hard-copy biometrics such as palmprints. The FBI has suggested that agencies update their current processing of inked tenprint submittals. In 2011 New Hampshire received 13,583 inked criminal tenprint cards. Whereas mailing the inked hard-copy tenprint cards to the FBI assures timely submission, the FBI by ceasing the acceptance of inked hard-copy tenprint cards prevents New Hampshire's limited resources and current AFIS capability from the timely scanning and forwarding of tenprint data to the FBI for inclusion into their criminal history record information database. This seriously jeopardizes officer safety and potentially fosters an air of false security with respect to hiring, housing, and licensing on the civil side.

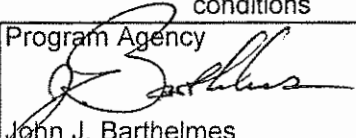
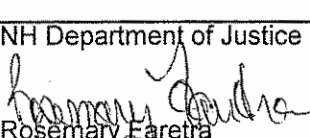
This plan is also consistent with another Department of Safety initiative, J-One, which will substantially improve our ability to track a particular offender from arrest/summons through completion of all requirements of a resulting sentence.

The 20% match requirement in the grant will be met with in-kind expenditures from Criminal Records.

In the event that Agency Income is no longer available, General and/or Highway funds will not be requested to support this program.

Respectfully submitted,


John J. Barthelmes
Commissioner

STATE OF NEW HAMPSHIRE DEPARTMENT OF JUSTICE GRANT AWARD		
Agency Name: Department of Safety		Vendor No.: 177878B001
Program Name: AFIS Equipment		Amount: \$ 129,450.00
Grant Start Date: 12/5/2012	State Grant Number: 2012RU01	
Grant End Date: 9/30/2014	Federal Grant Number: 2012-RU-BX-K003	
Appropriation No.: 02-20-20-201510-2909-072-500576		
Head of Agency	Project Director	Fiscal Officer
John J. Barthelmes Commissioner 33 Hazen Drive Concord, NH 03305 271-2791	Jeffrey Kellett Chief Administrator 271-2791	John T. Beardmore Director of Administration 271-2589
Federal Grant Name:	Bureau of Justice Statistics	
Federal Agency:	United States Department of Justice	
Bureau/Office:	Office of Justice Programs	
CFDA Number:	16.554	
Purpose of Grant:	Purchase of AFIS Technology Grant Period End Date Extension	
Financial Requirements:	See signed Program Conditions and Guidelines.	
Match Requirements:	20% match requirement Match must be spent on program allowable activities.	
Reporting Requirements:	Monthly or quarterly Financial reports Annual performance report and audit Adherence to State and Federal guidelines and conditions	
Approval	Program Agency	NH Department of Justice
Name	 John J. Barthelmes	 Rosemary Faretta
Title	Commissioner	Director of Administration
Date		
All terms of this grant award are not valid unless signed by both authorized parties.		



State of New Hampshire

DEPARTMENT OF SAFETY
OFFICE OF THE COMMISSIONER
33 HAZEN DR. CONCORD, NH 03305
603/271-2791

JOHN J. BARTHELMES
COMMISSIONER

*Fiscal # FIS 13-134
07-10-2013*

June 17, 2013

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

*Gr #96
07-10-2013*

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

Requested Action

Pursuant to RSA 14:30-a, VI, authorize the Department of Safety (DOS), Division of State Police, to amend Fiscal Item #FIS13-052, approved on March 08, 2013, and Item #70, approved by Governor and Council on March 20, 2013, by changing the end date only, with no increase in funding, **retroactive** from June 30, 2013, to a new end date of September 30, 2013, to align with the Federal Grant end date. Effective upon Fiscal Committee and Governor and Council approval for the period of July 1, 2013, through September 30, 2013. Funding Source: 100% Agency Income

Funds are budgeted in the following account:

02-23-23-234010-33450000 Dept. of Safety – Division of State Police – NHDOJ Grants
RSRC: 407085

Explanation

This requested action to amend the end date only is for two Highway Safety Federal grants that end on September 30, 2013, and as such are not budgeted in the 2014/2015 biennium budget. The Division received the grant after the budget was prepared, as this is a solitary award we do not foresee continuing to receive this award. This item is **retroactive** as the first scheduled Fiscal Committee meeting for the new biennium occurs after the original requested end date for this grant.

In accordance to the 1983 Interstate Identification Index compliance granted by the Federal Bureau of Investigation, the New Hampshire State Police, specifically the Criminal Records Unit, is the sole submitting agency for all criminal and applicant tenprint impressions to the FBI. All tenprint impressions, submitted via inked or electronically by the state, county, local law enforcement agencies, and every correctional facility, are forwarded to the state police Criminal Records Unit for processing and submission to the FBI.

The Department of Safety's Automated Fingerprint Identification System (AFIS) is part of a tri-state system, comprised of the states of New Hampshire, Maine, and Vermont (Tri-State). New Hampshire is the host state for the system, housing the back end of the AFIS. Each state uses its own independent workflow.

The current OmniTrak version of the Tri-State AFIS stores tenprint, Unsolved Latent File (ULF), Palmprint File, and Unsolved Latent Palmprint File data and has the capability of unilaterally updating the state's Central Criminal

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of The General Court

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council

June 17, 2013

Page 2 of 2

History (CCH) database with an arrestee's demographic information when tenprint data is entered into the AFIS either electronically by livescan capture or by inked tenprint impressions digitally scanned into the system.

New Hampshire's AFIS interfaced with its CCH in March of 2011. Shortly thereafter, it was discovered that when scanning an inked tenprint card into AFIS, a new State Identification number (SID) was assigned to the images without checking the CCH for an existing SID. This required a manual check of the CCH for an existing SID and removing the new SID if one had already been assigned. This added step actually slowed the process beyond the normal data entry into criminal history before the interface. This workflow problem was not within the original interface design, and to correct it requires the added expense of an additional workflow change. This grant will be used to fund this workflow change.


The FBI will soon no longer accept hard-copy (inked) tenprint cards or hard-copy biometrics such as palmprints. The FBI has suggested that agencies update their current processing of inked tenprint submittals. In 2011 New Hampshire received 13,583 inked criminal tenprint cards. Whereas mailing the inked hard-copy tenprint cards to the FBI assures timely submission, the FBI by ceasing the acceptance of inked hard-copy tenprint cards prevents New Hampshire's limited resources and current AFIS capability from the timely scanning and forwarding of tenprint data to the FBI for inclusion into their criminal history record information database. This seriously jeopardizes officer safety and potentially fosters an air of false security with respect to hiring, housing, and licensing on the civil side.

This plan is also consistent with another Department of Safety initiative, J-One, which will substantially improve our ability to track a particular offender from arrest/summons through completion of all requirements of a resulting sentence.

The 20% match requirement in the grant will be met with in-kind expenditures from Criminal Records.

In the event that Agency Income is no longer available, General and/or Highway funds will not be requested to support this program.

Respectfully,


John J. Barthelme
Commissioner

SP-CRU-01-2013-01



State of New Hampshire

DEPARTMENT OF SAFETY
OFFICE OF THE COMMISSIONER

33 HAZEN DR. CONCORD, NH 03305
603/271-2791

JOHN J. BARTHELMES
COMMISSIONER

January 14, 2013

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

*Fiscal # FIS 13-052
03-08-2013*

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

*GHC #70
03-20-2013*

Requested Action

Pursuant to RSA 14:30-a, VI, authorize the Department of Safety (DOS), Division of State Police, to accept and expend a sub-grant from the New Hampshire Department of Justice in the amount of \$129,450.00 to purchase software enhancements to the existing Automated Fingerprint Identification System (AFIS). Effective upon Fiscal Committee and Governor and Council approval through June 30, 2013. Funding Source: 100% Agency Income.

Funds are to be budgeted in the following account:

02-23-23-234010-33450000 Dept. of Safety -- Division of State Police -- NHDOJ Grants
RSRC: 407085
Amount: \$129,450.00

<u>Class</u>	<u>Description</u>	<u>Current Appropriation</u>	<u>Requested Action</u>	<u>Revised Appropriation</u>
009	Agency Income	\$ -	\$ (129,450.00)	\$ (129,450.00)
030-500312	Equipment	\$ -	\$ 129,450.00	\$ 129,450.00
	Total	\$ -	\$ 129,450.00	\$ 129,450.00

Explanation

In accordance to the 1983 Interstate Identification Index compliance granted by the Federal Bureau of Investigation, the New Hampshire State Police, specifically the Criminal Records Unit, is the sole submitting agency for all criminal and applicant tenprint impressions to the FBI. All tenprint impressions, submitted via inked or electronically by the state, county, local law enforcement agencies, and every correctional facility, are forwarded to the state police Criminal Records Unit for processing and submission to the FBI.

The Department of Safety's Automated Fingerprint Identification System (AFIS) is part of a tri-state system, comprised of the states of New Hampshire, Maine, and Vermont (Tri-State). New Hampshire is the host state for the system, housing the back end of the AFIS. Each state uses its own independent workflow.

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of The General Court

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council

January 14, 2013

Page 2 of 2

The current OmniTrak version of the Tri-State AFIS stores Tenprint, Unsolved Latent File (ULF), Palmprint File, and Unsolved Latent Palmprint File data and has the capability of unilaterally updating the state's Central Criminal History (CCH) database with an arrestee's demographic information when tenprint data is entered into the AFIS either electronically by livescan capture or by inked tenprint impressions digitally scanned into the system.

New Hampshire's AFIS interfaced with its CCH in March of 2011. Shortly thereafter, it was discovered that when scanning an inked tenprint card into AFIS, a new State Identification number (SID) was assigned to the images without checking the CCH for an existing SID. This required a manual check of the CCH for an existing SID and removing the new SID if one had already been assigned. This added step actually slowed the process beyond the normal data entry into criminal history before the interface. This workflow problem was not within the original interface design, and to correct it requires the added expense of an additional workflow change. This grant will be used to fund this workflow change.


The FBI will soon no longer accept hard-copy (inked) tenprint cards or hard-copy biometrics such as palmprints. The FBI has suggested that agencies update their current processing of inked tenprint submittals. In 2011 New Hampshire received 13,583 inked criminal tenprint cards. Whereas mailing the inked hard-copy tenprint cards to the FBI assures timely submission, the FBI by ceasing the acceptance of inked hard-copy tenprint cards prevents New Hampshire's limited resources and current AFIS capability from the timely scanning and forwarding of tenprint data to the FBI for inclusion into their criminal history record information database. This seriously jeopardizes officer safety and potentially fosters an air of false security with respect to hiring, housing, and licensing on the civil side.

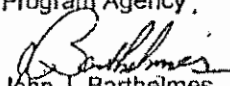
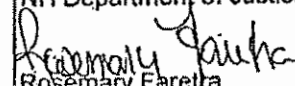
This plan is also consistent with another Department of Safety initiative, J-One, which will substantially improve our ability to track a particular offender from arrest/summons through completion of all requirements of a resulting sentence.

The 20% match requirement in the grant will be met with in-kind expenditures from Criminal Records.

In the event that funds are no longer available, General and/or Highway funds will not be requested to support this program.

Respectfully,


John J. Barthelmes
Commissioner

STATE OF NEW HAMPSHIRE DEPARTMENT OF JUSTICE GRANT AWARD			
Agency Name:	Department of Safety	Vendor No.:	177878B001
Program Name:	AFIS Equipment	Amount:	\$ 129,450.00
Grant Start Date:	12/5/2012	State Grant Number:	2012RU01
Grant End Date:	9/30/2013	Federal Grant Number:	2012-RU-BX-K003
Appropriation No.:	02-20-20-201510-2909-072-500576		
Head of Agency	Project Director	Fiscal Officer	
John J. Barthelmes Commissioner 33 Hazen Drive Concord, NH 03305 271-2791	Jeffrey Kellett Chief Administrator 271-2791	John T. Beardmore Director of Administration 271-2589	
Federal Grant Name:	Bureau of Justice Statistics		
Federal Agency:	United States Department of Justice		
Bureau/Office:	Office of Justice Programs		
CFDA Number:	16.554		
Purpose of Grant:	Purchase of AFIS Technology.		
Financial Requirements:	See signed Program Conditions and Guidelines.		
Match Requirements:	20% match requirement Match must be spent on program allowable activities.		
Reporting Requirements:	Monthly or quarterly Financial reports Annual performance report and audit Adherence to State and Federal guidelines and conditions		
Approval	Program Agency	NH Department of Justice	
Name	 John J. Barthelmes	 Rosemary Faretra	
Title	Commissioner	Director of Administration	
Date			
All terms of this grant award are not valid unless signed by both authorized parties.			

TITLE I THE STATE AND ITS GOVERNMENT

CHAPTER 14 LEGISLATIVE OFFICERS AND PROCEEDINGS

Legislative Budget Assistant

Section 14:30-a

14:30-a Fiscal Committee. —

I. There is hereby established a fiscal committee of the general court. Said committee shall consist of 10 members. Five shall be members of the house as follows: the chairperson of the finance committee and 2 other members of the committee, appointed by the chairperson; and 2 other house members appointed by the speaker of the house. Five members shall be members of the senate as follows: the chairperson of the finance committee and 2 other members of that committee, appointed by the chairperson; and 2 other senators appointed by the senate president. The chairperson of the house finance committee shall be the chairperson of the fiscal committee.

II. The committee shall, while the general court is in session and during the interim, consult with, assist, advise, and supervise the work of the legislative budget assistant, and may at its discretion investigate and consider any matter relative to the appropriations, expenditures, finances, revenues or any of the fiscal matters of the state. The members shall be paid the regular legislative mileage during the interim while engaged in their work as members of the committee.

III. The fiscal committee shall consider recommendations proposed to it by the legislative performance audit and oversight committee established under RSA 17-N:1. The fiscal committee shall adopt all recommendations proposed to it as provided in RSA 17-N:1, III by the performance audit and oversight committee unless the fiscal committee refuses by unanimous vote to adopt such recommendations.

IV. [Repealed.]

V. [Repealed.]

VI. Any non-state funds in excess of \$50,000, whether public or private, including refunds of expenditures, federal aid, local funds, gifts, bequests, grants, and funds from any other non-state source, which under state law require the approval of governor and council for acceptance and expenditure, may be accepted and expended by the proper persons or agencies in the state government only with the prior approval of the fiscal committee.

Source. 1965, 239:19. 1987, 416:7. 1989, 396:13; 408:2. 1991, 346:18, I. 1995, 9:8, eff. June 11, 1995. 2005, 177:11, eff. July 1, 2005. 2006, 290:21, eff. June 15, 2006.



MARGARET WOOD HASSAN
GOVERNOR

STATE OF NEW HAMPSHIRE
OFFICE OF ENERGY AND PLANNING
107 Pleasant Street, Johnson Hall
Concord, NH 03301-3834
Telephone: (603) 271-2155
Fax: (603) 271-2615



December 16, 2013

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House
Concord, NH 03301

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, NH 03301

REQUESTED ACTION

Pursuant to RSA 14:30-a, VI, the Office of Energy and Planning (OEP) respectfully requests authorization to **RETROACTIVELY** accept and expend funds in the amount of \$99,501.00 from the U.S. Department of Energy (US DOE), through the Clean Energy States Alliance (CESA), to participate in the New England Solar Cost-Reduction Partnership, effective September 30, 2013 upon Governor and Council approval through June 30, 2015. 100% Other Funds

01-02-02-024010-65100000 FY 2014 State Energy Programs				
Source of Funds				
Class	Description	Current Appropriation w/FY13 Balance Forward	Increase (Decrease)	Modified Budget
000-404590	Federal Funds	383,263.78	0.00	383,263.78
001-403543	Transfers from Other Agencies	0.00	0.00	0.00
007-403558	Agency Income	0.00	99,501.00	99,501.00
009-403544	Agency Income	402,231.37	0.00	402,231.37
Totals		785,495.15	99,501.00	884,996.15

Class	Description	Current Appropriation w/FY13 Balance Forward	Increase (Decrease)	Modified Budget
016-500141	Personal Services Non Class	47,500.00	0.00	47,500.00
020-500200	Current Expenses	800.00	2,678.00	3,478.00
026-500251	Organizational Dues	8,000.00	0.00	8,000.00
030-500310	Equipment New Replacement	1.00	0.00	1.00
039-500188	Telecommunications	750.00	472.00	1,222.00
041-500801	Audit Fund Set Aside	137.00	326.00	463.00
042-500620	Additional Fringe Benefits	4,988.00	0.00	4,988.00
049-500420	Transfer to Other State Agency	15,300.55	0.00	15,300.55
060-500601	Benefits	34,964.00	0.00	34,964.00

070-500704	In-State Travel Reimbursement	500.00	617.00	1,117.00
080-500719	Out-of-State Travel Reimbursement	3,500.00	6,539.00	10,039.00
102-500731	Contracts for Program Services	269,054.60	88,869.00	357,923.60
103-502664	Contracts for Operational Services	400,000.00	0.00	400,000.00
Totals		785,495.15	99,501.00	884,996.15

EXPLANATION

This request is **RETROACTIVE** due to the timing of the contract negotiations between OEP and CESA, and impacts related to the Government shutdown.

The *New England Solar Cost-Reduction Partnership* (Partnership) will help strengthen the solar market in the five-state region of New Hampshire, Connecticut, Massachusetts, Rhode Island and Vermont. The Partnership will refine and deploy innovations developed in the region for the US DOE Rooftop Solar Challenge I, as appropriate in each state, as well as solar best practices from across the region. CESA, a national nonprofit, will lead the partnership and coordinate the initiative, which has been awarded \$1.5 million under the US DOE SunShot Initiative Rooftop Solar Challenge II program.

Through this Partnership, OEP will develop initiatives, in partnership with key stakeholders, to advance solar photovoltaic (PV) deployment by reducing solar soft costs in the State of New Hampshire. These initiatives include reviewing local PV permitting and zoning policies and disseminating a model process for New Hampshire municipalities; reviewing the interconnection and approval process for the state's electric utilities and encouraging streamlining of the application process to reduce costs; and sharing information with other New England states in the Partnership. Four municipalities in New Hampshire have agreed to participate actively in the project; the cities of Berlin, Concord, Keene and Nashua, and the project will benefit all other interested municipalities.

The total grant for OEP's participation in the Partnership will not exceed \$150,000.00. In Budget Period 1, September 30, 2013 through March 2015, funding will be \$113,750.00. OEP seeks approval for \$99,501.00 at this time to cover anticipated expenses needed for the duration of Fiscal Year 2014. In Budget Period 2, April, 2015 through March, 2016, contingent upon US DOE's determination to continue funding the Project, funding will be \$36,250.00. As with other federal grants, OEP must provide a match, resulting in a \$6,840.00 in-kind cost share for Budget Period 1, and a \$4,560.00 in-kind cost share for Budget Period 2 to fulfill the US DOE award requirements. OEP will incur a total cost of \$11,400.00 over the duration of this Partnership. The cost share will be met through existing staff time that will be devoted to this project.

OEP did not anticipate when the FY14/15 budget was developed that it would receive these funds and is therefore requesting that these funds be added to its budget at this time in order to benefit from this partnership.

Funds are requested for the following purposes:

Class 020 – Current Expenses	To cover state vehicle and office supply expenses related to the project
Class 039 – Telecommunications	To cover phone services related to the project
Class 041 – Audit Fund Set Aside	To cover 0.01% of the award for audit-set-aside
Class 070 – In-State Travel Reimbursement	To cover travel needed for meetings
Class 080 – Out-of-State Reimbursement	To cover travel for regional market meetings

Class 102 – Contracts for Program Services

To contract with experts to review permit requirements for solar PV; research ways to streamline or simplify utility interconnection and approval processes for solar; and provide online tools for municipalities that wish to reduce barriers to solar installations

In the event that Other Funds are no longer available, General Funds will not be requested to support these programs.

Respectfully submitted,



Meredith A. Hatfield
Director

MAH/BS
Enclosures

Fiscal 01/10/14
G&C 01/15/14

FISCAL SITUATION
 State Energy Programs
 010-002-6510-0000
 FY 2014

<u>Funding Information</u>	<u>Grant Award</u>	<u>Grant Period</u>	<u>Award Amount</u>	<u>Expended Thru FY13</u>	<u>Funding Authorization Remaining</u>	<u>Date of Notification</u>
SEP PY 14	DE-EE0006204	07/01/13 - 06/30/14	310,200.00	0.00	310,200.00	06/27/13
Competitive SEP -EERS	DE-EE0005463	09/30/11 - 03/31/14	379,330.00	129,103.05	250,226.95	12/07/12
SHOPP 13	DE-EI0000930	09/15/12 - 09/14/13	13,500.00	11,592.86	1,907.14	08/30/12
SHOPP 14	DE-EI0000930	09/15/13 - 09/14/16	13,500.00	0.00	13,500.00	09/06/13
SB 99 Chapter 134			200,000.00	0.00	200,000.00	06/26/13
SB 191 Chapter 276			200,000.00	0.00	200,000.00	07/24/13
CESA		09/30/13 - 03/30/16	150,000.00	0.00	150,000.00	09/17/13
Total			1,266,530.00	140,695.91	1,125,834.09	
Less: FY14 Budget Authorization					536,140.00	
Less: FY14 Balance Forward					249,355.15	
Less: SEP Grant in A/U 6400					132,739.47	
Less: SEP Grant in A/U 6570					4,609.26	
Less: SEP Grant in A/U 7705					11,111.56	
Less: SHOPP Grant in A/U 6400					3,736.56	
Available for Budgeting					188,142.09	
Requested Action					99,501.00	
Balance to be Budgeted at a later time					88,641.09	



Contract between

**Clean Energy States Alliance, Inc.
and
the New Hampshire Office of Energy and Planning**

WHEREAS, the Clean Energy States Alliance, Inc. ("CESA") is a U.S. nonprofit corporation that works with state clean energy funds and other funders of clean energy projects and has extensive knowledge and experience in state clean energy policies, regulations, and programs; and

WHEREAS, CESA has been awarded a U.S. Department of Energy Award, No. DE-EE0006305, in support of the New England Solar Cost-Reduction Partnership under its FOA Rooftop Solar Challenge II ("Project");

WHEREAS, the New Hampshire Office of Energy and Planning ("CONTRACTOR") is confirmed as a partner on this Project and identified as a sub-recipient under Award No. DE-EE0006305;

WHEREAS, CESA, in partnership with the CONTRACTOR and four other New England States, has developed a Statement of Project Objectives ("SOPO") with U.S. Department of Energy to perform the tasks and complete the deliverables as stated in the Project proposal, which is included as Attachment A to this contract;

NOW THEREFORE, CESA and the CONTRACTOR hereby agree as follows:

1) WORK TO BE PERFORMED

The purpose of this contract is to have the CONTRACTOR prepare and implement program activities in support of the completion of tasks described in the SOPO for the Project that pertain to the State of New Hampshire and its participating municipalities. The CONTRACTOR will also take responsibility for collecting information about policies, regulations, and incentives in the State of New Hampshire that relate to solar photovoltaics ("PV"). In addition, the CONTRACTOR shall provide support and expertise to this Project as more fully described in the SOPO and as modified by CESA, provided that any modifications affecting the CONTRACTOR's services are subject to and conditioned upon the CONTRACTOR's approval.

2) TERM OF CONTRACT

The term of this contract is from September 30, 2013 through March 30, 2016, subject to a go/no-go decision by the U.S. Department of Energy after the first 18 months of award No. DE-EE0006305, and subject to approval by the New Hampshire Governor and Executive Council.

3) CONTRACT BUDGET

This contract contains two distinct budget periods with the first period comprised of 18 months and the second period of an additional 12 months. These two budget periods reflect U.S. Department of Energy's decision making process for funding the Project after the first 18 months. At the conclusion of the first 18 months, U.S. Department of Energy will make the determination whether to fund the Project for an additional 12 month period.

The total amount obligated under this contract by CESA to the CONTRACTOR shall not exceed US \$150,000.00 as follows. The first 18-month obligation for Budget Period 1 shall be \$113,750.00. The following 12-month obligated amount for Budget Period 2, contingent upon U.S. Department of Energy's determination to continue funding the Project, shall be \$36,250.00. The CONTRACTOR will perform work according to the hourly rates shown in the fee schedule in Attachment B (Budget Justification Form). The CONTRACTOR shall not be responsible for conducting additional requested tasks if such services will cause the obligation by CESA to exceed \$150,000.00 unless CESA authorizes an increase. The obligated amount of \$150,000.00 includes the CONTRACTOR's travel expenses to attend Project meetings or conference call expenses to participate in Project meetings and conduct teleconferences needed for this project. The CONTRACTOR shall not be required to travel without advance approval by CESA and confirmation that allowable expenses incurred will be reimbursed. This contract's obligated amount shall be the exclusive payment owed to the CONTRACTOR under this agreement. However, as part of this agreement, the CONTRACTOR shall provide a \$6,840.00 in-kind, cost-share for Budget Period 1 and a \$4,560.00 in-kind, cost-share for Budget Period 2 to fulfill the U.S. Department of Energy award requirements such that the CONTRACTOR shall incur a total cost of \$161,400.00 over the duration of this Project.

Notwithstanding any provision of this Agreement to the contrary, all obligations of the CONTRACTOR hereunder, are contingent upon the availability and continued appropriation of funds.

4) PRINCIPALS

A) The person responsible for managing this contract for the CONTRACTOR is Karen Cramton. His/her contact information is as follows:

Karen Cramton

Deputy Director and Administrator of Energy Programs
New Hampshire Office of Energy and Planning
107 Pleasant Street, Johnson Hall
Concord, NH 03301-3834
Ph: 603-271-8341
karen.cramton@nh.gov

B) The person responsible for managing this contract for CESA is Warren Leon. His contact information is as follows:

Warren Leon
Clean Energy States Alliance
50 State Street, Suite 1
Montpelier, VT 05602
Ph: 978-317-4559
WLeon@cleanegroup.org
cc: Maria@cleanegroup.org and nate@cleanegroup.org

C) If either of the persons identified in 4(A) and 4(B) (“Principals”) or any of the contact information for the Principals in 4(A) or 4(B) changes, the party will notify the other party in writing of the changes.

5) DELIVERABLE AND TIMELINES

While the CONTRACTOR will participate in a wide range of the tasks, it will take special responsibility for the following tasks:

- 1) Develop a plan for how CONTRACTOR will advance solar PV soft-cost reductions in the state of New Hampshire.
- 2) Review existing permitting and zoning guidance from Connecticut and Massachusetts; develop and disseminate a model process for New Hampshire based on the model developed by Connecticut and Massachusetts in Rooftop Solar Challenge I. The NH model process will be distributed to 200 New Hampshire towns along with background information.
- 3) Review the interconnection and approval process for the four main utilities in the State of New Hampshire; meet with the key utilities individually to encourage them to work together to standardize and streamline the application process to reflect proven cost reduction methods from the other New England States.
- 4) Research the feasibility of defining a common interconnection application process and common inspection process and time for all utilities; develop an online information packet accessible from the CONTRACTOR’S website explaining the interconnection process .

- 5) Report on the applicability of a 10-day interconnection registration process for the state of New Hampshire.
- 6) Help foster adoption or prepare a Town Meeting presentation of the model permitting process in at least 6 New Hampshire municipalities.
- 7) Meet with CESA and other participating state representatives to share information and identify best practices for minimizing rooftop PV soft costs.

Additional tasks the CONTRACTOR will be responsible for and the timeline for completion are delineated in Attachment A, the Project SOPO.

The CONTRACTOR is responsible for conducting all of its activities in accordance with the U.S. Department of Energy's Special Terms and Conditions set forth in Attachment C. Agreement to this contract constitutes the CONTRACTOR'S acceptance of the Special Terms and Conditions outlined in Attachment C.

Tasks and Schedule

The CONTRACTOR will participate in all aspects of the Project and will contribute, as requested and within the contract budget, to carrying out the tasks below. The CONTRACTOR will ensure that its work is completed in accordance with the Project timeline included in Attachment A and the Special Terms and Conditions set forth in Attachment C or as modified by mutual agreement.

6) REPORTING

- A. The CONTRACTOR shall submit brief quarterly progress reports as agreed upon by electronic mail to the contracting manager and/or by completing online updates on a project management platform. The progress reports will address the status of the work and any issues that affect the performance of this contract or the work products. Completion of identified tasks will satisfy progress report requirements.
- B. The CONTRACTOR shall also submit quarterly narrative reports (of 1-5 pages in length) to the CESA Contract Manager and his assigns (as a Word Document, submitted by email), due on January 7, April 7, July 7, and October 7 of each year. The reports shall provide a brief description of the work and activities performed in the previous quarter in relation to the relevant Tasks and Subtasks described in the Attachment A, while the Project is ongoing. The quarterly reports shall provide an overview of what was accomplished under these goals and objectives established for this reporting period, including major activities, significant results, major findings or conclusions, key outcomes or other achievements. A written comparison of the actual project accomplishments with the project goals and objectives established for the reporting period; if goals and/or objectives for the reporting period were not met, a detailed

description of the variance shall be provided.

- A. The CONTRACTOR shall work in good faith with CESA to provide any currently unidentified reports or other information as needed to meet U.S. Department of Energy reporting requirements.

7) CONFIDENTIALITY

All CONTRACTOR communications with CESA and its other contractors in connection with the professional services performed under this contract are regarded as confidential and may not be disclosed to any party unless the CESA Contract Manager listed in paragraph 4(B) agrees in writing to such disclosure, or such materials are required to be disclosed pursuant to New Hampshire's Right-to-Know Law, RSA 91-A. The CONTRACTOR shall notify CESA of any request for information related to this contract. Confidential information does not include ideas, concepts, know-how or techniques related to information that, at the time of disclosure, is in the public domain or information that is independently developed, already possessed without obligation of confidentiality, or rightfully obtained from a third party without an obligation of confidentiality.

8) COPYRIGHT

All reports, presentations, and any other materials created by the CONTRACTOR under this contract shall be provided to CESA unless otherwise required by the Special Terms and Conditions set forth in Attachment C.

9) INVOICING

A) The CONTRACTOR will submit monthly invoices by the 7th of each month reflecting professional services performed, labor costs incurred, cost share contributed, and expenses incurred under this agreement. A budget justification for the CONTRACTOR's participation in the Project is included as Attachment B. Invoices will include the following items:

- i) Name and complete address of the CONTRACTOR;
- ii) Date of invoice;
- iii) Billing period covered by invoice;
- iv) *DOE Award No. DE-EE0006305*;
- v) Description of services/products being billed, including:
 - (a) Total hours and costs by individual and/or labor category billed;

- (b) Total labor costs;
 - (c) Total other direct costs by cost category (travel or conference calls);
 - (d) Total Cost Share amount contributed;
 - (e) Current and cumulative amounts for each line item being billed; and
 - (f) Total amount due on the current invoice.
- vi) A certification signed by an authorized representative of the CONTRACTOR, as follows:

“The undersigned hereby certifies to the best of my knowledge and belief that the invoice and any attachments have been prepared from the books and records of New Hampshire Office of Energy and Planning in accordance with the terms of this contract and are correct; the sum claimed under this invoice is proper and due, and all the costs of contract performance have been paid or will be paid currently by New Hampshire Office of Energy and Planning when due in the ordinary course of business; the work reflected by these costs have been performed, and the quantities and amounts involved are consistent with the requirements of this contract; and all required CESA approvals have been obtained.”

B) The CONTRACTOR’s invoices will be emailed to:

Anthony Vargo, CFO
Clean Energy States Alliance
50 State Street, Suite 1
Montpelier, VT 05602

phone: 802.223.2554 x214
fax: 802.223.4967
email: tony@cleanegroup.org
cc: WLeon@cleanegroup.org

10) TRAVEL

The CONTRACTOR must comply with U.S. government travel regulations when arranging travel.

11) PAYMENTS

- A. CESA will invoice the U.S. Department of Energy monthly and will include the CONTRACTOR’s most recent payment request in that invoice. CESA will make payment to the CONTRACTOR within seven (7) business days upon receipt of payment from the U.S. Department of Energy.
- B. CESA will not be responsible and will not pay any late fees, interest, or any other carrying charges due to slow payments from the U.S. Department of Energy.

C. Payments shall be payable to "State of NH - Office of Energy and Planning" and payments shall be sent and related inquiries shall be to:

Donna McFarland, Business Director
NH Office of Energy and Planning
c/o Donna McFarland
107 Pleasant Street – Johnson Hall
Concord, N.H. 03301-3834
Ph: (603) 271-1098
donna.mcfarland@nh.gov

12) RECORD KEEPING

These funds are subject to audit. The CONTRACTOR agrees that it will maintain complete financial records for all of its costs incurred under this contract for a period of three (3) years after the execution of this contract and will cooperate with CESA in satisfying any audit requests unless otherwise required by the Special Terms and Conditions set forth in Attachment C.

13) TERMINATION

This contract may be terminated by CESA at any time should the U.S. Department of Energy provide notice that it will terminate or suspend DOE Award No. DE-EE0006305. In that event, CESA will be responsible only for payment of the professional services of the CONTRACTOR that have been performed to that time.

14) ASSIGNMENT

This contract shall not be transferred or assigned by the CONTRACTOR without prior consent of CESA.

15) LIABILITY

The CONTRACTOR is an independent contractor and not an employee, partner, agent of, or joint venture with CESA for any purpose. The CONTRACTOR is responsible for all insurance (including liability insurance), taxes and other financial obligations and requirements relative to the service performed under this contract. The CONTRACTOR shall have no claim against CESA hereunder or otherwise for vacation pay, sick leave, retirement benefits, social security, worker's compensation, health or disability benefits, unemployment insurance benefits, or employee benefits of any kind.

16) NOTICES

All notices, requests and other communications under this contract must be in writing or via electronic mail, and shall be deemed to have been given on the date sent, if by electronic mail, or delivered, if delivered by hand or by a generally accepted overnight delivery service, and on the third business day after deposited in the U.S. mail, if mailed by first class, certified or registered mail, return receipt requested, postage and registry fees prepaid, and addressed to the Principals.

17) FULL CONTRACT

This contract, including Attachment A, B, and C, embodies the entire agreement and understanding between the CONTRACTOR and CESA and supersedes all prior contracts and understandings, oral or written, relating to this Project. It is subject to the approval of the NH Governor and Executive Council.

18) AMENDMENT OF THIS CONTRACT


No change, alteration or modification of this contract may be made except in writing signed by the parties.

19) SAVINGS CLAUSE

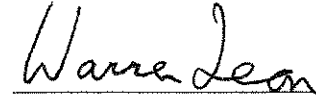
If a court of competent jurisdiction finds any section of this contract to be void and unenforceable, the remaining sections of the contract continue in effect as though the unenforceable section does not exist.

CONTRACTOR
by:

CLEAN ENERGY STATES ALLIANCE
by:



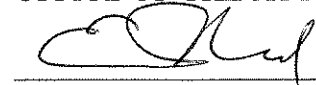
Meredith Hatfield 11/14/13
Director date
New Hampshire Office of Energy and Planning



Warren Leon 11/11/13
Executive Director date
Clean Energy States Alliance

The preceding Contract, having been reviewed by this office, is approved as to form, substance, and execution.

Date 11/19/13

OFFICE OF THE ATTORNEY GENERAL


Name: Evan Mulholland 11/19/13
Title: Assistant Attorney General

ATTACHMENT A

Statement of Project Objectives Attached as Separate Document

STATEMENT OF PROJECT OBJECTIVES

New England Solar Cost-Reduction Partnership

A. PROJECT OBJECTIVES

The New England Solar Cost-Reduction Partnership will reduce solar soft costs in the region by pursuing three broad objectives. Each objective individually has potential to reduce costs and will build on each other. The objectives are:

1. Increase coordination among five states—Connecticut, Massachusetts, New Hampshire, Rhode Island, and Vermont—and with key stakeholders in those states.
2. Refine, combine, and deploy innovative tools and practices from Connecticut and Massachusetts Rooftop Solar Challenge I (RSC I) projects, and from other earlier efforts in those states and Vermont.
3. Implement other best practices more widely across the region, especially with the objective of achieving more consistent policies and practices across state lines.

B. PROJECT SCOPE

The New England Solar Cost-Reduction Partnership seeks to reduce soft costs by implementing identified best practices across five states. The project will build a strong collaboration among the key agencies and staff responsible for deploying rooftop solar photovoltaics (PV) in their respective states: Connecticut's Clean Energy Finance and Investment Authority (CEFIA), the Massachusetts Department of Energy Resources (MA DOER), the New Hampshire Office of Energy and Planning (NH OEP), the Rhode Island Office of Energy Resources (RIOER), and the Vermont Public Service Department (VT PSD). Additional state agencies, major utilities, and other stakeholders will be key partners in the project. Clean Energy States Alliance (CESA) will coordinate the project, serve as project administrator, and lead the team towards achievement of a robust regional New England solar PV market.

The goal of this project is to drive down solar soft costs by building a five-state regional market with 13 million residents and creating more consistent, streamlined processes. We will tackle a wide range of barriers involving all the major RSC II action areas: permitting and interconnection processes, financing options, planning and zoning rules, and net metering and interconnection standards. We will leverage prior successes and lessons learned and utilize the RSC II funds and opportunity as a springboard to a stronger market with reduced costs.

C. TASKS TO BE PERFORMED

BUDGET PERIOD 1

TASK 1: INCREASE COORDINATION AMONG THE FIVE STATES. (Ongoing) The states will work together to exchange information that will form the basis for cooperative action. They will also make sure that they understand the perspectives and needs of the solar industry in the region and of the key utilities. Information sharing among the states and better understanding of the needs of stakeholders will identify efficiencies, potential collaborative activities, and paths for reducing soft costs.

The challenge of collaborating among the various agencies and states involved in this project represents a key risk, but the benefits of engaging multiple states outweigh those risks. The players are all committed to the common goals of reducing solar costs and building a strong regional market for solar and they have the motivation to work together cooperatively. We will maintain effective communication and group decision-making without bogging down the project in unnecessary bureaucracy or excessive meetings.

Thirteen jurisdictions have committed to work with us closely and to implement concrete changes to their practices. Beyond that, we will engage as many municipalities in the region as possible, disseminating information and offering tools to all partnership states and targeting high rates of adoption of tools and best practices.

Subtask 1.1. Hold a one-day, in-person initial meeting with representatives of the five states.

(Early Q1) This meeting will enable the states to share information about solar practices and policies, to agree on specific ways to work together, and to establish protocols for sharing data to ensure that the various states can access each others' information without running afoul of state information disclosure policies and confidentiality rules. The meeting will be followed by monthly conference calls.

Subtask 1.2. Survey the solar policies and solar costs in the five states in order to identify differences and best practices.

(Q1) This information will be used to move towards greater uniformity in policies among the states. We will (a) use a shared online resource to compile information from the states; (b) share information on what data each state collects on PV installations and agree on some common data collection protocols going forward; (c) share information on PV installation costs in the five states in order to understand the variations in costs and to identify ways to bring down costs in the higher-cost locations; (d) identify key differences between the ways in which the states compile information; and (e) identify best practices among the states.

Subtask 1.3. Meet as a group with the solar industry from all five states. (Q1) At this meeting we will gather ideas for bringing down soft costs, with an emphasis on understanding inefficiencies caused by having different rules and practices in different jurisdictions. We anticipate that state and regional trade associations will attend, as will representatives of about 25 different solar installation firms. We will include bankers, companies that offer solar PPAs, and other individuals who are involved in solar financing. Using a list-serve and quarterly conference calls, we will maintain contact with the solar industry representatives over the course of the project in order to get their feedback on initiatives the states may pursue.

Subtask 1.4. Coordinate with utilities from across the region. (Q1-Q2) The major utilities in the region, most of which span multiple states, have committed to work with us to drive down solar soft costs. The five states will build on current collaborative efforts with these utilities, and will work with the utilities during the project period to discuss best practices and primary ideas for increasing regional standardization. The project team will work with each of these utilities to identify specific improvements and steps that the utility can and will take during the course of the project. The utilities will also be asked to comment on the approaches that the states are considering to reduce solar costs.

Subtask 1.5. Identify practices and policies with significant potential to bring down solar costs that can be implemented widely across the region.

(Priority practices identified, Q1; educational outreach, Q2-3) We will consider practices being used in New England and elsewhere. We will determine which practices and policies should receive priority; for each, we will develop and carry out an educational outreach strategy to ensure that all the states understand the recommendations. State agency staff and other experts who have been involved with each practice will be available to provide guidance and assistance to the project participants.

Subtask 1.6. Hold monthly conference calls of the five states for project coordination. (Ongoing)

Milestone (Task 1): states agree on shared data collection protocols and best practices. (Completed Q1) Based on their internal analysis (Subtask 1.2), but also the input from stakeholders (Subtasks 1.3 and 1.4), the states will agree on procedures to jointly follow and on practices they wish to implement more widely.

Milestone (Task 1): solar installer participation in the project. (Q1-6) Solar installers representing more than an estimated 60% of the installations in the five states will participate in project meetings and conference calls.

Milestone (Task 1): report on PV costs and best practices in the five states. (Completed Q3) A written report will summarize the conclusions and outcomes from the analysis conducted and input gathered under Task 1. The report will indicate what stakeholders can do to help the state agencies more widely implement the identified best practices. We will disseminate the report to staff of energy-related state agencies in the five states, utilities, solar installers, jurisdictions participating in this project, nonprofit organizations in the region that work to advance solar energy, and other stakeholders. CESA will hold a webinar to discuss the findings in the report.

Milestone: (Task 1) utility commitments. (Completed Q2) Each participating utility will have a plan of specific steps it will take to reduce solar soft costs during the remainder of BP 1 and 2.

TASK 2: FURTHER DEVELOP INNOVATIONS AND PRACTICES IN CONNECTICUT, MASSACHUSETTS, AND VERMONT THAT CAN HAVE BROAD APPLICABILITY IN DRIVING DOWN COSTS. Connecticut and Massachusetts have developed highly promising practices and tools through their RSC I projects, as well as through other separately funded activities. Vermont is pursuing other important innovations, such as electronic net metering and updated interconnection rules. This project will expand the implementation of seven initiatives in their originating states. We will roll out these approaches in the other states in the region, as appropriate.

Subtask 2.1. Have model permitting processes adopted more widely. (Collaboration of the two states, Q1-Q2; efforts to encourage adoption of model processes, months Q3-Q6) Early in the project period, DOER and CEFIA will collaborate to share best practices from each state's development of model permitting processes under RSC I, and will explore possibilities for amending each state's model to achieve greater regional standardization of permitting. DOER and CEFIA will then conduct outreach and provide support to encourage the widespread municipal adoption of these permit processes in their own states. The dissemination will include webinars, posting of educational materials on websites, outreach by DOER regional coordinators, other DOER staff, and CEFIA staff.

Milestone: In discussions between CT and MA, determine the best model permitting documents and process to recommend across states. (Completed Q2)

Milestone: Model solar permitting process disseminated to all 351 MA municipalities and all 169 CT municipalities. (Completed Q3)

Deliverables: Model permit process and process improvement elements adopted by 20 MA and 20 CT municipalities. (Completed Q6)

Subtask 2.2. Implement online permitting system. (Q1-6) CEFIA funded Simply Civic to develop an online permitting system that can simplify and handle not only solar permitting but a municipality's entire permitting needs. CEFIA and Simply Civic will pilot the system in CT municipalities, modify it as needed, and then roll it out more widely. The system will be available free to all CT towns through the end of the contract for this project with DOE. Other states will explore using the system.

Milestone: Simply Civic demos given to 50 CT and 10 MA municipalities. (Completed Q6)

Deliverable: Online permitting adopted by 8 CT municipalities. (Completed Q6)

Subtask 2.3. Introduce model solar zoning and have it adopted. (Q1-6) DOER developed a model solar zoning bylaw, addressing both rooftop and ground-mounted systems of all sizes. MA DOER will introduce this bylaw across MA and work to get all or part of it adopted in multiple municipalities.

Milestone: Model solar zoning documents disseminated to all 351 MA municipalities.

(Completed Q2) **Deliverable:** Model solar zoning adopted by 5 MA municipalities with 5 more MA municipalities targeted for potential adoption. (Completed Q6)

Subtask 2.4. Implement the Solarize community outreach model more widely. (Q 1-6) Both CEFIA and MassCEC have worked with communities to deploy coordinated education, marketing, and outreach, combined with group purchasing, to increase market penetration and reduce costs. They will apply it in an additional 21 municipalities. This will represent an increased rate of Solarize implementation, since the most recent Solarize round in the two states comprised 15 municipalities.

Milestone: 21 communities selected for Solarize. (Completed Q2)

Milestone: Group purchase period ends in the 21 communities, with contracts signed by system purchasers. (Completed Q4)

Deliverable: Implement Solarize in at least 10 MA and 11 CT communities, achieving a projected 20% reduction in installed costs. (Completed Q5)

Subtask 2.5. Implement improved solar financing options. (Q1-6) CEFIA has made excellent progress in developing innovative financing programs, including the CT Solar Loan, the CT Solar Lease II, and a Commercial PACE program, and it will continue to refine these programs and disseminate them across the state. Additional loan and lease products will further reduce the reliance on ratepayer subsidies. CEFIA will work closely with commercial banks to develop viable financial products as the state moves away from rebates and subsidies.

Deliverable: At least 75% of active local solar PV installers in Connecticut trained to utilize innovative financing programs/products. Ratepayer contribution to installed cost reduced to 20% while shifting toward financing. (Completed Q5)

Subtask 2.6. Allow for electronic net metering registration. (Q1-6) VT PSD seeks to further simplify the net metering process and reduce the associated soft costs. The agency will work to change the net metering registration to allow for electronic submission. In addition, for those projects that currently require inspections (e.g., commercial and public buildings and projects within the City of Burlington), the Department will work with the City to shorten inspection times, lower costs, and create uniformity of inspection criteria.

Deliverables: Electronic submission of net metering registration implemented throughout Vermont. The complexity of the inspection process in Burlington simplified so that most systems require only one comprehensive inspection (Completed Q6).

Subtask 2.7. Update interconnection rules. (Q1-6) During a planned upcoming revision of the Vermont's net metering rules at the Public Service Board, the Board and the Department will work together to update and improve the interconnection rules and the interconnection approval process. As part of this process, VT will review best practices in other New England states that have extensive experience in this area.

Deliverables: VT's revised interconnection rules will be approved and will eliminate the external disconnect and insurance requirements and will no longer exceed FERC standards (Completed Q6).

Subtask 2.8. Identify applicability of the seven practices above to the other states in the five-state region. (Review and determine applicability, Q1-2; for applicable measures, carry out outreach and training for other states, Q2-3) The originating state will share information about the innovation with the other states. To the extent that the other states seek to implement similar measures, the originating state will provide advice and information.

Milestone: Each state will identify at least two of the seven practices that it intends to work on. (Completed Q2).

Deliverable: After receiving training, each state will develop a plan for how it will advance the two practices that it intends to focus on. (Completed Q3).

Deliverable: Each state will have work underway to carry out those plans. (Completed Q6).

TASK 3: IMPLEMENT BEST PRACTICES MORE WIDELY ACROSS THE REGION. We will implement other practices in addition to the seven above. While a key way to reduce solar costs is by achieving greater uniformity across states, we realize that political considerations, local preferences, and varied government structures can make that difficult in some cases. We will concentrate on practices for which rapid progress is possible and will consider flexible solutions that remove market barriers when strict uniformity is infeasible. Until the inventory described above in Subtask 1.5 is completed, the relative priority of the various practices is unknown, but we have identified eight areas in which the states will devote attention and expect to make measurable progress.

Subtask 3.1. Provide municipalities with permitting and zoning guidance. (Q1-6) CEFIA and MA DOER will collaborate to determine ways in which each state's model process might be amended to achieve greater regional standardization. Resources from those two states will then be adapted to other states. For example, a CEFIA Permitting Guide that is being made available to all CT municipalities includes a standardized solar PV permit application, guidance on online permitting, recommendations on achieving a model permit process, an inspection checklist, and a model solar PV ordinance, and benefits analysis showing why streamlined permitting will benefit a local jurisdiction. This guidebook, as well as MA DOER's model permitting process, will inform permitting guidance in other locations. NH OEP and RI OER will review existing town policies in those states; with the CEFIA and DOER materials for guidance, they will each develop a model process and will disseminate it, along with educational resources, to towns across the state. RI will also develop a model as-of-right solar zoning ordinance.

Milestone: Model process distributed to all 200 NH and 39 RI municipalities. (Completed Q5)

Deliverable: At least 10 municipalities will have taken steps towards implementing process improvements. (Completed Q6)

Subtask 3.2. Disseminate structural review guidance for municipal building inspectors. (Q1-6) MA DOER has developed structural review guidance that building inspectors and project developers can use for rooftop systems up to 10kW on single and two-family homes. The guidance includes a prescriptive process for streamlined review of systems that meet certain requirements (in terms of the structural integrity of the roof and the components of the system). CT has developed a strategy to eliminate unnecessary reviews by professional engineers. MA and CT will review each others' strategies, and combine the approaches or expand either or both as appropriate. All the partner states are interested in utilizing the structural guidance materials from RSC I and modifying it, if necessary, to make it applicable to conditions in their state.

Milestones: Structural review guidance for single and two-family homes distributed to municipal building inspectors in all states. (Three states by end of Q4; the remaining two states by end of Q5).

Deliverable: Structural review guidance being used in municipalities representing more than 1 million people. (Completed Q6)

Subtask 3.3. Work with utilities. (Ongoing) (1) NH OEP will review the interconnection application and approval process for the four main utilities in the state. NH OEP will then meet individually with the key utilities to encourage them to work together to standardize and streamline the application and process to reflect proven cost-reduction methods from the other New England states. (2) NH OEP will research the feasibility of defining a common application process and common inspection process and time for all utilities. The agency will also develop an online information packet accessible from the OEP websites, and potentially also from utility websites, explaining the interconnection process for municipalities, schools, businesses, and residents. (3) MA DOER will continue to work with Massachusetts utilities to implement recommendations of the state's

Distributed Generation Working Group. (4) CT will provide utilities with updated interconnection improvement recommendations from research in RSC I and ask the utilities to identify improvements to be targeted during RSC II and estimate cost reductions associated with these targets.

Milestone: Online information packet on the interconnection process made available in NH. (Completed Q6)

Deliverable: Based on each utility's solar cost reduction plan (Task 1), CESA will project the solar cost reductions that will result by state (Completed Q4).

Subtask 3.4. Implement financing options. (Q2-6) In addition to CEFIA's efforts to extend its existing work on innovative financing (Task 2.5), all the states will learn which financing options could be available to them and will determine whether it is appropriate to implement them. CESA will analyze the various options for the states, explain the advantages and disadvantage of each, and work with each state to identify specific financing options that could make sense for its situation. States other than Connecticut will take the following actions: (1) In coordination with revisions to the MA SREC program, MA DOER will explore forward minting of SRECs for the residential market. (2) RI OER will implement a residential Property Assessed Clean Energy (PACE) program that enables individual cities and towns to set up a program involving repayment of loans through third-party lenders. (3) VT PSD will create credit enhancements—both for the developers and for the off-takers of the power—to encourage financial institutions to provide debt for community solar projects.

Milestone: DOER will make a determination on the inclusion of forward minting in its SREC II program (Completed Q1)

Deliverable: If DOER determines to include forward minting of SRECs, it will implement this into the SREC II program. (Completed Q3)

Deliverable: VT's credit enhancement program will significantly increase available financing for community solar. (Completed Q6)

Deliverable: The RI residential PACE program will be implemented and operational in some of the state's municipalities. (Completed Q6)

Subtask 3.5. Determine applicability of 10-day interconnection registration process. (Q3) The State of Vermont requires solar projects to file a one-page interconnection application. Projects automatically receive certification in 10 days unless issues are raised. We will explore whether a variant of this approach can be applied in other states.

Deliverable: Each state will report on the applicability of the VT process and identify ways, if any, in which it can be relevant to improving interconnection in its state. (Completed Q2)

Subtask 3.6. Solar maps. CT will work with a vendor or business to design a tool by which residential customers can assess the benefits of installing solar PV on their properties.

Deliverable: CEFIA's selected vendor will perform analysis of the CT solar PV market and report to CEFIA on how its software will be configured for use by CT homeowners. (Completed Q5)

Milestone (Task 3): For three of the practices above that we determine to be priorities for regional implementation, we will produce written materials and online resources that will be useful in multiple states. We will distribute the materials through the CESA website and through the participating state agencies to government officials, utilities, solar installers, and other stakeholders. (Completed Q5)

GO/NO GO DECISION CRITERIA

DOE will make a determination to proceed with funding for the next Budget Performance period (BP2) based predominately upon the successful completion of 85% of the subtasks and deliverables for BP1 described in the approved SOPO. Other budgetary and programmatic constraints may apply.

The following criteria will be used to determine whether or not this project should proceed from BP 1 to BP 2:

- Five states are working together collaboratively and have taken meaningful steps to share policy and cost information, and to reduce the variations in practices among the states. The states have committed to concrete actions to reduce those variations during BP2 and have begun taking steps to do so during BP1.
- Each state has begun work on two priority practices and policies listed above in Tasks 2 and 3.
- Model permit process and process improvement elements have been adopted in 40 communities representing more than one million people, and leaders in 20 more municipalities intend to bring a model solar permit process and process improvement elements to a vote in BP 2.
- Online permitting is being used in at least 6 CT municipalities.
- Solarize initiatives in CT and MA communities continue to yield 20% cost savings for system hosts.
- Electronic submission of net metering registration implemented throughout Vermont, with evidence that this yields efficiencies.
- Structural review guidance is implemented by municipal building inspectors and is used in municipalities representing more than 1 million people.

BUDGET PERIOD 2

BP2 will consist of work on the same three general objectives as the first BP1. However, in BP2 we will not start or develop new initiatives, but will instead focus on implementing solar cost-reduction measures more widely in more jurisdictions. We will also identify lessons learned from the project to make sure that policymakers, the solar industry, and other stakeholders have a clear roadmap for how they can continue to reduce soft costs after the conclusion of the project.

TASK 4. CONTINUE COORDINATION AMONG THE FIVE STATES.

Subtask 4.1. Hold monthly conference calls of the five states for project coordination. (Ongoing)

Subtask 4.2. Identify lessons learned and recommendations for future actions. The states will meet to compare their experiences in disseminating cost-reduction measures and will identify those measures that have been most successful and make mid-course corrections to their implementation strategies. They will produce a working document with draft conclusions and recommendations that they will continue to modify over the rest of BP2. Towards the end of BP2, they will identify actions that should be taken after the conclusion of the project.

Milestone: States meet with CESA to identify successful measures and make mid-course corrections. (Completed Q7)

Milestone: Draft lessons learned document produced. (Completed Q7)

Milestone: A report describing the project's lessons learned, including which measures proved to have the greatest impact on reducing soft costs, changes that can most easily and productively be implemented more widely, barriers to further standardization of practices among the five states, and recommended actions that the states and various stakeholders should take after the conclusion of the project. The report will also be distributed to senior state policymakers, including legislative leaders, governors, and others. A webinar will discuss the report with a New England

audience. A second webinar for a national audience will draw attention to lesson learned that could be relevant to other parts of the country. (Completed Q10)

TASK 5. ACCELERATE ADOPTION OF THOSE INNOVATIONS AND PRACTICES THAT CONNECTICUT, MASSACHUSETTS, AND VERMONT WORKED ON AS PART OF TASK 2 ABOVE DURING BP1. These projects comprise (1) model permitting process, (2) online permitting system, (3) model solar zoning, (4) Solarize, (5) solar financing options, (6) electronic net metering registration, and (7) updated interconnection rules. We will also implement some of these measures in additional states.

Deliverable: Model permit process and process improvement elements adopted by an additional 20 MA and 20 CT municipalities. (Completed Q10)

Milestone: Simply Civic demos given to 25 more CT municipalities and at least 50 municipalities in other states. (Completed Q10).

Deliverable: Online permitting adopted by 4 more CT municipalities and at least 8 municipalities in other states.

Deliverable: Model solar zoning bylaw adopted by another 10 MA and 2 CT municipalities, plus 3 RI municipalities. (Completed Q10)

Deliverables: Pending continued program success and approval by the Boards of CEFIA and MA CEC, additional rounds of Solarize CT and Solarize MA will be implemented in 2015. (Completed Q10)

Deliverable: Solarize projects will be implemented in at least one of the states from among NH, RI, and VT. (Completed Q10)

Deliverable: An adapted Solarize program or other customer aggregation model will be developed and implemented in CT and at least one other state to target affinity groups such as large companies and also to reduce or eliminate formal program resources needed to enable group purchases. (Completed Q10)

TASK 6. IMPLEMENT BEST PRACTICES MORE WIDELY ACROSS THE REGION. We will continue to give attention to the areas described above in Task 3 of BP1, but we will give the bulk of our attention in BP2 to those areas that determined to be the priorities for regional action. The milestones and deliverables below will be minimum milestones we would expect to achieve. We plan to achieve more than these minimums in those areas that we determine to be the priorities for action.

Deliverable: Model permitting process either adopted by the municipality or prepared for presentation at Town Meeting in at least 6 municipalities in NH and 6 in RI. (Completed Q10)

Milestones: Structural review guidance for residential buildings distributed to municipal building inspectors in at least one additional state. By the end of BP 2, structural review guidance will be being used in municipalities representing more than 3 million people spanning at least three states. (Completed Q10)

Milestone: VT produces and distributes model contracts for group net metering contracts. (Completed Q10)

Deliverable: Solid evidence that the project's various activities have reduced solar soft costs by an average of 15% in municipalities representing at least 2.5 million people.

FINAL PROJECT RESULTS

We expect that the various activities, milestones, and deliverables listed above will lead to meaningful solar soft-cost reductions:

- We project that this project will lead to soft-cost reductions averaging 15% in municipalities representing at least 2.5 million people, including the 13 participating jurisdictions. There will

also be smaller soft-cost reductions in other municipalities. Some project activities will impact all the communities in a particular state.

- In the minimum of 20 Solarize communities in which we will work, almost all of which will be in addition to the 13 participating jurisdictions, total installed costs (not just soft costs) for PV projects will be reduced by 20%.
- There will be a doubling of residential solar PV capacity additions from the prior year in at least two states in the region.

We will produce a report documenting the soft-cost reductions produced by this project, not just in participating jurisdictions but in other municipalities in the five states. The report will also compile all the policy and practice changes caused by this project. We will distribute the report to staff of energy-related state agencies in the five states, utilities, solar installers, the municipalities that took action over the course of the project, nonprofit organizations in the region that work to advance solar energy, and other stakeholders. In addition, CESA will disseminate relevant sections of the report and its findings to other states outside New England that could benefit from the information. That will be done through CESA meetings, webinars, and the CESA website.

ATTACHMENT B

NH OEP Budget Justification Attached as Separate Document

Instructions and Summary

Award Number: DE-FOA-0000788
Award Recipient: Clean Energy States Alliance

Date of Submission: 3/22/2013
Form submitted by: NH OEP

(May be award recipient or sub-recipient)

**Please read the instructions on each page (worksheet) before starting.
If you have any questions, please ask your DOE contact. It will save you time!**

On this form, provide detailed support for the estimated project costs identified for each Category line item within each worksheet (budget items under different tabs).

- The dollar amounts on this page must match the amounts on the associated application.
- The award recipient and each sub-recipient with estimated costs of \$100,000 or more must complete this form and ensure it matches the application.
- The total budget presented on this form and on the application must include both Federal (DOE), and Non-Federal (cost share) portions, thereby reflecting TOTAL PROJECT COSTS proposed.
- For costs in each Object Class Category, complete the corresponding worksheet on this form (tab at the bottom of the page). Include a brief narrative explanation of the costs in the "Additional Explanations/Comments" section to justify the costs.
- All costs incurred by the preparer's sub-recipients, vendors, contractors, consultants and Federal Research and Development Centers (FFRDCs), should be entered only in section f. Contractual. All other sections are for the costs of the preparer only.

BURDEN DISCLOSURE STATEMENT

Public reporting burden for this collection of information is estimated to average 3 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Office of Information Resources Management Policy, Plans, and Oversight, AD-241-2 - GTN, Paperwork Reduction Project (1910-5162), U.S. Department of Energy 1000 Independence

SUMMARY OF BUDGET CATEGORY COSTS PROPOSED

(Note: The values in this summary table are from entries made in each budget category sheet.)

CATEGORY	Budget Period 1 Costs	Budget Period 2 Costs	Budget Period 3 Costs	Total Costs	Project Costs %	Comments (Add comments as needed)
a. Personnel	\$29,662	\$19,799	\$0	\$49,461	30.6%	
b. Fringe Benefits	\$12,666	\$8,458	\$0	\$21,125	13.1%	
c. Travel	\$558	\$355	\$0	\$913	0.6%	
d. Equipment	\$0	\$0	\$0	\$0	0.0%	
e. Supplies	\$200	\$200	\$0	\$400	0.2%	
f. Contractual						
Sub-recipient	\$59,498	\$0	\$0	\$59,498	36.9%	
Vendor	\$0	\$0	\$0	\$0	0.0%	
FFRDC	\$0	\$0	\$0	\$0	0.0%	
Total Contractual	\$59,498	\$0	\$0	\$59,498	36.9%	
g. Construction	\$0	\$0	\$0	\$0	0.0%	
h. Other Direct Costs	\$5,339	\$3,528	\$0	\$8,867	5.5%	
Total Direct Costs	\$107,924	\$32,341	\$0	\$140,265	87%	
i. Indirect Charges	\$12,664	\$8,471	\$0	\$21,135	13.1%	
Total Project Costs	\$120,588	\$40,812	\$0	\$161,400	100%	

Additional Explanations/Comments (as necessary)

a. Personnel

PLEASE READ!!!

List costs solely for employees of the entity completing this form (award prime recipient or sub-recipient with costs over \$100,000). All other personnel costs (for prime's subrecipients or other contractual efforts of the entity preparing this budget) must be included under f. Contractual. This includes all consultants and FFRDCs.

Identify positions to be supported. Key personnel should be identified by title. All other personnel should be identified either by title or a group category. State the amounts of time (e.g., hours or % of time) to be expended, the base pay rate (or composite base pay rate for group category), total direct personnel compensation, and identify the rate basis (e.g., actual salary, labor distribution report, technical estimate, state civil service rates, etc.).

Add rows as needed. Formulas/calculations will need to be entered by the preparer of this form. Please enter formulas as shown in the example.

Include a brief narrative explanation of the costs in the "Additional Explanations/Comments" section to justify the costs.

Task # and Title	Position Title	Budget Period 1			Budget Period 2			Budget Period 3			Project Total Hours	Project Total Dollars	Rate Basis	
		Time (Hours)	Pay Rate (\$/Hr)	Total Budget Period 1	Time (Hours)	Pay Rate (\$/Hr)	Total Budget Period 2	Time (Hours)	Pay Rate (\$/Hr)	Total Budget Period 3				
1. Generation 2A Receiver Design		10000		\$423,000	600		\$24,000	800		\$31,000	11400	\$478,000	Actual Salary	
EXAMPLE	Sr. Engineer	2000	\$85.00	\$170,000	200	\$50.00	\$10,000	200	\$50.00	\$10,000	2400	\$190,000	Actual Salary	
ONLY!!!	Electrical engineers	6200	\$35.00	\$217,000	400	\$35.00	\$14,000	600	\$35.00	\$21,000	7200	\$252,000	Actual Salary	
	Technician	1800	\$20.00	\$36,000	0	\$0.00	\$0	0	\$0.00	\$0	1800	\$36,000	Actual Salary	
1. Increase coordination among five states											\$0	0	\$0	
	SEP Associate	200	\$26.03	\$5,206			\$0			\$0	200	\$5,206	Actual Salary	
	Grants Manager	0	\$24.11	\$0			\$0			\$0	0	\$0	Actual Salary	
	Energy Analyst	75	\$24.73	\$1,855			\$0			\$0	75	\$1,855	Actual Salary	
	Assistant Planner	20	\$30.16	\$603			\$0			\$0	20	\$603	Actual Salary	
	Energy Programs Administrator	77	\$31.23	\$2,405			\$0			\$0	77	\$2,405	Actual Salary	
2. Develop innovations and practices in CT,														
	SEP Associate	44	\$26.03	\$1,145			\$0			\$0	44	\$1,145	Actual Salary	
	Grants Manager	0	\$24.11	\$0			\$0			\$0	0	\$0	Actual Salary	
	Energy Analyst	17	\$24.73	\$420			\$0			\$0	17	\$420	Actual Salary	
	Assistant Planner	9	\$30.16	\$271			\$0			\$0	9	\$271	Actual Salary	
	Energy Programs Administrator	12	\$31.23	\$375			\$0			\$0	12	\$375	Actual Salary	
3. Implement best practices more widely ac														
	SEP Associate	341	\$26.03	\$8,876			\$0			\$0	341	\$8,876	Actual Salary	
	Grants Manager	0	\$24.11	\$0			\$0			\$0	0	\$0	Actual Salary	
	Energy Analyst	200	\$24.73	\$4,946			\$0			\$0	200	\$4,946	Actual Salary	
	Assistant Planner	59	\$30.16	\$1,779			\$0			\$0	59	\$1,779	Actual Salary	
	Energy Programs Administrator	57	\$31.23	\$1,780			\$0			\$0	57	\$1,780	Actual Salary	
4. Continue Coordination among Partner sta														
	SEP Associate			\$0	100	\$26.03	\$2,603			\$0	100	\$2,603	Actual Salary	
	Grants Manager			\$0	0	\$24.11	\$0			\$0	0	\$0	Actual Salary	
	Energy Analyst			\$0	100	\$24.73	\$2,473			\$0	100	\$2,473	Actual Salary	
	Assistant Planner			\$0	15	\$30.16	\$452			\$0	15	\$452	Actual Salary	
	Energy Programs Administrator			\$0	60	\$31.23	\$1,874			\$0	60	\$1,874	Actual Salary	

Funding Source	Position Title	Budget Period 1			Budget Period 2			Budget Period 3			Project Total Hours	Project Total Dollars	Rate Basis	
		Time (Hours)	Pay Rate (\$/Hr)	Total Budget Period 1	Time (Hours)	Pay Rate (\$/Hr)	Total Budget Period 2	Time (Hours)	Pay Rate (\$/Hr)	Total Budget Period 3				
5. Accelerate adoption of innovations														
	SEP Associate			\$0	50	\$26.03	\$1,302				\$0	50	\$1,302	Actual Salary
	Grants Manager			\$0	0	\$24.11	\$0				\$0	0	\$0	Actual Salary
	Energy Analyst			\$0	33	\$24.73	\$816				\$0	33	\$816	Actual Salary
	Assistant Planner			\$0	6	\$30.16	\$181				\$0	6	\$181	Actual Salary
	Energy Programs Administrator			\$0	8	\$31.23	\$250				\$0	8	\$250	Actual Salary
6.0 Implement best practices														
	SEP Associate			\$0	240	\$26.03	\$6,247				\$0	240	\$6,247	Actual Salary
	Grants Manager			\$0	0	\$24.11	\$0				\$0	0	\$0	Actual Salary
	Energy Analyst			\$0	62	\$24.73	\$1,533				\$0	62	\$1,533	Actual Salary
	Assistant Planner			\$0	38	\$30.16	\$1,131				\$0	38	\$1,131	Actual Salary
	Energy Programs Administrator			\$0	30	\$31.23	\$937				\$0	30	\$937	Actual Salary
Total Personnel Costs			1111	\$29,662	742		\$19,799	0		\$0	0	\$49,461		

Additional Explanations/Comments (as necessary)

b. Fringe Benefits

Please Read!!!

Fill out the table below by labor type (add additional rows if necessary). If all employees receive the same fringe benefits, you can show "Total Personnel" in the Labor Type column instead of listing out all personnel separately. If necessary, you can use the box below to provide additional explanation regarding your fringe rate calculation.

The rates and how they are applied should not be averaged to get one fringe cost percentage.

The fringe benefit rate should be applied to both the Federal Share and Recipient Cost Share.

Add rows as needed. If rows are added, formulas/calculations may need to be adjusted by the preparer. Please enter formulas as shown in the example.

Labor Type	Budget Period 1			Budget Period 2			Budget Period 3			Total Project Fringe Benefit Costs
	Personnel Costs	Rate	Total	Personnel Costs	Rate	Total	Personnel Costs	Rate	Total	
EXAMPLE ONLY:										
Sr. Engineer	\$170,000	20%	\$34,000	\$10,000	20%	\$2,000	\$10,000	20%	\$2,000	\$38,000
SEP Associate	15,228	37.60%	\$5,726	10,152	37.60%	\$3,817			\$0	\$9,543
Grants Manager	0	46.00%	\$0	0	46.00%	\$0			\$0	\$0
Energy Analyst	7,221	35.00%	\$2,527	4,822	35.00%	\$1,688			\$0	\$4,215
Assistant Planner	2,654	51.70%	\$1,372	1,764	51.70%	\$912			\$0	\$2,284
Energy Programs Administrator	4,560	66.70%	\$3,041	3,061	66.70%	\$2,041			\$0	\$5,083
Total:	\$29,662		\$12,666	\$19,799		\$8,458	\$0		\$0	\$21,125

A federally approved fringe benefit rate agreement, or a proposed rate supported and agreed upon by DOE for estimating purposes is required if reimbursement for fringe benefits is requested. Please check (X) one of the options below and provide the requested information. Calculate the fringe rate and the Total should calculate automatically (if adding rows, ensure the formulas are updated).

A fringe benefit rate has been negotiated with, or approved by, a federal government agency. A copy of the latest rate agreement is included with this application, and will be provided electronically to the Contracting Officer for this project.

There is not a current, federally approved rate agreement negotiated and available*.

*When this option is checked, the entity preparing this form shall submit a rate proposal in the format provided at the following website, or a format that provides the same level of information; and the rate proposal must support the rates being proposed for use in performance of the proposed project. Go to <https://www.eere-prmc.energy.gov/forms.aspx> and select Sample Rate Proposal.

Additional Explanation/Comments (as necessary)

Please use this box (or an attachment) to further explain how your total fringe benefits costs were calculated. Your calculations should identify all rates used, along with the base they were applied to (and how the base was derived), and a total for each (along with grand total). Benefits attributable to direct salaries are treated as direct costs. Fringe benefits for the agency include FICA, health insurance, dental insurance, life insurance, matching retirement and post retirement health insurance.

PLEASE READ!!!

Provide travel detail as requested below, identifying total Foreign and Domestic Travel as separate items. Purpose of travel are items such as professional conference, DOE sponsored meeting, project management meeting, etc. The Basis for Estimating Costs are items such as past trips, current quotations, Federal Travel Regulations, etc.

All listed travel must be necessary for performance of the Statement of Project Objectives.

Add rows as needed. If rows are added, formulas/calculations may need to be adjusted by the preparer.

Purpose of travel	Depart From	Destination	No. of Days	No. of Travelers	Cost per Traveler	Cost per Trip	Basis for Estimating Costs
Budget Period 1							
Domestic Travel							
EXAMPLE ONLY!!! Visit to PV cell mfr. to set up vendor agreement			2	2	\$650	\$1,300	Internet prices
In State Travel				1	\$170	\$170	300 miles @ approved federal mileage
Out of State Travel for New England Regional Meetings				1	\$376	\$376	666 miles @ approved federal mileage
Tolls			3	1	\$12	\$12	tolls
						\$0	
						\$0	
						\$0	
						\$0	
						\$0	
						\$0	
Domestic Travel subtotal						\$558	
International Travel							
						\$0	
						\$0	
						\$0	
						\$0	
International Travel subtotal						\$0	
Budget Period 1 Total						\$558	

Purpose of travel	Depart From	Destination	No. of Days	No. of Travelers	Cost per Traveler	Cost per Trip	Basis for Estimating Costs
Budget Period 2							
Domestic Travel							
In State Travel				1	\$226	\$226	400 mileages @ federal mileage rate
Out of State Travel for New England Regional Meetings				1	\$125	\$125	222 mileages @ federal mileage rate
Tolls			1	1	\$4	\$4	tolls
						\$0	
						\$0	
						\$0	
						\$0	
						\$0	
						\$0	
Domestic Travel subtotal						\$355	
International Travel							
						\$0	
						\$0	
						\$0	
						\$0	
						\$0	
International Travel subtotal						\$0	
Budget Period 2 Total						\$355	
Budget Period 3							
Domestic Travel							
						\$0	
						\$0	
						\$0	
						\$0	
						\$0	
						\$0	
						\$0	
						\$0	
						\$0	
Domestic Travel subtotal						\$0	
International Travel							
						\$0	
						\$0	
						\$0	
						\$0	
International Travel subtotal						\$0	
Budget Period 3 Total						\$0	
PROJECT TOTAL						\$913	

Additional Explanations/Comments (as necessary)

d. Equipment

PLEASE READ!!!

Equipment is generally defined as an item with an acquisition cost greater than \$5,000 and a useful life expectancy of more than one year. Further definitions can be found at 10 CFR 600 found on the PMC Recipient Resources Forms page at <https://www.eere-pmc.energy.gov/Forms.aspx#regs>.

List all proposed equipment below, providing a basis of cost such as vendor quotes, catalog prices, prior invoices, etc.; and briefly justify its need as it applies to the Statement of Project Objectives. If it is existing equipment, and the value of its contribution to the project budget is being shown as cost share, provide logical support for the estimated value shown. If it is new equipment which will retain a useful life upon completion of the project, provide logical support for the estimated value shown.

For equipment over \$50,000 in price, also include a copy of the associated vendor quote or catalog price list.

Add rows as needed. If rows are added, formulas/calculations may need to be adjusted by the preparer.

Equipment Item	Qty	Unit Cost	Total Cost	Basis of Cost	Justification of need
Budget Period 1					
EXAMPLE ONLY!!! Thermal shock chamber	2	\$20,000	\$40,000	Vendor Quote	Reliability testing of PV modules- Task 4.3
			\$0		
			\$0		
			\$0		
			\$0		
			\$0		
			\$0		
			\$0		
			\$0		
			\$0		
			\$0		
			\$0		
			\$0		
Budget Period 1 Total			\$0		
Budget Period 2					
			\$0		
			\$0		
			\$0		
			\$0		
			\$0		
			\$0		
			\$0		
			\$0		
			\$0		
			\$0		
Budget Period 2 Total			\$0		

Equipment Item	Qty	Unit Cost	Total Cost	Basis of Cost	Justification of need
Budget Period 3					
			\$0		
			\$0		
			\$0		
			\$0		
			\$0		
			\$0		
			\$0		
			\$0		
			\$0		
			\$0		
			\$0		
			\$0		
			\$0		
Budget Period 3 Total			\$0		
PROJECT TOTAL			\$0		

Additional Explanations/Comments (as necessary)

e. Supplies

PLEASE READ!!!

Supplies are generally defined as an item with an acquisition cost of \$5,000 or less and a useful life expectancy of less than one year. Supplies are generally consumed during the project performance. Further definitions can be found at 10 CFR 600 found on the PMC Recipient Resources Forms page at <https://www.eere-pmc.energy.gov/Forms.aspx#regs>.

List all proposed supplies below, providing a bases of costs such as vendor quotes, catalog prices, prior invoices, etc.; and briefly justify the need for the Supplies as they apply to the Statement of Project Objectives. Note that Supply items must be direct costs to the project at this budget category, and not duplicative of supply costs included in the indirect pool that is the basis of the indirect rate applied for this project.

Add rows as needed. If rows are added, formulas/calculations may need to be adjusted by the preparer.

General Category of Supplies	Qty	Unit Cost	Total Cost	Basis of Cost	Justification of need
Budget Period 1					
EXAMPLE ONLY!!! Wireless DAS components	10	\$360.00	\$3,600	Catalog price	For Alpha prototype - Task 2.4
Grant Specific Supplies such as flash drive, binders, special supplies	1	\$225.00	\$200		Historical cost of supplies specific to Grant
			\$0		
			\$0		
			\$0		
			\$0		
			\$0		
			\$0		
			\$0		
			\$0		
			\$0		
			\$0		
			\$0		
			\$0		
			\$0		
			\$0		
Budget Period 1 Total			\$200		
Budget Period 2					
Grant Specific Supplies such as flash drive, binders, special supplies	1	\$200.00	\$200		Historical cost of supplies specific to Grant
			\$0		
			\$0		
			\$0		
			\$0		
			\$0		
			\$0		
			\$0		
			\$0		
			\$0		
			\$0		
			\$0		
			\$0		
			\$0		
			\$0		
Budget Period 2 Total			\$200		
Budget Period 3					
			\$0		
			\$0		
			\$0		
			\$0		
			\$0		
			\$0		
			\$0		
			\$0		
			\$0		
			\$0		
			\$0		
			\$0		
			\$0		
			\$0		
Budget Period 3 Total			\$0		
PROJECT TOTAL			\$400		

Additional Explanations/Comments (as necessary)

f. Contractual

PLEASE READ!!!

The entity completing this form must provide all costs related to sub-recipients, vendors, contractors, consultants and FFRDC partners in the applicable boxes below.

Sub-recipients (partners, sub-awardees):

For each sub-recipient with total project costs of \$100,000 or more, a separate budget form and justification must be submitted. These sub-recipient forms may be completed by either the sub-recipients themselves or by the preparer of this form. The budget totals on the sub-recipient's forms must match the sub-recipient entries below.

The preparer of this form need only provide further support of the completed sub-recipient budget forms as they deem necessary. The support to justify the budgets of sub-recipients with estimated costs less than \$100,000 may be in any format, and at a minimum should provide what Statement of Project Objectives task(s) are being performed, the purpose/need for the effort, and a basis of the estimated costs that is considered sufficient for DOE evaluation.

Vendors (includes contractors and consultants):

List all vendors, contractors and consultants supplying commercial supplies or services used to support the project. The support to justify vendor costs (in any amount) should provide the purpose for the products or services and a basis of the estimated costs that is considered sufficient for DOE evaluation.

Federal Research and Development Centers (FFRDCs):

For FFRDC partners, the award recipient will provide a Field Work Proposal (if not already provided with the original application), along with the FFRDC labor mix and hours, by category and FFRDC major purchases greater than \$25,000, including Quantity, Unit Cost, Basis of Cost, and Justification. The award recipient may allow the FFRDC to provide this information directly to DOE.

Add rows as needed. If rows are added, formulas/calculations may need to be adjusted by the preparer.

Sub-Recipient Name/Organization	Purpose/Tasks in SOPO	Budget Period 1 Costs	Budget Period 2 Costs	Budget Period 3 Costs	Project Total
EXAMPLE ONLY!!! XYZ Corp.	Partner to develop optimal fresnel lens for Gen 2 product - Task 2.4	\$48,000	\$32,000	\$16,000	\$96,000
University of New Hampshire Survey Center	Develop and execute online surveys relating to solar application, permitting and interconnection application	\$3,000			\$3,000
Consultant TBD	Model permitting process and create document for distribution to municipalities	\$18,500			\$18,500
Consultant TBD	Research, develop and implement online packet for the interconnection process for 4 main utilities	\$20,000	\$0		\$20,000
Consultant TBD	Interconnection application survey and feasibility study	\$17,998	\$0		\$17,998
					\$0

Sub-Recipient Name/Organization	Purpose/Tasks in SOP	Budget Period 1 Costs	Budget Period 2 Costs	Budget Period 3 Costs	Project Total
					\$0
					\$0
					\$0
	Sub-total	\$59,498	\$0	\$0	\$59,498

Vendor Name/Organization	Product or Service, Purpose/Need and Basis of Cost (Provide additional support at bottom of page as needed)	Budget Period 1 Costs	Budget Period 2 Costs	Budget Period 3 Costs	Project Total
EXAMPLE ONLY!!! ABC Corp.	Vendor for developing custom robotics to perform lens inspection, alignment, and placement (Task 4). Required for expanding CPV module mfg. capacity. Cost is from competitive quotes.	\$32,900	\$86,500		\$119,400
					\$0
					\$0
					\$0
					\$0
					\$0
					\$0
					\$0
	Sub-total	\$0	\$0	\$0	\$0

FFRDC Name/Organization	Purpose	Budget Period 1 Costs	Budget Period 2 Costs	Budget Period 3 Costs	Project Total
					\$0
					\$0
					\$0
	Sub-total	\$0	\$0	\$0	\$0

Total Contractual		\$59,498	\$0	\$0	\$59,498
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Additional Explanations/Comments (as necessary)

g. Construction

PLEASE READ!!!

Construction, for the purpose of budgeting, is defined as all types of work done on a particular building, including erecting, altering, or remodeling. Construction conducted by the award recipient is entered on this page. Any construction work that is performed by a vendor or subrecipient to the award recipient should be entered under f. Contractual.

List all proposed construction below, providing a basis of cost such as engineering estimates, prior construction, etc., and briefly justify its need as it applies to the Statement of Project Objectives.

Add rows as needed. If rows are added, formulas/calculations may need to be adjusted by the preparer.

Overall description of construction activities:

Example Only!!! - Build wind turbine platform

General Description	Cost	Basis of Cost	Justification of need
Budget Period 1			
Three days of excavation for platform site EXAMPLE ONLY!!!	\$28,000	Engineering estimate	Site must be prepared for construction of platform.
Budget Period 1 Total	\$0		
Budget Period 2			
Budget Period 2 Total	\$0		

General Description	Cost	Basis of Cost	Justification of need
Budget Period 3			
Budget Period 3 Total	\$0		
PROJECT TOTAL	\$0		

Additional Explanations/Comments (as necessary)

h. Other Direct Costs

PLEASE READ!!!

Other direct costs are direct cost items required for the project which do not fit clearly into other categories. These direct costs must not be included in the indirect costs (for which the indirect rate is being applied for this project). Examples are: equipment costs less than \$5000, meetings within the scope of work, printing costs, etc. which can be directly charged to the project and are not duplicated in indirect costs (overhead costs).

Basis of cost are items such as vendor quotes, prior purchases of similar or like items, published price list, etc.

Add rows as needed. If rows are added, formulas/calculations may need to be adjusted by the preparer.

General description	Cost	Basis of Cost	Justification of need
Budget Period 1			
EXAMPLE ONLY!!! Grad student tuition	\$16,000	Established UCD costs	Support of graduate students working on project
Employee Assistance Program	\$7	Required by State	Required by State
Audit Set Aside	\$110	0.1% of Grant	Required by State
Transfers to OIT	\$1,260	IT Cost	Required by State
Rent	\$738	Rent portion for OEP staff	Operating Expense
Current Expenses	\$0	historical data	Postage, printing, and duplicating of materials for distribution at
Additional Fringe Benefits	\$3,224	Required by State	Required by State
Budget Period 1 Total	\$5,339		
Budget Period 2			
Employee Assistance Program	\$5	Required by State	Required by State
Audit Set Aside	\$42	0.1% of Grant	Required by State
Transfers to OIT	\$840	IT Cost	Required by State
Rent	\$492	Rent portion for OEP staff	Operating Expense
Current Expenses	\$0	historical data	Postage, printing and duplicating of materials for distribution at
Additional Fringe Benefits	\$2,149	Required by State	Required by State
Budget Period 2 Total	\$3,528		
Budget Period 3			
Budget Period 3 Total	\$0		
PROJECT TOTAL	\$8,867		

Additional Explanations/Comments (as necessary)

i. Indirect Costs

Please Read!!!

Fill out the table below to indicate how your indirect costs are calculated. If necessary, you can use the box below to provide additional explanation regarding your indirect rate calculation.

The rates and how they are applied should not be averaged to get one indirect cost percentage.

The indirect rate should be applied to both the Federal Share and Recipient Cost Share.

Add rows as needed. If rows are added, formulas/calculations may need to be adjusted by the preparer. Please enter formulas as shown in the example.

	Budget Period 1	Budget Period 2	Budget Period 3	Total	Explanation of BASE
Provide ONLY Applicable Rates:					
Overhead Rate	31.4%	31.4%	0.0%		
General & Administrative (G&A)	0.0%	0.0%	0.0%		
FCCM Rate, if applicable	0.0%	0.0%	0.0%		
OTHER Indirect Rate	0.0%	0.0%	0.0%		
Indirect Costs (As Applicable):					
Overhead Costs	\$12,664	\$8,471	\$0	\$21,135	
G&A Costs	\$0	\$0	\$0	\$0	
FCCM Costs, if applicable	\$0	\$0	\$0	\$0	
OTHER Indirect Costs	\$0	\$0	\$0	\$0	
Total indirect costs requested:	\$12,664	\$8,471	\$0	\$21,135	

A federally approved indirect rate agreement, or rate proposed (supported and agreed upon by DOE for estimating purposes) is required if reimbursement of indirect costs is requested. Please check (X) one of the options below and provide the requested information if it has not already been provided as requested, or has changed. Calculate the indirect rate dollars and the totals should calculate automatically.

An indirect rate has been approved or negotiated with a federal government agency. A copy of the latest rate agreement is included with this application, and will be provided electronically to the Contracting Officer for this project.

There is not a current, federally approved rate agreement negotiated and available.

*When this option is checked, the entity preparing this form shall submit an indirect rate proposal in the format provided at the following website, or a format that provides the same level of information and which will support the rates being proposed for use in performance of the proposed project. Go to <https://www.eere-pmc.energy.gov/forms.aspx> and select Sample Rate Proposal.

Additional Explanations/Comments (as necessary)

*IMPORTANT: Please use this box (or an attachment) to further explain how your total indirect costs were calculated. If the total indirect costs are a cumulative amount of more than one calculation or rate application, the explanation and calculations should identify all rates used, along with the base they were applied to (and how the base was derived), and a total for each (along with grand total).

Cost Share

PLEASE READ!!!

A detailed presentation of the cash or cash value of all cost share proposed for the project must be provided in the table below. Identify the source & amount of each item of cost share proposed by the award recipient and each sub-recipient or vendor. Letters of commitment must be submitted for all third party cost share (other than award recipient).

Note that "cost-share" is not limited to cash investment. Other items that may be assigned value in a budget if incurred as part of the project budget and are necessary to the performance of the project. Items that may be considered as cost share include: contribution of services or property; donated, purchased or existing equipment; donated, purchased or existing supplies; and/or unrecovered personnel, fringe benefits and indirect costs, etc. For each cost share contribution identified as other than cash, identify the item and describe how the value of the cost share contribution was calculated.

Funds from other Federal sources MAY NOT be counted as cost share. This prohibition includes FFRDC sub-recipients. Non-Federal sources include private, state or local Government, or any source not originally derived from Federal funds. Documentation of cost sharing commitments must be provided, if not already provided with the original application and they have not changed since its submission.

Fee or profit will not be paid to the award recipients or subrecipients of financial assistance awards. Additionally, foregone fee or profit by the applicant shall not be considered cost sharing under any resulting award. Reimbursement of actual costs will only include those costs that are allowable and allocable to the project as determined in accordance with the applicable cost principles prescribed in 10 CFR 600.127, 10 CFR 600.222 or 10 CFR 600.317. Also see 10 CFR 600.318 relative to profit or fee.

Add rows as needed. If rows are added, formulas/calculations may need to be adjusted by the preparer.

Organization/Source	Type (cash or other)	Cost Share Item	Budget Period 1 Cost Share	Budget Period 2 Cost Share	Budget Period 3 Cost Share	Total Project Cost Share
ABC Company EXAMPLE ONLY!!!	Cash	Project partner ABC Company will provide 40 PV modules for product development at 50% off the of the retail price of \$680	\$13,600			\$13,600
State of New Hampshire General Funds	Cash	Assistant Planner at OEP will provide 3% of time during the grant period to assist with municipal planning and zoning requirements (Salary and Fringe Benefits, OIT, Add'l Fringe, Rent, EAP)	\$4,454	\$2,969		\$7,423
Petroleum Violation Escrow (PVE)	Cash	Portion of Energy Administrator staff time which is funded by PVE (Salary and Fringe Benefits, OIT, Add'l Fringe, Rent, EAP)	\$2,386	\$1,591		\$3,977
						\$0
						\$0
						\$0
						\$0
						\$0
						\$0
						\$0
						\$0
						\$0
						\$0
						\$0
						\$0
Totals			\$6,840	\$4,560	\$0	\$11,400

Total Project Cost: \$161,400

Cost Share Percent of Award: 7.1%

Additional Explanations/Comments (as necessary)

ATTACHMENT C

Special Terms and Conditions Attached as Separate Document

Attachments 1 through 4 referenced in "Attachment C" encompass the entire scope and definition of Rooftop Solar Challenge II grant and agreement between CESA and DOE.

Attachment 1 refers to this contract's Attachment A.

Attachment 3 refers to this contract's Attachment B.

SPECIAL TERMS AND CONDITIONS

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SUBPART A. GENERAL PROVISIONS**1. RESOLUTION OF CONFLICTING CONDITIONS**

Any apparent inconsistency between Federal statutes and regulations and the terms and conditions contained in this award must be referred to the EERE Award Administrator for guidance.

2. AWARD AGREEMENT TERMS AND CONDITIONS

This Award consists of the following documents, including all terms and conditions therein:

Cover Page	Assistance Agreement Form
Body	Special Terms and Conditions
Attachment 1	Statement of Project Objectives
Attachment 2	Reporting Checklist and Instructions
Attachment 3	Budget Information (SF-424A and Budget Justification)
Attachment 4	Intellectual Property Provisions

The following are incorporated by reference:

- a. Applicable program regulations.
- b. DOE Assistance Regulations, 10 CFR Part 600 at <http://ecfr.gpoaccess.gov>.
- c. If the award is for research and the award is for a university or non-profit, the Research Terms & Conditions and the DOE Agency Specific Requirements at <http://www.nsf.gov/bfa/dias/policy/rct/index.jsp> apply.
- d. Application/proposal as approved by DOE.
- e. National Policy Assurances to Be Incorporated as Award Terms in effect on date of award at <http://energy.gov/management/office-management/operational-management/financial-assistance/financial-assistance-forms>.

3. COMPLIANCE WITH FEDERAL, STATE, AND MUNICIPAL LAW

The Recipient is required to comply with applicable Federal, state, and local laws and regulations for all work performed under this Award. The Recipient is required to obtain all necessary Federal, state, and local permits, authorizations, and approvals for all work performed under this Award.

4. ELECTRONIC AUTHORIZATION OF AWARD DOCUMENTS

Acknowledgement of award documents by the Recipient's authorized representative through electronic systems used by the Department of Energy, specifically FedConnect, constitutes the Recipient's acceptance of the terms and conditions of the award. Acknowledgement via FedConnect by the Recipient's authorized representative constitutes the Recipient's electronic signature.

5. STATEMENT OF FEDERAL STEWARDSHIP

EERE will exercise normal Federal stewardship in overseeing the project activities performed under this award. Stewardship activities include, but are not limited to, conducting site visits; reviewing performance and financial reports; providing technical assistance and/or temporary intervention in unusual circumstances to correct deficiencies which develop during the project; assuring compliance with terms and conditions; and reviewing technical performance after project completion to ensure that the award objectives have been accomplished.

6. STATEMENT OF SUBSTANTIAL INVOLVEMENT

The Rooftop Solar Challenge II is a critical element of the SunShot Initiative and is intended to make significant contributions in support of achieving DOE's goals and objectives. To that end, DOE will be substantially involved in monitoring the work performed under this award and ensuring that all activities performed by the Awardee and its Subcontractors advance the achievement of programmatic goals and objectives. In that regard, DOE will coordinate with the Awardee to identify and negotiate direction or redirection of work performed under this award. DOE will foster collaboration and coordination among Rooftop Solar Challenge II award recipients, other SunShot awardees, DOE-funded National Laboratories (e.g., National Renewable Energy Laboratory), and other relevant organizations as needed. DOE has the right to intervene in the conduct or performance of project activities for programmatic reasons. Intervention includes the interruption or modification of the conduct or performance of project activities. Refer to 10 CFR 600.5 (d) for additional language and citations.

7. SITE VISITS

EERE's authorized representatives have the right to make site visits at reasonable times to review project accomplishments and management control systems and to provide technical assistance, if required. Recipient must provide, and must require its subrecipients to provide, reasonable access to facilities, office space, resources, and assistance for the safety and convenience of the government representatives in the performance of their duties. All site visits and evaluations must be performed in a manner that does not unduly interfere with or delay the work.

8. NATIONAL ENVIRONMENTAL POLICY ACT (NEPA) REQUIREMENTS

DOE must comply with the National Environmental Policy Act (NEPA) prior to authorizing the use of federal funds. Based on all information provided by the Recipient, EERE has made a NEPA determination by issuing a categorical exclusion (CX) for all activities listed in the Statement of Project Objectives (SOPO) approved by the Contracting Officer. The Recipient is thereby authorized to the use federal funds for the defined project activities. This NEPA determination is specific to the project activities as described in the SOPO approved by the Contracting Officer.

If the Recipient later intends to add to or modify the activities in the approved SOPO, those new activities or modified activities are subject to additional NEPA review and are not authorized for federal funding until the Contracting Officer provides approval on those additions or modifications. Recipients are restricted from taking any action using federal funds, which would have an adverse effect on the environment or limit the choice of reasonable alternatives prior to authorization from the Contracting Officer. Should the Recipient elect to undertake activities prior to authorization from the Contracting Officer, the Recipient does so at risk of not receiving federal funding and such costs may not be recognized as allowable cost share.

9. PERFORMANCE OF WORK IN UNITED STATES

- a. Requirement. All work performed under this award must be performed in the United States. This requirement does not apply to the purchase of supplies and equipment, however, the Recipient should make every effort to purchase supplies and equipment within the United States. The Recipient must flow this requirement to its subrecipients.
- b. Failure to Comply. If the Recipient fails to comply with the Performance of Work in the United States requirement, the EERE Contracting Officer may deny reimbursement for the work conducted outside the United States. The Recipient is responsible should any work under this award be performed outside the United States, absent a waiver, regardless if the work is performed by the Recipient, subrecipients, vendors or other project partners.
- c. Waiver. There may be limited circumstances where it is in the interest of the project to perform a portion of the work outside the United States. To seek a waiver of the Performance of Work in the United States requirement, the Recipient must submit an explicit waiver request to the EERE Contracting Officer, which includes the following information:
 - The countries in which the work will be performed;
 - A description of the work to be performed outside the U.S.; and
 - The rationale for performing the work outside the U.S.

For the rationale, the Recipient must demonstrate to the satisfaction of the EERE Contracting Officer that a waiver would further the purposes of this FOA and is otherwise in the interests of EERE and the United States. The Contracting Officer may require additional information before considering a waiver request.

10. FINANCING RESTRICTIONS

Financing activities associated with this award are for the purposes of increasing distributed photovoltaic market activity in models other than self-financed ownership by enabling direct financing options, community solar programs, and/or utility-owned distributed generation and resolving legal issues around third party ownership models. Recipient is restricted from

using award funds or recipient cost share to provide funding, loans, or direct support to finance solar technology installations.

For this award, DOE has made a final determination for all financing activities under this award that are listed in the Statement of Project Objectives (SOPO) formally approved by DOE through incorporation into and attached to the award. You may proceed with the financing activities as described in the SOPO. If you later add to or modify the financing activities in the above-referenced SOPO, you must submit the revised SOPO to the EERE Project Officer. Those additions or modifications are subject to review and approval by the EERE's Contracting Officer. Should you move forward with activities that are not authorized for Federal funding by the EERE Contracting Officer in advance of the final approval, you are doing so at risk of not receiving Federal funding and such costs may not be recognized as allowable cost share.

11. LOBBYING PROHIBITIONS

By accepting funds under this agreement, the recipient agrees that it will comply with the National Policy Assurances and the applicable lobbying prohibition provisions of the following national policies located at: http://energy.gov/sites/prod/files/National_Policy_Assurances-September_%202011.pdf, as well as applicable cost principals contained in 2 CFR 230.5-50, 48 CFR 31.205-22 and 2 CFR 225.5-55. Additionally, the lobbying prohibitions contained in 10 CFR 601 also apply.

Pursuant to the above authorities, no award funds, whether Federal or recipient cost share, may be expended by the recipient for any of the following activities:

Any attempt to influence a jurisdiction, or an official of any government, to favor, adopt, or oppose, by vote or otherwise, any legislation, law, ratification, policy, or appropriation, whether before or after the introduction of any bill, measure, or resolution proposing such legislation, law, ratification, policy, or appropriation through direct contact or communication, or by using publicity or propaganda urging members of the general public, or any segment thereof, to contribute to, or participate in any, campaign, rally, or similar effort.

Any attempt to influence an officer or employee of any agency, a member or employee of Congress, in connection with the awarding of any Federal contract grant, cooperative agreement or loan; or the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

The above prohibitions do not prohibit the recipient from expending any federal funds on the following:

Technical and factual presentations on topics directly related to the performance of a grant, contract, or other agreement in response to a documented request made by the recipient member, legislative body or subdivision, or a cognizant staff member thereof, provided such information is readily obtainable and can be readily put in deliverable form, and further

provided that costs under this section for travel, lodging or meals are unallowable unless incurred to offer testimony at a regularly scheduled Congressional hearing pursuant to a written request for such presentation made by the Chairman or Ranking Minority Member of the Committee or Subcommittee conducting such hearings;

Outreach activities directed at stakeholders including, local developers, permitting bodies, local utilities, trade groups and other stakeholders to gather information and establish best practices.

12. PUBLICATIONS

EERE encourages the Recipient to publish or otherwise make publicly available the results of work performed under this Award. The Recipient is required to include the following acknowledgement and disclaimer in publications arising out of or relating to work performed under this Award:

Acknowledgment: "The information, data, or work presented herein was funded in part by the U.S. Department of Energy, Energy Efficiency and Renewable Energy Program, under Award Number DE- _____."

Disclaimer: "The information, data, or work presented herein was funded in part by an agency of the United States Government. Neither the United States Government nor any agency thereof, nor any of their employees, makes any warranty, express or implied, or assumes any legal liability or responsibility for the accuracy, completeness, or usefulness of any information, apparatus, product, or process disclosed, or represents that its use would not infringe privately owned rights. Reference herein to any specific commercial product, process, or service by trade name, trademark, manufacturer, or otherwise does not necessarily constitute or imply its endorsement, recommendation, or favoring by the United States Government or any agency thereof. The views and opinions of authors expressed herein do not necessarily state or reflect those of the United States Government or any agency thereof."

13. RECORD RETENTION

Consistent with 10 C.F.R. Part 600, the Recipient is required to retain records relating to this Award for three years after the end of the project period, unless one of the following exceptions applies:

- a. If any litigation, claim, or audit is started before the expiration of the three-year period, the Recipient is required to retain the records until all litigation, claims, or audit findings involving the records have been resolved and final action taken.
- b. The Recipient is required to retain records for any real property or equipment acquired with Federal funds for three years after final disposition of the real property or equipment.

Copies of records may be substituted for originals.

14. AUDITS

a. Government Audits

Consistent with 10 C.F.R. Part 600, the Recipient's financial records or administrative records relating to this Award may be audited at any time.

EERE may conduct a final audit at the end of the project period (or the termination or cancelation of the Award, if applicable). Upon completion of the audit, the Recipient is required to refund to EERE any payments for costs that were determined to be unallowable.

b. Annual Compliance Audits

The Recipient is required to comply with the annual compliance audit requirements in 10 C.F.R. Part 600 – specifically, 10 C.F.R. § 600.126 for institutions of higher education and nonprofit organizations, 10 C.F.R. § 600.226 for state and local governments, and 10 C.F.R. § 600.316 for for-profit entities. To minimize expense, the Recipient may have a compliance audit in conjunction with its annual audit of financial statements.

15. CONTINUATION APPLICATION AND FUNDING – AWARDS UNDER 10 CFR 600

a. Continuation Application. A continuation application is a non-competitive application for an additional budget period within a previously approved project period. Funding for all awards and future budget periods is contingent upon the availability of funds appropriated by Congress for the purpose of this program and the availability of future-year budget authority. At least ninety (90) days before the end of each budget period, Recipient must submit to the EERE Project Officer and the EERE Award Administrator its continuation application, which includes the following information:

1. A report on the Recipient's progress towards meeting the objectives of the project, including any significant findings, conclusions, or developments, and an estimate of any unobligated balances remaining at the end of the budget period. If the remaining unobligated balance is estimated to exceed 20 percent of the funds available for the budget period, explain why the excess funds have not been obligated and how they will be used in the next budget period.
2. A detailed budget and supporting justification if there are changes to the negotiated budget, or a budget for the upcoming budget period was not approved at the time of award.
3. A description of any planned changes from the negotiated Statement of Project Objectives.

- b. Continuation Funding. Continuation funding is contingent on (1) the availability of funds appropriated by Congress for the purpose of this program and the availability of future-year budget authority; (2) meeting the objectives, milestones, deliverables, decision point criteria, and stage gates of Recipient's approved project and obtaining approval from EERE to continue work on the project; (3) submittal of required reports; and/or (4) compliance with the terms and conditions of the award.
- c. Continuation funding is specifically contingent on EERE approval of Go/No Go or Stage Gate reviews decision points, as set forth in the Statement of Project Objectives.
- d. As a result of the Go/No Go or Stage Gate reviews, EERE may, at its discretion, authorize the following actions by the Recipient: (1) continue to fund the project, contingent upon the availability of funds appropriated by Congress for the purpose of this program and the availability of future-year budget authority; (2) recommend redirection of work under the project; (3) place a hold on the project, pending further supporting data or funding; or (4) discontinue the project because of insufficient progress, change in strategic direction, or lack of funding.

16. TERMINATION AND ENFORCEMENT

- a. Definition of Material Noncompliance: a failure to follow the terms and conditions of the award, whether stated in a Federal statute, regulation, assurance, application, or notice of award that impedes the objectives outlined in the SOPO (Attachment 1). This shall include, but is not limited to:
 - 1. Failure to achieve deliverable milestones by the mandatory submission due dates in the SOPO;
 - 2. Failure to follow the Performance of Work in the United States in Provision 9;
 - 3. Failure to follow the Reporting Requirements in the attached Financial Assistance Reporting Checklist;
 - 4. Failure to comply with Provision 27, Insolvency, Bankruptcy or Receivership;
 - 5. Failure to rectify any noncompliant act within the prescribed time period after proper notification requirements set forth in 10 CFR 600.24;
 - 6. Multiple nonmaterial failures to comply with the terms and conditions of the award to the extent that the objectives outlined in the SOPO are impaired
 - 7. Assigning or novating the award to another entity without obtaining in advance the express written approval of the assignment or novation by the EERE Contracting Officer; and
 - 8. Encumbering real property or equipment acquired under this award without express written approval by the EERE Contracting Officer.
- b. Failure to Comply with the Agreement. The EERE Contracting Officer shall give written notice to the Recipient upon a finding that the Recipient has materially failed to comply with the terms and conditions of the agreement, setting forth the factual and legal bases for the determination of noncompliance, the corrective actions, and the date by which the corrective actions must be taken. Recipient shall have at a

minimum 30 calendar days to correct the deficiency in performance identified by DOE.

- c. Termination or Suspension by EERE Contracting Officer. If Recipient fails to materially comply with the terms and conditions of the agreement or fails to cure any such default or event of default within the applicable time period, the EERE Contracting Officer may terminate this Award or temporarily or entirely withhold cash payments pending correction of the deficiency by the Recipient. Additional DOE enforcement remedies are contained in 10 CFR § 600.

17. FLOW DOWN REQUIREMENT

Recipient must ensure its subawardees are subject to the requirements set forth in these Special Terms and Conditions by including the appropriate provisions in any subaward. Further, Recipient must include the contract provisions set forth in 10 CFR § 600.148; 10 CFR § 600.236(i); 10 CFR § 600.331(c), as applicable dependent on Recipient's entity type.

SUBPART B. FINANCIAL PROVISIONS

18. FUNDING OF BUDGET PERIODS

EERE has obligated \$1,500,000 for completion of the project authorized by this agreement; however, only \$916,513 is available for work performed by the Recipient during Budget Period 1 of the project. For Budget Period 2, the remainder or \$583,487 will be available contingent upon the submission by the Recipient of a continuation application and written approval of the continuation application by the EERE Contracting Officer.

In the event that the Recipient does not submit a continuation application for subsequent Budget Periods, or EERE disapproves a continuation application for subsequent Budget Periods, the maximum EERE liability to the Recipient is the funds that are available for the current approved Budget Period(s). In such event, EERE reserves the right to deobligate any remaining funds.

Funding for all awards and future budget periods is contingent upon the availability of funds appropriated by Congress for the purpose of this program and the availability of future-year budget authority.

19. COST SHARING

- a. Total Estimated Project Cost is the sum of the Federal Government share and Recipient share of the estimated project costs. The Recipient's cost share must come from non-Federal sources unless otherwise allowed by law. By accepting Federal funds under this award, Recipient agrees that it is liable for its percentage share of total allowable project costs throughout the life of the project. The cumulative cost share percentage provided to date on each invoice received must reflect, at a minimum, the cost sharing percentage specified below. If the project is terminated early or is not funded to its completion,

Recipient is liable for its percent cost share of the allowable project costs incurred at the close of the award. This cost is shared as follows:

EERE Cost Share \$ / %	Recipient Cost Share \$ / %	Total Estimated Costs
\$1,500,000 / 80%	\$375,000 / 20%	\$1,875,000

- b. If Recipient discovers that it may be unable to provide cost sharing of at least the amount identified in paragraph a. of this term, Recipient must immediately provide written notification to the EERE Award Administrator, indicating whether Recipient will continue or phase out the project. If Recipient plans to continue the project, the notification must describe how replacement cost sharing will be secured.
- c. Recipient must maintain records of all project costs that it claims as cost sharing, including in-kind costs, as well as records of costs to be paid by EERE. Such records are subject to audit.
- d. Failure to provide the cost sharing required by this term may result in the subsequent recovery by EERE of some or all the funds provided under the award.

20. PAYMENT PROCEDURES

- a. Method of Payment. Payment will be made by reimbursement through the Department of Treasury's ASAP system.
- b. Requesting Reimbursement. Requests for reimbursements must be made through the ASAP system. The Recipient's requests for reimbursement should coincide with its normal billing pattern, but not more frequently than every two weeks. Each request must be limited to the amount of disbursements made for the Federal share of direct project costs and the proportionate share of allowable indirect costs incurred during that billing period.
- b. Adjusting payment requests for available cash. The Recipient must disburse any funds that are available from repayments to and interest earned on a revolving fund, program income, rebates, refunds, contract settlements, audit recoveries, credits, discounts, and interest earned on any of those funds before requesting additional cash payments from EERE.
- d. Payments. All payments are made by electronic funds transfer to the bank account identified on the ASAP Bank Information Form that the Recipient filed with the U.S. Department of Treasury.
- e. Supporting Documents for Agency Approval of Payments. Because the Special Terms and Conditions contain provisions with conditions on the Recipient's activities, EERE will require Agency pre-approval of payments. As Agency approval requirement is in effect for the Recipient's award, the ASAP system will indicate that Agency approval is

required when the Recipient submits a request for payment. The Recipient must notify the EERE Project Officer identified on the Assistance Agreement that a payment request has been submitted. The EERE payment authorizing official may request additional information from the Recipient to support the payment requests prior to release of funds, as deemed necessary. Recipient is required to comply with these requests. Supporting documents include invoices, copies of contracts, vendor quotes, and other expenditure explanations that justify the reimbursement requests.

21. ALLOWABLE COSTS

a. Allowable Costs for For-Profit Entities

For nonprofit organizations listed in Attachment C to OMB Circular A-122 (codified at 2 C.F.R. Part 230) and for-profit entities, EERE determines the allowability of costs through reference to the for-profit cost principles in the Federal Acquisition Regulations (48 C.F.R. Part 31).

b. Allowable Costs for Nonprofits

For nonprofit organizations *not listed* in Attachment C to OMB Circular A-122 (codified at 2 C.F.R. Part 230), EERE determines the allowability of costs through reference to the cost principles for nonprofit organizations in OMB Circular A-122 (10 C.F.R. § 600.317(a)(2)(ii)).

c. Allowable Costs for Institutions of Higher Education

For institutions of higher education, EERE determines the allowability of costs through reference to OMB Circular A-21, "Cost Principles of Educational Institutions" (codified at 2 C.F.R. Part 220).

d. Allowable Costs for States and Local Governments

For States, local governments, and tribes, EERE determines the allowability of costs through reference to OMB Circular A-87, "Cost Principles for State, Local, and Indian Tribal Governments" (codified at 2 C.F.R. Part 225).

22. INDIRECT COSTS

- a. If actual allowable indirect costs are less than those budgeted and funded under the award, the Recipient may use the difference to pay additional allowable direct costs during the project period. If at the completion of the award the Government's share of total allowable costs (*i.e.*, direct and indirect), is less than the total costs reimbursed, the Recipient must refund the difference.
- b. Recipients are expected to manage their indirect costs. EERE will not amend an award solely to provide additional funds for changes in indirect cost rates. EERE recognizes that the inability to obtain full reimbursement for indirect costs means the Recipient must

absorb the underrecovery. Such underrecovery may be allocated as part of the organization's required cost sharing.

The Recipient shall not be reimbursed on this project for any final indirect costs that are in excess of the following designated indirect rate ceilings or specific amounts: \$133,580. In addition, the Recipient shall neither count costs in excess of the application of the rate ceilings or specific amounts as cost share, nor allocate such costs to other federally sponsored project, unless approved by the EERE Contracting Officer. This restriction does not apply to subrecipients' indirect costs.

- c. Recipient must ensure its subrecipient's indirect costs are appropriately managed, allowable and otherwise comply with the requirements of this award and 10 CFR Part 600.

23. FINAL INCURRED COST AUDIT

In accordance with 10 CFR 600, DOE reserves the right to initiate a final incurred cost audit on this award. If the audit has not been performed or completed prior to the closeout of the award, DOE retains the right to recover an appropriate amount after fully considering the recommendations on disallowed costs resulting from the final audit.

24. USE OF PROGRAM INCOME

If the Recipient earns program income during the project period as a result of this award, the Recipient may add the program income to the funds committed to the award and used to further eligible project objectives.

25. BUDGET CHANGES

The Recipient must obtain the EERE Contracting Officer's prior approval for cumulative transfers among direct cost categories, or, if applicable, among separately budgeted programs, projects, functions, or activities which exceed or expected to exceed 10% of the current total approved budget, whenever the Federal share of the project exceeds \$100,000.

26. REPORTING REQUIREMENTS

- a. Requirements. The reporting requirements for this award are identified on the Federal Assistance Reporting Checklist, DOE F 4600.2, attached to this award. Failure to comply with these reporting requirements is considered a material noncompliance with the terms of the award. Noncompliance may result in withholding of future payments, suspension or termination of the current award, and withholding of future awards. A willful failure to perform, a history of failure to perform, or unsatisfactory performance of this and/or other financial assistance awards, may also result in a debarment action to preclude future awards by Federal agencies.

- b. Dissemination of scientific/technical reports. Scientific/technical reports submitted under this award will be disseminated on the Internet via the DOE Information Bridge (www.osti.gov/bridge), unless the report contains patentable material, protected data or SBIR/STTR data. Citations for journal articles produced under the award will appear on the DOE Energy Citations Database (www.osti.gov/energycitations).
- c. Restrictions. Reports submitted to the DOE Information Bridge must not contain any Protected Personal Identifiable Information (PII), limited rights data (proprietary data), classified information, information subject to export control classification, or other information not subject to release.

SUBPART C. MISCELLANEOUS PROVISIONS

27. NOTICE REGARDING THE PURCHASE OF AMERICAN-MADE EQUIPMENT AND PRODUCTS

To the greatest extent practicable, all equipment and products purchased with funds made available under this award should be American-made.

28. INSOLVENCY, BANKRUPTCY OR RECEIVERSHIP

- a. The Recipient shall immediately notify the EERE of the occurrence of any of the following events: (i) the Recipient or the Recipient's parent's filing of a voluntary case seeking liquidation or reorganization under the Bankruptcy Act; (ii) the Recipient's consent to the institution of an involuntary case under the Bankruptcy Act against the Recipient or the Recipient's parent; (iii) the filing of any similar proceeding for or against the Recipient or the Recipient's parent, or the Recipient's consent to the dissolution, winding-up or readjustment of its debts, appointment of a receiver, conservator, trustee, or other officer with similar powers over the Recipient, under any other applicable state or federal law; or (iv) the Recipient's insolvency due to its inability to pay debts generally as they become due.
- b. Such notification shall be in writing and shall: (i) specifically set out the details of the occurrence of an event referenced in paragraph (a); (ii) provide the facts surrounding that event; and (iii) provide the impact such event will have on the project being funded by this award.
- c. Upon the occurrence of any of the four events described in paragraph a. of this provision, EERE reserves the right to conduct a review of the Recipient's award to determine the Recipient's compliance with the required elements of the award (including such items as cost share, progress towards technical project objectives, and submission of required reports). If the EERE review determines that there are significant deficiencies or concerns with the Recipient's performance under the award, EERE reserves the right to impose additional requirements, as needed, including (i) change of payment method; or (ii) institute payment controls.

- d. Failure of the Recipient to comply with this provision may be considered a material noncompliance of this financial assistance award by the Contracting Officer.

29. REPORTING SUBAWARDS AND EXECUTIVE COMPENSATION

a. Reporting of first-tier subawards.

1. Applicability. Unless the Recipient is exempt as provided in paragraph d. of this award term, the Recipient must report each action that obligates \$25,000 or more in Federal funds that does not include Recovery funds (as defined in section 1512(a)(2) of the American Recovery and Reinvestment Act of 2009, Pub. L. 111-5) for a subaward to an entity (see definitions in paragraph e. of this award term).

2. Where and when to report.

i. The Recipient must report each obligating action described in paragraph a.1. of this award term to <https://www.fsr.gov>.

ii. For subaward information, report no later than the end of the month following the month in which the obligation was made. (For example, if the obligation was made on November 7, 2010, the obligation must be reported by no later than December 31, 2010.)

3. What to report. The Recipient must report the information about each obligating action that the submission instructions posted at <https://www.fsr.gov> specify.

b. Reporting Total Compensation of Recipient Executives.

1. Applicability and what to report. The Recipient must report total compensation for each of its five most highly compensated executives for the preceding completed fiscal year, if

i. The total Federal funding authorized to date under this award is \$25,000 or more;

ii. In the preceding fiscal year, the Recipient received;

(A) 80 percent or more of the Recipient's annual gross revenues from Federal procurement contracts (and subcontracts) and Federal financial assistance subject to the Transparency Act, as defined at 2 CFR 170.320 (and subawards); and

(B) \$25,000,000 or more in annual gross revenues from Federal procurement contracts (and subcontracts) and Federal financial assistance subject to the Transparency Act, as defined at 2 CFR 170.320 (and subawards); and

iii. The public does not have access to information about the compensation of the

executives through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986. (To determine if the public has access to the compensation information, see the U.S. Security and Exchange Commission total compensation filings at <http://www.sec.gov/answers/execomp.htm>)

2. Where and when to report. The Recipient must report executive total compensation described in paragraph b.1. of this award term:

- i. As part of the Recipient's registration profile at <https://www.sam.gov>.
- ii. By the end of the month following the month in which this award is made, and annually thereafter.

c. Reporting of Total Compensation of Subrecipient Executives.

1. Applicability and what to report. Unless the Recipient is exempt as provided in paragraph d. of this award term, for each first-tier subrecipient under this award, the Recipient shall report the names and total compensation of each of the subrecipient's five most highly compensated executives for the subrecipient's preceding completed fiscal year, if;

i. In the subrecipient's preceding fiscal year, the subrecipient received;

(A) 80 percent or more of its annual gross revenues from Federal procurement contracts (and subcontracts) and Federal financial assistance subject to the Transparency Act, as defined at 2 CFR 170.320 (and subawards); and

(B) \$25,000,000 or more in annual gross revenues from Federal procurement contracts (and subcontracts), and Federal financial assistance subject to the Transparency Act (and subawards); and

ii. The public does not have access to information about the compensation of the executives through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986. (To determine if the public has access to the compensation information, see the U.S. Security and Exchange Commission total compensation filings at <http://www.sec.gov/answers/execomp.htm>)

2. Where and when to report. The Recipient must report subrecipient executive total compensation described in paragraph c.1. of this award term:

- i. To the recipient.
- ii. By the end of the month following the month during which the Recipient makes the subaward. For example, if a subaward is obligated on any date during

the month of October of a given year (*i.e.*, between October 1 and 31), the Recipient must report any required compensation information of the subrecipient by November 30 of that year.

d. Exemptions

If, in the previous tax year, the Recipient had gross income, from all sources, under \$300,000, it is exempt from the requirements to report:

- i. Subawards and;
- ii. The total compensation of the five most highly compensated executives of any subrecipient.

e. Definitions. For purposes of this award term:

1. Entity means all of the following, as defined in 2 CFR Part 25:

- i. A Governmental organization, which is a State, local government, or Indian tribe;
- ii. A foreign public entity;
- iii. A domestic or foreign nonprofit organization;
- iv. A domestic or foreign for-profit organization;
- v. A Federal agency, but only as a subrecipient under an award or subaward to a non-Federal entity.

2. Executive means officers, managing partners, or any other employees in management positions.

3. Subaward:

- i. This term means a legal instrument to provide support for the performance of any portion of the substantive project or program for which the Recipient received this award and that the recipient awards to an eligible subrecipient.
- ii. The term does not include the Recipient's procurement of property and services needed to carry out the project or program (for further explanation, see Sec. ___ .210 of the attachment to OMB Circular A-133, Audits of States, Local Governments, and Non-Profit Organizations).
- iii. A subaward may be provided through any legal agreement, including an agreement that the Recipient or a subrecipient considers a contract.

4. Subrecipient means an entity that:

- i. Receives a subaward from the Recipient under this award; and
- ii. Is accountable to the Recipient for the use of the Federal funds provided by the subaward.

5. Total compensation means the cash and noncash dollar value earned by the executive during the recipient's or subrecipient's preceding fiscal year and includes the following (for more information see 17 CFR 229.402(c)(2)):

- i. Salary and bonus.
- ii. Awards of stock, stock options, and stock appreciation rights. Use the dollar amount recognized for financial statement reporting purposes with respect to the fiscal year in accordance with the Statement of Financial Accounting Standards No. 123 (Revised 2004) (FAS 123R), Shared Based Payments.
- iii. Earnings for services under non-equity incentive plans. This does not include group life, health, hospitalization or medical reimbursement plans that do not discriminate in favor of executives, and are available generally to all salaried employees.
- iv. Change in pension value. This is the change in present value of defined benefit and actuarial pension plans.
- v. Above-market earnings on deferred compensation which is not tax-qualified.
- vi. Other compensation, if the aggregate value of all such other compensation (e.g. severance, termination payments, value of life insurance paid on behalf of the employee, perquisites or property) for the executive exceeds \$10,000.

30. SYSTEM FOR AWARD MANAGEMENT AND UNIVERSAL IDENTIFIER REQUIREMENTS

A. Requirement for Registration in the System for Award Management (SAM)

Unless the Recipient is exempted from this requirement under 2 CFR 25.110, the Recipient must maintain the currency of its information in SAM until the Recipient submits the final financial report required under this award or receive the final payment, whichever is later. This requires that the Recipient reviews and updates the information at least annually after the initial registration, and more frequently if required by changes in its information or another award term.

If the Recipient had an active registration in the CCR, it has an active registration in SAM.

B. Requirement for Data Universal Numbering System (DUNS) Numbers

If the Recipient is authorized to make subawards under this award, the Recipient:

1. Must notify potential subrecipients that no entity (see definition in paragraph C of this award term) may receive a subaward from the Recipient unless the entity has provided its DUNS number to the Recipient.
2. May not make a subaward to an entity unless the entity has provided its DUNS number to the Recipient.

C. Definitions

For purposes of this award term:

1. System for Award Management (SAM) means the Federal repository into which an entity must provide information required for the conduct of business as a recipient. Additional information about registration procedures may be found at the SAM Internet site (currently at <https://www.sam.gov>).
2. Data Universal Numbering System (DUNS) number means the nine-digit number established and assigned by Dun and Bradstreet, Inc. (D&B) to uniquely identify business entities. A DUNS number may be obtained from D&B by telephone (currently 866-705-5711) or the Internet (currently at <http://fedgov.dnb.com/webform>).
3. Entity, as it is used in this award term, means all of the following, as defined at 2 CFR Part 25, subpart C:
 - a. A Governmental organization, which is a State, local government, or Indian Tribe;
 - b. A foreign public entity;
 - c. A domestic or foreign nonprofit organization;
 - d. A domestic or foreign for-profit organization; and
 - e. A Federal agency, but only as a subrecipient under an award or subaward to a non-Federal entity.
4. Subaward:
 - a. This term means a legal instrument to provide support for the performance of any portion of the substantive project or program for which the Recipient received this award and that the Recipient awards to an eligible subrecipient.
 - b. The term does not include the Recipient's procurement of property and services

needed to carry out the project or program (for further explanation, see Sec. __.210 of the attachment to OMB Circular A-133, Audits of States, Local Governments, and Non-Profit Organizations).

c. A subaward may be provided through any legal agreement, including an agreement that the Recipient considers a contract.

5. Subrecipient means an entity that:

- a. Receives a subaward from the Recipient under this award; and
- b. Is accountable to the Recipient for the use of the Federal funds provided by the subaward.

**Intellectual Property Provisions (NRD-1003)
Nonresearch and Development**

Nonprofit organizations are subject to the intellectual property requirements at 10 CFR 600.136(a), (c) and (d). All other organizations are subject to the intellectual property requirements at 10 CFR 600.136(a) and (c).

600.136 Intangible property.

(a) Recipients may copyright any work that is subject to copyright and was developed, or for which ownership was purchased, under an award. DOE reserves a royalty-free, nonexclusive and irrevocable right to reproduce, publish or otherwise use the work for Federal purposes, and to authorize others to do so.

(c) DOE has the right to:

(1) Obtain, reproduce, publish or otherwise use the data first produced under an award; and

(2) Authorize others to receive, reproduce, publish, or otherwise use such data for Federal purposes.

(d) In addition, in response to a Freedom of Information act (FOIA) request for research data relating to published research findings produced under an award that were used by the Federal Government in developing an agency action that has the force and effect of law, the DOE shall request, and the recipient shall provide, within a reasonable time, the research data so that they can be made available to the public through the procedures established under the FOIA. If the DOE obtains the research data solely in response to a FOIA request, the agency may charge the requester a reasonable fee equaling the full incremental cost of obtaining the research data. This fee should reflect the costs incurred by the agency, the recipient, and applicable subrecipients. This fee is in addition to any fees the agency may assess under the FOIA (5 U.S.C. 552(a)(4)(A)).

**U.S. Department of Energy
 FEDERAL ASSISTANCE REPORTING CHECKLIST
 AND INSTRUCTIONS FOR RD&D PROJECTS**

1. Identification Number: DE-EE0006305.0000	2. Program/Project Title: New England Solar Cost-Reduction Partnership																																													
3. Recipient: Clean Energy States Alliance, Inc.																																														
4. Reporting Requirements: A. MANAGEMENT REPORTING <input type="checkbox"/> Research Performance Progress Report (RPPR) (RD&D Projects) <input checked="" type="checkbox"/> Progress Report (Non-RD&D Projects) <input checked="" type="checkbox"/> Special Status Report B. SCIENTIFIC/TECHNICAL REPORTING (Reports/Products must be submitted with appropriate DOE F 241. The 241 forms are available at www.osti.gov/elink) <table style="width:100%; border: none;"> <tr> <td style="text-align: center;">Report/Product</td> <td style="text-align: center;">Form</td> <td></td> </tr> <tr> <td><input type="checkbox"/> Final Scientific Report</td> <td>DOE F 241.3</td> <td></td> </tr> <tr> <td><input checked="" type="checkbox"/> Conference papers/proceedings*</td> <td>DOE F 241.3</td> <td>A</td> </tr> <tr> <td><input checked="" type="checkbox"/> Software/Manual</td> <td>DOE F 241.4</td> <td>A</td> </tr> <tr> <td><input checked="" type="checkbox"/> Other (see special instructions)</td> <td>DOE F 241.3</td> <td>A</td> </tr> </table> <p><i>* Scientific and technical conferences only</i></p> C. FINANCIAL REPORTING <input checked="" type="checkbox"/> SF-425, Federal Financial Report D. CLOSEOUT REPORTING <input checked="" type="checkbox"/> Patent Certification <input checked="" type="checkbox"/> SF-428 & 428B Final Property Report <input type="checkbox"/> Other E. OTHER REPORTING <input checked="" type="checkbox"/> Annual Indirect Cost Proposal <input type="checkbox"/> Audit of For-Profit Recipients <input type="checkbox"/> SF-428 Tangible Personal Property Report Forms Family <input checked="" type="checkbox"/> Other	Report/Product	Form		<input type="checkbox"/> Final Scientific Report	DOE F 241.3		<input checked="" type="checkbox"/> Conference papers/proceedings*	DOE F 241.3	A	<input checked="" type="checkbox"/> Software/Manual	DOE F 241.4	A	<input checked="" type="checkbox"/> Other (see special instructions)	DOE F 241.3	A	<table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="width:15%;">Frequency</th> <th style="width:85%;">Addresses</th> </tr> </thead> <tbody> <tr> <td>FQ</td> <td>https://www.eere-pmc.energy.gov/SubmitReports.aspx</td> </tr> <tr> <td>A</td> <td>https://www.eere-pmc.energy.gov/SubmitReports.aspx</td> </tr> <tr> <td></td> <td>https://www.eere-pmc.energy.gov/SubmitReports.aspx</td> </tr> <tr> <td></td> <td>http://www.osti.gov/elink-2413</td> </tr> <tr> <td>A</td> <td>http://www.osti.gov/elink-2413</td> </tr> <tr> <td>A</td> <td>http://www.osti.gov/estsc/241-4pre.jsp</td> </tr> <tr> <td>A</td> <td>http://www.osti.gov/elink-2413</td> </tr> <tr> <td>FQ</td> <td>https://www.eere-pmc.energy.gov/SubmitReports.aspx</td> </tr> <tr> <td>F</td> <td>https://www.eere-pmc.energy.gov/SubmitReports.aspx</td> </tr> <tr> <td>A</td> <td>https://www.eere-pmc.energy.gov/SubmitReports.aspx</td> </tr> <tr> <td></td> <td>https://www.eere-pmc.energy.gov/SubmitReports.aspx</td> </tr> <tr> <td>Y180</td> <td>See block 5 below for instructions. See block 5 below for addresses.</td> </tr> <tr> <td></td> <td>https://www.eere-pmc.energy.gov/SubmitReports.aspx</td> </tr> <tr> <td>A</td> <td>See block 5 below for instructions</td> </tr> </tbody> </table>	Frequency	Addresses	FQ	https://www.eere-pmc.energy.gov/SubmitReports.aspx	A	https://www.eere-pmc.energy.gov/SubmitReports.aspx		https://www.eere-pmc.energy.gov/SubmitReports.aspx		http://www.osti.gov/elink-2413	A	http://www.osti.gov/elink-2413	A	http://www.osti.gov/estsc/241-4pre.jsp	A	http://www.osti.gov/elink-2413	FQ	https://www.eere-pmc.energy.gov/SubmitReports.aspx	F	https://www.eere-pmc.energy.gov/SubmitReports.aspx	A	https://www.eere-pmc.energy.gov/SubmitReports.aspx		https://www.eere-pmc.energy.gov/SubmitReports.aspx	Y180	See block 5 below for instructions. See block 5 below for addresses.		https://www.eere-pmc.energy.gov/SubmitReports.aspx	A	See block 5 below for instructions
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FREQUENCY CODES AND DUE DATES: A - Within 5 calendar days after events or as specified. F - Final, 90 calendar days after expiration or termination of the award. Y - Yearly; 90 days after the end of the reporting period. S - Semiannually; within 30 days after end of the reporting period. Q - Quarterly; within 30 days after end of the reporting period. O - Other: See instructions for further details Y180 - Yearly; 180 days after end of the recipient's fiscal year.																																														
5. Special Instructions: Annual Indirect Cost Proposal - If DOE is the Cognizant Federal Agency, then the proposal should be sent to https://www.eere-pmc.energy.gov/SubmitReports.aspx . Otherwise, it should be sent to the Cognizant Federal Agency. Audit of For-Profit Recipients must be sent to 2 different addresses in accordance with the final audit guidance. A copy for the Contracting Officer shall be submitted via https://www.eere-pmc.energy.gov/SubmitReports.aspx ; a copy must also be e-mailed to the CFO at DOE-Audit-Submission@hq.doe.gov SCIENTIFIC/TECHNICAL REPORTING A final Solar Market Maturity assessment using the Solar Metrics portal is required on the last day of the project period (30 months after project initiation). DOE may also require additional Solar Market Maturity assessments (e.g., corresponding to the end of Budget Period 1 and/or the end of the first project year																																														

Federal Assistance Reporting Instructions (03/2013)

A. MANAGEMENT REPORTING

For awards involving RD&D a Research Performance Progress Report is required to be submitted. For all other awards a Progress Report is required to be submitted.

Either the Research Performance Progress Report (RPPR) or the Progress Report must be checked, but not both.

Research Performance Progress Report (RPPR)(RD&D Projects)

See attached document entitled "Research Performance Progress Report" for instructions on what the Recipient is to include in the RPPR.

Progress Report (Non-RD&D Projects)

The Recipient must provide a concise narrative assessment of the status of work and include the following information and any other information identified under Special Instructions on the Federal Assistance Reporting Checklist:

1. The DOE award and report information:
 - a. The DOE Award Number (as it appears on the award face page)
 - b. Recipient Name (as it appears on the award face page)
 - c. Project Title
 - d. PD/PI Name, Title and Contact Information (e-mail address and phone number)
 - e. Name of Submitting Official, Title, and Contact Information (e-mail address and phone number), if other than PD/PI
 - f. Project Period (Start Date, End Date)
 - g. Report Submission Date
 - h. Reporting Period Start and End Date
2. A written comparison of the actual project accomplishments with the project goals and objectives established for the reporting period; if goals and/or objectives for the reporting period were not met, a detailed description of the variance shall be provided.
3. A discussion of what was accomplished under these goals and objectives established for this reporting period, including major activities, significant results, major findings or conclusions, key outcomes or other achievements. This section should not contain any proprietary data or other information not subject to public release. If such information is important to reporting progress, do not include the information, but include a note in the report advising the reader to contact the Principal Investigator or the Project Director for further information.
4. Cost Status. A comparison of the approved budget by budget period and the actual costs incurred during the reporting period shall be provided. If cost sharing is required, the cost breakdown shall show the DOE share, recipient share, and total costs.

5. Schedule Status. List milestones, anticipated completion dates and actual completion dates. If you submitted a project management plan with your application, you must use this plan to report schedule and budget variances. You may use your own project management system to provide this information.
6. Describe any changes during the reporting period in project approach and the reasons for these changes. Remember, significant changes to the project objectives and scope require prior approval by the Contracting Officer.
7. Describe any actual or anticipated problems or delays and any actions taken or planned to resolve them.
8. Describe any absence or changes of key personnel or changes in consortium/teaming arrangement during the reporting period.
9. List and describe any product produced or technology transfer activities accomplished during this reporting period, such as:
 - a. Publications (list journal name, volume, issue); conference papers; or other public releases of results. Attach or send copies of public releases to the DOE Program Manager identified in Block 15 of the Assistance Agreement Cover Page.
 - b. Web site or other Internet sites (list the URL) that reflect the results of this project.
 - c. Networks or collaborations fostered.
 - d. Technologies/Techniques (Identify and Describe).
 - e. Inventions/Patent Applications (Identify and Describe with date of application)
 - f. Other products, such as data or databases, physical collections, audio or video, software or NetWare, models, educational aid or curricula, instruments or equipment (Identify and Describe).

Special Status Report

The recipient must report the following events by e-mail as soon as possible after they occur:

1. Developments that have a significant favorable impact on the project.
2. Problems, delays, or adverse conditions which materially impair the recipient's ability to meet the objectives of the award or which may require DOE to respond to questions relating to such events from the public. The recipient must report any of the following incidents and include the anticipated impact and remedial action to be taken to correct or resolve the problem/condition:
 - a. Any single fatality or injuries requiring hospitalization of five or more individuals.
 - b. Any significant environmental permit violation.

- c. Any verbal or written Notice of Violation of any Environmental, Safety, and Health statutes.
- d. Any incident which causes a significant process or hazard control system failure.
- e. Any event which is anticipated to cause a significant schedule slippage or cost increase.
- f. Any damage to Government-owned equipment in excess of \$50,000.
- g. Any other incident that has the potential for high visibility in the media.

B. SCIENTIFIC/TECHNICAL REPORTS

Final Scientific/Technical Report

Content. The final scientific/technical report must include the following information and any other information identified under Special Instructions on the Federal Assistance Reporting Checklist:

1. Identify the DOE award number; name of recipient; project title; name of project director/principal investigator; and consortium/teaming members.
2. Display prominently on the cover of the report any authorized distribution limitation notices, such as patentable material or protected data. Reports delivered without such notices may be deemed to have been furnished with unlimited rights, and the Government assumes no liability for the disclosure, use or reproduction of such reports.
3. Provide an executive summary, which includes a discussion of: (1) how the research adds to the understanding of the area investigated; (2) the technical effectiveness and economic feasibility of the methods or techniques investigated or demonstrated; or (3) how the project is otherwise of benefit to the public. The discussion should be a minimum of one paragraph and written in terms understandable by an educated layman.
4. Provide a comparison of the actual accomplishments with the goals and objectives of the project.
5. Summarize project activities for the entire period of funding, including original hypotheses, approaches used, problems encountered and departure from planned methodology, and an assessment of their impact on the project results. Include, if applicable, facts, figures, analyses, and assumptions used during the life of the project to support the conclusions.
6. Identify products developed under the award and technology transfer activities, such as:
 - a. Publications (list journal name, volume, issue), conference papers, or other public releases of results. If not provided previously, attach or send copies of any public releases to the DOE Program Manager identified in Block 15 of the Assistance Agreement Cover page;
 - b. Web site or other Internet sites that reflect the results of this project;

- c. Networks or collaborations fostered;
 - d. Technologies/Techniques;
 - e. Inventions/Patent Applications, licensing agreements; and
 - f. Other products, such as data or databases, physical collections, audio or video, software or netware, models, educational aid or curricula, instruments or equipment.
7. For projects involving computer modeling, provide the following information with the final report:
- a. Model description, key assumptions, version, source and intended use;
 - b. Performance criteria for the model related to the intended use;
 - c. Test results to demonstrate the model performance criteria were met (e.g., code verification/validation, sensitivity analyses, history matching with lab or field data, as appropriate);
 - d. Theory behind the model, expressed in non-mathematical terms;
 - e. Mathematics to be used, including formulas and calculation methods;
 - f. Whether or not the theory and mathematical algorithms were peer reviewed, and, if so, include a summary of theoretical strengths and weaknesses;
 - g. Hardware requirements; and
 - h. Documentation (e.g., users guide, model code).

Electronic Submission. The final scientific/technical report must be submitted electronically via the DOE Energy Link System (E-Link) accessed at <http://www.osti.gov/elink-2413>.

Electronic Format. Reports must be submitted in the ADOBE PORTABLE DOCUMENT FORMAT (PDF) and be one integrated PDF file that contains all text, tables, diagrams, photographs, schematic, graphs, and charts. Materials, such as prints, videos, and books, that are essential to the report but cannot be submitted electronically, should be sent to the DOE Administrator at the address listed in Block 16 of the Assistance Agreement Cover Page.

Submittal Form. The report must be accompanied by a completed electronic version of DOE Form 241.3, "U.S. Department of Energy (DOE), Announcement of Scientific and Technical Information (STI)." You can complete, upload, and submit the DOE F 241.3 online via E-Link. You are encouraged not to submit patentable material or protected data in these reports, but if there is such material or data in the report, you must: (1) clearly identify patentable or protected data on each page of the report; (2) identify such material on the cover of the report; and (3) mark the appropriate block in Section K of the DOE F 241 3. Reports must not contain any limited rights data (proprietary data), classified information, information subject to export control classification, or other information not subject to release. Protected data is specific technical data, first produced in the performance of the award that is protected from public release for a period of time by the terms of the award agreement.

Conference Papers/Proceedings

Content. The recipient must submit a copy of any scientific/technical conference papers/proceedings, with the following information: (1) Name of conference; (2) Location of conference; (3) Date of conference; and (4) Conference sponsor.

Electronic Submission. Scientific/technical conference paper/proceedings must be submitted electronically via the DOE Energy Link System (E-Link) at <http://www.osti.gov/mlink-2413>. Non-scientific/technical conference papers/proceedings must be sent to the URL listed on the Reporting Checklist.

Electronic Format. Scientific/technical conference papers/proceedings must be submitted in the ADOBE PORTABLE DOCUMENT FORMAT (PDF) and be one integrated PDF file that contains all text, tables, diagrams, photographs, schematic, graphs, and charts. If the proceedings cannot be submitted electronically, they should be sent to the DOE Administrator at the address listed in Block 16 of the Assistance Agreement Cover Page.

Submittal Form. Scientific/technical conference papers/proceedings must be accompanied by a completed DOE Form 241.3. The form and instructions are available on E-Link at <http://www.osti.gov/mlink-2413>. This form is not required for non-scientific or non-technical conference papers or proceedings.

Software/Manual

Content. Unless otherwise specified in the award, the following must be delivered: source code, the executable object code and the minimum support documentation needed by a competent user to understand and use the software and to be able to modify the software in subsequent development efforts.

Electronic Submission. Submissions may be submitted electronically via the DOE Energy Link System (E-Link) at <http://www.osti.gov/estsc/241-4pre.jsp>. They may also be submitted via regular mail to:

Energy Science and Technology Software Center
P.O. Box 1020
Oak Ridge, TN 37831

Submittal Form. Each software deliverable and its manual must be accompanied by a completed DOE Form 241.4, "Announcement of U.S. Department of Energy Computer Software." The form and instructions are available on E-Link at <http://www.osti.gov/estsc/241-4pre.jsp>.

Protected Personally Identifiable Information (PII). Management Reports or Scientific/Technical Reports must not contain any *Protected* PII. PII is any information about an individual which can be used to distinguish or trace an individual's identity. Some information that is considered to be PII is available in public sources such as telephone books, public websites, university listings, etc. This type of information is considered to be Public PII and includes, for example, first and last name, address, work telephone number, e-mail address, home telephone number, and general educational credentials. In contrast, *Protected* PII is defined as an individual's first name or first initial and last name in combination

with any one or more of types of information, including, but not limited to, social security number, passport number, credit card numbers, clearances, bank numbers, biometrics, date and place of birth, mother's maiden name, criminal, medical and financial records, educational transcripts, etc.

C. FINANCIAL REPORTING

Recipients must complete the SF-425 as identified on the Reporting Checklist in accordance with the report instructions. A fillable version of the form is available at http://www.whitehouse.gov/omb/grants/grants_forms.aspx.

D. CLOSEOUT REPORTS

Final Invention and Patent Report

The recipient must provide a DOE Form 2050.11 , "PATENT CERTIFICATION." This form is available at <http://energy.gov/management/office-management/operational-management/financial-assistance/financial-assistance-forms> under Reporting Forms.

Final Property Report

See instructions under **SF-428 Tangible Personal Property Report Forms Family** below.

E. OTHER REPORTING

Annual Indirect Cost Proposal and Reconciliation

Requirement. In accordance with the applicable cost principles, the Recipient must submit an annual indirect cost proposal, reconciled to its financial statements, within six months after the close of the Recipient's fiscal year, unless the award is based on a predetermined or fixed indirect rate(s), or a fixed amount for indirect or facilities and administration (F&A) costs.

Cognizant Agency. The Recipient must submit its annual indirect cost proposal directly to the cognizant agency for negotiating and approving its indirect costs. If the DOE awarding office is the cognizant agency, the Recipient must submit their annual indirect cost proposal to <https://www.eere-pmc.energy.gov/SubmitReports.aspx>.

Audit of For-Profit Recipients

As required by 10 CFR 600.316, audits must be performed of For-Profit Recipients of financial assistance awards.

For-Profit Audit Guidance Parts I through IV is available to assist For-profit Recipients in complying with the audit requirements of 10 CFR 600.316. This Guidance is posted on the Financial Assistance Forms page of the DOE MA home page under the 'Coverage of Independent Audits' subheading at <http://energy.gov/management/office-management/operational-management/financial-assistance/financial-assistance-forms>.

Submission: The compliance audit report(s) is due to DOE within six months of the Recipient's fiscal year-end date. The compliance audit report must be submitted, along with audited financial statements (if applicable), to the appropriate DOE Contracting Officer at <https://www.eere-pmc.energy.gov/SubmitReports.aspx> as well as to the DOE Office of the Chief Financial Officer (CFO) at DOE-Audit-Submission@hq.doe.gov.

SF-428 Tangible Personal Property Report Forms Family

- **Requirement.** The SF-428 is a forms family consisting of 5 forms: the SF-428, SF-428-A, SF-428-B, SF-428-C, and SF-428S. Fillable versions of the SF-428 forms are available at <http://energy.gov/management/office-management/operational-management/financial-assistance/financial-assistance-forms>.
- The SF-428 is the cover page and the submitter attaches the appropriate form or forms as listed on the SF-428.
- The SF-428-A is the Annual report, due Oct. 30th of each calendar year.
- The SF-428-B is the Final Award Closeout Report, due 90 calendar days after completion or termination of the award.
- The SF-428-C is the Disposition Report/Request.
- The SF-428S is the supplemental form for the SF-428-A, SF-428-B and SF-428-C.

If at any time during the award the recipient is provided Government-furnished property or acquires property with project funds and the award specifies that the property vests in the Federal Government (i.e. federally owned property), the recipient must submit an annual inventory of this property to the DOE Administrator using the SF-428 and SF-428-A forms at the address on page 1 of this checklist no later than October 30th of each calendar year, to cover an annual reporting period ending on the preceding September 30th. The SF-428 and SF-428-B reports are required 90 calendar days after completion or termination of award to complete the closeout process.

Content of Inventory. As required on the SF-428-A and SF-428-S forms, the inventory must include a description of the property, tag number, acquisition date, and acquisition cost, if purchased with project funds. The location of property should be listed under the Comments section. The report must list all federally owned property, including property located at subcontractor's facilities or other locations.

RESEARCH PERFORMANCE PROGRESS REPORT

Standard Cover Page Data Elements and Reporting Categories

The standard cover page data elements shown below, as well as mandatory and optional components comprise the complete research performance progress report format.

Each category in the RPPR is a separate reporting component. Each component is marked to indicate if it is optional or mandatory. Mandatory components must be addressed in each report, optional are at your discretion.

If you have nothing significant to report during the reporting period on a question or item, state "Nothing To Report".

1. COVER PAGE DATA ELEMENTS: Mandatory

- a. Federal Agency and Organization Element to Which Report is Submitted
- b. Federal Grant or Other Identifying Number Assigned by Agency
- c. Project Title
- d. PD/PI Name, Title and Contact Information (e-mail address and phone number)
- e. Name of Submitting Official, Title, and Contact Information (e-mail address and phone number), if other than PD/PI
- f. Submission Date
- g. DUNS Number
- h. Recipient Organization (Name and Address)
- i. Project/Grant Period (Start Date, End Date)
- j. Reporting Period End Date
- k. Report Term or Frequency (annual, semi-annual, quarterly, other)
- l. Signature of Submitting Official (electronic signatures (i.e., Adobe Acrobat) are acceptable)

2. ACCOMPLISHMENTS: Mandatory

What was done? What was learned?

The information provided in this section allows the agency to assess whether satisfactory progress has been made during the reporting period. The PI is reminded that the grantee is required to obtain prior written approval from the Contracting Officer whenever there are significant changes in the project or its direction. Requests for prior written approval must be submitted to the Contracting Officer (submission via Fedconnect is acceptable).

a. What are the major goals of the project?

List the major goals of the project as stated in the approved application or as approved by the agency. If the application lists milestones/target dates for important activities or phases of the project, identify these dates and show actual completion dates or the percentage of completion. Generally, the goals will not change from one reporting period to the next. However, if the awarding agency approved changes to the goals during the reporting period, list the revised goals and objectives. Also explain any significant changes in approach or methods from the agency approved application or plan.

b. What was accomplished under these goals?

For this reporting period describe: 1) major activities; 2) specific objectives; 3) significant results, including major findings, developments, or conclusions (both positive and negative); and 4) key outcomes or other achievements. Include a discussion of stated goals not met. As the project progresses, the emphasis in reporting in this section should shift from reporting activities to reporting accomplishments.

c. What opportunities for training and professional development has the project provided?

Describe opportunities for training and professional development provided to anyone who worked on the project or anyone who was involved in the activities supported by the project. "Training" activities are those in which individuals with advanced professional skills and experience assist others in attaining greater proficiency. Training activities may include, for example, courses or one-on-one work with a mentor. "Professional development" activities result in increased knowledge or skill in one's area of expertise and may include workshops, conferences, seminars, study groups, and individual study. Include participation in conferences, workshops, and seminars not listed under major activities.

d. How have the results been disseminated to communities of interest?

Describe how the results have been disseminated to communities of interest. Include any outreach activities that have been undertaken to reach members of communities who are not usually aware of these research activities, for the purpose of enhancing public understanding and increasing interest in learning and careers in science, technology, and the humanities.

e. What do you plan to do during the next reporting period to accomplish the goals?

Describe briefly what you plan to do during the next reporting period to accomplish the goals and objectives.

3. PRODUCTS: [Optional/Mandatory]

What has the project produced?

Publications are the characteristic product of research. Agencies evaluate what the publications demonstrate about the excellence and significance of the research and the efficacy with which the results are being communicated to colleagues, potential users, and the public, not the number of publications. Many projects (though not all) develop significant products other than publications. Agencies assess and report both publications and other products to Congress, communities of interest, and the public.

List any products resulting from the project during the reporting period. Examples of products include: publications, conference papers, and presentations; website(s) or other Internet site(s); technologies or techniques; inventions, patent applications, and/or licenses; and other products, such as data or databases, physical collections, audio or video products, software or NetWare, models, educational aids or curricula, instruments, or equipment, or any other public release of information related to the project.

a. Publications, conference papers, and presentations

Report only the major publication(s) resulting from the work under this award. There is no restriction on the number. However, agencies are interested in only those publications that most reflect the work under this award in the following categories:

i. Journal publications. List peer-reviewed articles or papers appearing in scientific, technical, or professional journals. Include any peer-reviewed publication in the periodically published proceedings of a scientific society, a conference, or the like. A publication in the proceedings of a one-time conference, not part of a series, should be reported under "Books or other non-periodical, one-time publications." Identify for each publication: Author(s); title; journal; volume; year; page numbers; status of publication (published; accepted, awaiting publication; submitted, under review; other); acknowledgement of federal support (yes/no).

ii. Books or other non-periodical, one-time publications. Report any book, monograph, dissertation, abstract, or the like published as or in a separate publication, rather than a periodical or series. Include any significant publication in the proceedings of a one-time conference or in the report of a one-time study, commission, or the like. Identify for each one-time publication: author(s); title; editor; title of collection, if applicable; bibliographic information; year; type of publication (book, thesis or dissertation, other); status of publication (published; accepted, awaiting publication; submitted, under review; other); acknowledgement of federal support (yes/no).

iii. Other publications, conference papers and presentations. Identify any other publications, conference papers and/or presentations not reported above. Specify the status of the publication as noted above.

b. Website(s) or other Internet site(s)

List the URL for any Internet site(s) that disseminates the results of the research activities. A short description of each site should be provided. It is not necessary to include the publications already specified above in this section.

c. Technologies or techniques

Identify technologies or techniques that have resulted from the research activities. Describe the technologies or techniques and how they are being shared.

d. Inventions, patent applications, and/or licenses

Identify inventions, patent applications with date, and/or licenses that have resulted from the research. Submission of this information as part of an interim research performance progress report is not a substitute for any other invention reporting required under the terms and conditions of an award.

e. Other products

Identify any other significant products that were developed under this project. Describe the product and how it is being shared. Examples of other products are: Databases; Physical collections; Audio or video products; Software or NetWare; Models; Educational aids or curricula; Instruments or equipment; Data & Research Material (e.g., cell lines, DNA probes, animal models); and Other.

**4. PARTICIPANTS & OTHER COLLABORATING ORGANIZATIONS:
[Optional/Mandatory]**

Who has been involved?

Agencies need to know who has worked on the project to gauge and report performance in promoting partnerships and collaborations. The following information on participants must be provided:

a. What individuals have worked on the project?

Provide the following information for: (1) principal investigator(s)/project director(s) (PIs/PDs); and (2) each person who has worked at least one person month per year on the project during the reporting period, regardless of the source of compensation (a person month equals approximately 160 hours of effort).

Provide the name and identify the role the person played in the project. Do NOT include any other identifying information on individuals. Indicate the nearest whole person month (Calendar, Academic, Summer) that the individual worked on the project. Show the most senior role in which the person has worked on the project for any significant length of time. For example, if an undergraduate student graduates, enters graduate school, and continues to work on the project, show that person as a graduate student, preferably explaining the change in involvement. Describe how this person contributed to the project and with what funding support. If information is unchanged from a previous submission, provide the name only and indicate "no change". Identify whether this person is collaborating internationally. Specifically is the person collaborating with an individual located in a foreign country and whether the person had traveled to the foreign country as part of that collaboration and duration of stay. The foreign country(ies) should be identified.

Example:

1. **Name:** Mary Smith
2. **Project Role:** Graduate Student
3. **Nearest person month worked:** 5
4. **Contribution to Project:** Ms. Smith has performed work in the area of combined error-control and constrained coding.
5. **Funding Support:** The Ford Foundation (Complete only if the funding provided from other than this award.)
6. **Collaborated with individual in foreign country:** Yes
7. **Country(ies) of foreign collaborator:** China
8. **Traveled to foreign country:** Yes
9. **If traveled to foreign country(ies), duration of stay:** 5 months

b. What other organizations have been involved as partners?

Describe partner organizations – academic institutions, other nonprofits, industrial or commercial firms, state or local governments, schools or school systems, or other organizations (foreign or domestic) – that have been involved with the project. Partner organizations may provide financial or in-kind support, supply facilities or equipment, collaborate in the research, exchange personnel, or otherwise contribute.

Provide the following information for each partnership:

1. Organization Name;
2. Location of Organization: (if foreign location list country)
3. Partner's contribution to the project (identify one or more)
4. Financial support;
5. In-kind support (e.g., partner makes software, computers, equipment, etc., available to project staff);
6. Facilities (e.g., project staff use the partner's facilities for project activities);
7. Collaborative research (e.g., partner's staff work with project staff on the project); and
8. Personnel exchanges (e.g., project staff and/or partner's staff use each other's facilities,

work at each other's site).

9. More detail on partner and contribution (foreign or domestic).

c. Have other collaborators or contacts been involved?

Some significant collaborators or contacts within the recipient's organization may not be covered by "What people have worked on the project?" Likewise, some significant collaborators or contacts outside the recipient's organization may not be covered under "What other organizations have been involved as partners?" For example, describe any significant: collaborations with others within the recipient's organization; especially interdepartmental or interdisciplinary collaborations; collaborations or contact with others outside the organization; and collaborations or contacts with others outside the United States or with an international organization. country(ies) of collaborations or contacts.

It is likely that many recipients will have no other collaborators or contacts to report.

5. IMPACT: [Optional/Mandatory]

What is the impact of the project? How has it contributed?

Over the years, this base of knowledge, techniques, people, and infrastructure is drawn upon again and again for application to commercial technology and the economy, to health and safety, to cost-efficient environmental protection, to the solution of social problems, to numerous other aspects of the public welfare, and to other fields of endeavor.

The taxpaying public and its representatives deserve a periodic assessment to show them how the investments they make benefit the nation. Through this reporting format, and especially this section, recipients provide that assessment and make the case for Federal funding of research and education.

Agencies use this information to assess how their research programs: increase the body of knowledge and techniques; enlarge the pool of people trained to develop that knowledge and techniques or put it to use; and improve the physical, institutional, and information resources that enable those people to get their training and perform their functions.

This component will be used to describe ways in which the work, findings, and specific products of the project have had an impact during this reporting period. Describe distinctive contributions, major accomplishments, innovations, successes, or any change in practice or behavior that has come about as a result of the project relative to: the development of the principal discipline(s) of the project; other disciplines; the development of human resources; physical, institutional, and information resources that form infrastructure; technology transfer (include transfer of results to entities in government or industry, adoption of new practices, or instances where research has led to the initiation of a startup company); or society beyond science and technology.

a. What is the impact on the development of the principal discipline(s) of the project?

Describe how findings, results, techniques that were developed or extended, or other products from the project made an impact or are likely to make an impact on the base of knowledge, theory, and research and/or pedagogical methods in the principal disciplinary field(s) of the project. Summarize using language that an intelligent lay audience can understand (*Scientific American* style). How the field or discipline is defined is not as important as covering the impact the work has had on knowledge and technique. Make the best distinction possible, for example, by using a "field" or "discipline", if appropriate, that corresponds with a single academic department (i.e., physics rather than nuclear physics).

b. What is the impact on other disciplines?

Describe how the findings, results, or techniques that were developed or improved, or other products from the project made an impact or are likely to make an impact on other disciplines.

c. What is the impact on the development of human resources?

Describe how the project made an impact or is likely to make an impact on human resource development in science, engineering, and technology. For example, how has the project: provided opportunities for research and teaching in the relevant fields; improved the performance, skills, or attitudes of members of underrepresented groups that will improve their access to or retention in research, teaching, or other related professions; developed and disseminated new educational materials or provided scholarships; or provided exposure to science and technology for practitioners, teachers, young people, or other members of the public?

d. What is the impact on physical, institutional, and information resources that form infrastructure?

Describe ways, if any, in which the project made an impact, or is likely to make an impact, on physical, institutional, and information resources that form infrastructure, including: physical resources such as facilities, laboratories, or instruments; institutional resources (such as establishment or sustenance of societies or organizations); or information resources, electronic means for accessing such resources or for scientific communication, or the like.

e. What is the impact on technology transfer?

Describe ways in which the project made an impact, or is likely to make an impact, on commercial technology or public use, including: transfer of results to entities in government or industry; instances where the research has led to the initiation of a start-up company; or adoption of new practices.

f. What is the impact on society beyond science and technology?

Describe how results from the project made an impact, or are likely to make an impact, beyond the bounds of science, engineering, and the academic world on areas such as: improving public knowledge, attitudes, skills, and abilities; changing behavior, practices, decision making, policies (including regulatory policies), or social actions; or improving social, economic, civic, or environmental conditions.

g. What dollar amount of the award's budget is being spent in foreign country(ies)?

Describe what percentage of the award's budget is being spent in foreign country(ies). If more than one foreign country is involved, identify the distribution between the foreign countries.

6. CHANGES/PROBLEMS: [Optional/Mandatory]

The PI is reminded that the grantee is required to obtain prior written approval from the Contracting Officer whenever there are significant changes in the project or its direction. Requests for prior written approval must be submitted to the Contracting Officer (submission via Fedconnect is acceptable). If not previously reported in writing, provide the following additional information, if applicable: Changes in approach and reasons for change; Actual or anticipated problems or delays and actions or plans to resolve them; Changes that have a significant impact on expenditures; Significant changes in use or care of animals, human subjects, and/or biohazards.

a. Changes in approach and reasons for change

Describe any changes in approach during the reporting period and reasons for these changes. Remember that significant changes in objectives and scope require prior approval of the agency.

b. Actual or anticipated problems or delays and actions or plans to resolve them

Describe problems or delays encountered during the reporting period and actions or plans to resolve them.

c. Changes that have a significant impact on expenditures

Describe changes during the reporting period that may have a significant impact on expenditures, for example, delays in hiring staff or favorable developments that enable meeting objectives at less cost than anticipated.

d. Significant changes in use or care of human subjects, vertebrate animals, and/or Biohazards

Describe significant deviations, unexpected outcomes, or changes in approved protocols for the use or care of human subjects, vertebrate animals, and/or biohazards during the reporting period. If required, were these changes approved by the applicable institution committee and reported to the agency? Also specify the applicable Institutional Review Board/Institutional Animal Care and Use Committee approval dates.

e. Change of primary performance site location from that originally proposed

Identify any change to the primary performance site location identified in the proposal, as originally submitted.

7. SPECIAL REPORTING REQUIREMENTS: [Optional/Mandatory]

Respond to any special reporting requirements specified in the award terms and conditions, as well as any award specific reporting requirements.

8. BUDGETARY INFORMATION: [Optional/Mandatory]

This component will be used to collect budgetary data from the recipient organization. The information will be used in conducting periodic administrative/budgetary reviews. Budgetary data should be submitted in an Excel spreadsheet format.

9. PROJECT MANAGEMENT PLAN (PMP) [Optional/Mandatory]

Iterations and Maintenance

The recipient is required to develop, update, and adhere to a project management plan. The purpose of the plan is to establish cost, schedule, and technical performance baselines, and to formalize the processes by which the project will be managed. These processes include considerations such as risk management, change management, and communications management. While it is primarily the project recipient's responsibility to maintain the plan, Federal staff may request changes. The plan is intended to be a living document, modified as necessary, and comprising the following iterations:

Application Draft

The recipient must submit a draft of the project management plan with the initial application for financial assistance.

Negotiation Draft

The selected recipient may be called upon by the selecting Office to revise its project management plan during the negotiation phase.

Active Plan

Following formal award of the financial assistance agreement, the recipient must submit an updated project management plan, to include any changes requested during negotiation and a timeline based upon the actual award date.

a. Revised Plan(s)

During the life of the project the recipient must submit a revised project management plan based on the following circumstances:

1. Developments that have a significant favorable impact on the project.
2. Problems, delays, or adverse conditions which materially impair the recipient's ability to meet the objectives of the award or which may require the program office to respond to questions relating to such events from the public. Specifically, the recipient must update the plan when any of the following incidents occur:
 - a) Any event which is anticipated to cause significant schedule or cost changes, such as changes to the funding and costing profile or changes to the project timeline.
 - b) Any change to Technology Readiness Level.
 - c) Any significant change to risk events (including both potential and realized events) or to risk management strategies.
 - d) Failure to meet a milestone or milestones; any dependencies should be adjusted.
 - e) Any changes to partnerships.
 - f) Any significant change to facilities or other project resources.
 - g) Any other incident that has the potential for high visibility in the media.

b. Content of revised PMP:

Project Title: The DOE award number and project title

Recipient Organization: Official name of the recipient organization

Principal Investigator: The name and title of the project director/ principal investigator

Date of Plan: The date the plan or plan revision was completed

The revised PMP must describe changes to any of the following sections of the PMP as well as provide updated versions of any logs, tables, charts, or timelines.

1. **Executive Summary:** Provide a description of the project that includes the objective, project goals, and expected results. The description should include a high level description of the technology, potential use or benefit of the technology, location of work sites and a brief discussion of work performed at each site, along with a description of project phases (if the project includes phases).
2. **Technology Readiness Levels (TRLs):** Identify the readiness level of the technology associated with the project as well as the planned progression during the course of project execution. A detailed explanation of the rationale for the estimated technology readiness level should be provided. Specific entry criteria for the next higher technology readiness level should be identified. The following definitions apply:

a) TRL-1. Basic principles observed and reported: Scientific problem or phenomenon identified. Essential characteristics and behaviors of systems and architectures are identified using mathematical formulations or algorithms. The observation of basic scientific principles or phenomena has been validated through peer-reviewed research. Technology is ready to transition from scientific research to applied research.

b) TRL-2. Technology concept and/or application formulated: Applied research activity. Theory and scientific principles are focused on specific application areas to define the concept. Characteristics of the application are described. Analytical tools are developed for simulation or analysis of the application.

c) TRL-3. Analytical and experimental critical function and/or characteristic proof of concept: Proof of concept validation has been achieved at this level. Experimental research and development is initiated with analytical and laboratory studies. System/integrated process requirements for the overall system application are well known. Demonstration of technical feasibility using immature prototype implementations are exercised with representative interface inputs to include electrical, mechanical, or controlling elements to validate predictions.

d) TRL-4. Component and/or process validation in laboratory environment- Alpha prototype (component) Standalone prototyping implementation and testing in laboratory environment demonstrates the concept. Integration and testing of component technology elements are sufficient to validate feasibility.

e) TRL-5. Component and/or process validation in relevant environment- Beta prototype (component): Thorough prototype testing of the component/process in relevant environment to the end user is performed. Basic technology elements are integrated with reasonably realistic supporting elements based on available technologies. Prototyping implementations conform to the target environment and interfaces.

f) TRL-6. System/process model or prototype demonstration in a relevant environment- Beta prototype (system): Prototyping implementations are partially integrated with existing systems. Engineering feasibility fully demonstrated in actual or high fidelity system applications in an environment relevant to the end user.

g) TRL-7. System/process prototype demonstration in an operational environment- Integrated pilot (system): System prototyping demonstration in operational environment. System is at or near full scale (pilot or engineering scale) of the operational system, with most functions available for demonstration and test. The system, component, or process is integrated with collateral and ancillary systems in a near production quality prototype.

h) TRL-8. Actual system/process completed and qualified through test and demonstration- Pre-commercial demonstration: End of system development. Full-scale system is fully integrated into operational environment with fully operational hardware and software systems. All functionality is tested in simulated and operational scenarios with demonstrated achievement of end-user specifications. Technology is ready to move from development to commercialization.

3. **Risk Management:** Provide a summary description of the proposed approach to identify, analyze, and respond to potential risks associated with the proposed project. Project risk events are uncertain future events that, if realized, impact the success of the project. At a minimum, include the initial identification of significant technical, resource, and management issues that have the potential to impede project progress and strategies to minimize impacts from those issues. The risk management approach should be tailored to the TRL. If a project or task is expected to progress to a higher TRL, then the risk plan should address the retirement of any risks associated with the first TRL and identify new risks related to moving to the next TRL. Additionally, the risk management approach should include risk opportunities that if realized, could benefit the project.

4. **Milestone Log:** Provide milestones for each budget period (or phase) of the project. Each milestone should include a title and planned completion date. Milestones should be quantitative (e.g., a date, a decision to be made, a key event) and show progress toward budget period and/or project goals. Milestones should also be important and few. Higher TRL projects (Demonstration and Deployment) typically have the most detailed milestone logs compared to lower TRL level projects (Research and Development). If applicable, milestones chosen should clearly reflect progress through various TRL stages.

Note: The Milestone Status must present actual performance in comparison with the Milestone Log, and include:

- (a) the actual status and progress of the project,
 - (b) specific progress made toward achieving the project's milestones, and,
 - (c) any proposed changes in the project's schedule required to complete milestones.
5. **Funding and Costing Profile:** Provide a table (the Project Funding Profile) that shows, by budget period, the amount of government funding going to each project team member. Also a table (the Project Costing Profile) which projects, by month, the expenditure of both government and recipient funds for the first budget period, at a minimum. The Funding and Costing Profile should show the relationships with the Milestone Log (Item 4 above) and Project Timeline (Item 6 below); for example, Funding and Costing information could be shown as an overlay on milestone or timeline charts.
 6. **Project Timeline:** Provide a timeline of the project (similar to a Gantt chart) broken down by each task and subtask, as described in the Statement of Project Objectives. The timeline should include a start date and end date for each task, as well as interim milestones. The timeline should also show interdependencies between tasks and include the milestones that are identified in the Milestone Log (Item 4 above). The timeline should also show the relationship to the Project Costing Profile (Item 5 above). If applicable, the timeline should include activities and milestones related to achieving succeeding TRLs.
 7. **Success Criteria at Decision Points:** Provide well-defined success criteria for each decision point in the project, including go/no-go decision points and the conclusions of budget periods and the entire project. The success criteria should be objective and stated in terms of specific, measurable, and repeatable data. Usually, the success criteria pertain to desirable outcomes, results, and observations from the project. Key milestones can be associated with success criterion. If applicable, the success criteria should include exit criteria for progressing from one TRL to the next.
 8. **Key Partnerships, Teaming Arrangements and Team Members:** Provide a list of key team members in the project as well as the role and contact information of each. A hierarchical project organization and structure chart should be provided along with a description of the role and responsibilities of each team member in terms of contribution to project scope. The section should also include key team members who fulfill single or multiple roles within a project as well as the contact information for each.
 9. **Facilities and Resources:** Provide a list of project locations along with a discussion of capabilities and activities performed at each site in terms of contribution to project scope. The address of each work site should be provided.

10. **Communications Management:** Describe the communications needs and expectations for the project team members. The communications plan may be simple or detailed, depending on the complexity of the project. At a minimum, the plan should include contact information, methods of communicating and anticipated frequency.

11. **Change Management:** Provide a description of the process for managing change on the project. Describe how change will be monitored, controlled and documented within the project. This includes, but is not limited to, changes to the Scope, Schedule, and Budget. If applicable, Change Management should include assessing how changes impact TRLs.

**Intellectual Property Provisions (NRD-1003)
Nonresearch and Development**

Nonprofit organizations are subject to the intellectual property requirements at 10 CFR 600.136(a), (c) and (d). All other organizations are subject to the intellectual property requirements at 10 CFR 600.136(a) and (c).

600.136 Intangible property.

(a) Recipients may copyright any work that is subject to copyright and was developed, or for which ownership was purchased, under an award. DOE reserves a royalty-free, nonexclusive and irrevocable right to reproduce, publish or otherwise use the work for Federal purposes, and to authorize others to do so.

(c) DOE has the right to:

(1) Obtain, reproduce, publish or otherwise use the data first produced under an award; and

(2) Authorize others to receive, reproduce, publish, or otherwise use such data for Federal purposes.

(d) In addition, in response to a Freedom of Information act (FOIA) request for research data relating to published research findings produced under an award that were used by the Federal Government in developing an agency action that has the force and effect of law, the DOE shall request, and the recipient shall provide, within a reasonable time, the research data so that they can be made available to the public through the procedures established under the FOIA. If the DOE obtains the research data solely in response to a FOIA request, the agency may charge the requester a reasonable fee equaling the full incremental cost of obtaining the research data. This fee should reflect the costs incurred by the agency, the recipient, and applicable subrecipients. This fee is in addition to any fees the agency may assess under the FOIA (5 U.S.C. 552(a)(4)(A)).

ASSISTANCE AGREEMENT

1. Award No. DE-EE0006305		2. Modification No.		3. Effective Date 09/30/2013		4. CFDA No. 81.117	
5. Awarded To CLEAN ENERGY STATES ALLIANCE, INC. Attn: MARIA BLAIS SUITE 1 MONTPELIER VT 056022927				6. Sponsoring Office Energy Effcy & Renewable Energy			7. Period of Performance 09/30/2013 through 03/31/2015
8. Type of Agreement <input type="checkbox"/> Grant <input checked="" type="checkbox"/> Cooperative Agreement <input type="checkbox"/> Other		9. Authority EPAct 2005			10. Purchase Request or Funding Document No. 13EE003787		
11. Remittance Address CLEAN ENERGY STATES ALLIANCE, INC. Attn: MARIA BLAIS SUITE 1 MONTPELIER VT 056022927				12. Total Amount Govt. Share: \$1,500,000.00 Cost Share : \$375,000.00 Total : \$1,875,000.00		13. Funds Obligated This action: \$1,500,000.00 Total : \$1,500,000.00	
14. Principal Investigator Warren Leon Phone: 978-317-4559		15. Program Manager Rose Marie S. Holsing Phone: 202-287-1793			16. Administrator Golden Field Office U.S. Department of Energy Golden Field Office 1617 Cole Blvd. Golden CO 80401-3393		
17. Submit Payment Requests To			18. Paying Office			19. Submit Reports To See Attachment #2	
20. Accounting and Appropriation Data 03601							
21. Research Title and/or Description of Project NEW ENGLAND SOLAR COST-REDUCTION PARTNERSHIP							
For the Recipient				For the United States of America			
22. Signature of Person Authorized to Sign				25. Signature of Grants/Agreements Officer Signature on File			
23. Name and Title		24. Date Signed		26. Name of Officer Michael A. Schledorn		27. Date Signed 09/17/2013	

CONTINUATION SHEET

REFERENCE NO. OF DOCUMENT BEING CONTINUED
DE-EE0006305

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NAME OF OFFEROR OR CONTRACTOR
CLEAN ENERGY STATES ALLIANCE, INC.

ITEM NO. (A)	SUPPLIES/SERVICES (B)	QUANTITY (C)	UNIT (D)	UNIT PRICE (E)	AMOUNT (F)
	<p>DUNS Number: 786706650</p> <p>In addition to this Assistance Agreement, this award consists of the items listed in the Special Terms and Conditions, Provision 2, "Award Agreement Terms and Conditions."</p> <p>The Project Period for this award is 09/30/2013 through 03/31/2016 consisting of the following Budget Periods:</p> <p>Budget Period 1: 09/30/2013 to 03/31/2015 Budget Period 2: 04/01/2015 to 03/31/2016</p> <p>In Block 7 of the Assistance Agreement, the Period of Performance reflects the beginning of the Project Period through the end of the current Budget Period.</p> <p>Additional future DOE funding and additional budget periods are not contemplated under this award. Funding for all awards and future budget periods is contingent upon the availability of funds appropriated by Congress for the purpose of this program and the availability of future-year budget authority.</p> <p>The Special Terms and Conditions for this award contain specific funding restrictions. Please review the applicable terms for procedures required to lift the restrictions.</p> <p>DOE Award Administrator: Fania Gordon E-mail: fania.gordon@go.doe.gov Phone: 720-356-1546</p> <p>DOE Project Officer: Rose Marie Holsing E-mail: rosemarie.holsing@ee.doe.gov Phone: 202-287-1793</p> <p>Recipient Business Officer: Anthony Vargo E-mail: tony@cleanegroup.org Phone: 802-223-2554 x214</p> <p>Recipient Principal Investigator: Warren Leon E-mail: wleon@cleanegroup.org Phone: 978-317-4559</p> <p>Continued ...</p>				

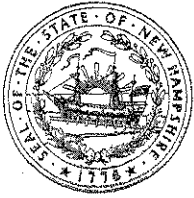
CONTINUATION SHEET

REFERENCE NO. OF DOCUMENT BEING CONTINUED
DE-EE0006305

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NAME OF OFFEROR OR CONTRACTOR
CLEAN ENERGY STATES ALLIANCE, INC.

ITEM NO. (A)	SUPPLIES/SERVICES (B)	QUANTITY (C)	UNIT (D)	UNIT PRICE (E)	AMOUNT (F)
	<p>"Electronic signature or signatures as used in this document means a method of signing an electronic message that--</p> <p>(A) Identifies and authenticates a particular person as the source of the electronic message;</p> <p>(B) Indicates such person's approval of the information contained in the electronic message; and,</p> <p>(C) Submission via FedConnect constitutes electronically signed documents."</p> <p>ASAP: YES Extent Competed: COMPETED Davis-Bacon Act: NO</p> <p>Fund: 05450 Appr Year: 2013 Allottee: 31 Report Entity: 200835 Object Class: 41100 Program: 1005622 Project: 0000000 WFO: 0000000 Local Use: 0000000</p>				



State of New Hampshire

HS 13 287

DEPARTMENT OF SAFETY
OFFICE OF THE COMMISSIONER
33 HAZEN DR. CONCORD, NH 03305
603/271-2791

JOHN J. BARTHELMES
COMMISSIONER

December 16, 2013

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

Requested Action

1. Pursuant to RSA 14:30-a VI, the Department of Safety, Division of Homeland Security and Emergency Management, requests authorizations to accept and expend Hazard Mitigation Grant Program (HMGP) funds from the Federal Emergency Management Agency (FEMA) for the DR-4006 May 2011 floods in the amount of \$196,371.00 for the implementation of cost effective mitigation projects. Effective upon Fiscal Committee and Governor and Council approvals through June 30, 2015. Funding Source: 100% Federal Funds.

2. Pursuant to RSA 14:30-a VI, the Department of Safety, Division of Homeland Security and Emergency Management, requests authorizations to accept and expend Hazard Mitigation Grant Program (HMGP) funds from the Federal Emergency Management Agency (FEMA) for the DR-4065 May 2012 floods in the amount of \$510,353.00 and for the implementation of cost effective mitigation projects. Effective upon Fiscal Committee and Governor and Council approvals through June 30, 2015. Funding Source: 100% Federal Funds.

Funding is available in the SFY 2014 operating budget as follows:

02-23-23-236010-29210000
#1 - Activity Code: 23DR4006
#2 - Activity Code: 23DR4065

Dept. of Safety HSEM

Hazard Miti Grant Program-FEMA
DR 4006 May 2011 Floods HMGP
DR 4065 May 2012 Floods HMGP

	Current Adjusted Authorized	Requested Action #1	Requested Action #2	Revised Adjusted Authorized
000-408121 Federal Funds	(\$532,223.00)	(\$196,371.00)	(\$510,353.00)	(\$1,238,947.00)
018-500106 Overtime	\$4,000.00	\$500.00	\$2,000.00	\$6,500.00
020-500200 Current Expense	\$618.00	\$500.00	\$600.00	\$1,718.00
030-500311 Equipment	\$1,500.00	\$0.00	\$1,900.00	\$3,400.00
040-500800 Indirect Costs	\$2,274.00	\$950.00	\$2,200.00	\$5,424.00
041-500801 Audit Fund Set Aside	\$533.00	\$200.00	\$600.00	\$1,333.00
060-500601 Benefits	\$795.00	\$100.00	\$400.00	\$1,295.00
070-500705 In-State Travel	\$1,000.00	\$500.00	\$693.00	\$2,193.00
072-500574 Grants - Federal	\$507,411.00	\$187,216.00	\$486,560.00	\$1,181,187.00
080-500710 Out of State Travel	\$714.00	\$0.00	\$200.00	\$914.00
246-500792 Grantee Admin Costs	\$13,378.00	\$6,405.00	\$15,200.00	\$34,983.00
Total Appropriation:	\$532,223.00	\$196,371.00	\$510,353.00	\$1,238,947.00

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
December 16, 2013
Page 2 of 2

Explanation

The Hazard Mitigation Grant Program (HMGP) provides funding to states and communities (sub-grantees) for cost-effective hazard mitigation activities that complement a comprehensive mitigation program. FEMA provides HMGP funds to states following presidentially declared disasters where the FEMA Public Assistance Program is employed that, in turn, provide sub-grants or contracts for a variety of mitigation activities, such as planning and the implementation of projects identified through the evaluation of natural hazards. The cost share is 75% Federal funds and a 25% applicant soft-match. The sub-grantee will provide and document the program match requirements. The management costs associated with the Hazard Mitigation Grant Program are 100% federal funds and do not require a matching percentage.

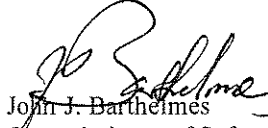
<u>Class Code</u>	<u>Title</u>	<u>Description</u>
018	Overtime	Provides funding for full-time staff working extra hours to manage the Hazard Mitigation Annual (HMA) Programs
020	Current Expense	Allows for purchasing supplies needed to manage the HMA programs.
030	Equipment	Allows for purchasing equipment needed for project management.
040	Indirect Cost	Represents the associated amount for this request.
041	Audit Fund Set Aside	Represents the associated amount for this request.
060	Benefits	Provides funding associated with Class Code 018.
070	In-State Travel	Provides funding for travel to manage the HMA programs statewide.
072	Grants	Provides funding for grants to sub-grantees.
080	Out of State Travel	Provides funding for travel to workshops associated with managing the HMA Programs
246	Grantee Admin Costs	Provides funding for Grantee administrative costs.

At the time the State of New Hampshire FY 2014 – 2015 budget was being developed, it was not anticipated that the State would receive this level of funding.

The State of New Hampshire solicits applications statewide. Notification of the availability of HMGP funds is made to every community by e-mail and by letters sent to the chief elected official of each community. The State of New Hampshire submits all applications received for program funding to the Federal Emergency Management Agency for their final approval. Applications that are determined to be cost effective and program eligible are then funded by FEMA in full; not every application submitted is determined to be program eligible. However, all applications that are determined to be eligible are funded at the requested dollar amount listed in their application, pending availability of adequate program funding.

There are no General funds required with this request. In the event that Federal funds are no longer available, General funds and/or Highway funds will not be requested to support this program.

Respectfully submitted,


John J. Barthelme
Commissioner of Safety

Department of Safety
Homeland Security and Emergency Management
Hazard Mitigation Program - FEMA

Fiscal Situation: Account 02-23-23-236010-29210000

Federal Funds Awarded:

FEMA-4049-DR-NH October 2011 Snow Storm - HMGP	\$532,223.00
FEMA-4006-DR-NH May 2011 Floods - HMGP	\$196,371.00
FEMA-4065-DR-NH May 2012 Floods - HMGP	\$510,353.00
Total Grant Funds Awarded	\$1,238,947.00

Less SFY14 Adjusted Authorized **(\$532,223.00)**

Excess grant funds available to appropriate \$706,724.00

This Request **\$706,724.00**

U.S. Department of Homeland Security
FEMA Region I
99 High Street
Boston, MA 02110



FEMA

February 7, 2013

Perry Plummer, Acting Director
NH Department of Homeland Security and Emergency Management
33 Hazen Drive
Concord, New Hampshire 03301

Re: 12-Month Final Lock-In
FEMA-4049-DR-NH
Hazard Mitigation Grant Program (HMGP)

Dear Director Plummer:

Under Section 404 of the Stafford Act, as amended, 75% Federal funding is authorized for hazard mitigation measures. As a condition of receiving Federal disaster assistance, measures must be cost-effective, environmentally acceptable and be consistent with the strategies and recommendations identified in your State Hazard Mitigation Plan. Funding for mitigation projects under the HMGP is based on 15% of the estimated aggregate amount of grants made under the Stafford Act. The 12-month final lock-in of \$507,411 in Federal funding is available for the HMGP under FEMA-4049-DR-NH. A breakdown of funding is listed below:

5% PROJECTS	\$ 25,371	
7% PROJECTS	\$ 35,519	
REGULAR PROJECTS	\$ 446,521	
12-MONTH LOCK-IN	\$ 507,411	
STATE MANAGEMENT COST PROJECTS	\$ 24,812	
		\$ 532,223.00 total

This calculation is based on the 12-month estimate as reported by the authorized disaster assistance programs. This is the final 12-month lock-in for FEMA-4049-DR-NH. Final applications or amendments for new mitigation projects must have been submitted to the FEMA Region I Hazard Mitigation Division by **December 5, 2012**. Please forward a SF-424 to cover the funding request. If you have any questions, please contact me.

Sincerely,

Dean J. Savramis
Director, Mitigation Division
FEMA Region I

Cc: Beth Peck, Acting SHMO, NH HSEM



FEMA

October 12, 2012

Mr. Christopher Pope, Director
NH Homeland Security and Emergency Management
33 Hazen Drive
Concord, New Hampshire 03301

Re: 12-MONTH FINAL LOCK-IN
FEMA-4006-DR-NH
Hazard Mitigation Grant Program

Dear Director Pope:

Under Section 404 of the Stafford Act, as amended, 75% Federal funding is authorized for hazard mitigation measures. As a condition of receiving Federal disaster assistance, measures must be cost-effective, environmentally acceptable and be consistent with the strategies and recommendations identified in your State Hazard Mitigation Plan. Funding for mitigation projects under the Hazard Mitigation Grant Program (HMGP) is based on 15% of the estimated aggregate amount of grants made under the Stafford Act. The 12-month final lock-in of \$187,216 in Federal funding is available for the HMGP under FEMA-4006-DR-NH. A breakdown of funding is listed below:

5% PROJECTS	\$ 9,361	
7% PROJECTS	\$ 13,105	
REGULAR PROJECTS	\$ 164,750	
12-MONTH LOCK-IN	\$ 187,216	
STATE MANAGEMENT COST PROJECTS	\$ 9,155	
		\$ 196,371.00 total

This calculation is based on the 12-month estimate as reported by the authorized disaster assistance programs. This is the final 12-month lock-in for FEMA-4006-DR-NH. Final applications or amendments for new mitigation projects must have been submitted to the FEMA Region I Hazard Mitigation Division by July 22, 2012. If you have any questions, please contact me.

Sincerely,

Dean J. Savramis
Mitigation Division Director
FEMA Region I

Cc: Lance Harbour, HSEM



FEMA

August 16, 2013

Perry Plummer, Acting Director
NH Department of Homeland Security and Emergency Management
33 Hazen Drive
Concord, New Hampshire 03301

Re: 12-Month Final Lock-In
FEMA-4065-DR-NH
Hazard Mitigation Grant Program (HMGP)

Dear Director Plummer:

Under Section 404 of the Stafford Act, as amended, 75% Federal funding is authorized for hazard mitigation measures. As a condition of receiving Federal disaster assistance, measures must be cost-effective, environmentally acceptable and be consistent with the strategies and recommendations identified in your State Hazard Mitigation Plan. Funding for mitigation projects under the HMGP is based on 15% of the estimated aggregate amount of grants made under the Stafford Act. The 12-month final lock-in of \$486,560 in Federal funding is available for the HMGP under FEMA-4065-DR-NH. A breakdown of funding is listed below:

5% PROJECTS	\$ 24,328	
7% PROJECTS	\$ 34,059	
REGULAR PROJECTS	\$ 428,173	
12-MONTH LOCK-IN	\$ 486,560	
STATE MANAGEMENT COST PROJECTS	\$ 23,793	
		\$ 510,353.00 total

This calculation is based on the 12-month estimate as reported by the authorized disaster assistance programs. This is the final 12-month lock-in for FEMA-4065-DR-NH. Final applications for new mitigation projects were submitted to the FEMA Region I Hazard Mitigation Assistance (HMA) Branch by the June 15, 2013 deadline. If you have any questions, please contact me.

Sincerely,

Dean J. Savramis
Director, Mitigation Division
FEMA Region I

cc: Beth Peck, State Hazard Mitigation Officer, NH HSEM
Dan McElhinney, Grants Division Director, FEMA Region I
Lynn Wright, Grants Management Branch Chief, FEMA Region I

TITLE I

THE STATE AND ITS GOVERNMENT

CHAPTER 14

LEGISLATIVE OFFICERS AND PROCEEDINGS

Legislative Budget Assistant

Section 14:30-a

14:30-a Fiscal Committee. –

I. There is hereby established a fiscal committee of the general court. Said committee shall consist of 10 members. Five shall be members of the house as follows: the chairperson of the finance committee and 2 other members of the committee, appointed by the chairperson; and 2 other house members appointed by the speaker of the house. Five members shall be members of the senate as follows: the chairperson of the finance committee and 2 other members of that committee, appointed by the chairperson; and 2 other senators appointed by the senate president. The chairperson of the house finance committee shall be the chairperson of the fiscal committee.

II. The committee shall, while the general court is in session and during the interim, consult with, assist, advise, and supervise the work of the legislative budget assistant, and may at its discretion investigate and consider any matter relative to the appropriations, expenditures, finances, revenues or any of the fiscal matters of the state. The members shall be paid the regular legislative mileage during the interim while engaged in their work as members of the committee.

III. The fiscal committee shall consider recommendations proposed to it by the legislative performance audit and oversight committee established under RSA 17-N:1. The fiscal committee shall adopt all recommendations proposed to it as provided in RSA 17-N:1, III by the performance audit and oversight committee unless the fiscal committee refuses by unanimous vote to adopt such recommendations.

IV. [Repealed.]

V. [Repealed.]

VI. Any non-state funds in excess of \$100,000, whether public or private, including refunds of expenditures, federal aid, local funds, gifts, bequests, grants, and funds from any other non-state source, which under state law require the approval of governor and council for acceptance and expenditure, may be accepted and expended by the proper persons or agencies in the state government only with the prior approval of the fiscal committee of the general court.

Source. 1965, 239:19. 1987, 416:7. 1989, 396:13; 408:2. 1991, 346:18, I. 1995, 9:8. 2005, 177:11. 2006, 290:21, eff. June 15, 2006. 2012, 247:10, eff. Aug. 17, 2012.



STATE OF NEW HAMPSHIRE
DEPARTMENT OF HEALTH AND HUMAN SERVICES
DIVISION OF COMMUNITY BASED CARE SERVICES

FIS 13 290

Bureau of Drug and Alcohol Services

Nicholas A. Toumpas
Commissioner

105 PLEASANT STREET, CONCORD, NH 03301
603-271-6110 1-800-804-0909

Nancy L. Rollins
Associate Commissioner

Fax: 603-271-6105 TDD Access: 1-800-735-2964 www.dhhs.nh.gov

November 21, 2013

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to RSA 14:30-a, VI, Acceptance of Non-General Funds, authorize the Department of Health and Human Services, Division of Community Based Care Services, Bureau of Drug & Alcohol Services to accept and expend federal funds in the amount of \$198,000 from the Substance Abuse and Mental Health Services Administration to fund the State Epidemiological Outcomes Workgroups (SEOW) effective date of Governor and Council approval through September 29, 2014, and further authorize the funds to be allocated as follows:

05-95-49-491510-2988 HEALTH AND SOCIAL SERVICES, DEPT OF HEALTH AND HUMAN SVCS HHS: COMMISSIONER, DIV OF COMM BASED CARE SVC, BUREAU OF DRUG & ALCOHOL SVCS, PREVENTION SERVICES

Class/Object	Class Title	Current Authorized Budget	Current Request	Revised Modified Budget
SFY 2014				
000-400338	Federal Funds	\$2,002	\$0	\$2,002
000-404600	Federal Funds	\$2,337,015	\$131,667	\$2,468,682
003-407314	Revolving Funds	\$25,000	\$0	\$25,000
007-403412	Agency Income	\$75,400	\$0	\$75,400
XXX-XXXXXX	General Funds	\$376,522	\$0	\$376,522
Total Revenue		\$2,815,939	\$131,667	\$2,947,606
010-500100	Personal Services	\$174,106	\$0	\$174,106
020-500200	Current Expenses	\$14,240	\$1,000	\$15,240
030-500301	Equipment	\$3,001	\$0	\$3,001
038-500175	Technology-Software	\$1	\$0	\$1
039-500179	Telecommunications	\$10,740	\$0	\$10,740
040-500800	Indirect Expenses	\$83,000	\$13,000	\$96,000
041-500801	Audit Fund Set-Aside	\$2,414	\$200	\$2,614
042-500620	Add'l Fringe Benefits	\$27,188	\$0	\$27,188
049-500294	Transfer to other State Agencies	\$50,000	\$0	\$50,000
060-500601	Benefits	\$83,786	\$0	\$83,786
070-500704	In-State Travel	\$12,825	\$1,200	\$14,025
080-500710	Out-of-State Travel	\$2,000	\$2,000	\$4,000
102-500731	Contracts for Program Services	\$2,327,638	\$114,267	\$2,441,905
103-502507	Contracts for OP Services	\$25,000	\$0	\$25,000
Total Expenses		\$2,815,939	\$131,667	\$2,947,606

Class/Object	Class Title	Current Authorized Budget	Current Request	Revised Modified Budget
SFY 2015				
000-400338	Federal Funds	\$0	\$0	\$0
000-404600	Federal Funds	\$2,413,919	\$66,333	\$2,480,252
003-407314	Revolving Funds	\$25,000	\$0	\$25,000
007-403412	Agency Income	\$0	\$0	\$0
XXX-XXXXXX	General Funds	\$486,003	\$0	\$486,003
Total Revenue		\$2,924,922	\$66,333	\$2,991,255
010-500100	Personal Services	\$176,161	\$0	\$176,161
020-500200	Current Expenses	\$14,485	\$500	\$14,985
030-500301	Equipment	\$1	\$0	\$1
038-500175	Technology-Software	\$1	\$0	\$1
039-500179	Telecommunications	\$10,945	\$0	\$10,945
040-500800	Indirect Expenses	\$83,000	\$6,800	\$89,800
041-500801	Audit Fund Set-Aside	\$2,414	\$100	\$2,514
042-500620	Add'l Fringe Benefits	\$27,913	\$0	\$27,913
049-500294	Transfer to other State Agencies	\$50,000	\$0	\$50,000
060-500601	Benefits	\$88,074	\$0	\$88,074
070-500704	In-State Travel	\$13,290	\$500	\$13,790
080-500710	Out-of-State Travel	\$2,000	\$1,000	\$3,000
102-500731	Contracts for Program Services	\$2,431,638	\$57,433	\$2,489,071
103-502507	Contracts for OP Services	\$25,000	\$0	\$25,000
Total Expenses		\$2,924,922	\$66,333	\$2,991,255

EXPLANATION

The Department of Health and Human Services, Division of Community Based Care Services, Bureau of Drug & Alcohol Services seeks approval to accept and expend Strategic Prevention Framework Partnerships for Success II supplemental funding program in the amount of \$198,000 to enhance and expand the New Hampshire State Epidemiological Outcomes Workgroup in its efforts to reduce the misuse of alcohol and other drugs.

This Initiative will allow the Bureau of Drug & Alcohol Services to expand and formalize the work of the State Epidemiological Outcomes Workshop to serve the data analysis and interpretation needs of five constituencies working to reduce underage drinking among 12 to 20 year olds and to reduce prescription drug misuse among 12 to 25 year olds. These constituencies include the NH Governor's Commission on Alcohol and Drug Abuse Prevention, Intervention and Treatment; the NH Division of Public Health Services' Web Based Interactive System for Direction and Outcome Measures (WISDOM) data monitoring and provider performance system; the prevention programming funded by the Bureau of Drug and Alcohol Services; the six core community sectors of the state's Regional Public Health Network System; and the data interests of the individual members of the State Epidemiological Outcomes Workgroup. The State Epidemiological Outcomes Workgroup is comprised of data specialists from a cross-section of state agencies and state-level stakeholders including Behavioral Health; Department of Safety; Public Health; Department of Education; The University of New Hampshire; and the National Alliance on Mental Illness.

The goals of this project are to improve utilization across multiple state agencies and to enhance state level indicators in the development of the State Epidemiological Outcomes Workgroup manual of the functions, membership, processes and products. This will include a State Epidemiological Outcomes Workgroup Action Plan for SFY 2014-2017 in part to fulfill the data utilization recommendations of the state's five year strategic plan to address substance misuse. The action plan will include:

- Assessing challenges and gaps identified by other state system data sources.
- Work with other state system data sources and stewards to develop recommendations for enhancements and improvements to data collection, analysis and/or utilization to meet the state plan recommendations relative to improved data relative to prevalence rates of alcohol and drug abuse in other state systems, financial impact of those individuals in other state systems as a result of alcohol or drug abuse, strategies being implemented to address alcohol or other drug misuse in state system populations, and outcomes of such services.
- Convene and maintain a community of practice with other state system data stewards to craft these recommendations and to begin the implementation process.
- The development of a SEOW manual for future operations, structure and activities. The contractor will include an action plan for the collection, analysis and utilization of qualitative data to inform state and regional efforts. To complete this action plan the contractor will:
 - Assist in the development and dissemination of a standardized method of conducting appreciative inquiry data collection, including a curriculum to train state and community members.
 - Develop and disseminate guidance in the analysis and utilization of appreciative inquiry data to inform quality improvement in the implementation of state and regional strategic plans.

If these funds are not approved the scope of work proposed for the State Epidemiological Outcomes Workgroup will not be achieved, thereby impacting data collection, analysis and/or utilization across multiple state agencies.

The federal award for the State Epidemiological Outcomes Workgroup was unknown at the time the state budget was prepared and approved.

Funds are budgeted for Current Expenses (Class 020) for office supplies, advertising, copying, postage, and miscellaneous supplies.

Funds are budgeted for Indirect Costs (Class 040) to offset administrative costs associated with the grant.

Funds are budgeted for Audit Fund Set Aside (Class 041) per state requirements.

Funds are budgeted for In-State Travel (Class 070) to cover in-state travel expenses staff incur while performing their job.

Funds are budgeted for Out-of-State Travel (Class 080) to cover out-of-state travel expenses staff incurs while attending mandatory national trainings required by the Substance Abuse and Mental Health Services Administration.

Funds are budgeted for Contracts for Program Services (Class 102) to carry out the primary scope of work listed in this narrative.

Area served: Statewide.

Source of Funds: 100% Federal Substance Abuse and Mental Health Services Administration.

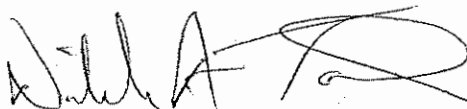
In the event that federal funds become no longer available, general funds will not be requested to support the program expenditures.

Respectfully submitted,



Nancy L. Rollins
Associate Commissioner

Approved by:



Nicholas A. Toumpas
Commissioner
NH Department of Health and Human Services

Enclosures

Division of Community Based Care Services
Bureau of Drug & Alcohol Services

New Hampshire Partnerships for Success Initiatives

Fiscal Situation

010-049-29880000

Federal Funds Awarded:

PFS Grant Award - 9/30/12-9/29/13	\$1,188,528
PFS Grant Award -- 9/30/13-9/29/14	\$1,188,528
PFS - SEOW Supplemental Award -- 9/30/13-9/29/14	<u>\$ 198,000</u>
Total Grant Funds Awarded:	\$2,575,056
Less SFY 2013 Expenses:	(\$ 37,659)
Less SFY 2014 Appropriation:	(\$1,871,520)
Less SFY 2015 Appropriation:	<u>(\$ 467,877)</u>
Total:	(\$2,377,056)
Net Grant Funds Remaining:	\$ 198,000
This Request:	\$ 198,000

KJO
11/19/13

Copy to Valerie, Linda & Sheri

Notice of Award

Issue Date: 09/23/2013



PFS II SEOW Supplements
Department of Health and Human Services
Substance Abuse and Mental Health Services Administration
Center for Substance Abuse Prevention

Grant Number: 3U79SP019425-01S4

Program Director:
Valerie Morgan

Project Title: New Hampshire (NH) Bureau of Drug and Alcohol Services (BDAS)

Grantee Address	Business Address
NH STATE DEPT OF HEALTH AND HUMAN SERS Dept Health & Human Services 105 Pleasant Street Concord, NH 033013852	Bureau of Drug and Alcohol Services Director 105 Pleasant St., Main 3rd Floor North Concord, NH 03301

Budget Period: 09/30/2012 – 09/29/2015

Project Period: 09/30/2012 – 09/29/2015

Dear Grantee:

The Substance Abuse and Mental Health Services Administration hereby awards a supplement in the amount of \$198,000 (see "Award Calculation" in Section I and "Terms and Conditions" in Section III) to NH STATE DEPT OF HEALTH AND HUMAN SERS in support of the above referenced project. This award is pursuant to the authority of Section 516 of the PHS Act, as amended and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Award recipients may access the SAMHSA website at www.samhsa.gov (click on "Grants" then SAMHSA Grants Management), which provides information relating to the Division of Payment Management System, HHS Division of Cost Allocation and Postaward Administration Requirements. Please use your grant number for reference.

Acceptance of this award including the "Terms and Conditions" is acknowledged by the grantee when funds are drawn down or otherwise obtained from the grant payment system.

If you have any questions about this award, please contact your Grants Management Specialist and your Government Project Officer listed in your terms and conditions.

Sincerely yours,

Eileen Bermudez
Grants Management Officer
Division of Grants Management

See additional information below

RECEIVED
9-30-13

SECTION I – AWARD DATA – 3U79SP019425-01S4

Award Calculation (U.S. Dollars)

Salaries and Wages	\$164,302
Fringe Benefits	\$76,766
Personnel Costs (Subtotal)	\$241,068
Supplies	\$5,985
Consortium/Contractual Cost	\$3,267,100
Travel Costs	\$12,004
Other	\$237,427
Direct Cost	\$3,763,584
Approved Budget	\$3,763,584
Federal Share	\$3,763,584
Cumulative Prior Awards for this Budget Period	\$3,565,584

AMOUNT OF THIS ACTION (FEDERAL SHARE) \$198,000

SUMMARY TOTALS FOR ALL YEARS	
YR	AMOUNT
1	\$3,763,584

* Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project.

Fiscal Information:

CFDA Number: 93.243
 EIN: 1026000618B3
 Document Number: 12SP19425A
 Fiscal Year: 2013

IC	CAN	Amount
SP	C96P124	\$198,000

SP Administrative Data:

PCC: SPF-PFS / OC: 4145

SECTION II – PAYMENT/HOTLINE INFORMATION – 3U79SP019425-01S4

Payments under this award will be made available through the HHS Payment Management System (PMS). PMS is a centralized grants payment and cash management system, operated by the HHS Program Support Center (PSC), Division of Payment Management (DPM). Inquiries regarding payment should be directed to: The Division of Payment Management System, PO Box 6021, Rockville, MD 20852, Help Desk Support – Telephone Number: 1-877-614-5533.

The HHS Inspector General maintains a toll-free hotline for receiving information concerning fraud, waste, or abuse under grants and cooperative agreements. The telephone number is: 1-800-HHS-TIPS (1-800-447-8477). The mailing address is: Office of Inspector General, Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave., SW, Washington, DC 20201.

SECTION III – TERMS AND CONDITIONS – 3U79SP019425-01S4

This award is based on the application submitted to, and as approved by, SAMHSA on the above-title project and is subject to the terms and conditions incorporated either directly or by reference in the following:

- a. The grant program legislation and program regulation cited in this Notice of Award.
- b. The restrictions on the expenditure of federal funds in appropriations acts to the extent those restrictions are pertinent to the award.
- c. 45 CFR Part 74 or 45 CFR Part 92 as applicable.
- d. The HHS Grants Policy Statement.
- e. This award notice, INCLUDING THE TERMS AND CONDITIONS CITED BELOW.

Treatment of Program Income:
Additional Costs

SECTION IV – SP Special Terms and Condition – 3U79SP019425-01S4

REMARKS:

This award approves supplemental funding in the amount of \$198,000 to expand and enhance the activities of the current State Epidemiological Outcomes Workgroups (SEOWs) funded under the SPF-PFS II grant.

UPDATED SPECIAL TERMS OF AWARD:

This award reflects the second 12 month period 09/30/13 - 09/29/14, the grantee organization may expend \$1,386,528 (~~\$1,188,528~~ second 12 month period + \$198,000 supplement). Funding for the final 12 month period is restricted and the grantee organization may not expend more than the amount noted below:

9/30/2014 -9/29/2015: \$1,188,528

SPECIAL CONDITIONS OF AWARD:

NONE

STANDARD TERMS OF AWARD:

ALL PREVIOUS TERMS AND CONDITIONS REMAIN IN EFFECT UNTIL SPECIFICALLY APPROVED AND REMOVED BY THE GRANTS MANAGEMENT OFFICER

CONTACTS:

Andrea Harris, Program Official
Phone: (240) 276-2441 Email: andrea.harris@samhsa.hhs.gov Fax: (240) 276-2595

Andrew Payne, Grants Specialist
Phone: (240) 276-1238 Email: Andrew.Payne@samhsa.hhs.gov Fax: (240) 276-1430

organization may expend \$1,188,528. Funding for each of the subsequent 12 month period(s) are restricted and the grantee organization may not expend more than the amounts noted below:

9/30/2012- 9/29/2013: \$1,188,528

9/30/2013 -9/29/2014: \$1,188,528

9/30/2014 -9/29/2015: \$1,188,528

2. Grant funds are intended for the implementation of evidence-based prevention programs, policies and practices. A total of 86% of the funds must go toward State sub recipient communities of high need in accordance with SAMHSA's guidelines and subsequent to approval from SAMHSA for the implementation of the Partnerships for Success grant. Additionally, the State may use up to 15% of its award (direct and indirect) for administrative, State-level evaluation and epidemiological expenses. This portion of the funds may be used to enhance the State's prevention data infrastructure and the capacity to collect and analyze prevention data.

3. Partnerships for Success representatives are required to attend a minimum of two CSAP Grantee Meetings each year. Grant funds will be used to support attendance of the Project Director, Lead Evaluator, and Epidemiological Workgroup (EPI) Lead.

4. Although grantees are expected to draw from the allocation for State level Administrative funds (i.e., 15%) to establish and maintain a State Epidemiological Workgroup and State-level evaluation, grantees may, following written approval from the Government Project Officer, charge the costs associated with evaluation and epidemiological analyses performed at the community level to the 85% community portion of the grant, including the statewide needs assessment.

5. Grantees are required to expend a minimum of \$150,000 each year from the grant or other funds on the State epidemiological workgroup (SEW) activities, including the statewide needs assessment and data system development/maintenance.

6. Partnerships for Success grantees are required to implement evidence-based programs, policies and practices. Grantees will be required to provide sufficient proof interventions used are evidenced based.

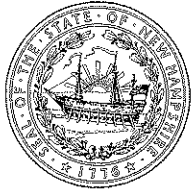
SPECIAL CONDITIONS OF AWARD:

BY OCTOBER 31, 2012, grantee must provide a revised detailed budget justification, budget narrative, and SF-424-A corresponding to the approved amount.

By OCTOBER 31, 2012, you must submit your written response regarding the Participant Protection concerns raised by our Initial Review Group (IRG) as stated in your review summary statement. Be sure you have submitted your sample consent forms (i.e., informed consent for participation in treatment services, informed consent for participation in the data collection activities (e.g., GPRA), and informed consent form for disclosure/exchange of confidential information - either grantee releasing confidential information to another party or requesting confidential information from another party). All grant funds are available for this project except for those funds directly related to Participant Protection issues as outlined in the RFA. Currently, only activities that do not directly involve Participant Protection issues (i.e., are clearly severable and independent from those activities that do involve Participant Protection issues) may be conducted under this award. This restriction of funds will only be lifted if the Participant Protection issues are appropriately addressed by the grantee and resolved to the satisfaction of your designated Government Project Officer and approved by the Grants Management Officer, SAMHSA by issuance of a Notice of Award.

BY OCTOBER 31, 2012, you must submit the following:

1. A behavioral health disparities impact statement that includes all sub-populations identified in your proposal.
2. A plan regarding implementation of policies and procedures, including the translation of materials as appropriate, to ensure the cultural and linguistic needs of all sub-populations identified in your proposal.



STATE OF NEW HAMPSHIRE
 DEPARTMENT OF HEALTH AND HUMAN SERVICES
 OFFICE OF HUMAN SERVICES

129 PLEASANT STREET, CONCORD, NH 03301-3857

603-271-9196 1-800-852-3345 Ext. 9196

Fax: 603-271-5139 TDD Access: 1-800-735-2964 www.dhhs.nh.gov

Nicholas A. Toumpas
 Commissioner

Mary Ann Cooney
 Associate
 Commissioner

December 12, 2013

The Honorable Mary Jane Wallner, Chairman
 Fiscal Committee of the General Court, and

Her Excellency, Governor Margaret Wood Hassan
 and the Honorable Council
 State House
 Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to the provisions of RSA 14:30-a, VI, authorize the Department of Health and Human Services, Office of Human Services, to accept and expend Federal Funds in the amount of \$722,837.00 effective upon date of Fiscal Committee and Governor and Executive Council approval, through June 30, 2015, and further authorize the allocation of these funds in the following account(s) for SFY 2014 and SFY 2015 upon the availability and continued appropriation of funds, with authority to adjust between fiscal years, if needed and justified:

05-95-042-421010-12380000 HEALTH AND SOCIAL SERVICES, DEPT OF HEALTH AND HUMAN SVCS, HHS:HUMAN SERVICES, CHILD PROTECTION SERVICES – STAY GRANT

Class/Object	Class Title	Current Authorized	Increase/ (Decrease) Amount	Revised Authorized
SFY 2014				
000-400338	Federal Funds	\$1,025,877.00	\$232,454.00	\$1,258,331.00
	General Fund	\$0.00	\$0.00	\$0.00
Total Revenue		\$1,025,877.00	\$232,454.00	\$1,258,331.00
010-500100	Personal Services Perm Class	\$0.00	\$90,289.00	\$90,289.00
020-500200	Current Expenses	\$0.00	\$10,000.00	\$10,000.00
026-500051	Organization Dues	\$0.00	\$1.00	\$1.00
030-500301	Equipment	\$0.00	\$5,000.00	\$5,000.00
037-500073	Technology-Hardware	\$0.00	\$8,000.00	\$8,000.00
041-500801	Audit Fund Set Aside	\$1,001.00	\$257.00	\$1,258.00
050-500109	Personal Service Temp Appoi	\$0.00	\$10,000.00	\$10,000.00
057-500535	Books, Periodicals, Subscript	\$0.00	\$1.00	\$1.00
060-500601	Benefits	\$0.00	\$58,369.00	\$58,369.00
070-500704	In-State Travel Reimbursement	\$0.00	\$1,000.00	\$1,000.00
080-500714	Out of State Travel	\$14,500.00	\$0.00	\$14,500.00
102-500731	Contracts for Program Services	\$1,010,376.00	\$49,537.00	\$1,059,913.00
Total Expense		\$1,025,877.00	\$232,454.00	\$1,258,331.00

Class/Object	Class Title	Current Authorized	Increase/ (Decrease) Amount	Revised Authorized
SFY 2015				
000-400338	Federal Funds	\$1,000,838.00	\$490,383.00	\$1,491,221.00
	General Fund	\$0.00	\$0.00	\$0.00
Total Revenue		\$1,000,838.00	\$490,383.00	\$1,491,221.00
010-500100	Personal Services Perm Class	\$0.00	\$106,953.00	\$106,953.00
020-500200	Current Expenses	\$0.00	\$10,000.00	\$10,000.00
026-500051	Organization Dues	\$0.00	\$1.00	\$1.00
030-500301	Equipment	\$0.00	\$5,000.00	\$5,000.00
037-500073	Technology-Hardware	\$0.00	\$5,000.00	\$5,000.00
041-500801	Audit Fund Set Aside	\$1,001.00	\$490.00	\$1,491.00
050-500109	Personal Service Temp	\$0.00	\$15,000.00	\$15,000.00
057-500535	Books, Periodicals, Subscript	\$0.00	\$1.00	\$1.00
060-500601	Benefits	\$0.00	\$66,581.00	\$66,581.00
070-500704	In-State Travel Reimbursement	\$0.00	\$1,000.00	\$1,000.00
080-500714	Out of State Travel	\$14,500.00	\$0.00	\$14,500.00
102-500731	Contracts for Program Services	\$985,337.00	\$280,357.00	\$1,265,694.00
Total Expense		\$1,000,838.00	\$490,383.00	\$1,491,221.00

EXPLANATION

The Department of Health and Human Services, Office of Human Services seeks approval to accept and expend System of Care Implementation federal grant funds in the amount of \$722,837.00 for State Fiscal Years 2014 and 2015 from the Substance Abuse and Mental Health Services Administration in accounting unit 1238 also known as STAY Grant. This request represents the multi-year grant awards from October 1, 2013 to September 29, 2015. This grant does have a matching funds requirement that will be satisfied by vendors who are awarded contracts using these grant funds. No general funds will be requested to meet the match requirement. A copy of the grant award for FFY 2013 and 2014 are attached. The New Hampshire Project is titled Families and Systems Together: F.A.S.T Forward for Children and Youth.

The "F.A.S.T Forward for Children and Youth" funds will be used in New Hampshire to develop a sustainable system of care infrastructure for children and youth with serious emotional, behavioral and/or mental health challenges, and their families. The infrastructure development proposed will expand the array of supports for children/youth who are involved with two or more systems and who are at risk of out of home placement.

"NH F.A.S.T Forward" will improve clinical outcomes and functioning in home, school and community for the identified population by expanding the service array to include services that are family-driven, youth-guided, culturally and linguistically competent, evidence-based and cost efficient. A training network will be created to assure that these values and principles are infused into the service array and implemented by the provider workforce. Grant funding will also be used to enhance family and youth peer support. In addition, this grant will be used to fund two existing full time positions (position # 30566 and position # 14989) and one existing part time positions.

The Honorable Mary Jane Wallner, Chairman, and
Her Excellency, Governor Margaret Wood Hassan
December 12, 2013

Page 3

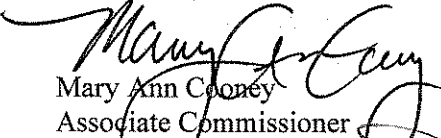
"NH F.A.S.T Forward" builds upon the work of the Children's Behavioral Health Collaborative (CBHC) to create a strategic plan for children's behavioral health services. The CBHC is inclusive of child-serving systems, stakeholder groups and family organizations. Leadership of the CBHC is from the Steering Committee, comprised of New Hampshire's family organizations, a statewide child advocacy organization, Commissioner level staff from the Departments of Education and Health and Human Services, and the state's two largest philanthropies.

Geographic area served: Statewide.

Source of Funds: 100% Federal Substance Abuse and Mental Health Services Administration.

If federal funds become no longer available, general funds will not be requested to support the program expenditures.

Respectfully submitted


Mary Ann Cooney
Associate Commissioner

Approved by: 
Nicholas A. Toumpas
Commissioner

**Fiscal Situation
System of Care Grant**

Federal Grant amount 2013	\$999,837
Federal Grant amount 2014	\$999,837
Federal Grant amount 2015 (Pro-rated)	<u>\$749,878</u>
Total Federal Grant Authorized	\$2,749,552
Federal Grant Spending State Fiscal Year 2013	<u>\$0</u>
Total Prior Fiscal year(s) Actual Expended	\$0
Remaining Federal Authorization	<u>\$2,749,552</u>
Less: 2014 Appropriations	<u>\$1,000,838</u>
Federal Authorization Available	<u>\$1,748,714</u>
Amount Requested With Item For SFY 2014	<u>\$257,493</u>
Balance of Federal Authorization Available	<u>\$1,491,221</u>
Less: 2015 Appropriations	<u>\$1,000,838</u>
Federal Authorization Available	<u>\$490,383</u>
Amount Requested With Item For SFY 2015	<u><u>\$490,383</u></u>
Balance of Federal Authorization Available	<u><u>\$0</u></u>



Notice of Award

Child Mental Health Initiative (CMHI)
Department of Health and Human Services
Substance Abuse and Mental Health Services Administration
Center for Mental Health Services

Issue Date: 11/25/2013

Grant Number: 1U79SM061249-01 REVISED

Program Director:
Egon Rollins

Project Title: NH Department of Health and Human Services

Grantee Address	Business Address
NH STATE DEPT/HLTH STATISTICS/DATA MGMT Sheri Rockburn Financial Manager 129 Pleasant Street Concord, NH 03301	Sheri Rockburn Financial Manager Division for Community-Based Care Services 129 Pleasant Street Concord, NH 03301

Budget Period: 09/30/2012 – 09/29/2013
Project Period: 09/30/2012 – 09/29/2016

Dear Grantee:

The Substance Abuse and Mental Health Services Administration hereby revises this award to reflect a decrease in the amount of \$999,837 (see "Award Calculation" in Section I and "Terms and Conditions" in Section III) to NH STATE DEPT/HLTH STATISTICS/DATA MGMT in support of the above referenced project. This award is pursuant to the authority of Section 561 of the Public Health Service Act As Amended and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Award recipients may access the SAMHSA website at www.samhsa.gov (click on "Grants" then SAMHSA Grants Management), which provides information relating to the Division of Payment Management System, HHS Division of Cost Allocation and Postaward Administration Requirements. Please use your grant number for reference.

Acceptance of this award including the "Terms and Conditions" is acknowledged by the grantee when funds are drawn down or otherwise obtained from the grant payment system.

If you have any questions about this award, please contact your Grants Management Specialist and your Government Project Officer listed in your terms and conditions.

Sincerely yours,

Gwendolyn Simpson
Grants Management Officer
Division of Grants Management

See additional information below

- b. The restrictions on the expenditure of federal funds in appropriations acts to the extent those restrictions are pertinent to the award.
- c. 45 CFR Part 74 or 45 CFR Part 92 as applicable.
- d. The HHS Grants Policy Statement.
- e. This award notice, INCLUDING THE TERMS AND CONDITIONS CITED BELOW.

Treatment of Program Income:
Additional Costs

SECTION IV – SM Special Terms and Conditions – 1U79SM061249-01 REVISED

REMARKS:

This revised award corrects the EIN suffix based on the grantee's administrative re-organization. The amount of \$999,837 will be de-obligated from EIN 1026000618B4 and re-obligated to EIN 1026000618B3.

ALL PREVIOUS TERMS AND CONDITIONS REMAIN IN EFFECT UNTIL SPECIFICALLY APPROVED AND REMOVED BY THE GRANTS MANAGEMENT OFFICER

CONTACTS:

Elizabeth Sweet, Program Official
Phone: 2402761925 Email: Elizabeth.Sweet@samhsa.hhs.gov Fax: 2402761980

Gwendolyn Simpson, Grants Specialist
Phone: 240-276-1408 Email: gwendolyn.simpson@samhsa.hhs.gov Fax: 240-276-1430



STATE OF NEW HAMPSHIRE
DEPARTMENT OF HEALTH AND HUMAN SERVICES
OFFICE OF HUMAN SERVICES
DIVISION OF CLIENT SERVICES

Nicholas A. Toumpas
Commissioner

Carol Sideris
Director

129 PLEASANT STREET, CONCORD, NH 03301-3857
603-271-9474 1-800-852-3345 Ext. 9474
FAX: 603-271-4637 TDD Access: 1-800-735-2964 www.dhhs.nh.gov

December 18, 2013

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to the provisions of RSA 14:30-a, VI, authorize the Department of Health and Human Services, Division of Client Services, to accept and expend Federal Funds in the amount of \$9,544,393 from the Centers For Medicare and Medicaid Services for purposes of continuing the modernization of the New HEIGHTS eligibility system, effective upon date of Fiscal Committee and Governor and Executive Council approval through June 30, 2014, and further authorize the allocation of these funds in the accounts below.

05-95-45-450010-7993 HEALTH AND SOCIAL SERVICES, DEPT OF HEALTH AND HUMAN SVCS, HHS: TRANSITIONAL ASSISTANCE, CLIENT SVCS - DFA FIELD SVCS				
Class/Object	Class Title	Current Authorized Budget	Increase/ (Decrease) Amount	Revised Modified Budget
SFY 2014				
000-403950	Federal Funds	\$9,723,079	\$9,544,393	\$19,267,472
	General Fund	\$10,297,599	\$0	\$10,297,599
Total Revenue		\$20,020,678	\$9,544,393	\$29,565,071
Class	Class Title	Current Authorized Budget	Increase/ (Decrease) Amount	Revised Modified Budget
010-500100	Personal Services Perm	\$11,192,807	\$0	\$11,192,807
018-500106	Overtime	\$500,000	\$0	\$500,000
020-500200	Current Expenses	\$81,158	\$0	\$81,158
022-500257	Rents-Leases Other Than State	\$8,489		\$8,489
030-500321	Equipment New Replacement	\$3,474	\$0	\$3,474

039-500180	Telecommunicatons	\$29,234	\$0	\$29,234
040-500800	Indirect Costs	\$209,809	\$0	\$209,809
041-500801	Audit Fund Set Aside	\$10,413	\$9,535	\$19,948
042-500620	Additional Fringe Benefits	\$412,002	\$0	\$412,002
046-500464	Consultants	\$0	\$9,534,858	\$9,534,858
050-500109	Personal Service Temp Appt	\$197,355	\$0	\$197,355
060-500602	Benefits	\$7,261,344	\$0	\$7,261,344
070-500704	In State Travel Reimbursement	\$114,593	\$0	\$114,593
Total Expense		\$20,020,678	\$9,544,393	\$29,565,071

EXPLANATION

This request is being made to continue the modernization of the New HEIGHTS infrastructure, to provide functionality to support DHHS's strategic vision for improved service delivery. The scope of work of this funding will allow DHHS to continue to engage the contractor to complete the required activities to manage risk and to deploy functionality required to support efficient operations of the Medicaid program. To accommodate these changes the Department's New HEIGHTS eligibility system requires modifications including:

- Design, development and implementation requirements necessary to ensure compliance with security standards and 508 requirements for access to the system by handicapped citizens;
- Support for the Department's service modernization initiatives;
- DHHS Medicaid transformation support;
- Testing and quality assurance resources to ensure successful implementation of the New HEIGHTS incremental renewal project.

To support these efforts, the Centers For Medicare and Medicaid Services is making available federal funds for states' efforts for these modifications. The Department is currently implementing significant enhancements to New HEIGHTS under a capital budget project and the work contemplated by this funding will, with approval by Governor and Executive Council, be integrated with the work being done under the capital budget project. A Consultant expense line is being established in Account # 7993 (previously part of Account # 6125) to amend the existing contract with Deloitte.

Funding for the project is 90% federal funds and 10% general funds. Funds for the State match are available in the capital budget account number 030-045-0967.

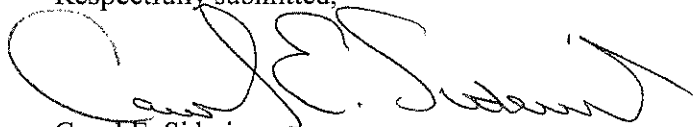
Area served: statewide.

Source of Funds: 90% Federal Funds, 10 % General Funds.

If Federal Funds become no longer available, General Funds will not be requested to support the program expenditures.

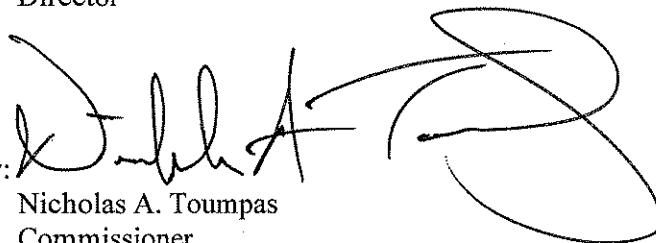
The Honorable Mary Jane Wallner, Chairman and
Her Excellency, Governor Margaret Wood Hassan
December 18, 2013
Page 3

Respectfully submitted, ¹



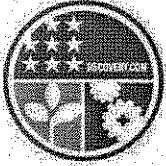
Carol E. Sideris
Director

Approved by:



Nicholas A. Toumpas
Commissioner

The Department of Health and Human Services' Mission is to join communities and families in providing opportunities for citizens to achieve health and independence.



STATE OF NEW HAMPSHIRE
**American Recovery
 and Reinvestment Act**

NHRECOVERY
 department of education

Virginia M. Barry, Ph.D.
 Commissioner of Education
 Tel. 603-271-3144

STATE OF NEW HAMPSHIRE
 DEPARTMENT OF EDUCATION
 101 Pleasant Street
 Concord, N.H. 03301
 FAX 603-271-1953
 Citizens Services Line 1-800-339-9900

Paul Leather
 Deputy Commissioner of Education
 Tel: 603-271-3801

December 19, 2013

The Honorable Mary Jane Wallner, Chairman
 Fiscal Committee of the General Court
 State House
 Concord, NH 03301

Her Excellency, Governor Margaret Wood Hassan
 And the Honorable Council
 State House
 Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to RSA 14:30-a, VI, authorize the New Hampshire Department of Education (NHDOE) to amend a Fiscal Item by reallocating and transferring grant funds in the amount of \$26,042.00 and by extending the end date, with no increase in funding, from the current end date of January 31, 2014, to a new end date of September 30, 2014. The original item was approved by the Fiscal Committee on July 20, 2010 (item #FIS 10-237), and amended on September 14, 2012 (item #FIS 12-283), and on June 7, 2013 (item #FIS 13-129), and by Governor and Council on July 28, 2010 (item #50), and amended on October 3, 2012 (Item #55), and on June 19, 2013 (item #184). Source of funds is 100% Federal American Recovery and Reinvestment Act (ARRA) School Improvement Grants.

Funds are available in SFY 2014 in the following account:

06-56-569910-08100000 School Improvement

Class	Description	FY14 Current Appropriation with FY13 Bal. Frwd	Requested Change	Revised Appropriation
020	Current Expenses	0.14		0.14
040	Indirect Cost	1,727.30	(1,727.00)	0.30
042	Fringe Benefits	284.58	(284.00)	0.58
059	Personal Service – FT Temp	14,287.19	(14,287.00)	0.19

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court

Her Excellency, Governor Margaret Wood Hassan
And the Honorable Council

December 19, 2013

Page Two

060	Benefits	9,744.13	(9,744.00)	0.13
070	In-State Travel	0.65		0.65
072	Grants – Federal	1,665,632.97	26,042.00	1,691,674.97
	Grand Total	1,691,676.96	0.00	1,691,676.96

Source of Funds

000	1,691,676.96	0.00	1,691,676.96
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EXPLANATION

The NHDOE received an American Recovery and Reinvestment Act (ARRA) Title I, Part A, Section 1003 (g) School Improvement Grant (SIG) from the US Department of Education to substantially raise the achievement of students attending Title I schools in improvement, corrective action, and restructuring, as well as some secondary schools that are eligible for, but do not participate, in the Title I grant. This grant requires that funds be awarded to the State's five percent persistently lowest-achieving schools and be used to support the implementation of a comprehensive school reform model.

The NHDOE requested an extension of this grant as it would enable the qualified Local Education Agencies (LEAs) to ensure that their eligible districts were able to use the remaining grant award to support continued full and effective implementation of eligible SIG intervention models for on additional year. The extension was granted and ends September 30, 2014.

The following represents appropriation balances after the approval of the requested changes:

1. Class 040 – Indirect Cost - \$0.30. Balance of appropriation is in excess of anticipated expenditures for this fiscal year.
2. Class 042 – Additional Fringe Benefits – \$0.58. Balance of appropriation is in excess of anticipated expenditures for this fiscal year.
3. Class 059 - Personal Service – Full Time Temp - \$0.19. Balance of appropriation is in excess of anticipated expenditures for this fiscal year.
4. Class 060 – Benefits - \$0.13. Balance of appropriation is in excess of anticipated expenditures for this fiscal year.
5. Class 072 – Grants Federal – \$1,691,674.97. Additional funds are needed to cover the contract to The National Center for the Improvement of Educational Assessment Inc., which was approved by the Governor and Council on November 14, 2012 (item #121) in the amount of \$50,000.00.

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court

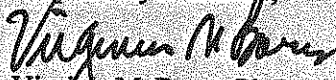
Her Excellency, Governor Margaret Wood Hassan
And the Honorable Council
December 19, 2013
Page Three

FISCAL SITUATION

FY 2011 Appropriation	\$2,645,092.00
Less FY 2011 Expenditures	(\$1,092,263.09)
FY11 Carry Forward	\$1,552,828.91
Plus FY 2012 Appropriation	\$5,943,121.00
FY 2012 Appropriation	\$7,495,949.91
Less FY 2012 Expenditures	(\$2,740,436.50)
FY12 Carry Forward	\$4,755,513.41
Less FY 2013 Expenditures	(\$3,063,836.45)
FY13 Carry Forward	\$1,691,676.96

In the event that the Federal funds become no longer available, General funds will not be requested to support this program. Your approval of the action requested is appreciated.

Respectfully submitted,


Virginia M. Barry, Ph.D.
Commissioner of Education

VMB: rik



UNITED STATES DEPARTMENT OF EDUCATION

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION

AUG - 1 2013

THE ASSISTANT SECRETARY

ARRA 0810
CAN R100

The Honorable Virginia M. Barry
Commissioner of Education
New Hampshire Department of Education
101 Pleasant Street
Concord, NH 03301-3494

RECEIVED
AUG 06 2013
NH Dept Educ-OBM

Dear Commissioner Barry: *Virginia*

I am writing in response to the New Hampshire Department of Education's extension request proposal for the use of fiscal year (FY) 2009 and FY 2009 American Recovery and Reinvestment Act (ARRA) School Improvement Grants (SIG) program funds authorized under section 1003(g) of the Elementary and Secondary Education Act of 1965 (ESEA) and made available under Public Law 111-161, the Department of Education Appropriations Act, 2010. The New Hampshire Department of Education is requesting to use the FY 2009 ARRA and SIG funds from October 1, 2013 through September 30, 2014 to continue full and effective implementation of selected SIG intervention models for one additional year.

After reviewing the information provided by the New Hampshire Department of Education in support of its waiver request, I am granting a waiver of section 421(b) of GEPA to extend the period of availability of New Hampshire's FY 2009 and ARRA SIG allocation until September 30, 2014. I am approving this waiver because it will allow New Hampshire to utilize those funds in its Tier I or Tier II Cohort I schools to continue SIG interventions.

The waiver is granted on the condition that the State educational agency (SEA) will:

- approve local educational agencies' (LEA's) requests to implement the extended waiver only if the LEA has effectively used FY 2009 funds to carry out the SIG final requirements and improve student achievement, in accordance with section 9401(d)(2)(A) of the ESEA.
- develop criteria that will enable it to determine whether an LEA can continue to fully and effectively implement one of the models in its Tier I or Tier II Cohort I schools for an additional year.
- prior to approving an LEA's request to implement the extended waiver, apply the criteria it develops to ensure that the LEA will use the funds to fully and effectively implement a SIG intervention model in one or more Tier I or Tier II Cohort I SIG schools for one additional school year, including by reviewing and approving revised LEA budgets and plans for continuing full and effective implementation of the model during the 2013-2014 school year.

www.ed.gov

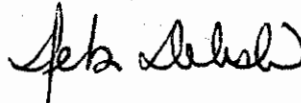
400 MARYLAND AVE., SW, WASHINGTON, DC 20202

The Department of Education's mission is to promote student achievement and preparation for global competitiveness by fostering educational excellence and ensuring equal access.

- develop a technical assistance and support plan that outlines how it will continue to support LEAs' implementation of the intervention models for the selected Tier I and Tier II Cohort 1 schools that are approved to implement the extended waiver.
- develop a monitoring plan for the 2013–2014 school year, specifically for the LEAs that are approved to implement the extended waiver.
- within 30 days of the SEA's approving LEA requests to implement the extended waiver, the SEA will post on its public Web site and submit to the Department (via e-mail to oes.ost@ed.gov) the names of the LEAs (including their NCES District Identification Number) it has approved to implement the extended waiver, the schools (including their NCES School Identification Number) within those LEAs that will benefit from the extension of the period of availability of the funds, and, for each LEA and school, the amount of funds that will be extended.

We appreciate the continued work you are doing to improve New Hampshire's lowest-performing schools and provide a high-quality education for all of its students. If you have any questions, please contact Carlas McCauley of my staff at carlas.mccauley@ed.gov, or by telephone on (202) 260-0824.

Sincerely,



Deborah S. Delisle

cc: Deborah Connell, Administrator, Bureau of Integrated Programs



RECEIVED
AUG 05 2010
STATE DEPARTMENT OF EDUCATION

GRANT AWARD NOTIFICATION

1 RECIPIENT NAME: NH State Department of Education 101 Pleasant Street Concord, NH 03301	5 AWARD INFORMATION PR/AWARD NUMBER S388A090030A ACTION NUMBER 02 ACTION TYPE Revision AWARD TYPE Formula																				
2 PROJECT DESCRIPTION 84.388A SCHOOL IMPROVEMENT GRANTS, RECOVERY ACT	6 AWARD PERIODS FEDERAL FUNDING PERIOD 02/17/2009 - 09/30/2010																				
3 EDUCATION STAFF RECIPIENT STATE DIRECTOR Stephanie R. Lefreniere (603) 271 - 3301 EDUCATION PROGRAM CONTACT Gary Rutkin (202) 260 - 4412 EDUCATION PAYMENT CONTACT GAPS PAYEE HOTLINE (888) 336 - 8930	7 AUTHORIZED FUNDING <table border="0"> <tr> <td>CURRENT AWARD AMOUNT</td> <td>\$8,158,803.00</td> </tr> <tr> <td>PREVIOUS CUMULATIVE AMOUNT</td> <td>\$429,411.00</td> </tr> <tr> <td>CUMULATIVE AMOUNT</td> <td>\$8,588,214.00</td> </tr> </table>	CURRENT AWARD AMOUNT	\$8,158,803.00	PREVIOUS CUMULATIVE AMOUNT	\$429,411.00	CUMULATIVE AMOUNT	\$8,588,214.00														
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CUMULATIVE AMOUNT	\$8,588,214.00																				
4 KEY PERSONNEL N/A	8 ADMINISTRATIVE INFORMATION DUNS/SSN 808590277 REGULATIONS CFR PART EDGAR AS APPLICABLE ATTACHMENTS N/A																				
9 LEGISLATIVE AND FISCAL DATA AUTHORITY: PL 107-110 ELEMENTARY AND SECONDARY EDUCATION ACT, AS AMENDED AND THE AMERICAN RECO' PROGRAM TITLE: SCHOOL IMPROVEMENT GRANTS, RECOVERY ACT CFDA/SUBPROGRAM NO: 84.388A <table border="1"> <thead> <tr> <th>FUND CODE</th> <th>FUNDING YEAR</th> <th>AWARD YEAR</th> <th>ORG. CODE</th> <th>CATEGORY</th> <th>LIMITATION</th> <th>ACTIVITY</th> <th>CFDA</th> <th>OBJECT CLASS</th> <th>AMOUNT</th> </tr> </thead> <tbody> <tr> <td>0901M</td> <td>2009</td> <td>2009</td> <td>ES000000</td> <td>B</td> <td>PPI</td> <td>000</td> <td>388</td> <td>4101A</td> <td>\$8,158,803.00</td> </tr> </tbody> </table>		FUND CODE	FUNDING YEAR	AWARD YEAR	ORG. CODE	CATEGORY	LIMITATION	ACTIVITY	CFDA	OBJECT CLASS	AMOUNT	0901M	2009	2009	ES000000	B	PPI	000	388	4101A	\$8,158,803.00
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0901M	2009	2009	ES000000	B	PPI	000	388	4101A	\$8,158,803.00												



GRANT AWARD NOTIFICATION

10

PR/AWARD NUMBER: S388A090030-09A

RECIPIENT NAME: NH STATE DEPARTMENT OF EDUCATION

TERMS AND CONDITIONS

- (1) THIS ACTION IS TO INCREASE THE GRANT AWARD IN THE AMOUNT SHOWN IN BLOCK 7.
- (2) This grant award is subject to the provisions of all applicable statutes, regulations, and other legal requirements.

Pursuant to a waiver of the "Tydings Amendment," section 421(b) of the General Education Provisions Act (20 U.S.C. 1225(b)), as approved in the application to the U.S. Department of Education for this grant, any funds, including those awarded on December 3, 2009, that are not obligated at the end of the Federal funding period specified in Block 6 shall remain available for obligation until September 30, 2013.

This grant award is subject to the terms and conditions identified in Attachment T.

This award is subject to the terms and conditions identified in Attachment U and Attachment V.

AUTHORIZING OFFICIAL

AUG 02 2010

DATE



STATE OF NEW HAMPSHIRE
**American Recovery
 and Reinvestment Act**

NH RECOVERY
 DEPARTMENT OF EDUCATION

FIS 13 129

184
 BB

Virginia M. Barry, Ph.D.
 Commissioner of Education
 Tel: 603-271-3144

STATE OF NEW HAMPSHIRE
 DEPARTMENT OF EDUCATION
 101 Pleasant Street
 Concord, N.H. 03301
 FAX 603-271-1953
 Citizens Services Line 1-800-339-8900

Paul Leather
 Deputy Commissioner of Education
 Tel: 603-271-3801

April 9, 2013

The Honorable Mary Jane Wallner, Chairman
 Fiscal Committee of the General Court
 State House
 Concord, NH 03301

J. Wallner 4/7/13
 Approved by Fiscal Committee Date

Her Excellency, Governor Margaret Wood Hassan
 And the Honorable Council
 State House
 Concord, New Hampshire 03301

*100% Federal Funds
 ARRA*

REQUESTED ACTION

Pursuant to RSA 14:30-a, VI, authorized the Department of Education to amend a Grant, approved by the Fiscal Committee on July 20, 2010 (Item #FIS 10-237), and amended on September 14, 2012 (Item #FIS 12-283), and by Governor and Council on July 28, 2010 (Item #50), and amended on October 3, 2012 (Item #55), to transfer funding between classes in the amount of \$ 79,977 to maximize the use of federal grant funds and to extend grant period from June 30, 2013 to January 31, 2014. This action is to be effective upon Fiscal Committee and Governor and Council approval through January 31, 2014, with the budget as follows according to the State Fiscal Year with authority to adjust allotments through the Budget Office, if needed and justified, between the State Fiscal Year and the Federal Fiscal Year. Source of funds is 100% Federal American Recovery and Reinvestment Act (ARRA), State Improvement Grant.

06-56-569910-08100000 School Improvement

Class	Description	FY13 Current Appropriation with FY12 Bal. Fwd	Requested Change	Revised Appropriation
020	Current Expenses	9,003.14	(9,003.00)	0.14
040	Indirect Cost	3,762.67	4,811.00	8,573.67
041	Audit Fund Set Aside	4,763.35	0.00	4,763.35
042	Fringe Benefits	1,425.58	2,816.00	4,241.58
046	Consultants	47,314.00	(47,314.00)	0.00
059	Personal Service - Full Time Temp	31,440.94	42,350.00	73,790.94

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court

Her Excellency, Governor Margaret Wood Hassan
And the Honorable Council

April 9, 2013

Page Two

060	Benefits	23,257.90	30,000.00	53,257.90
070	In-State Travel	7,979.65	(7,979.00)	0.65
072	Grants - Federal	4,610,885.18	0.00	4,610,885.18
080	Out-State Travel	15,681.00	(15,681.00)	0.00
	Grand Total	4,755,513.41	0.00	4,755,513.41

Source of Funds

000	4,755,513.41	0.00	4,755,513.41
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EXPLANATION

This requested action is being submitted due to the fact that this grant ends in fiscal year 2014. The NH Department of Education received an American Recovery and Reinvestment Act (ARRA) Title I, Part A, Section 1003 (g) School Improvement Grant from the US Department of Education to substantially raise the achievement of students attending Title I schools in improvement, corrective action, and restructuring, as well as some secondary schools that are eligible for, but do not participate, in the Title I grant. This grant requires that funds be awarded to the State's five percent persistently lowest-achieving schools and be used to support the implementation of a comprehensive school reform model.

The following represents appropriation balances after the approval of the requested changes:

1. Class 020 - Current Expense - \$0.14. Balance of appropriation is in excess of anticipated expenditures for this fiscal year.
2. Class 040 - Indirect Cost - \$8,573.67. Additional funds are needed due to anticipated increase in expenditures for this fiscal year.
3. Class 042 - Additional Fringe Benefits - \$4,241.58. Additional funds are needed due to anticipated increase in expenditures for this fiscal year.
4. Class 046 - Consultants - \$0.00. Balance of appropriation is in excess of anticipated expenditures for this fiscal year.
5. Class 059 - Personal Service - Full Time Temp - \$73,790.94. Additional funds are needed due to anticipated increase in expenditures for this fiscal year.
6. Class 060 - Benefits - \$53,257.90. Additional funds are needed due to anticipated increase in expenditures for this fiscal year.
7. Class 070 - In-State Travel - \$0.65. Balance of appropriation is in excess of anticipated expenditures for this fiscal year.
8. Class 080 - Consultants - \$0.00. Balance of appropriation is in excess of anticipated expenditures for this fiscal year.

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court

Her Excellency, Governor Margaret Wood Hassan
And the Honorable Council
April 9, 2013
Page Three

FISCAL SITUATION

FY 2011 Appropriation	\$2,645,092.00
Less FY 2011 Expenditures	<u>(\$1,092,263.09)</u>
FY11 Carry Forward	\$1,552,828.91
Plus FY 2012 Appropriation	<u>\$5,943,121.00</u>
FY 2012 Appropriation	\$7,495,949.91
Less FY 2012 Expenditures	<u>(\$2,740,436.50)</u>
FY12 Carry Forward	\$4,755,513.41

In the event that the Federal funds become no longer available, General funds will not be requested to support this program. Your approval of the action requested is appreciated.

Respectfully submitted,



Virginia M. Barry, Ph.D.
Commissioner of Education

VMB: rtk



STATE OF NEW HAMPSHIRE
**American Recovery
 and Reinvestment Act**



Virginia M. Barry, Ph.D.
 Commissioner of Education
 Tel: 603-271-3144

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 DEPARTMENT OF EDUCATION
 101 Pleasant Street
 Concord, N.H. 03301
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 Citizens Services Line 1-800-338-9900

Paul Leather
 Deputy Commissioner of Education
 Tel: 603-271-3801

July 25, 2012

FISCAL 9-14-12
 FOR INFORMATION ONLY
 G & C Letter # FIS 12-283
 G & C Date 10-3-12
 APPROVED:
 Page #
 Item #

Representative Ken Weyler, Chairman
 Fiscal Committee of the General Court
 State House
 Concord, NH 03301

His Excellency, Governor John H. Lynch
 And the Honorable Council
 State House
 Concord, New Hampshire 03301

*newest
 copy
 9/18/12*

REQUESTED ACTION

Pursuant to RSA 14:30-a, VI, authorized the Department of Education to amend a Grant, approved by the Fiscal Committee on July 20, 2010 (item #FIS 10-237), and by Governor and Council on July 28, 2010 (item #50), to transfer carry-forward grant funds between classes in the amount of \$4,552.00, to maximize the use of federal grant funds and to also retroactively extend the grant period from July 1, 2012 to June 30, 2013. This action is to be effective upon Fiscal Committee and Governor and Council approval through June 30, 2013, with the budget as follows according to the State Fiscal year with authority to adjust through the Budget office, if needed and justified, between the State Fiscal Year and the Federal Year. Source of funds is 100% Federal American Recovery and Reinvestment Act (ARRA) School Improvement Grants.

06-56-569910-08100000 School Improvement

Class	Description	FY13 Current Appropriation with FY12 Bal. Fwd	Requested Change	Revised Appropriation
020	Current Expenses	9,003.14		9,003.14
040	Indirect Cost	558.67	3,208.00	3,762.67
041	Audit Fund Set Aside	4,763.35		4,763.35
042	Fringe Benefits	79.58	1,346.00	1,425.58
046	Consultants	81,866.00	(4,552.00)	77,314.00
059	Personal Service - Full Time Temp	21,440.94		21,440.94
060	Benefits	3,257.90		3,257.90
070	In-State Travel	7,979.65		7,979.65
072	Grants - Federal	4,610,885.18		4,610,885.18
080	Out-State Travel	15,681.00		15,681.00
	Grand Total	4,755,513.41	0.00	4,755,513.41

Representative Ken Weyler, Chairman
 Fiscal Committee of the General Court
 State House
 His Excellency, Governor John H. Lynch
 And the Honorable Council
 July 25, 2012
 Page Two

Source of Funds

000	4,755,513.14	0.00	4,755,513.41
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EXPLANATION

The requested action is being submitted retroactively due to the fact that the final figures were not available until we had completed the year end process. The NH Department of Education received an American Recovery and Reinvestment Act (ARRA) Title I, Part A, Section 1003 (g) School Improvement Grant from the US Department of Education to substantially raise the achievement of students attending Title I schools in improvement, corrective action, and restructuring, as well as some secondary schools that are eligible for, but do not participate, in the Title I grant. This grant requires that funds be awarded to the State's five-percent persistently lowest-achieving schools and be used to support the implementation of a comprehensive school reform model. These funds will assist in the creation of new and retention of previously federally funded jobs within the school districts.

The following represents appropriation balances after the approval of the requested changes:

1. Class 040 - Indirect Cost - \$3,762.67. Appropriation is needed to meet the required expenses for this fiscal year.
2. Class 042 - Additional Fringe Benefits - \$1,425.58. Appropriation is needed to meet the required expenses for this fiscal year.
3. Class 048 - Consultants - \$77,314.00. The balance is in excess of anticipated obligations for this fiscal year.

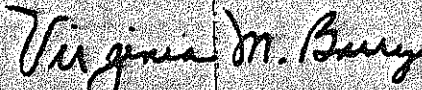
FISCAL SITUATION

FY 2011 Appropriation	\$2,645,092.00
Less FY 2011 Expenditures	(\$1,092,263.09)
FY11 Carry Forward	<u>\$1,552,828.91</u>
Plus FY 2012 Appropriation	<u>\$5,943,121.00</u>
FY 2012 Appropriation	\$7,495,949.91
Less FY 2012 Expenditures	<u>(\$2,740,436.50)</u>
FY12 Carry Forward	\$4,755,513.41

Representative Ken Weyler, Chairman
Fiscal Committee of the General Court
State House
His Excellency, Governor John H. Lynch
And the Honorable Council
July 25, 2012
Page Three

In the event that the Federal funds become no longer available, General funds will not be requested to support this program. Your approval of the action requested is appreciated.

Respectfully submitted,



Virginia M. Barry, Ph.D.
Commissioner of Education

VMB: rtk



American Recovery and Reinvestment Act

NH RECOVERY
Department of Education

Virginia M. Barry, PHD
Commissioner of Education
Tel: 603-271-3144

STATE OF NEW HAMPSHIRE
DEPARTMENT OF EDUCATION
101 Pleasant Street
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Citizens Services Line 1-800-339-9900

Paul Leather
Deputy Commissioner of Education
Tel: 603-271-3801

Fiscal
FOR INFORMATION ONLY
G & C Letter # _____
G & C Date _____
APPROVED: _____
Page # _____
Item # _____

June 28, 2010

The Honorable Marjorie K. Smith, Chairman
Fiscal Committee of the General Court
State House
Concord, NH 03301

His Excellency, Governor John H. Lynch
And the Honorable Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

1. Pursuant to RSA 14:30-a, VI, authorized the Department of Education to budget and expend American Recovery and Reinvestment Act (ARRA) Stimulus funds in account number 08-56-569910-08100000 Title I, Part A, Section 1003 (g) School Improvement Grant in the amount of \$8,588,214.00, and effective the date of Fiscal Committee and Governor and Council approval through June 30, 2012, with the budget as follows according to the State Fiscal year with authority to adjust through the Budget office, if needed and justified, between the State Fiscal Year and the Federal Year.
2. Pursuant to RSA 124:15, authorized the Department of Education to establish a class 059 (Personal Service- Full Time Temp) position for two Program Specialist III and establish a class 046 for consultants in Account No. 08-56-569910-08100000 for the period of Fiscal Committee and Governor and Council approval through June 30, 2012. Source of Funds: 100% Federal Funds.

08-56-569910-08100000 School Improvement				
Class	Description	2011 Appropriation	2012 Appropriation	New Appropriation
020	Current Expenses	1,000.00	9,350.00	10,350.00
040	Indirect Cost	6,713.12	8,285.47	14,998.59
041	Audit Fund Set Aside	2,645.13	5,943.09	8,588.22
042	Fringe Benefits	6,049.22	6,319.05	12,368.27
046	Consultants	57,727.10	57,727.10	115,454.20
059	Personal Service - Full Time Temp	85,683.00	89,505.00	175,188.00
060	Benefits	31,634.08	37,148.56	68,782.64
070	In-State Travel	2,000.00	6,000.00	8,000.00
072	Grants - Federal	2,447,640.90	5,711,162.10	8,158,803.00
080	Out-State Travel	4,000.00	11,681.08	15,681.08
	Grand Total	2,645,092.55	5,943,121.45	8,588,214.00

TDD Access: Relay NH 711
EQUAL OPPORTUNITY EMPLOYER- EQUAL EDUCATIONAL OPPORTUNITIES

Source of Funds

000 2,645,092.65 5,943,121.45 8,588,214.00

EXPLANATION

The NH Department of Education received an American Recovery and Reinvestment Act (ARRA) Title I, Part A, Section 1003 (g) School Improvement Grant from the US Department of Education to substantially raise the achievement of students attending Title I schools in improvement, corrective action, and restructuring, as well as some secondary schools that are eligible for, but do not participate, in the Title I grant. This grant requires that funds be awarded to the State's five percent persistently lowest-achieving schools and be used to support the implementation of a comprehensive school reform model. These ARRA funds must be awarded to school districts prior to the start of the 2010-2011 school year. However, districts with eligible schools that choose to participate will be able to use the funds for implementation over the course of the next two school years (ending in the summer of 2012). To allow for the two years of funding, the US Department of Education included a waiver within the State application (please see waiver documentation attached). These funds will assist in the creation of new and retention of previously federally funded jobs within the school districts.

The following changes in appropriation authority are being requested:

1. Class 020 – Current Expenses - \$10,350.00. This is sufficient appropriation to meet the required expenses, for instance, phone and supplies for professional development program needs through FY 2012.
2. Class 040 – Indirect Cost - \$14,998.59. This is sufficient appropriation to meet the required expenses such as indirect costs through 2012.
3. Class 041 – Audit Fund Set Aside – \$8,588.22. This is sufficient appropriation to meet the required expenses such as audit fund set aside through 2012.
4. Class 042 – Additional Fringe Benefits – \$12,368.27. This is sufficient appropriation to meet the required expenses additional fringe benefits for new two positions through 2012.
5. Class 048 – Consultants – \$115,454.20. This is sufficient appropriation to meet the required expenses for this fiscal year 2012.
6. Class 059 – Personal Service-Temp – \$175,188.00. This is sufficient appropriation to meet the cost of two full-time temporary positions through 2012 that will support existing Title I staff in overseeing grant implementation, monitoring, technical assistance, data collection and evaluation.
7. Class 060 – Benefits - \$68,782.64. This is sufficient appropriation to meet the cost of two full-time temporary positions' benefits through 2012.
8. Class 070 – In-State Travel - \$8,000.00. This is sufficient appropriation to meet the required expenses through 2012. The expenses for on-site district and school visits related to ARRA Title I, Part A, Section 1003 (g) project and travel for regional Title I, Section 1003 (g) Project Manager Meetings.

His Excellency, Governor John H. Lynch

And the Honorable Council

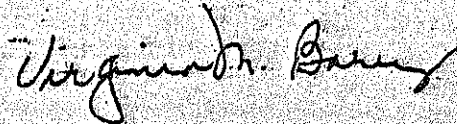
June 28, 2010

Page Four

- 7 Estimate the funds required to continue the position? There is no plan to continue funding this position beyond the duration of the Title I, Part A, Section 1003 (g) School Improvement Grant - ARRA/Stimulus.

In the event that the Federal funds become no longer available, General funds will not be requested to support this program. Your approval of the action requested is appreciated.

Respectfully submitted;



Virginia M. Barry, Ph.D.
Commissioner of Education

VMB: RLK

The Honorable Marjorie K. Smith, Chairman
Fiscal Committee of the General Court

His Excellency, Governor John H. Lynch
And the Honorable Council
June 28, 2010
Page Three

9. Class 072 - Grants - Federal - \$8,158,803.00. This is sufficient appropriation to meet the required expenses such as allocations to the participating school districts through 2012.
10. Class 080 - Out-State Travel - \$15,681.08. This is sufficient appropriation to meet the required expenses through 2012. These funds will be used for expenses associated with attendance at out-of-state meetings related to ARRA Title I, Part A 1003 (g) including the State Director Annual Summer Meeting with the US Department of Education Washington DC (4 days) and annual National Title I Conference (5 days).

POSITION EXPLANATION

Two New Full - Time Temporary

Federal funds will provide for all costs associated with two full-time positions to provide technical assistance to districts/schools receiving ARRA Title I, Part A, Section 1003 (g) School Improvement Grant funds. These individuals will support existing Title I staff in overseeing ARRA funded School Improvement Grant implementation, monitoring, technical assistance, professional development, data collection, reporting and evaluation to ensure compliance with state and federal regulations. The reform models included in this grant are comprehensive and require dedicated Title I staff to focus all attention on the implementation and tracking of these funds and plan objectives. The participating schools will be among the lowest-achieving five percent of NH schools and therefore require specific improvement strategies and monitoring to ensure that these plans will be more successful than previous improvement plans implemented in these schools. These individuals will also be responsible for sharing promising practices from these schools with other districts and schools throughout the state, allowing for the impact of these funds to be broadened.

Consultants

Consultant funds will be used to hire consultants to serve as school improvement coaches to the participating five percent lowest-achieving schools, assisting with the implementation of reform plans. They will also assist in the facilitation of NH Department of Education professional development workshops for participating districts.

The following information is provided in accordance with the Comptroller's instructional memorandum dated September 21, 1981.

- 1 List of personnel involved: Two new full-time temporary 100% federally funded position is being requested, as well as class 046 for consultants.
- 2 Nature, Need and Duration: The new positions and consultants are needed to assist the NH DOE in coordinating and evaluating LEA/district applications, waivers and data for ARRA Title I Section 1003 (g) School Improvement funds and providing technical assistance. The positions and consultants will last for 2 years and is 100% federally funded.
- 3 Relationship to existing agency programs: The purpose of these temporary positions and consultants are to provide additional assistance to LEAs in order to process the ARRA federal funds, collect required additional data, monitor LEA spending and provide technical support. Adding these positions and consultants will assist in redistributing the added responsibilities throughout the Title I program staff due to the added ARRA funds and fulfill new reporting requirements.
- 4 Has a similar program been requested of the legislature and denied? No.
- 5 Why wasn't funding included in the agency's budget request? The American Recovery and Reinvestment Act of 2009 (ARRA) has doubled the current responsibilities of the Title I consultants and LEA project managers. The ARRA funds were unanticipated, allocated separately by the US Department of Education (US ED) and therefore not included in our normal Title I Section 1003 (g) School Improvement budget.
- 6 Can portions of the grant funds be utilized? The positions will be 100% federally funded for the duration of the grant.

TDD Access: Relay NH 711

EQUAL OPPORTUNITY EMPLOYER- EQUAL EDUCATIONAL OPPORTUNITIES



American Recovery and Reinvestment Act

NH RECOVERY
DEPARTMENT OF EDUCATION

Virginia M. Barry, Ph.D.
Commissioner of Education
Tel. 603-271-3144

STATE OF NEW HAMPSHIRE
DEPARTMENT OF EDUCATION
101 Pleasant Street
Concord, N.H. 03301
FAX 603-271-1853
Citizens Services Line 1-800-339-9900

June 29, 2010

Ms. Jennifer Elberfeld
Supervisor, Classification
NH Division of Personnel
25 Capitol Street
Concord NH 03301

APPROVED *Karen D. Hutchins*

JUL 01 2010

DIRECTOR OF PERSONNEL

BY *Jennifer A. Elberfeld*

Subject: Request to Establish Two (2) New Full-time Temporary Program Specialist III positions

Dear Ms. Elberfeld:

The Department of Education is requesting your consideration and approval to create two (2) new full-time temporary Program Specialist III, (LG 23) positions within the Division of Instruction, Bureau of Integrated Programs. Funding for this position is from the American Recovery and Reinvestment Act of 2009 (ARRA), Education for the Disadvantaged - Title I, account # 06-56-569910-08100000, 100% federal funds.

The proposed positions would assist the NH Department of Education Title I, Part A staff in overseeing the federally funded American Recovery and Reinvestment Act (ARRA) Title I, Part A, Section 1003 (g) School Improvement Grant. The goal of this grant is to substantially raise the achievement of students attending Title I schools in improvement, corrective action, and restructuring, as well as some non-Title I secondary schools. The grant requires an intensive reform model (outlined by the US Department of Education) to be implemented in New Hampshire's five percent lowest-achieving schools. This grant requires the addition of staff to directly oversee the execution of the comprehensive improvement plans, as the scope of monitoring, technical assistance and professional development for participating districts/schools exceeds the current capacity of the New Hampshire Title I, Part A office. These positions would be temporary, based on the specific grant funds available.

Attached are the proposed supplemental job description and current and proposed organizational chart. If you have questions or need further clarification, please contact me at X3743. Thank you for your consideration and continued efforts on our behalf.

Sincerely,

Brenda Cochrane

Brenda Cochrane
Human Resources Administrator

Attachments

TDD Access: Relay NH 711
EQUAL OPPORTUNITY EMPLOYER - EQUAL EDUCATIONAL OPPORTUNITIES



RECEIVED

AUG 05 2010

GRANT AWARD NOTIFICATION

STATE DEPARTMENT
OF EDUCATION

1 RECIPIENT NAME: NH State Department of Education 101 Pleasant Street Concord, NH 03301	5 AWARD INFORMATION PRAWARD NUMBER S388A090030A ACTION NUMBER 02 ACTION TYPE Revision AWARD TYPE Formula																				
2 PROJECT DESCRIPTION 84.388A SCHOOL IMPROVEMENT GRANTS, RECOVERY ACT	6 AWARD PERIODS FEDERAL FUNDING PERIOD: 02/17/2009 - 09/30/2010																				
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FUND CODE	FUNDING YEAR	AWARD YEAR	ORG. CODE	CATEGORY	LIMITATION	ACTIVITY	CFDA	OBJECT CLASS	AMOUNT												
0901M	2009	2009	ES000000	B	PPI	000	388	4101A	\$8,158,803.00												



Washington, D.C. 20202

GRANT AWARD NOTIFICATION

10

PR/AWARD NUMBER: S388A090030-09A

RECIPIENT NAME: NH STATE DEPARTMENT OF EDUCATION

TERMS AND CONDITIONS

- (1) THIS ACTION IS TO INCREASE THE GRANT AWARD IN THE AMOUNT SHOWN IN BLOCK 7.
- (2) This grant award is subject to the provisions of all applicable statutes, regulations, and other legal requirements.

Pursuant to a waiver of the "Tydings Amendment," section 421(b) of the General Education Provisions Act (20 U.S.C. 1225(b)), as approved in the application to the U.S. Department of Education for this grant, any funds, including those awarded on December 3, 2009, that are not obligated at the end of the Federal funding period specified in Block 6 shall remain available for obligation until September 30, 2013.

This grant award is subject to the terms and conditions identified in Attachment T.

This award is subject to the terms and conditions identified in Attachment U and Attachment V.

AUTHORIZING OFFICIAL

AUG 02 2010

DATE

Classification: Program Specialist III

Function Code: 7125-056

Position Title: School Improvement Grant Specialist

Date Established: 7/01/10

Position Number: 9T

Date of Last Amendment:

SCOPE OF WORK: To provide technical assistance to districts/schools receiving American Recovery and Reinvestment Act (ARRA) Title I, Part A, Section 1003 (g) School Improvement Grant funds. Support Title I staff in overseeing the implementation, monitoring, technical assistance, professional development, data collection, reporting and evaluation to ensure compliance with state and federal regulations under the ARRA Title I School Improvement Grant.

ACCOUNTABILITIES:

- Prepares grant application and progress report templates and resources to support the implementation of the School Improvement Grant.
- Reviews and processes American Recovery and Reinvestment Act (ARRA) Title I, Part A, Section 1003 (g) School Improvement Grant applications.
- Monitors the implementation, provides technical assistance and professional development to participating districts and schools.
- Monitors involvement of external providers work with districts/schools in creation and facilitation of the school reform process.
- Researches, develops, and drafts grant policies relative to federal and state guidance to ensure financial and programmatic compliance.
- Collects and analyze data required by the state and federal requirements.
- Assists department staff and program sub-grantees regarding federal and state financial and programmatic reporting requirements.
- Consults with the NH Statewide System of Support, sharing promising practices from these schools with other districts/schools throughout the state, allowing for the impact of grant funds to be broadened.
- Reviews and presents program grant status reports and other informational reports for use in decision-making pertaining to the grant and impact of the grant reform plan on other programs associated with the participating districts.

MINIMUM QUALIFICATIONS:

Education: Bachelor's degree from a recognized college or university with major study in education. Each additional year of approved formal education may be substituted for one year of required work experience.

Experience: Four years' professional or paraprofessional experience in education, with responsibility for program implementation, direct service delivery, planning or program evaluation. Each additional year of approved work experience may be substituted for one year of required formal education.

License/Certification: Must be eligible to hold a NH driver's license and/or have access to transportation for use in statewide travel.

DISCLAIMER STATEMENT:

The supplemental job description lists typical examples of work and is not intended to include every job duty and responsibility specific to a position. An employee may be required to perform other related duties not listed on the supplemental job description provided that such duties are characteristic of that classification.

SIGNATURES:

The above is an accurate reflection of the duties of my position.

Employee's Signature

Date Reviewed

Supervisor's Name, Title & Position #:

Stephanie Lafreniere
Title I, Administrator II
#13294

The above job description accurately measures this employee's job duties.

Supervisor's Signature

Date Reviewed

Division Director's Name & Position #:

Kathleen Murphy
Division Director
#9U309

Director's Signature

Date Reviewed

I have reviewed this job description for content.

Dept. Approval Name, Title & Position #:

Brenda Cochran
Human Resources Administrator
#18339

Department Approval

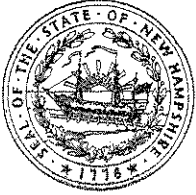
Date Approved

Jennifer J. Elberfeld

7/1/10

Division of Personnel

Date Approved



State of New Hampshire

DEPARTMENT OF SAFETY
 OFFICE OF THE COMMISSIONER
 33 HAZEN DR. CONCORD, NH 03305
 603/271-2791

JOHN J. BARTHELMES
 COMMISSIONER

December 9, 2013

The Honorable Mary Jane Wallner, Chairman
 Fiscal Committee of the General Court
 State House
 Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
 and the Honorable Council
 State House
 Concord, New Hampshire 03301

Requested Action

- Pursuant to RSA 14:30-a, VI, the Department of Safety, Office of the Commissioner, requests authorization to accept and expend State and Local Implementation Grant Program funds in the amount of \$879,887.00 from the National Telecommunications and Information Administration (NTIA), US Department of Commerce. Effective upon Fiscal Committee and Governor and Council approvals through June 30, 2015. Funding source: 100% Federal Funds.
- Pursuant to RSA 124:15, authorize the Department of Safety, Office of the Commissioner, to establish class 046 consultant positions for the purpose of providing program required, communications-related data collection, surveying, outreach to local communities, documentation, technical guidance, education, assessment and reporting out to NTIA as approved by the SLIGP Grant Program. Effective upon Fiscal Committee and Governor and Council approvals through June 30, 2015. Funding Source: 100% Federal Funds.

Funds are to be budgeted in an account titled Broadband Grant as follows:

02-23-23-231010-88960000 Dept. of Safety Office of the Commissioner Broadband Grant

Class	Description	Current SFY 2014 Authorized	Requested Action	Revised SFY 2014 Authorized
000-403560	Federal Funds	0.00	(879,887.00)	(879,887.00)
018-500106	Overtime	0.00	33,000.00	33,000.00
020-500200	Current Expenses	0.00	7,200.00	7,200.00
021-502668	Food Institutions	0.00	10,200.00	10,200.00
022-500255	Rents-Leases	0.00	6,804.00	6,804.00
038-500177	Software License/Maint. Mainframe/Network	0.00	5,441.00	5,441.00
040-500800	Indirect Costs	0.00	51,329.00	51,329.00
041-500801	Audit Fund Set Aside	0.00	880.00	880.00
046-500464	Consultants	0.00	335,550.00	335,550.00
050-500109	Personal Services - Temp/Appointed	0.00	247,698.00	247,698.00
060-500602	Benefits	0.00	151,617.00	151,617.00
070-500704	In-State Travel Reimbursement	0.00	3,168.00	3,168.00
080-500710	Out-of-State Travel Reimbursement	0.00	27,000.00	27,000.00
	Total Expenses	0.00	879,887.00	879,887.00

Explanation

This request to accept \$879,887.00 represents the federal share of the State and Local Implementation Program (SLIGP) funding. This federal cooperative agreement requires a state match equivalent to 25% of federal funds or 20% of the budget. The match requirement may be met utilizing cash match, in-kind match, or a combination thereof. This requirement will be met using a currently budgeted, non-federal, personnel line. The SLIGP grant is the initial funding mechanism trend to the Nationwide Public Safety Broadband Network Requirements and Administration by First Responder Network Authority Legislation passed by Congress and signed into law February 22, 2012. It establishes the First Responder Network Authority (FirstNet), tasked with the establishment and operation of a single, nationwide Public Safety Broadband Network (PSBN). PSBN operates in the 700 MHz radio spectrum which consists of the existing 700 MHz Public Safety broadband allocation and adds the 700 MHz "D-Block" allocation. This SLIGP grant is phased and phase one is to include this State Grant Program whereas NTIA will release up to \$135 million nationally, by formula, in State grant funds to assist regional, State, tribal, and local governments:

- To plan for a single, nationwide public safety broadband network
- To identify and plan the most effective way to utilize and integrate the infrastructure, equipment, and other architecture associated with network.

Requirements at the State level include the State's consultation with FirstNet. The single officer or governmental body is expected to consult with FirstNet during the "open grant phase", which is the planning and implementation phase of this long-range project on several policies including:

- Construction of a Core and RAN build out
- Placement of towers / coverage
- Adequacy of hardening, security, reliability, and resiliency requirements
- Assignment of priority to local users / secondary
- Training needs of local users.

The SLIGP funds will be used to support planning, consultation, and data collection activities related to the establishment of this nationwide public safety broadband (primary data) network. Activities to be completed include defining coverage needs, user requirements, network hardening, resiliency requirements, and data collection. In order to complete the activities detailed above, the State will attend national meetings and conduct statewide stakeholder meetings to provide education and outreach. Data collection will be accomplished through a combination of State-led collection efforts and utilization of independent consultant(s) as required.

- | | |
|----------|---|
| Class 18 | These funds will be used for overtime costs associated with evening meetings, project monitoring, and administration of the SLIGP project. |
| Class 20 | These funds will be used for supplies, outreach and education materials, and operating expenses associated with administering the SLIGP project. |
| Class 21 | These funds will be used to cover the cost of food at outreach and education meetings held throughout the state. |
| Class 22 | These funds will be used to cover the cost of meeting rooms for outreach and education meetings to be held throughout the state. |
| Class 38 | These funds will be used to purchase data collection software. |
| Class 40 | These funds will be used to pay state indirect costs. |
| Class 41 | These funds will be used to pay audit fund set aside expenses. |
| Class 46 | These funds will be used to hire consultants, as necessary, to provide data collection, surveying, outreach to local communities, documentation, technical guidance, education, assessment and reporting out to NTIA. |
| Class 50 | These funds will be used to pay the cost of personnel working on the SLIGP Grant project requirements. This funding is not anticipated to require the creation of new positions; at this time, it is needed to pay existing positions within in the Department of Safety to assist in the SLIGP Grant process as approved by the awarding agency. |
| Class 60 | These funds will be used to pay benefits costs associated with overtime and temporary personnel. |
| Class 70 | These funds will be used to pay the cost of traveling in-state to provide outreach and education meetings to be held throughout the state. |
| Class 80 | These funds will be used to pay for the cost of traveling out-of-state to national SLIPG conferences. |

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court

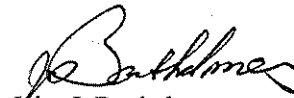
Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
December 9, 2013
Page 3 of 3

The following information is provided in accordance with the Comptroller's instructional memorandum dated September 21, 1981.

- 1) List of personnel involved: Temporary consultants.
- 2) Nature, Need, and Duration: The temporary consultants will provide for the increased needs for subject matter experts beyond the limited resources of in-house personnel in the areas of communications data collection, surveying, outreach to local communities, documentation, technical guidance, education, assessment and reporting out to NTIA.
- 3) Relationship to existing agency programs: Expands the capacity to achieve missions within the Department of Safety and other projects directly related to communications needs statewide.
- 4) Has a similar program been requested of the legislature and denied? No.
- 5) Why wasn't funding included in the agency's budget request? It was unclear at that time that there would be a potential need for consultants to complete these tasks.
- 6) Can portions of the grant funds be utilized? This request is 100% federally funded.
- 7) Estimate the funds required to continue this position(s): The temporary consulting positions are estimated at \$335,550.00.

Highway Funds or General Funds will not be used should federal funds become unavailable.

Respectfully submitted,


John J. Barthelmes
Commissioner of Safety

FORM CD-450
(REV 10/98)

U.S. DEPARTMENT OF COMMERCE

X GRANT

COOPERATIVE AGREEMENT

FINANCIAL ASSISTANCE AWARD

ACCOUNTING CODE

** See Attached**

RECIPIENT NAME

New Hampshire Department of Safety

AWARD NUMBER

33-10-S13033

STREET ADDRESS

33 Hazen Drive

FEDERAL SHARE OF COST

\$879,887.00

CITY, STATE ZIP

Concord, NH 03305

RECIPIENT SHARE OF COST

\$219,973.00

AWARD PERIOD

09/01/2013 - 08/31/2016

TOTAL ESTIMATED COST

\$1,099,860.00

AUTHORITY

P.L. 112-96

CFDA NO. AND PROJECT TITLE:

11.549 This grant will assist multiple jurisdictions in the State of New Hampshire with the eligible planning activities related to the establishment of a nationwide broadband network

This Award approved by the Grants Officer is issued in triplicate and constitutes an obligation of Federal funding. By signing the three documents, the Recipient agrees to comply with the Award provisions checked below and attached. Upon acceptance by the Recipient, two signed Award documents shall be returned to the Grants Officer and the third document shall be retained by the Recipient. If not signed and returned without modification by the Recipient within 30 days of receipt, the Grants Officer may unilaterally terminate this Award.

X Department of Commerce Financial Assistance Standard Terms and Conditions (January 2013)

X Special Award Conditions

X Line Item Budget

15 CFR Part 14, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, Other Non-Profit, and Commercial Organizations

X 15 CFR Part 24, Uniform Administrative Requirements for Grants and Agreements to State and Local Governments

OMB Circular A-21, Cost Principles for Educational Institutions

X OMB Circular A-87, Cost Principles for State, Local, and Indian Tribal Governments

OMB Circular A-122, Cost Principles for Nonprofit Organizations

48 CFR Part 31, Contract Cost Principles and Procedures

X OMB Circular A-133, Audits of States, Local Governments, and Non-Profit Organizations

X Other(s) \$439,944 of the total Federal share of cost has been reserved for Phase II, see SAC #6.

SIGNATURE OF DEPARTMENT OF COMMERCE GRANTS OFFICER

Jannet Cancino

TITLE

GRANT OFFICER

DATE

9/18/13

TYPED NAME AND SIGNATURE OF AUTHORIZED RECIPIENT OFFICIAL

John J. Barthelmes

TITLE

Commissioner

DATE

9/25/13

Award Number: 33-10-S13033, Amendment Number 0

Federal Program Officer: Claudia Wayne

Requisition Number: S13033

Employer Identification Number: 02-6000618

Dun and Bradstreet Number: 060340564

Recipient ID:

Requestor ID:

Award ACCS Information

Bureau	FCFY	Project-Task	Organization	Object Class	Obligation Amount
61	2013	8150000-000	11-00-0000-00-00-00-00	41-19-00-00	\$879,887.00

Award Contact Information

Contact Type	Contact Name	Email	Phone
Administrative	Mr. John Barthelmes	John.Barthelmes@DOS.NH.GOV	603-223-3889

NIST Grants Officer:

Jannet Cancino
100 Bureau Drive, MS 1650
Gaithersburg, MD 20899-1650
(301) 975-6544

NIST Grants Specialist:

Ebony Simmons
100 Bureau Drive, MS 1650
Gaithersburg, MD 20899-1650
(301) 975-4166

TITLE IX ACQUISITION OF LANDS BY UNITED STATES; FEDERAL AID

CHAPTER 124 FEDERAL AID

Miscellaneous

Section 124:15

124:15 Positions Restricted. –

I. In addition to the positions authorized by law, no new personnel positions, or consultants, or both may be created by the acceptance of federal moneys or moneys from any other source unless such positions, or consultants, or both are approved by the fiscal committee of the general court; provided, however, that the governor and council may accept all moneys available for any emergency or disaster as defined by the authority awarding such moneys; and provided further that all such moneys available to the general court or to either of its houses may be accepted by the respective presiding officers with the prior approval of the fiscal committee. Nothing herein shall be construed to affect the provisions of RSA 98:17-a.

II. Every board, agency, department or commission receiving such federal or other moneys shall attempt to apply them in whole or in part to the cost of personnel positions authorized by law so as to reduce the obligation of general funds, but if the salaries of such personnel positions cannot be paid out of such moneys then such positions shall be considered as specified in paragraph I.

III. All such moneys which fund personnel positions subject to the restrictions of this section shall be used only for the purposes or programs specified in the application for approval of the positions or as otherwise authorized by law, and all such moneys which are accepted in accordance with law are hereby appropriated.

Source. 1983, 469:84, eff. July 1, 1983.



STATE OF NEW HAMPSHIRE
DEPARTMENT OF INFORMATION TECHNOLOGY
 27 Hazen Dr., Concord, NH 03301
 Fax: 603-271-1516 TDD Access: 1-800-735-2964
 www.nh.gov/doit

FIS 13 291

Peter C. Hastings
Commissioner

December 16, 2013

The Honorable Mary Jane Wallner, Chairman
 Fiscal Committee of the General Court
 State House
 Concord, NH 03301

Her Excellency, Governor Margaret Wood Hassan
 and the Honorable Executive Council
 State House
 Concord, NH 03301

REQUESTED ACTION

1. In accordance with the provisions of RSA 14:30-a, authorize the Department of Information Technology to accept funds in the amount of \$254,995.00 in State Fiscal Year 2014 (SFY 14) from the State Homeland Security Program funds available from the Department of Safety (DOS) for funding of cyber/network security measures at DoIT for the Statewide IT network. Effective upon Fiscal Committee and Governor and Council approvals through June 30, 2014. 100% Other Funds; the funds used by DOS to reimburse DoIT are 100% Federal Funds.

2. In accordance with the provisions of RSA 124:15, authorize the Department of Information Technology to establish 046 consultant positions for the purpose of reviewing and recommending cyber/networking security measures at DoIT for the statewide IT network. Effective upon Fiscal Committee and Governor and Council approvals through June 30, 2014. 100% Other Funds; the funds used by DOS to reimburse DoIT are 100% Federal Funds.

In SFY14, funds will be budgeted in 01-03-03-030010-76230000 DoIT, IT for Safety as follows:

From/To	Account	SFY 14 Appropriation	Increase/(Decrease)	Revised Appropriation
IT for Safety Current Expenses	01-03-03-030010 76230000-020	\$34,732.84	0.00	\$34,732.84
IT for Safety Technology-Hardware	01-03-03-030010 76230000-037	1,190,096.39	0.00	1,190,096.39
IT for Safety Technology-Software	01-03-03-030010 76230000-038	1,794,463.66	84,105.00	1,878,568.66
IT for Safety Telecommunications	01-03-03-030010 76230000-039	412,000.00	0.00	412,000.00
IT for Safety Consultants	01-03-03-030010 76230000-046	330,000.00	170,890.00	500,890.00
	Totals	\$3,761,292.89	\$254,995.00	\$4,016,287.89
Source of Funds				
001 - 406305	Transfers from Other Agencies	\$3,761,292.89	\$254,995.00	\$4,016,287.89

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Executive Council

December 16, 2013

Page 2

EXPLANATION

In SFY 2013, DoIT applied for and was granted funds from the Homeland Security Grant Program. These State agency awards are funded as part of the Department of Homeland Security Grant program allocation. This program assists State agencies in securing their State and communities by participating in or purchasing training, equipment to prevent, respond to, and recover from potential acts of terrorism and other potential disasters. Eligibility is based upon Federal first responder criteria, grant specific criteria, responsibilities for the State's Emergency Support Functions, and inclusion in the State's emergency prevention, response, recover, critical infrastructure and mitigation framework.

Per the recommendation of consultant reviews and an exercise sponsored by the Department of Homeland Security, the Department of Information Technology applied to the Department of Safety and was subsequently awarded \$295,000.00 of the Homeland Security grant in order to fund recommended cyber/network security measure implementation at DoIT for the Statewide IT network. In SFY 2013, DoIT expended \$40,005.00 in support of this initiative. This request to accept grant funds will allow DoIT to purchase additional software and to engage the services of specialized IT consultants. The consultants are requested to serve as subject matter experts in the field of reviewing and recommending cyber/networking security measures at DoIT for the statewide IT network.

The funds are to be budgeted as follows:

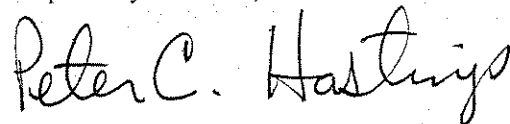
The funds in class 038- Technology-Software are needed for the funding of computer software associated with the recommended cyber/network security measure implementation at DoIT for the Statewide IT network.

The funds in class 046-Consultants are needed for the purpose of hiring independent consultants to review and recommend increased cyber/networking security measures at DoIT for the statewide IT network.

The following information is provided in accordance with the Comptroller's instructional memorandum dated September 21, 1981.

1. List of personnel involved: Temporary consultants.
2. Nature, Need and Duration: The temporary consultants will provide for the increased needs for subject matter experts beyond the limited resources of in-house personnel.
3. Relationship to existing agency programs: Expands the security measures at DoIT's Data Center and statewide security for all agencies currently using the Data Center.
4. Has a similar program been requested of the legislature and denied? No.
5. Why wasn't funding included in the agency's budget request? It was unclear at the time that there would be a potential need for consultants to complete these tasks.
6. Can portions of the grant funds be utilized? This request is 100% federally funded.
7. Estimate the funds required to continue this position(s): The temporary consulting positions are estimated at \$170,890.00.

Respectfully submitted,



Peter C. Hastings
Commissioner



State of New Hampshire

G+C #78
10/17/12

DEPARTMENT OF SAFETY
OFFICE OF THE COMMISSIONER
33 HAZEN DR. CONCORD, NH 03305
603/271-2791

JOHN J. BARTHELMES
COMMISSIONER

September 28, 2012

His Excellency, Governor John H. Lynch
and the Honorable Council
State House
Concord, New Hampshire 03301

Requested Action

Pursuant to RSA 21-P:43, authorize the New Hampshire Department of Safety to enter into grant agreements with the following state agencies listed below in the amount of \$313,100.00 for the State Homeland Security Program portion of the Federal Fiscal Years 2011 Homeland Security Grant Program. Effective upon Governor and Council approval through the Federal grant period listed herein. Funding source: 100% Federal Funds.

Funds are available in the SFY 2013 operating budget as follows with the Federal award scheduled to end August 31, 2014.

02-23-23-231010-11180000 072-0576 23ERS11SEST	Dept. of Safety "Grants to Local (State) Gov't -- Federal"	Office of the Commissioner	Homeland State Agency Grants	
	<u>State Agency</u>		<u>Vendor Code</u>	<u>Grant Amount</u>
1	Department of Environmental Services		177894-B001	\$ 18,100.00
2	Department of Information Technology		177869-B001	\$295,000.00
Total:				\$313,100.00

Explanation

These State Agency awards are funded as part of the Federal Fiscal Year 2011 Department of Homeland Security Grant Program allocation to assist State agencies in securing their State and communities by participating in or purchasing training, equipment, and exercising to prevent, respond to, and recover from potential acts of terrorism and other potential disasters. Eligibility is based upon Federal first responder criteria, grant specific criteria, responsibilities for the State's Emergency Support Functions, and inclusion in the State's emergency prevention, response, recovery, critical infrastructure and mitigation framework.

The 2011 grant awards to the following Agencies are for the items below.

State Agency	Item
Department of Environmental Services	The grant is funding target hardening to include physical security measures implementation and video security at a remote State designated critical infrastructure site as a result of site evaluation by DHS and HSEM consultations.
Department of Information Technology	The grant is funding recommended cyber/network security measures implementation at DoIT for the Statewide IT network per the recommendation of consultant reviews and an exercise sponsored by DHS.

Highway Funds or General Funds will not be used should Federal Funds become unavailable.

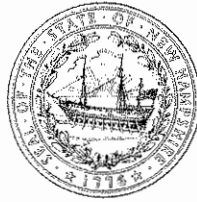
Respectfully submitted,

John J. Barthelmes
Commissioner of Safety

**ATTORNEY GENERAL
DEPARTMENT OF JUSTICE**

33 CAPITOL STREET
CONCORD, NEW HAMPSHIRE 03301-6397

JOSEPH A. FOSTER
ATTORNEY GENERAL



ANN M. RICE
DEPUTY ATTORNEY GENERAL

December 16, 2013

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House
Concord, NH 03301

Her Excellency Governor Margaret Wood Hassan
And the Honorable Council
State House
Concord, NH 03301

REQUESTED ACTION

1. Pursuant to RSA 124:15, authorize the Department of Justice to hire into Class 050, Part-Time salaries in the Consumer Protection Bureau, account #02-20-20-200510-2611 for the purpose of hiring a part time Attorney I to provide the administrative prosecution legal services required for the Board of Podiatry and the Board of Licensing for Alcohol & Other Drug Use Professionals effective with the date of approvals of the Fiscal Committee of the General Court and the Governor and Council through June 30, 2015. 100% Transfer from other agencies (fees).

Funds are available in account #02-20-20-200510-2611 as follows

<u>Class</u>	<u>Description</u>	<u>FY 14 Amount</u>	<u>FY 15 Amount</u>
050-500109	Part-time salaries	\$16,926	\$10,943
060-500611	Benefits (FICA)	\$ 1,294	\$ 837

2. Authorize the Department of Justice to enter into a Memorandum of Understanding (MOU) with the Board of Podiatry (Board) for the purpose of providing administrative prosecution legal services and to accept and expend \$10,000 from the Board for providing these services effective the date of Governor and Council approval through June 30, 2015. 100% Transfer from other agencies (fees).

These funds are available in account #05-074-074-741510-74150000 entitled "Department of Health and Human Services, Board of Podiatry."

3. Authorize the Department of Justice to enter into a Memorandum of Understanding (MOU) with the Board of Licensing for Alcohol & Other Drug Use Professionals (Board) for the purpose of

providing administrative prosecution legal services and to accept and expend \$20,000 from the Board for providing these services effective the date of Governor and Council approval through June 30, 2015. 100% Transfer from other agencies.

These funds are available in account #05-074-074-749210-74920000, entitled "Health and Human Services HHS Admin. Attached Boards, Board of Alcohol and Other Drug Abuse Professionals."

The above-mentioned funds in Requested Actions number two and three are to be budgeted in account #02-20-20-200510-2611, Department of Justice, Consumer Bureau as follows:

<u>FY 14</u>			<u>FY 15</u>					
<u>Class</u>	<u>Description</u>	<u>Current Budget</u>	<u>Requested Action</u>	<u>New Budget</u>	<u>Current Budget</u>	<u>Requested Action</u>	<u>New Budget</u>	
010-500100	Personal Services - Perm.Class	\$265,820	\$0	\$265,820	\$266,397	\$0	\$266,397	
013-500132	Personal Services - Unclassified-3	\$424,938	\$0	\$424,938	\$424,939	\$0	\$424,939	
014-500134	Personal Services - Unclassified-4	\$121,166	\$0	\$121,166	\$121,166	\$0	\$121,166	
010-500147	FT Employees Special Payments	\$12,820	\$0	\$12,820	\$12,820	\$0	\$12,820	
018-500106	Overtime	\$2,000	\$0	\$2,000	\$2,000	\$0	\$2,000	
020-500200	Current Expense	\$19,600	\$0	\$19,600	\$20,100	\$0	\$20,100	
022-500255	Rents-Leases other than State	\$3,700	\$0	\$3,700	\$3,700	\$0	\$3,700	
027-500290	Transfers to DOIT	\$79,587	\$0	\$79,587	\$71,755	\$0	\$71,755	
030-500320	Replacement Vehicle	\$17,000	\$0	\$17,000	\$0	\$0	\$0	
039-500188	Telecommunications	\$15,000	\$0	\$15,000	\$15,000	\$0	\$15,000	
046-500460	Consultants	\$65,000		\$65,000	\$65,000	\$0	\$65,000	
050-500109	Personal Service-Temp/Appointe	\$45,000	\$16,926	\$61,926	\$45,000	\$10,943	\$55,943	
060-500601	Benefits	\$325,992	\$1,294	\$327,286	\$340,516	\$837	\$341,353	
066-500543	Employee Training	\$3,000	\$0	\$3,000	\$3,000	\$0	\$3,000	
068-500562	Remuneration	\$1	\$0	\$1	\$1	\$0	\$1	
070-500704	In-State Travel Reimbursement	\$10,000	\$0	\$10,000	\$10,000	\$0	\$10,000	
080-500714	Out of State Travel Reimb	<u>\$1,050</u>	<u>\$0</u>	<u>\$1,050</u>	<u>\$1,050</u>	<u>\$0</u>	<u>\$1,050</u>	
	Total	\$1,411,674	\$18,220	\$1,429,894	\$1,402,444	\$11,780	\$1,414,224	
001-various	Transfer from other agencies	\$524,176	\$18,220	\$542,396	\$530,531	\$11,780	\$542,311	
009-407108	Agency Income	\$445,876		\$464,096	\$432,919		\$444,699	
	General Fund	<u>\$441,622</u>	<u>\$0</u>	<u>\$441,622</u>	<u>\$438,994</u>	<u>\$0</u>	<u>\$438,994</u>	
	Total	\$1,411,674	\$18,220	\$1,429,894	\$1,402,444	\$11,780	\$1,414,224	

EXPLANATION

The Department of Justice is requesting permission to enter into a MOU with the New Hampshire Board of Podiatry and the Board of Licensing for Alcohol & Other Drug Use Professionals to provide resources to conduct administrative prosecutions for the Boards on an as needed basis. The Boards have agreed to pay up to \$10,000 and \$20,000 respectively. The DOJ will use these funds for a Part-time Attorney I position to provide the services required. The attorney's duties shall include, but

not limited to, investigating complaints related to allegations of misconduct of licensees, preparing investigative reports, preparing cases for presentation to the Board, prosecuting cases before the Board, conducting administrative hearings, and conducting other legal enforcement activities as directed by the Department of Justice, with the advice of the Board.

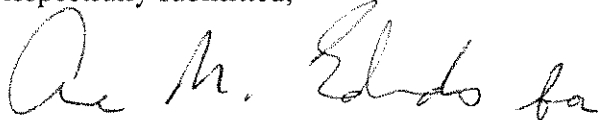
The following information is provided in accordance with the Comptroller's instructional memorandum dated September 21, 1981.

1. List of personnel involved: This request is for a Part-time Attorney I position, 29 hrs. per week at \$24.32 per hour.
2. Nature, Need, Duration: The position is needed to work in the Consumer Bureau's Administrative Prosecution Unit (APU). It is being requested through June 30, 2015 or through the availability of funds for the position.
3. Relationship to existing agency programs: This position is to provide assistance to the APU, which provides services to over 13 boards.
4. Has a similar program been requested of the legislature and denied? No.
5. Why wasn't funding included in the agency's budget request? These boards had not requested our services at that time.
6. Can portions of the grant funds be utilized? These are not grant funds, the funds are from the boards requesting our services.
7. Estimate the funds to continue this position. Approximately \$30,000 for part time salaries and benefits (FICA).

Please let me know if you have any questions concerning this request.

Your consideration is greatly appreciated.

Respectfully submitted,



Joseph A. Foster
Attorney General

JAF/k
#981623

Faretra, Rosemary

From: Spring, Laurie [Laurie.Spring@nh.gov]
Sent: Thursday, November 21, 2013 8:29 AM
To: Faretra, Rosemary
Subject: RE: Corrections to submittals for new positions (Attorney I & Paralega II)

Good morning Rosemary!

I'm sorry for the delay in responding; I am out of the office on Wednesdays.

I was able to establish the PT-TMP position for Attorney I. The new position number is TMPPT4425.

Because the other Work Unit was returned, you will need to resubmit it before I can see it on my end. When you are viewing your list of open action requests, right click on the request you would like to resubmit. Once you right-click, the system will give you an option box, and "Submit" is near the bottom of the list.

Once you do this, the system will assign a new work unit number. Just reply to this email with the new number, and I will go in and check it out.

Thank you!

Laurie

From: Faretra, Rosemary [mailto:Rosemary.Faretra@doj.nh.gov]
Sent: Wednesday, November 20, 2013 2:09 PM
To: Spring, Laurie
Subject: Corrections to submittals for new positions (Attorney I & Paralega II)

Hi Laurie

I have made the corrections to both of the above (92348 & 91366). Not sure how this works on your end. The zip files now contain the current org chart and the PPFs.

Please let me know what is next in the process. This is the 1st time to request positions in the new system.

MEMORANDUM OF UNDERSTANDING
STATE OF NEW HAMPSHIRE
DEPARTMENT OF JUSTICE
NEW HAMPSHIRE BOARD OF PODIATRY

A. Agreement

This Memorandum of Understanding between the New Hampshire Board of Podiatry (hereinafter "the Board") and the New Hampshire Department of Justice (hereinafter "the DOJ") sets forth the terms and conditions whereby the Board will pay the DOJ for the use of Administrative Prosecution Unit (hereinafter "APU") resources to conduct administrative prosecutions for the Board.

B. Contracting

The DOJ will be responsible for providing attorney, investigator, and paralegal resources to the Board, as appropriate, within APU.

C. Scope of Work

The APU attorney assignment to the Board's cases will be directed by DOJ with the advice and approval of the Board. The APU attorney's ongoing work will be supervised by the Chief of the Consumer Protection Bureau of the DOJ.

The APU attorney's duties shall include, but shall not be limited to the following:

1. Investigate complaints related to allegations of misconduct of licensees.
2. Prepare investigative reports derived from interviews, witnesses, oral or written statements and prepare cases for presentation to the Real Estate Appraiser Board.
3. Prosecute cases before the Board.
4. Conduct administrative hearings.
5. Conduct other legal and enforcement activities, as directed by the DOJ, with the advice and approval of the Board, for the Board's best interest.
6. The APU attorney will provide these services as needed and will work on a case-by-case basis.

D. Payment for Services

The DOJ will charge the Board the per-hour rate for the APU attorney plus an additional hourly rate for the APU investigator. The DOJ agrees to provide the APU attorney's computer and computer program licenses. The DOJ shall provide office space

and appropriate furniture for the APU attorney's office. The initial rate for the APU attorney will be \$133.00 per hour. The initial rate for the APU investigator will be \$51.00 per hour. Those rates are subject to increase in subsequent fiscal years based on increases in costs and salaries at the DOJ.

The Board shall set aside funds in an amount that will reasonably cover all expected expenses under this MOU, which requires the APU Attorney to work on a case-by-case basis for the Board for a total amount of no more than \$10,000.00 for FY 14.

The APU attorney will record the number of hours they work on each individual case. On a quarterly basis, the DOJ shall present an invoice to the Board for reimbursement of funds equaling the number of hours the APU attorney recorded multiplied by the reimbursement rate plus any expenses discussed below.

Reasonable expenses for travel, meals and lodging incurred by the APU attorney while on assignment for the Board at the Board's request will be paid by the Board, upon submission of any required receipts by the APU attorney to the Board. Such reasonable expenses shall be approved in advance by DOJ and approved and/or ratified at the next Board meeting. In those instances when an investigator is necessary to complete an investigation the Board shall pay for said services when, and only when, mutually agreed to by the Board and DOJ. In addition, the Board will reimburse expenses associated with an investigation, including witness fees and stenographic services under the general Board rules for the payment of operating costs.

The Board also agrees to pay for training directly related to issues of importance to the Board attended by the APU attorney or investigator, provided this training is at the request of, or with the prior approval of, the Board.

E. Evaluation

The DOJ and the Board will coordinate their evaluation of all APU Attorneys who work on Board cases by having the DOJ periodically evaluate the APU attorney's legal and employment performance and requesting that the Board evaluate each APU Attorney's performance.

The services must be provided in a manner satisfactory to the Board and the DOJ. In the event that the Board determines that the services are not provided in a satisfactory manner, the Board shall report its concerns to the DOJ, and the DOJ shall take appropriate action to address the Board's concerns within 90 days of receipt of the Board's report.

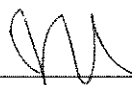
F. Miscellaneous

This agreement makes up the entire understanding between the parties. Neither party may further modify or amend the terms of this Agreement except by written agreement signed by both parties.

Neither this Agreement, nor any rights, duties, or obligations described herein, shall be assigned by either party hereto without the prior written consent of the other party. This Agreement shall be construed under the laws of the state of New Hampshire.

G. Duration

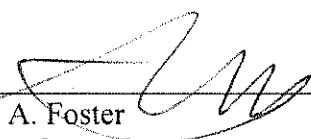
This Agreement is effective July, 2013 and shall continue until terminated, subject to appropriation of sufficient funds by the General Court. This Agreement may be terminated by either party with 90 days notice.



James H. Dolan, Jr., D.P.M., President
NH Board of Podiatry

6-12-13

Date



Joseph A. Foster
Attorney General
NH Department of Justice

7-1-13

Date

JW

MEMORANDUM OF UNDERSTANDING
STATE OF NEW HAMPSHIRE
DEPARTMENT OF JUSTICE
BOARD OF LICENSING FOR ALCOHOL & OTHER DRUG USE PROFESSIONALS

A. Agreement

This Memorandum of Understanding between the New Hampshire Board of Licensing for Alcohol and Other Drug Abuse Professionals (hereinafter "the Board") and the New Hampshire Department of Justice (hereinafter "the DOJ") sets forth the terms and conditions whereby the Board will pay the DOJ for the use of Administrative Prosecution Unit (hereinafter "APU") resources to conduct administrative prosecutions for the Board.

B. Contracting

The DOJ will be responsible for providing attorney, investigator, and paralegal resources to the Board, as appropriate, within APU.

C. Scope of Work

The APU attorney assignment to the Board's cases will be directed by DOJ with the advice and approval of the Board. The APU attorney's ongoing work will be supervised by the Chief of the Consumer Protection Bureau of the DOJ.

The APU attorney's duties shall include, but shall not be limited to the following:

1. Investigate complaints related to allegations of misconduct of licensees.
2. Prepare investigative reports derived from interviews, witnesses, oral or written statements and prepare cases for presentation to the Real Estate Appraiser Board.
3. Prosecute cases before the Board.
4. Conduct administrative hearings.
5. Conduct other legal and enforcement activities, as directed by the DOJ, with the advice and approval of the Board, for the Board's best interest.
6. The APU attorney will provide these services as needed and will work on a case-by-case basis.

D. Payment for Services

The DOJ will charge the Board the per-hour rate for the APU attorney plus an additional hourly rate for the APU investigator. The DOJ agrees to provide the APU attorney's computer and computer program licenses. The DOJ shall provide office space

and appropriate furniture for the APU attorney's office. The initial rate for the APU attorney will be \$133.00 per hour. The initial rate for the APU investigator will be \$51.00 per hour. Those rates are subject to increase in subsequent fiscal years based on increases in costs and salaries at the DOJ.

The Board shall set aside funds in an amount that will reasonably cover all expected expenses under this MOU, which requires the APU Attorney to work on a case-by-case basis for the Board for a total amount of no more than \$20,000.00 for FY 14.

The APU attorney will record the number of hours they work on each individual case. On a quarterly basis, the DOJ shall present an invoice to the Board for reimbursement of funds equaling the number of hours the APU attorney recorded multiplied by the reimbursement rate plus any expenses discussed below.

Reasonable expenses for travel, meals and lodging incurred by the APU attorney while on assignment for the Board at the Board's request will be paid by the Board, upon submission of any required receipts by the APU attorney to the Board. Such reasonable expenses shall be approved in advance by DOJ and approved and/or ratified at the next Board meeting. In those instances when an investigator is necessary to complete an investigation the Board shall pay for said services when, and only when, mutually agreed to by the Board and DOJ. In addition, the Board will reimburse expenses associated with an investigation, including witness fees and stenographic services under the general Board rules for the payment of operating costs.

The Board also agrees to pay for training directly related to issues of importance to the Board attended by the APU attorney or investigator, provided this training is at the request of, or with the prior approval of, the Board.

E. Evaluation

The DOJ and the Board will coordinate their evaluation of all APU Attorneys who work on Board cases by having the DOJ periodically evaluate the APU attorney's legal and employment performance and requesting that the Board evaluate each APU Attorney's performance.

The services must be provided in a manner satisfactory to the Board and the DOJ. In the event that the Board determines that the services are not provided in a satisfactory manner, the Board shall report its concerns to the DOJ, and the DOJ shall take appropriate action to address the Board's concerns within 90 days of receipt of the Board's report.

F. Miscellaneous

This agreement makes up the entire understanding between the parties. Neither party may further modify or amend the terms of this Agreement except by written agreement signed by both parties.

Neither this Agreement, nor any rights, duties, or obligations described herein, shall be assigned by either party hereto without the prior written consent of the other party. This Agreement shall be construed under the laws of the state of New Hampshire.

G. Duration

This Agreement is effective *upon G+C approval* and shall continue until terminated, subject to appropriation of sufficient funds by the General Court. This Agreement may be terminated by either party with 90 days notice.

Jacqui Abikoff
Jacqui Abikoff

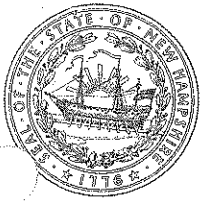
6/26/2013
Date

NH Board of Licensing For Alcohol & Other Drug Use Professionals

Joseph A. Foster

Joseph A. Foster
Attorney General
NH Department of Justice

12/17/13
Date



State of New Hampshire

FIS 13 292

DEPARTMENT OF ADMINISTRATIVE SERVICES
OFFICE OF THE COMMISSIONER
25 Capitol Street – Room 120
Concord, New Hampshire 03301

LINDA M. HODGDON
Commissioner
(603) 271-3201

JOSEPH B. BOUCHARD
Assistant Commissioner
(603) 271-3204

December 17, 2013

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to RSA 21-I: 19-g III - Use of State-Owned Passenger Automobiles, there are 57 vehicles which fall below the break-even mileage for fiscal year 2013. The impacted agencies respectfully request from the Fiscal Committee a waiver for these vehicles. Upon the Committee's determination, the Department shall declare all vehicles whose waivers are denied as surplus, and either sell or transfer such vehicles.

EXPLANATION

For the Committee's consideration, the Department of Administrative Services has completed an independent analysis of the 57 vehicles for which waivers have been requested. (See Attached Documentation). RSA 21-I: 19-g - Use of State-Owned Passenger Automobiles requires:

- I. The department of administrative services shall determine for each 2-year budget cycle the minimum number of miles required to justify retaining a state-owned vehicle referred to as the break-even mileage. The break-even miles shall take into account operational costs, depreciation, and mileage reimbursement rates for use of personal vehicles.
- II. The department of administrative services shall make this determination by September 1 of the first year of each biennium. The break-even mileage shall only apply to vehicles in service by an agency for an entire fiscal year.
- III. If state-owned passenger vehicles are assigned to a state agency and such vehicles are not used for travel at or above the break-even mileage requirement during such year, the director of plant and property management shall declare them surplus and transfer or otherwise dispose of such vehicles or vehicles. An agency may within 90 days after the end of the fiscal year apply to the fiscal committee of the general court to retain such vehicle or vehicles. If such agency presents a clear and convincing case for the continued assignment of a vehicle or

vehicles to the agency, the fiscal committee may permit the agency to retain a vehicle or vehicles.

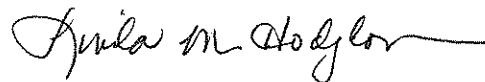
RSA 21-I:19-g changed the methodology going forward whereby agencies requested the retention of vehicles which travel below a minimum number of miles, referred to as a break-even mileage, as calculated by the Department of Administrative Services. The information required to calculate the new mileage standard is contained in each agency's Motor Vehicle Record Report, submitted after the year end. As amended by Chapter 72, Laws of 2010, agencies are required to ask for fiscal committee approval within 90 days of the end of the fiscal year for vehicles they wish to retain that are at or above the break-even mileage. Since Fiscal Year 2010, break-even mileage actions have resulted in a total of 40 vehicles having been reassigned or removed from the fleet completely due to a combination of agency surrenders and denied waiver requests.

This action item details the following:

1. Executive Summary – Data summary of all state owned passenger autos, passenger autos at or below the break-even mileage, agency waivers requested and the department's recommendations for each requested waiver.
2. Exhibit A – Recommendations for Fiscal Committee on Break-Even Mileage Waiver Requests – copies of narrative recommendation by the department for each waiver request.
3. Exhibit B – Passenger Automobile Break-Even Mileage Report Fiscal Year 2013 – including a five year mileage history for all passenger automobiles in service in Fiscal Year 2013
4. Exhibit C – Agency Break-Even Mileage Waiver Requests for Passenger Automobiles

Vehicle information referenced and contained in the attachments is supported by each agency's FY 2013 Motor Vehicle Record Reports.

Respectfully submitted,



Linda M. Hodgdon
Commissioner

RSA 21-I: 19 – g Break-Even Mileage Executive Summary

21-I: 19 - g requires agencies to meet a specific mileage benchmark with their passenger automobiles (PassAUTOs) or they must submit a waiver to request permission to retain the vehicle for the following fiscal year. For fiscal year 2013 (FY13), the Break-Even Mileage (BEM) benchmark was 7,935 miles.

Of the 32 agencies that are impacted by this legislation:

- 16 were exempt because they had no vehicles below 7,935 miles;
- 16 have responded with waiver requests,

Within the 16 agencies there were 57 PassAUTOs that did not meet the BEM threshold. The Department of Administrative Services has received:

- 57 vehicle waivers requesting to retain vehicles
 - 57 vehicle waivers are recommended to be approved (joint recommendation from FMA and Business Supervisors)
 - 0 vehicle waivers are not recommended to be approved (joint recommendation from FMA and Business Supervisors)

See page 2 for the requested action summary.

Requested Action Summary

INFORMATIONAL

REQUESTED ACTION

Agency	Total Passenger Autos in Agency Fleet	Passenger Autos Below BBVI	% of Passenger Auto Fleet Below BBVI	Number of Vehicles Voluntarily Surrendered	No Vehicle Waivers Received	Request for Vehicle Waiver	Waiver Comments		FVA Recommendations
							Recommendation: Approve/Waiver	Recommendation: Deny/Waiver	
Adjutant General	1	1	100%	0	0	1	1	0	Approval all waivers.
Administrative Services, Department of	5	0	0%						
Agriculture, Department of	14	1	7%	0	0	1	1	0	Approval all waivers.
Banking Commission	2	0	0%						
Correctional Industries	0	0	0%						
Corrections	32	8	25%	0	0	8	8	0	Approval all waivers.
Cosmetology	2	0	0%						
Cultural Resources	2	0	0%						
DRED (Resources & Economic Development)	18	5	28%	0	0	5	5	0	Approval all waivers.
Education, Department of	0	0	0%						
Employment Security	0	0	0%						
Energy and Planning, Office of	1	1	100%	0	0	1	1	0	Approval all waivers.
Environmental Services	37	0	0%						
Fish & Game, Department of	4	3	75%	0	0	3	3	0	Approval all waivers.
Health & Human Services	33	5	15%	0	0	5	5	0	Approval all waivers.
Highway Safety	0	0	0%						
Information Technology, Department of	0	0	0%						
Insurance, Department of	1	1	100%	0	0	1	1	0	Approval all waivers.
Justice, Department of	13	1	8%	0	0	1	1	0	Approval all waivers.
Labor, Department of	25	0	0%						
Liquor Commission	36	1	3%	0	0	1	1	0	Approval all waivers.
Lottery Commission	0	0	0%						
Pharmacy Board	2	1	50%	0	0	1	1	0	Approval all waivers.
Police Standards & Training	12	9	75%	0	0	9	9	0	Approval all waivers.
Public Utilities Commission	2	0	0%						
Racing and Charitable Gaming	4	0	0%						
Revenue	16	0	0%						
Safety/State Police	475	14	3%	0	0	14	14	0	Approval all waivers.
Transportation	122	3	2%	0	0	3	3	0	Approval all waivers.
Veteran's Home	2	1	50%	0	0	1	1	0	Approval all waivers.
Veteran's Services	3	2	67%	0	0	2	2	0	Approval all waivers.
	864	57	7%	0	0	57	57	0	



0 vehicles projected for redistribution or surplus.

Executive Summary of Repeat Waiver Requests

Total Vehicles Eligible for Repeat Waiver Requests:	28		
Vehicles Voluntarily Surrendered	0	0.00%	
Waiver Requests Denied	0	0.00%	
Waiver Requests Approved	28	100.00%	

The following is a list of reasons for approving repeat waiver requests and the number of vehicles that fall into each category.

Approved repeat waiver requests:	28		
Specialty Use Vehicles:	15	53.57%	
<i>These vehicles are operated for special uses which do not allow them to be driven for the required mileage (i.e.: training vehicles, investigative vehicles, promotional/marketing vehicles, etc).</i>			
Expected to Exceed FY2014 BEM	8	28.57%	
<i>These vehicles are below the FY2013 BEM threshold but are expected to exceed the FY2014 BEM threshold based on historical mileage data and due to other redistributions or</i>			
Prior Fiscal Action:	1	3.57%	
<i>This vehicle was approved for retention by the Fiscal Committee after DAS requested it be redistributed following FY2011. Based on that response we recommend the waiver be approved. This is also the only PassAUTO in the Adjutant General's fleet.</i>			

Exhibit A

Recommendations for Fiscal Committee on Break-Even Mileage Waiver Requests

Waiver #1 Adjutant General

Vehicle Information: 2304641; 2000 Ford Crown Victoria; 60,393 miles as of June 30, 2013; assigned to a Pool; garaged at the office overnight.

Fiscal Year 2013 Mileage: 5,621 miles.

Recommendation: Recommend Approval (This is the agency's only PassAUTO and was approved for retention by the Fiscal Committee after FY2010).

Waiver #2 Department of Agriculture

Vehicle Information: AGR83; 2006 Chevrolet Malibu; 92,235 miles as of June 30, 2013; assigned to Rousseau, David; garaged at the office overnight.

Fiscal Year 2013 Mileage: 7,205 miles.

Recommendation: Recommend Approval (the mileage is expected to exceed the BEM in FY14).

Waiver #3 Department of Corrections

Vehicle Information: COR7; 2011 Chevrolet Impala; 15,241 miles as of June 30, 2013; assigned to the Field Svs-Manchester; garaged at the office overnight.

Fiscal Year 2013 Mileage: 7,559 miles.

Recommendation: Recommend Approval (special use: investigations)

Vehicle Information: COR91; 2006 Chevrolet Impala LS; 80,306 miles as of June 30, 2013; assigned to the Field Svs-Nashua; garaged at the office overnight.

Fiscal Year 2013 Mileage: 7,545 miles.

Recommendation: Recommend Approval (special use: investigations)

Vehicle Information: COR90; 2008 Chevrolet Impala; 46,535 miles as of June 30, 2013; assigned to the NHSP-M Pool; garaged at the office overnight.

Fiscal Year 2013 Mileage: 6,623 miles.

Recommendation: Recommend Approval (review after FY2014)

Vehicle Information: COR74; 2007 Chevrolet Impala; 36,510 miles as of June 30, 2013; assigned to the Field Svs-Manchester; garaged at the office overnight.

Fiscal Year 2013 Mileage: 6,537 miles.

Recommendation: Recommend Approval (special use: investigations)

Vehicle Information: COR45; 2000 Chevrolet Cavalier; 122,688 miles as of June 30, 2013; assigned to the NHSP-M Pool; garaged at the office overnight.

Fiscal Year 2013 Mileage: 6,176 miles.

Recommendation: Recommend Approval (review after FY2014)

Vehicle Information: COR2; 2006 Chevrolet Impala LS; 63,643 miles as of June 30, 2013; assigned to the Field Svs-Dover; garaged at the office overnight.

Fiscal Year 2013 Mileage: 5,902 miles.

Recommendation: Recommend Approval (special use: investigations)

Vehicle Information: COR8; 2007 Chevrolet Impala; 84,766 miles as of June 30, 2013; assigned to the Field Svs-Exeter; garaged at the office overnight.

Fiscal Year 2013 Mileage: 5,872 miles.

Recommendation: Recommend Approval (special use: investigations)

Vehicle Information: COR44; 2007 Chevrolet Impala; 51,961 miles as of June 30, 2013; assigned to the Field Svs-Manchester; garaged at the office overnight.

Fiscal Year 2013 Mileage: 3,010 miles.

Recommendation: Recommend Approval (special use: investigations)

Waiver #4 DRED (Resources & Economic Development)

Vehicle Information: D400; 2003 Chevrolet Impala; 153,419 miles as of June 30, 2013; assigned to the DED Office Pool; garaged at the office overnight.

Fiscal Year 2013 Mileage: 7,775 miles.

Recommendation: Recommend Approval (review after FY2014)

Vehicle Information: D4; 2008 Chevrolet Impala LS; 46,912 miles as of June 30, 2013; assigned to Way, Christopher; garaged at the office overnight.

Fiscal Year 2013 Mileage: 6,966 miles.

Recommendation: Recommend Approval (review after FY2014)

Vehicle Information: D186; 2003 Chevrolet Impala; 188,033 miles as of June 30, 2013; assigned to the DED Office Pool; garaged at the office overnight.

Fiscal Year 2013 Mileage: 6,870 miles.

Recommendation: Recommend Approval (review after FY2014)

Vehicle Information: D500; 2001 Ford Taurus SE; 114,856 miles as of June 30, 2013; assigned to the Travel & Tourism Pool; garaged at the office overnight.

Fiscal Year 2013 Mileage: 6,556 miles.

Recommendation: Recommend Approval (review after FY2014)

Vehicle Information: D476; 2002 Chevrolet Monte Carlo; 131,354 miles as of June 30, 2013; assigned to the DED Office Pool; garaged at the office overnight.

Fiscal Year 2013 Mileage: 6,410 miles.

Recommendation: Recommend Approval (review after FY2014)

Waiver #5 Office of Energy and Planning

Vehicle Information: M83; 2003 Ford Taurus; 153,971 miles as of June 30, 2013; assigned to the OEP / Pool; garaged at the office overnight.

Fiscal Year 2013 Mileage: 4,595 miles.

Recommendation: Recommend Approval (review after FY2014)

Waiver #6 Department of Fish & Game

Vehicle Information: F1; 2006 Chevrolet IMPALA; 124,528 miles as of June 30, 2013; assigned as a SPARE; garaged at the office overnight.

Fiscal Year 2013 Mileage: 5,143 miles.

Recommendation: Recommend Approval (review after FY2014)

Vehicle Information: F8; 2006 Ford FOCUS WAGON; 65,702 miles as of June 30, 2013; assigned to a POOL; garaged at the office overnight.

Fiscal Year 2013 Mileage: 4,818 miles.

Recommendation: Recommend Approval (review after FY2014)

Vehicle Information: F9; 2006 Ford FOCUS WAGON; 63,539 miles as of June 30, 2013; assigned to a POOL; garaged at the office overnight.

Fiscal Year 2013 Mileage: 4,661 miles.

Recommendation: Recommend Approval (review after FY2014)

Waiver #7 Health and Human Services

Vehicle Information: Y18; 2000 Chevrolet Cavalier; 161,630 miles as of June 30, 2013; assigned to a DJJS / Pool; garaged at the office overnight.

Fiscal Year 2013 Mileage: 7,568 miles.

Recommendation: Recommend Approval (special use: client services)

Vehicle Information: P189; 2002 Chevrolet Impala; 199,858 miles as of June 30, 2013; assigned to a DJJS / Pool; garaged at the office overnight.

Fiscal Year 2013 Mileage: 7,250 miles.

Recommendation: Recommend Approval (special use: client services)

Vehicle Information: Y12; 2001 Chevrolet Cavalier; 140,279 miles as of June 30, 2013; assigned to a DJJS / Pool; garaged at the office overnight.

Fiscal Year 2013 Mileage: 7,180 miles.

Recommendation: Recommend Approval (special use: client services)

Vehicle Information: P135; 2007 Chevrolet Malibu; 51,838 miles as of June 30, 2013; assigned to ESU / R. Theriault; garaged at the office overnight.

Fiscal Year 2013 Mileage: 4,670 miles.

Recommendation: Recommend Approval (review after FY2014)

Vehicle Information: P106; 1999 Chevrolet Lumina; 141,820 miles as of June 30, 2013; assigned to a Behavioral Health / Pool; garaged at the office overnight.

Fiscal Year 2013 Mileage: 4,157 miles.

Recommendation: Recommend Approval (special use: client services)

Waiver #8 Department of Insurance

Vehicle Information: 2648647; 2011 Ford Fusion; 10,288 miles as of June 30, 2013; assigned to an Admin / Pool; garaged at the office overnight.

Fiscal Year 2013 Mileage: 2,632 miles.

Recommendation: Recommend Approval (review after FY2014)

Waiver #9 Department of Justice

Vehicle Information: UND; ; 77107 miles as of June 30, 2013;
assigned to ; garaged at overnight.

Fiscal Year 2013 Mileage: 7,866 miles.

Recommendation: Recommend Approval (special use: investigations)

Waiver #10 Liquor Commission

Vehicle Information: L-21; 2002 Dodge Intrepid; 70,940 miles as of June 30, 2013; assigned as a Cruiser/Gator; garaged at the office overnight.

Fiscal Year 2013 Mileage: 2,174 miles.

Recommendation: Recommend Approval (special use: promotions)

Waiver #11 Board of Pharmacy

Vehicle Information: P195; 2007 Chevrolet Malibu; 90,673 miles as of June 30, 2013; assigned to ELDER, Robert; garaged at a residence overnight.

Fiscal Year 2013 Mileage: 6,805 miles.

Recommendation: Recommend Approval (review after FY2014)

Waiver #12 Police Standards & Training Council

Vehicle Information: PS10; 2010 Chevrolet Impala; 12,626 miles as of June 30, 2013; assigned to the Pool - PSTC; garaged at the office overnight.

Fiscal Year 2013 Mileage: 3,654 miles.

Recommendation: Recommend Approval (special use: Training vehicle)

Vehicle Information: PS55; 2012 Ford Interceptor; 3,252 miles as of June 30, 2013; assigned to Trng - PSTC; garaged at the office overnight.

Fiscal Year 2013 Mileage: 1,320 miles.

Recommendation: Recommend Approval (special use: Training vehicle)

Vehicle Information: PS98; 2008 Ford Crown Victoria; 9,269 miles as of June 30, 2013; assigned to Trng - PSTC; garaged at the office overnight.

Fiscal Year 2013 Mileage: 830 miles.

Recommendation: Recommend Approval (special use: Training vehicle)

Vehicle Information: PS59; 2008 Dodge Charger; 60,012 miles as of June 30, 2013; assigned to Trng - PSTC; garaged at the office overnight.

Fiscal Year 2013 Mileage: 661 miles.

Recommendation: Recommend Approval (special use: Training vehicle)

Vehicle Information: PS95; 2007 Dodge Charger; 6,868 miles as of June 30, 2013; assigned to Trng - PSTC; garaged at the office overnight.

Fiscal Year 2013 Mileage: 645 miles.

Recommendation: Recommend Approval (special use: Training vehicle)

Vehicle Information: PS69; 2005 Chevrolet Impala; 19,271 miles as of June 30, 2013; assigned to Trng - PSTC; garaged at the office overnight.

Fiscal Year 2013 Mileage: 569 miles.

Recommendation: Recommend Approval (special use: Training vehicle)

Vehicle Information: PS70; 2006 Chevrolet Impala; 49,943 miles as of June 30, 2013; assigned to Trng - PSTC; garaged at the office overnight.

Fiscal Year 2013 Mileage: 452 miles:

Recommendation: Recommend Approval (special use: Training vehicle)

Vehicle Information: PS56; 1999 Ford Crown Victoria; 66,785 miles as of June 30, 2013; assigned to Trng - PSTC; garaged at the office overnight.

Fiscal Year 2013 Mileage: 434 miles.

Recommendation: Recommend Approval (special use: Training vehicle)

Vehicle Information: PS65; 2001 Ford Crown Victoria; 44,468 miles as of June 30, 2013; assigned to Trng - PSTC; garaged at the office overnight.

Fiscal Year 2013 Mileage: 26 miles.

Recommendation: Recommend Approval (During FY2014 this vehicle is slated to be used for fleet reduction related to a temporary G&C Fleet Increase approval.)

Waiver #13a Department of Safety

Vehicle Information: 4459; 2007 CHEVROLET MALIBU; 65,080 miles as of June 30, 2013; assigned to MORSE, DANIELLE; garaged at a residence overnight.

Fiscal Year 2013 Mileage: 7,402 miles.

Recommendation: Recommend Approval (review after FY2014)

Vehicle Information: 3964; 2003 FORD TAURUS; 95,736 miles as of June 30, 2013; assigned to EMS EPPING; garaged at the office overnight.

Fiscal Year 2013 Mileage: 5,682 miles.

Recommendation: Recommend Approval (review after FY2014)

Vehicle Information: 3968; 2003 FORD CROWN VICTORIA; 110,278 miles as of June 30, 2013; assigned to FARLEY, ROBERT; garaged at a residence overnight.

Fiscal Year 2013 Mileage: 5,344 miles.

Recommendation: Recommend Approval (review after FY2014)

Vehicle Information: 3966; 2003 FORD TAURUS; 132,987 miles as of June 30, 2013; assigned to MILFORD EMS; garaged at the office overnight.

Fiscal Year 2013 Mileage: 5,105 miles.

Recommendation: Recommend Approval (review after FY2014)

Waiver #13b State Police, Division of

Vehicle Information: 4134; 2004 FORD CROWN VICTORIA; 108,053 miles as of June 30, 2013; assigned to HOSPITAL SECURITY; garaged at the office overnight.

Fiscal Year 2013 Mileage: 4,637 miles.

Recommendation: Recommend Approval (special use: security)

Vehicle Information: 4158; 2004 FORD CROWN VICTORIA; 130,420 miles as of June 30, 2013; assigned to HOSPITAL SECURITY; garaged at the office overnight.

Fiscal Year 2013 Mileage: 3,432 miles.

Recommendation: Recommend Approval (special use: security)

Vehicle Information: 4132; 2004 FORD CROWN VICTORIA; 130,555 miles as of June 30, 2013; assigned to HOSPITAL SECURITY; garaged at the office overnight.

Fiscal Year 2013 Mileage: 1,686 miles.

Recommendation: Recommend Approval (special use: security)

Vehicle Information: 3497; 2000 CHEVROLET IMPALA; 91,769 miles as of June 30, 2013; assigned to the FORENSIC LAB; garaged at the office overnight.

Fiscal Year 2013 Mileage: 7,337 miles.

Recommendation: Recommend Approval (review after FY2014)

Vehicle Information: 3949; 2003 FORD CROWN VICTORIA; 149,483 miles as of June 30, 2013; assigned as a HQ SPARE; garaged at the office overnight.

Fiscal Year 2013 Mileage: 6,514 miles.

Recommendation: Recommend Approval (review after FY2014)

Vehicle Information: 4017; 2003 CHEVROLET IMPALA; 171,575 miles as of June 30, 2013; assigned as a HQ SPARE; garaged at the office overnight.

Fiscal Year 2013 Mileage: 5,928 miles.

Recommendation: Recommend Approval (review after FY2014)

Vehicle Information: 3868; 2002 DODGE INTREPID; 127,789 miles as of June 30, 2013; assigned to the FORENSIC LAB; garaged at the office overnight.

Fiscal Year 2013 Mileage: 5,286 miles.

Recommendation: Recommend Approval (review after FY2014)

Vehicle Information: 3935; 2003 FORD CROWN VICTORIA; 116,990 miles as of June 30, 2013; assigned to NH HOSPITAL SECURITY; garaged at the office overnight.

Fiscal Year 2013 Mileage: 4,418 miles.

Recommendation: Recommend Approval (special use: security)

Vehicle Information: 4070; 2003 FORD CROWN VICTORIA; 104,005 miles as of June 30, 2013; assigned as a TROOP E SPARE; garaged at the office overnight.

Fiscal Year 2013 Mileage: 1,962 miles.

Recommendation: Recommend Approval (review after FY2014)

Vehicle Information: 4065; 2003 FORD CROWN VICTORIA; 170,255 miles as of June 30, 2013; assigned as a TROOP E SPARE; garaged at the office overnight.

Fiscal Year 2013 Mileage: 1,078 miles.

Recommendation: Recommend Approval (review after FY2014)

Waiver #14 Transportation

Vehicle Information: H00104; 2010 FORD FUSION; 24,800 miles as of June 30, 2013; assigned to DOUG GOSLING; garaged at a residence overnight.

Fiscal Year 2013 Mileage: 7,183 miles.

Recommendation: Recommend Approval (review after FY2014)

Vehicle Information: H00286; 2006 CHEVROLET MALIBU; 106,248 miles as of June 30, 2013; assigned as a BUREAU 34 POOL VEHICLE; garaged at the office overnight.

Fiscal Year 2013 Mileage: 6,684 miles.

Recommendation: Recommend Approval (review after FY2014)

Vehicle Information: H00253; 2001 HONDA CIVIC GX; 101,256 miles as of June 30, 2013; assigned to the POOL; garaged at the office overnight.

Fiscal Year 2013 Mileage: 5,021 miles.

Recommendation: Recommend Approval (review after FY2014)

Waiver #15 Veterans Home

Vehicle Information: M76; 2003 Ford Crown Victoria; 199,990 miles as of June 30, 2013; assigned to the Veterans Home / Pool; garaged at the office overnight.

Fiscal Year 2013 Mileage: 7,261 miles.

Recommendation: Recommend Approval (review after FY2014)

Waiver #16 Veterans Services

Vehicle Information: M124; 2006 Chevrolet Malibu; 78,833 miles as of June 30, 2013; assigned to Higginbotham, Carter; garaged at a residence overnight.

Fiscal Year 2013 Mileage: 6,677 miles.

Recommendation: Recommend Approval (review after FY2014)

Vehicle Information: M80; 2002 Chevrolet Cavalier; 128,924 miles as of June 30, 2013; assigned to a Pool; garaged at the office overnight.

Fiscal Year 2013 Mileage: 389 miles.

Recommendation: Recommend Approval (review after FY2014)

Exhibit B

Fiscal Year 2013 Passenger Automobile Break-Even Mileage Report

Exhibit C

Fiscal Year 2013 Agency Waiver Requests



STATE OF NEW HAMPSHIRE
THE ADJUTANT GENERAL'S DEPARTMENT

BUSINESS ADMINISTRATION
STATE MILITARY RESERVATION
4 PEMBROKE ROAD
CONCORD, NEW HAMPSHIRE 03301-5652

William N. Reddel, III, Major General
The Adjutant General

Carolyn J. Protzmann, Brigadier General
Deputy Adjutant General

Stephanie L. Milender
Administrator

Phone: 603-225-1360
Fax: 603-225-1341
TDD Access: 1-800-735-2964

August 9, 2013

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to RSA 21-1: 19-g, authorization is requested for the Adjutant General's Department to retain vehicle number 2304641 which has traveled less than the required break-even mileage (7,935) during Fiscal Year 2013.

EXPLANATION

Vehicle number 2304641, a 2000 Ford Crown Victoria was driven 5,621 miles for use as a liaison vehicle to conduct official travel to and from our seventeen (17) state facilities, other state and federal agencies, National Guard headquarters throughout New England, and in-state and out-of-state National Guard training sites. Other department personnel also use this vehicle for official travel within and out-of-state.

The Adjutant General must be able to conduct business in the State of New Hampshire, particularly in state emergencies and have a state vehicle available. Although the department did not exceed the 7,935 break-even mileage, it does not negate the need for the department to have this sedan in its inventory for use in official travel and state emergencies. The department received a waiver to retain this vehicle in Fiscal Year 2013. The cost to operate this vehicle in Fiscal Year 2013 was only twenty-five cents (\$0.25) per mile.

Respectfully submitted,

William N. Reddel III
Major General, NH National Guard
The Adjutant General

October 2, 2013

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House
Concord, NH 03301

REQUESTED ACTION

Pursuant to RSA 21-I: 19-g, authorization is requested for the Department of Agriculture, Markets & Food, to retain vehicle AGR 83 which traveled less than the required break-even mileage (7,935 miles) during fiscal year 2013.

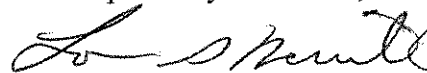
EXPLANATION

AGR 83, a 2006 Chevy Malibu, was driven 7,205 for the business purposes of Division of Pesticide Control (PC) training sessions, meetings, water collection for testing, special permit inspections, etc. This is a pooled vehicle used for the required various uses by PC, and also serves a back-up car when other department vehicles are being serviced. This vehicle is being used to facilitate training sessions around the state, attend regional meetings, and conduct various types of inspections.

In FY13 largely by coincidence, more of the sampling and training activities were in locations closer to Concord than usual. This will not be the case for FY14. This car will also be needed for occasional use by the new marketing program assistant position created by HB1.

If a waiver is not granted for this vehicle, the division and department would suffer significant hardship in meeting the pesticide control training, testing and inspection functions. It would also force the department to increase reliance on the more expensive option of reimbursed use of personal vehicles.

Respectfully submitted,



Lorraine S. Merrill
Commissioner



STATE OF NEW HAMPSHIRE
DEPARTMENT OF CORRECTIONS
OFFICE OF THE COMMISSIONER

P.O. BOX 1806
CONCORD, NH 03302-1806

603-271-5610 FAX: 603-271-5643
TDD Access: 1-800-735-2964

William L. Wrenn
Commissioner

Christopher H. Kench
Director of Security &
Training

September 5, 2013

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to RSA 21-I: 19-g, authorization is requested for the Department of Corrections to retain vehicle's COR2, COR7, COR8, COR44, COR45, COR74, COR90 and COR91 which traveled less than the required break-even mileage (7935 miles) during fiscal year 2013.

EXPLANATION

COR2, a 2006 Chevy Impala was driven **5,902** miles for the business purpose of supervising probationers and parolees in the community, appearing in court, completing home and job checks of offenders, attending meetings and attending training. The vehicle is assigned to the Dover District Office and being used predominately in the local area. This vehicle is one of two vehicles shared by seven probation and parole officers. Loss of this vehicle would result in a serious decrease in public safety due to staff being unable to properly supervise offenders out in the community. Loss of this vehicle would also increase mileage expenditures for staff appearing in court and attending meetings and/or training. The low mileage is attributed to the vehicle being used primarily for local use in the city of Dover.

COR7, a 2011 Chevy Impala was driven **7,559** miles for the business purpose of supervising probationers and parolees in the community, appearing in court, completing home and job checks of offenders, attending meetings and attending training. The vehicle is assigned to the Manchester District Office and being used predominately in the local area. This vehicle is one of three vehicles shared by twelve probation and parole officers. Loss of this vehicle would result in a serious decrease in public safety due to staff being unable to properly supervise offenders out in the community. Loss of this vehicle would also increase mileage expenditures for staff appearing in court and attending meetings and/or training. The low mileage is attributed to the vehicle being used primarily for local use in the city of Manchester.

COR8, a 2008 Chevy Impala was driven **5,872** miles for the business purpose of supervising probationers and parolees in the community, appearing in court, completing home and job checks of offenders, attending meetings and attending training. The vehicle is assigned to the Exeter District Office and being used predominately in the local area. This vehicle is one of three vehicles shared by nine probation and parole officers. Loss of this vehicle would result in a serious decrease in public safety due to staff being unable to properly supervise offenders out in the community. Loss of this vehicle would also increase mileage expenditures for staff appearing in court and attending meetings and/or training. The low mileage is attributed to the vehicle being used primarily for local use in the city of Exeter.

COR44, a 2007 Chevy Impala was driven **3,010** miles for the business purpose of supervising probationers and parolees in the community, appearing in court, completing home and job checks of offenders, attending meetings and attending training. The vehicle is assigned to the Manchester District Office and being used predominately in the local area. This vehicle is one of three vehicles shared by twelve probation and parole officers. Loss of this vehicle would result in a serious decrease in public safety due to staff being unable to properly supervise offenders out in the community. Loss of this vehicle would also increase mileage expenditures for staff appearing in court and attending meetings and/or training. The low mileage is attributed to the vehicle being used primarily for local use in the city of Manchester. This vehicle was granted a previous waiver in 2012.

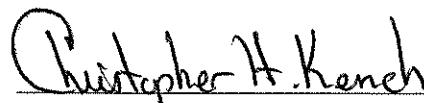
COR45, a 2000 Chevy Cavalier assigned to the **NH State Prison for Men** was driven **6,176** total miles. This vehicle is one of three vehicles assigned to the NHSP/men pool exclusively for staff travel. Much of the staff travel is to; Legislative hearings, court, satellite facilities the NH State Prison for Women and the Northern NH Correctional Facility (NCF). Loss of this vehicle would result in a significant increase in personal vehicle use reimbursement, and operational difficulties when staff do not agree to use their personal vehicle for business travel. This vehicle was granted a previous waiver in 2012.

COR74, a 2007 Chevy Impala was driven **6,537** miles for the business purpose of supervising probationers and parolees in the community, appearing in court, completing home and job checks of offenders, attending meetings and attending training. The vehicle is assigned to the Manchester District Office and being used predominately in the local area. This vehicle is one of three vehicles shared by twelve probation and parole officers. Loss of this vehicle would result in a serious decrease in public safety due to staff being unable to properly supervise offenders out in the community. Loss of this vehicle would also increase mileage expenditures for staff appearing in court and attending meetings and/or training. The low mileage is attributed to the vehicle being used primarily for local use in the city of Manchester. This vehicle was granted a previous waiver in 2012.

COR90 a 2008, Chevrolet Impala assigned to the **NH State Prison for Men** was driven **6,623** total miles. This vehicle is one of three vehicles assigned to the NHSP/men pool exclusively for staff travel. Much of the staff travel is to; Legislative hearings, court, satellite facilities the NH State Prison for Women and the Northern NH Correctional Facility. Loss of this vehicle would result in a significant increase in personal vehicle use reimbursement, and operational difficulties when staff do not agree to use their personal vehicle for business travel.

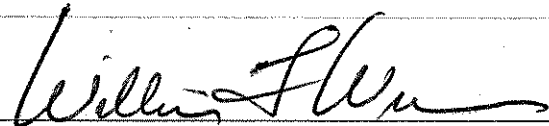
COR91, a 2006 Chevy Impala was driven **7,545** miles for the business purpose of supervising probationers and parolees in the community, appearing in court, completing home and job checks of offenders, attending meetings and attending training. The vehicle is assigned to the Nashua District Office and being used predominately in the local area. This vehicle is one of two vehicles shared by six probation and parole officers. Loss of this vehicle would result in a serious decrease in public safety due to staff being unable to properly supervise offenders out in the community. Loss of this vehicle would also increase mileage expenditures for staff appearing in court and attending meetings and/or training. The low mileage is attributed to the vehicle being used primarily for local use in the city of Nashua.

Respectfully submitted,

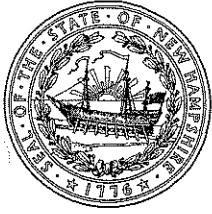


Christopher H. Kench
Director of Security and Training

Approved by:



William L. Wrenn
Commissioner



STATE OF NEW HAMPSHIRE
DEPARTMENT of RESOURCES and ECONOMIC DEVELOPMENT
OFFICE of the COMMISSIONER

172 Pembroke Road P.O. Box 1856 Concord, New Hampshire 03302-1856

603-271-2411
FAX: 603-271-2629

OCT02'13 AM 8:43 DAS

September 26, 2013

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to RSA 21-I:19-g, authorization is respectfully requested for the Department of Resources and Economic Development, Division of Economic Development to retain vehicle D4 which traveled less than the required break-even mileage (7,935 miles) during Fiscal Year 2013.

EXPLANATION

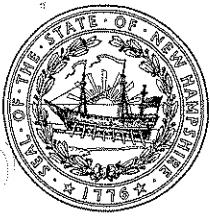
D4, a 2008 Chevrolet Impala LS, was driven 6,966 miles primarily by the Interim Director of Economic Development. The vehicle was used to meet with various companies and attend meetings throughout the state. During the last biennium, the Director's position was unfunded. In FY 2014-2015, the funding for the position has been restored and the Department is in the final phase of recruitment for filling this critically important position. It is anticipated that the new Director will be traveling extensively throughout the state.

Respectfully submitted,

Jeffrey J. Rose
Commissioner

Break Even Mileage (D4) FY 13.doc

DW



STATE OF NEW HAMPSHIRE
DEPARTMENT of RESOURCES and ECONOMIC DEVELOPMENT
OFFICE of the COMMISSIONER

172 Pembroke Road P.O. Box 1856 Concord, New Hampshire 03302-1856

603-271-2411
FAX: 603-271-2629

September 26, 2013

OCT 02 '13 11:04 AM

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to RSA 21-I:19-g, authorization is respectfully requested for the Department of Resources and Economic Development, Division of Economic Development to retain vehicle D186 which traveled less than the required break-even mileage (7,935 miles) during Fiscal Year 2013.

EXPLANATION

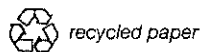
D186, a 2003 Chevrolet Impala, was driven 6,870 miles for economic development business type purposes and activities. This vehicle has very high mileage and potentially not as reliable and therefore is not chosen by Department staff for taking longer trips.

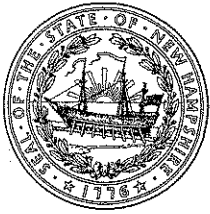
In the current biennium the Department has limited funds for acquiring cars. Since the mileage accrued for this vehicle was close to the break-even limit, we believe retaining this vehicle for a range of business travel is the best use of our resources. We will continue to assess overall use of our passenger auto fleet to be as efficient as possible.

Respectfully submitted,

Jeffrey J. Rose
Commissioner

Break Even Mileage (D186) FY 13.doc





DWR

STATE OF NEW HAMPSHIRE
DEPARTMENT of RESOURCES and ECONOMIC DEVELOPMENT
 OFFICE of the COMMISSIONER

172 Pembroke Road P.O. Box 1856 Concord, New Hampshire 03302-1856

603-271-2411
 FAX: 603-271-2629

September 26, 2013

SEP 26 11 34 AM '13

The Honorable Mary Jane Wallner, Chairman
 Fiscal Committee of the General Court
 State House
 Concord, New Hampshire 03301

REQUESTED ACTION


Pursuant to RSA 21-I:19-g, authorization is respectfully requested for the Department of Resources and Economic Development, Division of Economic Development to retain vehicle D400 which traveled less than the required break-even mileage (7,935 miles) during Fiscal Year 2013.

EXPLANATION

D400, a 2003 Chevrolet Impala, was driven 7,775 miles for economic development business type purposes and activities. This vehicle has very high mileage (158,382) and is potentially not as reliable and therefore is not chosen by Department staff for taking longer trips.

In the current biennium the Department has limited funds for acquiring cars. Since the mileage accrued for this vehicle was close to the break-even limit, we believe retaining this vehicle for a range of business travel is the best use of our resources. We will continue to assess overall use of our passenger auto fleet to be as efficient as possible.

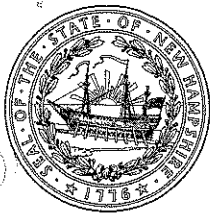
Respectfully submitted,



Jeffrey J. Rose
 Commissioner

Break Even Mileage (D400) FY 13.doc

JKW



STATE OF NEW HAMPSHIRE
DEPARTMENT of RESOURCES and ECONOMIC DEVELOPMENT
OFFICE of the COMMISSIONER

172 Pembroke Road P.O. Box 1856 Concord, New Hampshire 03302-1856

603-271-2411
FAX: 603-271-2629

September 26, 2013

SEP 27 10 46 AM '13

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to RSA 21-I:19-g, authorization is respectfully requested for the Department of Resources and Economic Development, Division of Economic Development to retain vehicle D476 which traveled less than the required break-even mileage (7,935 miles) during Fiscal Year 2013.

EXPLANATION

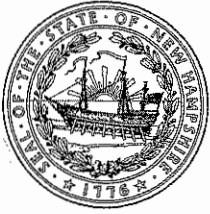
D476, a 2003 Chevrolet Monte Carlo, was driven 6,410 miles for economic development business type purposes and activities. This vehicle has very high mileage (135,944) and is potentially not as reliable and therefore is not chosen by Department staff for taking longer trips.

In the current biennium the Department has limited funds for acquiring cars. Since the mileage accrued for this vehicle was close to the break-even limit, we believe retaining this vehicle for a range of business travel is the best use of our resources. We will continue to assess overall use of our passenger auto fleet to be as efficient as possible.

Respectfully submitted,

Jeffrey J. Rose
Commissioner

Break Even Mileage (D476) FY 13.doc



STATE OF NEW HAMPSHIRE
DEPARTMENT of RESOURCES and ECONOMIC DEVELOPMENT
OFFICE of the COMMISSIONER

172 Pembroke Road P.O. Box 1856 Concord, New Hampshire 03302-1856

603-271-2411
FAX: 603-271-2629

September 26, 2013

OCT 02 '13 0:47 PM

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

REQUESTED ACTION

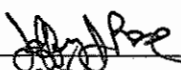
Pursuant to RSA 21-I:19-g, authorization is respectfully requested for the Department of Resources and Economic Development, Division of Travel and Tourism Development to retain vehicle D500 which traveled less than the required break-even mileage (7,935 miles) during Fiscal Year 2013.

EXPLANATION

D500, a 2001 Ford Taurus SE, was driven 6,556 miles for tourism related type activities. This vehicle has very high mileage (120,840) and is potentially not as reliable and therefore is not chosen by Department staff for taking longer trips.

In the current biennium the Department has limited funds for acquiring cars. Since the mileage accrued for this vehicle was close to the break-even limit, we believe retaining this vehicle for a range of business travel is the best use of our resources. We will continue to assess overall use of our passenger auto fleet to be as efficient as possible.

Respectfully submitted,



Jeffrey J. Rose
Commissioner

Break Even Mileage (D500) FY 13.doc



MARGARET WOOD HASSAN
GOVERNOR

STATE OF NEW HAMPSHIRE
OFFICE OF ENERGY AND PLANNING
107 Pleasant Street, Johnson Hall
Concord, NH 03301-3834
Telephone: (603) 271-2155
Fax: (603) 271-2615



www.nh.gov/oep

OCT01'13 em 3:22 0AS

September 30, 2013

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to RSA 21-I: 19-g, the Office of Energy and Planning (OEP) requests authorization to retain vehicle M-83 which traveled less than the required break-even mileage (7,935 miles) during fiscal year 2013.

EXPLANATION

M-83, a 2003 Ford Taurus was driven 4,595 for the following business purposes:

- Conservation Land Stewardship - Monitor 180 conservation easements around the state.
- Fuel Assistance - Monitor low-income fuel assistance programs around the state.
- National Flood Insurance Program - Assist communities around the state with flood plain issues.
- Municipal and Regional Assistance - Provide support and guidance to municipalities and regional planning commissions.
- State Energy Programs - Conduct outreach and monitoring for on-going energy programs.

OEP has responsibilities under these programs to perform various monitoring activities required by state or federal law, including to ensure that proper controls are in place for the use federal and other funds. This vehicle did not require a waiver in the prior fiscal year 2012. If a waiver is not granted it will be difficult for OEP to fulfill its program responsibilities, and financially burdensome to the programs if employees are required to use their personal vehicles and receive mileage reimbursement.

Respectfully submitted,

Meredith A. Hatfield
Director

FY13 RSA 21-I.19-g_BEM Waiver for PassAuto

TDD Access: Relay NH 1-800-735-2964



New Hampshire Fish and Game Department

SEP 25 '13 PM 1:39 DFC

HEADQUARTERS: 11 Hazen Drive, Concord, NH 03301-6500
(603) 271-3421
FAX (603) 271-1438

www.WildNH.co
e-mail: info@wildlife.nh.gov
TDD Access: Relay NH 1-800-735-2964

September 23, 2013

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to RSA 21-I: 19-g, authorization is requested for the New Hampshire Fish and Game Department to retain vehicles F1, F8 and F9 which all traveled less than the required break-even mileage (7,935 miles) during fiscal year 2013.

EXPLANATION

F1, a 2006 Chevrolet Impala, with an odometer reading of 128,872, was driven 5,143 miles in FY 2013. It is assigned to the Law Enforcement Division and is used as a daily use vehicle for the Executive Director. This vehicle is used by the Executive Director to conduct agency business on a day to day basis. If this waiver is not granted, the Executive Director will not have a vehicle at his disposal to attend meetings and conduct other agency business.

F8, a 2006 Ford Focus Wagon, with an odometer reading of 68,571, was driven 4,818 miles in FY 2013. It is assigned to the Public Affairs Division and is used as a pool vehicle by division staff to travel to department facilities, meetings and public events throughout the State. If this waiver is not granted, it would result in hardship for divisional staff to conduct their field duties.

F9, a 2006 Ford Focus Wagon, with an odometer reading of 67,438, was driven 4,661 miles in FY 2013. It is assigned to the Business Office and is used as a pool vehicle for all department staff to provide courier duties, attend meetings at the Legislature and for both in-state and out-of-state travel to meetings. If this waiver is not granted, it would result in hardship for various department staff to perform their duties.

Respectfully submitted,

Glenn Normandeau
Executive Director

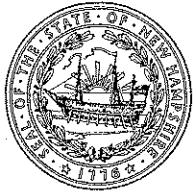
Kathy Ann LaBonte, Chief
Business Division

REGION 1
629B Main Street
Lancaster, NH 03584-3612
(603) 788-3164
FAX (603) 788-4823
email: reg1@wildlife.nh.gov

REGION 2
PO Box 417
New Hampton, NH 03256
(603) 744-5470
FAX (603) 744-6302
email: reg2@wildlife.nh.gov

REGION 3
225 Main Street
Durham, NH 03824-4732
(603) 868-1095
FAX (603) 868-3305
email: reg3@wildlife.nh.gov

REGION 4
15 Ash Brook Court
Keene, NH 03431
(603) 352-9669
FAX (603) 352-8798
email: reg4@wildlife.nh.gov



STATE OF NEW HAMPSHIRE
DEPARTMENT OF HEALTH AND HUMAN SERVICES
OFFICE OF BUSINESS OPERATIONS

BUREAU OF FINANCE

Nicholas A. Toumpas
Commissioner

Stephen J. Mosher
Chief Financial Officer

129 PLEASANT STREET, CONCORD, NH 03301-3857
603-271-9334 1-800-852-3345 Ext. 9334
Fax: 603-271-2896 TDD Access: 1-800-735-2964 www.dhhs.nh.gov

September 16, 2013

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to RSA 21-I: 19-g, authorization is requested for the Department of Health and Human Services (DHHS) to retain the following five vehicle's (P106, P135, P189, Y12 and Y18) of which all traveled less than the required break-even mileage (7,935 miles) during fiscal year 2013.

EXPLANATION

P106, assigned to the Division of Community Based Care Services, Bureau of Behavioral Health, is a 1999 Chevrolet Lumina that was driven 4,157 miles for the business purpose of transporting clients of the Designated Receiving Facility (DRF) in Laconia to and from clinical appointments, recreational trips, and client personal errands. Facility staff for administrative and programmatic trips such as attending meetings, trainings, and facility business also used the vehicle. Without use of this vehicle, clients could not be transported to their necessary appointments. P106 is the only vehicle at the Facility available for this purpose. This vehicle did not receive a waiver after fiscal year 2012, as it did meet the required break-even mileage.

P135, assigned to the Emergency Services Unit / OCOM is a 2007, Chevrolet, Malibu that was driven 4,670 for the business purposes of any and all emergencies, disasters, training's and clinics, by all staff. The Emergency Services Unit keeps this vehicle on hand for emergencies (such as: HEP-A Clinics, Vermont Yankee, Seabrook Station and for all severe weather incidents) so that a vehicle will be available to travel to any area within the state. This vehicle did require a waiver after fiscal year 2012, due to the urgent need of accessing a vehicle in the event of a disaster. A business hard ship will occur if a waiver is not granted leaving all state workers to use their personal vehicle to respond to an incident and requesting reimbursements for the state.

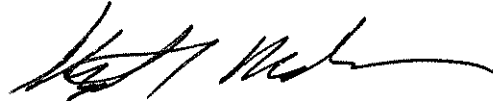
P189, assigned to the Division of Juvenile Justice Services is a 2002, Chevrolet, Impala, was driven 7,250 miles for business purposes of the Division for Juvenile Justice Services' John H. Sununu Youth Services Center. P189, during SFY 2013 was used as a pooled vehicle. The most common use of the "pool" vehicles is to transport residents to their appointments (court appearances, doctor visits, counseling sessions, etc.). In most cases these residents are a security risk and cannot be transported in a private vehicle nor would an employee's private vehicle be appropriate for this use. Residents could damage the employee's vehicles causing large insurance claims and other liabilities to the State. Also, personal belongings within the employee's vehicles could be used as weapons by the residents to injure themselves or others. Administrative and other staff for work related travel purposes also uses all of the "pool" vehicles, when available. Although this vehicle did not travel the 7,935 annual miles required, it was used almost every day. It should also be noted that about 1,500 off-campus trips annually are made with the "pool" vehicle fleet at the John H. Sununu Youth Services Center. Without this "pool" vehicle transportation for groups of children would require several individual trips and extra staff. In addition, a minimum number of vehicles would be required due to the simultaneous and frequent usage requirements of local travel. Without this ability scheduling appointments would be impossible. This vehicle did not receive a waiver after fiscal year 2012, as it did not meet the required break-even mileage.

Y12, assigned to the Division of Juvenile Justice Services is a 2001, Chevrolet, Cavalier, was driven 7,180 miles for business purposes of the Division for Juvenile Justice Services' John H. Sununu Youth Services Center. Y12, during SFY 2013 was used as a pooled vehicle. The most common use of the "pool" vehicles is to transport residents to their appointments (court appearances, doctor visits, counseling sessions, etc.). In most cases these residents are a security risk and cannot be transported in a private vehicle nor would an employee's private vehicle be appropriate for this use. Residents could damage the employee's vehicles causing large insurance claims and other liabilities to the State. Also, personal belongings within the employee's vehicles could be used as weapons by the residents to injure themselves or others. Administrative and other staff for work related travel purposes also uses all of the "pool" vehicles, when available. Although this vehicle did not travel the 7,935 annual miles required, it was used almost every day. It should also be noted that about 1,500 off-campus trips annually are made with the "pool" vehicle fleet at the John H. Sununu Youth Services Center. Without this "pool" vehicle transportation for groups of children would require several individual trips and extra staff. In addition, a minimum number of vehicles would be required due to the simultaneous and frequent usage requirements of local travel. Without this ability scheduling appointments would be impossible. This vehicle did receive a waiver after fiscal year 2012, as it did not meet the required break-even mileage.

Y18, assigned to the Division of Juvenile Justice Services is a 2000, Chevrolet, Cavalier was driven 7,568 miles for business purposes of the Division for Juvenile Justice Services' John H. Sununu Youth Services Center. Y18, during SFY 2013 was used as a pooled vehicle. The most common use of the "pool" vehicles is to transport residents to their appointments (court appearances, doctor visits, counseling sessions, etc.). In most cases these residents are a security risk and cannot be transported in a private vehicle nor would an employee's private vehicle be appropriate for this use. Residents could damage the employee's vehicles causing large insurance claims and other liabilities to the State. Also, personal belongings within the employee's vehicles could be used as weapons by the residents to injure themselves or others.

Administrative and other staff for work related travel purposes also uses all of the "pool" vehicles, when available. Although this vehicle did not travel the 7,935 annual miles required, it was used almost every day. It should also be noted that about 1,500 off-campus trips annually are made with the "pool" vehicle fleet at the John H. Sununu Youth Services Center. Without this "pool" vehicle transportation for groups of children would require several individual trips and extra staff. In addition, a minimum number of vehicles would be required due to the simultaneous and frequent usage requirements of local travel. Without this ability scheduling appointments would be impossible. This vehicle did receive a waiver after fiscal year 2012, as it did not meet the required break-even mileage.

Respectfully submitted,



Stephen J. Mosher,
Chief Financial Officer

Approved by:



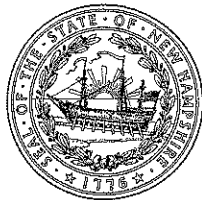
Nicholas A. Toumpas,
Commissioner

BR

**ATTORNEY GENERAL
DEPARTMENT OF JUSTICE**

33 CAPITOL STREET
CONCORD, NEW HAMPSHIRE 03301-6397

JOSEPH A. FOSTER
ATTORNEY GENERAL



ANN M. RICE
DEPUTY ATTORNEY GENERAL

October 8, 2013

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

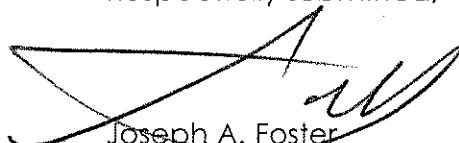
REQUESTED ACTION

Pursuant to RSA 21-l: 19-g, authorization is requested for the Department of Justice to retain an undercover vehicle which traveled less than the required break-even mileage (7,935 miles) during fiscal year 2013.

EXPLANATION

This vehicle was driven a total of 7,866 miles for the business purposes of conducting surveillance and other activities related to undercover investigations by the Department of Justice. It is part of a rotation of vehicles in undercover investigations. This vehicle was not required to receive a waiver for State Fiscal Year 2012. If this waiver is not approved, the safety of the investigators may be jeopardized as well as the implementation of planned investigative operations.

Respectfully submitted,


Joseph A. Foster
Attorney General

JAF/k
#955866



THE STATE OF NEW HAMPSHIRE
INSURANCE DEPARTMENT

21 SOUTH FRUIT STREET SUITE 14
CONCORD, NEW HAMPSHIRE 03301

Roger A. Sevigny
Commissioner

Alexander K. Feldvebel
Deputy Commissioner

September 26, 2013

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to RSA 21-l: 19-g, authorization is requested for the NH Insurance Department to retain vehicle with plate number 264 8647 which has traveled less than the required break-even mileage (7,935 miles) during fiscal year 2013.

EXPLANATION

264 8647, a 2011, Ford Fusion was driven 2,632 for the business purposes of transporting staff to attend and present public educational seminars in furtherance of our Department's mission. We expect that the vehicle will continued to be used to an even further extent this fiscal year and in the coming years ahead as we continue to expand our consumer outreach and education programs throughout the state. We use the vehicle to carpool teams of employees to out-reach programs and without the vehicle, our staff would be required to carpool co-workers in their personal vehicles throughout the state or the Department would have to pay two to three times the cost for in-state travel reimbursement for each employee. This vehicle did not require a waiver after fiscal year 2012.

Respectfully submitted,

Barbara Richardson
Director of Operations

Approved by:
Roger Sevigny
Commissioner



New Hampshire Liquor Commission

50 Storrs Street, P.O. Box 503
Concord, N.H. 03302-0503
(603) 230-7015

Joseph W. Mollica
Chairman

Michael R. Milligan
Commissioner

July 15, 2013

Representative Mary Jane Wallner, Chair
Fiscal Committee of the General Court
New Hampshire State House
107 North Main Street
Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to RSA 21-I: 19-g, authorization is requested for the New Hampshire Liquor Commission to retain a vehicle which traveled less than the required break-even mileage (7,935 miles) during fiscal year 2013:

<u>Plate #</u>	<u>Description of Vehicle</u>	<u>(last 5 digits of VIN #)</u>	<u>FY 2013 Miles</u>
L21	2002 Dodge Intrepid	07086	2,174

EXPLANATION

Although the above vehicle did not travel the required break-even mileage during Fiscal Year 2013, this vehicle has a mission-essential use. As of this date, this vehicle is in operation.

The following information on this vehicle details the use and specific reason why we are requesting retention of this vehicle.

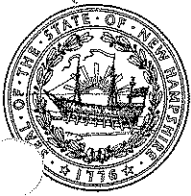
L21: 2002 Dodge Intrepid was driven 2,174 miles for business, training and education classes, functions and events throughout the state which includes using the vehicle to tow a state-owned trailer that carries a golf cart that is used for our "Fatal Choices" program. This vehicle was originally purchased to be specifically used for public events to promote awareness of the state's liquor enforcement efforts.

The Liquor Commission always strives to minimize the reimbursement of employee mileage and maximize the use of state vehicles where their use is appropriate. Your approval of this request will assist us in continuing this effort to carefully control expenses.

Respectfully Submitted,
New Hampshire State Liquor Commission

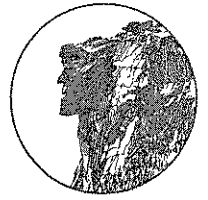
Joseph W. Mollica, Chairman

Michael R. Milligan, Commissioner



**THE STATE OF NEW HAMPSHIRE
BOARD OF PHARMACY**

57 Regional Drive
Concord, NH 03301-8518
(603) 271-2350
Website: www.nh.gov/pharmacy/
FAX (603) 271-2856



SEP 26 2013 PM 1:28:13

September 26, 2013

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to RSA 21-I: 19-g, authorization is requested for the Board of Pharmacy to retain a vehicle (2007 Chevrolet Malibu – Plate # P195) which traveled less than the required break-even mileage (7,935 miles) during fiscal year 2013.

EXPLANATION

Plate # P195, a 2007 Chevrolet Malibu was driven 6,805 miles for the business purposes of state pharmacy / practitioner inspections. There were extenuating circumstances which led to the vehicle having such limited mileage in FY13 including the fact that we were short one (1) inspector for most of the fiscal year and had extensive maintenance issues. We had to park the car at the Board office for half the year due to it not passing the annual state inspection and needing significant repairs which the Board did not have funded in its FY13 budget. These repairs were completed at the start of FY14 so the vehicle could be returned to the road. Significant business and economic hardship to the Board of Pharmacy (a 100% General Fund agency), as well as the State as a whole, will result if this waiver is not granted as we are currently recruiting for a full-time inspector. If this waiver is not granted we will have to pay the new employee mileage for use of their personal vehicle (at 56.5 cents/mile), we will incur significantly higher in-state expenses to inspect all pharmacies and healthcare practitioner's offices in the state on a yearly basis as required statutorily.

Respectfully submitted,

Jason Richard
Transportation Control Officer /
Business Administrator

Approved by:

James Queenan
Executive Secretary



State of New Hampshire
POLICE STANDARDS & TRAINING COUNCIL

ARTHUR D. KEHAS

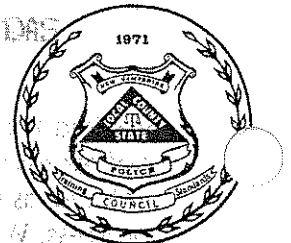
LAW ENFORCEMENT TRAINING FACILITY & CAMPUS

17 Institute Drive — Concord, N.H. 03301-7413

603-271-2133

FAX 603-271-1785

TDD Access: Relay NH 1-800-735-2964



Sheriff Michael L. Prozzo, Jr.
Chairman

Donald L. Vittum
Director

REQUESTED ACTION

Pursuant to RSA 21-l:19-g, authorization is requested for the NH Police Standards and Training Council to retain vehicles PS10, PS55, PS56, PS59, PS65, PS69, PS70, PS95, and PS98 which all traveled less than the required break-even mileage (7,935 miles) during fiscal year 2013.

EXPLANATION

PS10, a 2010 Chevrolet Impala, was driven 3,654 miles for the business purposes of a primary pool vehicle and as a backup police driver training and tactics vehicle. This vehicle is our only primary pool vehicle and is used by our staff to perform compliance investigations, background investigations, meeting attendance, and the transportation of instructors, bulky audio-visual equipment such as LCD projectors, computers, easels and training materials to our regional locations and off-site training locations. This vehicle is not equipped with emergency lighting so that it may be used by our civilian staff as our police package vehicles can only be driven by active law enforcement officers. This vehicle also serves as a back-up vehicle to the driver training pool should the other Impala be out of service. This vehicle did receive a waiver after fiscal year 2012 due to its use as a primary pool vehicle and as a backup police driver training and tactics vehicle. Without this vehicle, we would have to rely on the use of those from the driver training pool, which depending on the academy or training class in session may not be available.

PS55, a 2012 Ford Interceptor, was driven 1,320 miles for the business purposes of a police driver training and tactics vehicle. In order to insure the most effective training to NH law enforcement officers in vehicle operations and police tactics, we maintain various makes and models of police vehicles currently used by law enforcement. These vehicles are equipped with "police packages" and are used by police and corrections officers within our in-service driver training classes and training academies. These vehicles may also be used by authorized staff members to conduct off-site demonstrations and training exercises as well as for general business purposes as necessary. Based on the number of officers that receive training, this vehicle is necessary to insure that officers will be able to utilize a

vehicle that most closely matches that of the ones used by their agency. This vehicle did not receive a waiver after fiscal year 2012 due to its on-site use as a police driver training vehicle as it was purchased during fiscal year 2012 and was exempt from the waiver process. Without this vehicle, it would be harder to insure that comparability and it would also reduce the amount of driving time that we could make available to each officer being trained.

PS56, a 1999 Ford Crown Victoria, was driven 434 miles for the business purposes of a police driver training vehicle. This vehicle is mounted on a special hydraulic platform and used to demonstrate and teach skid control to recruit and in-service police officers, and does not leave the grounds of the training facility, is not insured for highway use and is fueled on site. This vehicle did receive a waiver after fiscal year 2012 due to its on-site use as a police driver training vehicle. Without this vehicle we would be unable to continue effective skid control training for officers putting the officers and public at risk.

PS59, a 2008 Dodge Charger, was driven 661 miles for the business purposes of a police driver training and tactics vehicle. In order to insure the most effective training to NH law enforcement officers in vehicle operations and police tactics, we maintain various makes and models of police vehicles currently used by law enforcement. These vehicles are equipped with "police packages" and are used by police and corrections officers within our in-service driver training classes and training academies. These vehicles may also be used by authorized staff members to conduct off-site demonstrations and training exercises as well as for general business purposes as necessary. Based on the number of officers that receive training, this vehicle is necessary to insure that officers will be able to utilize a vehicle that most closely matches that of the ones used by their agency. This vehicle did receive a waiver after fiscal year 2012 due to its on-site use as a police driver training vehicle. Without this vehicle, it would be harder to insure that comparability and it would also reduce the amount of driving time that we could make available to each officer being trained.

PS65, a 2001 Ford Crown Victoria, was driven 26 miles for the business purposes of a police driver training and tactics vehicle. In order to insure the most effective training to NH law enforcement officers in vehicle operations and police tactics, we maintain various makes and models of police vehicles currently used by law enforcement. These vehicles are equipped with "police packages" and are used by police and corrections officers within our in-service driver training classes and training academies. These vehicles may also be used by authorized staff members to conduct off-site demonstrations and training exercises as well as for general business purposes as necessary. Based on the number of officers that receive training, this vehicle is necessary to insure that officers will be able to utilize a vehicle that most closely matches that of the ones used by their agency. This vehicle did receive a waiver after fiscal year 2012 due to its on-site use as a police driver training vehicle. Without this vehicle, it would be harder to insure that comparability and it would also reduce the amount of driving time that we could make available to each officer being trained.

PS69, a 2005 Chevrolet Impala, was driven 569 miles for the business purposes of a police driver training and tactics vehicle. In order to insure the most effective training to NH law enforcement officers in vehicle operations and police tactics, we maintain various makes

and models of police vehicles currently used by law enforcement. These vehicles are equipped with "police packages" and are used by police and corrections officers within our in-service driver training classes and training academies. These vehicles may also be used by authorized staff members to conduct off-site demonstrations and training exercises as well as for general business purposes as necessary. Based on the number of officers that receive training, this vehicle is necessary to insure that officers will be able to utilize a vehicle that most closely matches that of the ones used by their agency. This vehicle did receive a waiver after fiscal year 2012 due to its on-site use as a police driver training vehicle. Without this vehicle, it would be harder to insure that comparability and it would also reduce the amount of driving time that we could make available to each officer being trained.

PS70, a 2006 Chevrolet Impala, was driven 452 miles for the business purposes of a police driver training vehicle. This vehicle is mounted on a special hydraulic platform and used to demonstrate and teach skid control to recruit and in-service police officers, and does not leave the grounds of the training facility, is not insured for highway use and is fueled on site. This vehicle did receive a waiver after fiscal year 2012 due to its on-site use as a police driver training vehicle. Without this vehicle we would be unable to continue effective skid control training for officers putting the officers and public at risk.

PS95, a 2007 Dodge Charger, was driven 645 miles for the business purposes of a police driver training and tactics vehicle. In order to insure the most effective training to NH law enforcement officers in vehicle operations and police tactics, we maintain various makes and models of police vehicles currently used by law enforcement. These vehicles are equipped with "police packages" and are used by police and corrections officers within our in-service driver training classes and training academies. These vehicles may also be used by authorized staff members to conduct off-site demonstrations and training exercises as well as for general business purposes as necessary. Based on the number of officers that receive training, this vehicle is necessary to insure that officers will be able to utilize a vehicle that most closely matches that of the ones used by their agency. This vehicle did receive a waiver after fiscal year 2012 due to its on-site use as a police driver training vehicle. Without this vehicle, it would be harder to insure that comparability and it would also reduce the amount of driving time that we could make available to each officer being trained.

PS98, a 2008 Ford Crown Victoria, was driven 830 miles for the business purposes of a police driver training and tactics vehicle. In order to insure the most effective training to NH law enforcement officers in vehicle operations and police tactics, we maintain various makes and models of police vehicles currently used by law enforcement. These vehicles are equipped with "police packages" and are used by police and corrections officers within our in-service driver training classes and training academies. These vehicles may also be used by authorized staff members to conduct off-site demonstrations and training exercises as well as for general business purposes as necessary. Based on the number of officers that receive training, this vehicle is necessary to insure that officers will be able to utilize a vehicle that most closely matches that of the ones used by their agency. This vehicle did receive a waiver after fiscal year 2012 due to its on-site use as a police driver training vehicle. Without this vehicle, it would be harder to insure that comparability and it would

also reduce the amount of driving time that we could make available to each officer being trained.

Respectfully submitted,

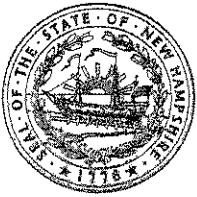


Donald L. Vittum
Director

The 2008 Ford Crown Victoria was driven 830 miles for the business purposes of a police officer being trained. The vehicle was driven for the purpose of training and was not used for other purposes. The vehicle was driven for the purpose of training and was not used for other purposes. The vehicle was driven for the purpose of training and was not used for other purposes.

The 2008 Ford Crown Victoria was driven 830 miles for the business purposes of a police officer being trained. The vehicle was driven for the purpose of training and was not used for other purposes. The vehicle was driven for the purpose of training and was not used for other purposes. The vehicle was driven for the purpose of training and was not used for other purposes.

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State of New Hampshire

DEPARTMENT OF SAFETY
OFFICE OF THE COMMISSIONER
33 HAZEN DR. CONCORD, NH 03305
603/271-2791

JOHN J. BARTHELMES
COMMISSIONER

August 12, 2013

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Requested Action

Pursuant to RSA 21-I:19-g, authorization is requested for the NH Department of Safety to retain four (4) vehicles that traveled less than the required break-even mileage (BEM) during fiscal year 2013 as identified in Divisions as follows:

Fires Standards/Training and EMS to retain vehicles EQ# 3964 and EQ# 3966.

Fire Marshal's Office to retain vehicle EQ# 3968.

Homeland Security and Emergency Management to retain EQ# 4459.

Explanation

Fire Standards/Training and Emergency Medical Services

EQ# 3964, a 2003 Ford Taurus (wagon), was driven 5,682 miles for the business purposes of fleet vehicle (i.e., field reps' transportation to meetings with community representatives) and transporting training/testing equipment throughout the state. This vehicle is utilized out of the Seacoast regional emergency medical services (EMS) office, which is centrally located in the southeastern region. The vehicle typically transports equipment, such as mannequins, backboards, etc., throughout this region for use by the EMS provider for training and conducting practical exams. This vehicle did not receive a waiver after fiscal year 2012. Without this vehicle, the equipment would need to be relocated to Concord to be transported in vehicles that could accommodate the equipment size. This would increase transportation costs due to the extra mileage incurred by the field reps.

EQ# 3966, a 2003 Ford Taurus (wagon), was driven 5,105 miles for the business purposes of fleet vehicle (i.e., field reps' transportation to meetings with community representatives) and transporting training/testing equipment throughout the state. This vehicle is utilized out of the southwestern/central regional EMS office, which is centrally located within the area being served. The vehicle typically transports equipment, such as mannequins, backboards, etc., throughout the region for use by the EMS provider for training and conducting practical exams. Additionally, due to some significant mechanical issues, this vehicle was not utilized for two months of the fiscal year. This vehicle did not receive a waiver after fiscal year 2012. Without this vehicle, the equipment would need to be relocated to Concord to be transported in vehicles that could accommodate the equipment size. This would increase transportation costs due to the extra mileage incurred by the field reps.

Fire Marshal's Office

EQ# 3968, a 2003 Ford Crown Victoria, was driven 5,344 miles for the business purposes of a law enforcement vehicle assigned to Deputy State Fire Marshal Robert Farley. Deputy Farley is a sworn full-time law enforcement officer who lives in Pembroke, NH and primarily works out of our office in Concord, NH. He also responds to emergency incidents such as fatal


The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
August 12, 2013
Page 2 of 2

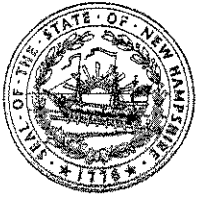
fire and arson investigations throughout the state. EQ#3968 was a spare vehicle and is now being used by Deputy Farley since his vehicle was deemed unserviceable. This vehicle did not receive a waiver after fiscal year 2012. If the waiver is not granted, it would severely impact our operations because Deputy Farley would not have a vehicle to respond to emergency incidents.

Homeland Security and Emergency Management

EQ# 4459, a 2007 Chevy Malibu, was driven 7,402 miles for the business purposes of providing statewide transportation of HSEM field representatives to appointments with representatives of 234 communities. Due to a reduced staff (i.e., nine vacant positions in this 43-person division) during eight months of SFY 2013, far less site visits and meetings were attended resulting in this year's low mileage. This vehicle did not receive a waiver after fiscal year 2012. It is essential that the division retains this vehicle as staffing levels are being returned to normal and transportation will be key for the field reps to effectively perform their duties, particularly since more visits will be needed to make up for the decreased visits in FY 2013.

Respectfully submitted,


John J. Barthelme
Commissioner



State of New Hampshire

DEPARTMENT OF SAFETY
OFFICE OF THE COMMISSIONER
33 HAZEN DR. CONCORD, NH 03305
603/271-2791

JOHN J. BARTHELMES
COMMISSIONER

August 13, 2013

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Requested Action

Pursuant to RSA 21-I: 19-g, authorization is requested for the Department of Safety, Division of State Police to retain vehicles EQ#3497, EQ#3868, EQ#4132, EQ#4134, EQ#4158, EQ#3935, EQ#3949, EQ#4017, EQ#4065 and EQ#4070, all of which traveled less than the required break-even mileage (7,935 miles) during fiscal year 2013.

Explanation

EQ #3497, a 2000 Chevrolet Impala, was driven 7,337 miles during FY 2013 for the business purpose of a pool vehicle for the State Police Forensic Laboratory at Headquarters in Concord, NH. This vehicle is used in transporting civilian laboratory analysts to New Hampshire's Circuit and Superior courts, responding to crime scenes and attending training events. Additionally, this vehicle was unavailable on several occasions lasting numerous weeks during FY2013 due to repair work at the Department of Safety garage. This vehicle did receive a waiver after fiscal years 2011 and 2012 due to similar circumstances. There would be a significant hardship resulting in an impact to the general operations should this waiver not be granted due to the unavailability of a vehicle to respond to subpoenas received by the Forensic Laboratory (more than 200/month) and the need to respond to numerous crime scenes, including clandestine drug labs, which have increased over the years.

EQ# 3868, a 2002 Dodge Intrepid, was driven 5,286 miles during FY 2013 for the business purpose of a pool vehicle for the State Police Forensic Laboratory at Headquarters in Concord, NH. This vehicle is used in transporting civilian laboratory analysts to New Hampshire's Circuit and Superior courts and attending training events. Additionally, this vehicle was unavailable on several occasions lasting numerous weeks during FY2013 due to repair work at the Department of Safety garage. This vehicle did receive a waiver after fiscal years 2011 and 2012 due to similar circumstances. There would be a significant hardship resulting in an impact to the general operations should this waiver not be granted due to the unavailability of a vehicle to respond to subpoenas received by the Forensic Laboratory (more than 200/month).

EQ# 4132, a 2004 Ford Crown Victoria, was driven 1,686 miles for the business purpose of a patrol vehicle. This vehicle is assigned as a patrol vehicle for the New Hampshire State Hospital Security. The patrol area is limited to the campus of the State Hospital in Concord. This vehicle did not receive a waiver after fiscal year 2012 due to exceeding the break-even mileage. If this waiver is not granted, a business hardship would be created by forcing the hospital security force to change their patrol operations to accommodate a reduction in their fleet numbers.

EQ# 4134, a 2004 Ford Crown Victoria, was driven 4,637 miles for the business purpose of a patrol vehicle. This vehicle is assigned as a patrol vehicle for the New Hampshire State Hospital Security. The patrol area is limited to the campus of the State Hospital in Concord. This vehicle did receive a waiver after fiscal year 2012 due to not exceeding the break-even mileage. If this waiver is not granted, a business hardship would be created by forcing the hospital security force to change their patrol operations to accommodate a reduction in their fleet numbers.

EQ# 4158, a 2004 Ford Crown Victoria, was driven 3,432 miles for the business purpose of a patrol vehicle. This vehicle is assigned as a patrol vehicle for the New Hampshire State Hospital Security. The patrol area is limited to the campus of the State Hospital in Concord. This vehicle did not receive a waiver after fiscal year 2012 due to exceeding the break-even mileage.

If this waiver is not granted, a business hardship would be created by forcing the hospital security force to change their patrol operations to accommodate a reduction in their fleet numbers.

EQ# 3935, a 2003 Ford Crown Victoria, was driven 4,418 miles for the business purpose of a patrol vehicle. This vehicle is assigned as a patrol vehicle for the New Hampshire State Hospital Security. The patrol area is limited to the campus of the State Hospital in Concord. This vehicle did receive a waiver after fiscal year 2012 due to not exceeding the break-even mileage. If this waiver is not granted, a business hardship would be created by forcing the hospital security force to change their patrol operations to accommodate a reduction in their fleet numbers.


EQ# 3949, a 2003 Ford Crown Victoria, was driven 6,514 miles for the business purpose of a spare patrol vehicle. This vehicle is assigned as a spare patrol vehicle for the State Police Headquarters to be utilized in the case of a Trooper's assigned vehicle becoming disabled. This vehicle did not receive a waiver after fiscal year 2012 due to exceeding the break-even mileage. If this waiver is not granted, a business hardship would be created by forcing the Trooper to find alternative transportation in the event of a mechanical failure.

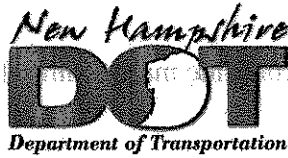
EQ# 4017, a 2003 Chevrolet Impala, was driven 5,928 miles for the business purpose of a spare patrol vehicle. This vehicle is assigned as a spare unmarked detective vehicle for State Police Headquarters to be utilized in the case of a Trooper's assigned vehicle becoming disabled. This vehicle did not receive a waiver after fiscal year 2012 due to exceeding the break-even mileage. If this waiver is not granted, a business hardship would be created by forcing the Trooper to find alternative transportation in the event of a mechanical failure.

EQ# 4065, a 2003 Ford Crown Victoria, was driven 1,078 miles for the business purpose of a spare patrol vehicle. This vehicle is assigned as a spare patrol vehicle for the Troop E barracks to be utilized in the case of a Trooper's assigned vehicle becoming disabled. This vehicle did not receive a waiver after fiscal year 2012 due to exceeding the break-even mileage. If this waiver is not granted, a business hardship would be created by forcing the Trooper to find alternative transportation in the event of a mechanical failure.

EQ# 4070, a 2003 Ford Crown Victoria, was driven 1,962 miles for the business purpose of a spare patrol vehicle. This vehicle is assigned as a spare patrol vehicle for the Troop E barracks to be utilized in the case of a Trooper's assigned vehicle becoming disabled. This vehicle did not receive a waiver after fiscal year 2012 due to exceeding the break-even mileage. If this waiver is not granted, a business hardship would be created by forcing the Trooper to find alternative transportation in the event of a mechanical failure.

Respectfully submitted,


John J. Barthelmes
Commissioner



THE STATE OF NEW HAMPSHIRE
DEPARTMENT OF TRANSPORTATION



CHRISTOPHER D. CLEMENT, SR.
COMMISSIONER

JEFF BRILLHART, P.E.
ASSISTANT COMMISSIONER

Commissioner's Office
 September 23, 2013

The Honorable Mary Jane Wallner, Chairman
 Fiscal Committee of the General Court
 State House
 Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to RSA 21-I:19-g, Use of State-Owned Vehicles, authorization is requested for the Department of Transportation to retain vehicle H00104, H00286 and H00253 which traveled less than the required break-even mileage (7,935 miles) during fiscal year 2013.

EXPLANATION

H00104, a 2010 Ford Fusion Sedan was driven 7,183 miles and stationed at the Concord Bridge Maintenance location. H00104 began having electrical problems on May 10, 2013 where the battery was being discharged and the vehicle wouldn't start. Due to this problem between May 23rd and June 27th, 2013 (5 weeks) the vehicle was either in the Mechanical Services Shop or at the Ford Dealer to diagnose and repair the problem. The vehicle would have made the break-even mileage had it not been out of service for such an extended period of time.

H00286, a 2006 Chevrolet Malibu Sedan was driven 6,684 miles as a pool vehicle and is stationed in the Bureau of Highway Design. H00286 received low mileage because it needed a major repair in the spring and was out of service until the new budget provided funding for parts to complete the work. Since the repair has been completed this vehicle is being used as a pool vehicle and will easily meet the minimum mileage requirement next year.

H00253, a 2001 CNG powered, Honda, Civic GX-4 door sedan was driven 5,021 miles as a pool vehicle. CNG does have limitations as to the range of miles it can be driven due to the limited availability of CNG fueling stations that are accessible throughout the State. This vehicle did receive a waiver after fiscal year 2013 due to the nature of the vehicle and difficulties in easily obtaining fuel. The economic hardship on the Department if the waiver is not granted this fiscal year would be foregone cost savings in using CNG versus using unleaded fuel. Secondly, this vehicle is primarily used for short trips and allows standard fuel vehicles to be utilized for longer trips.

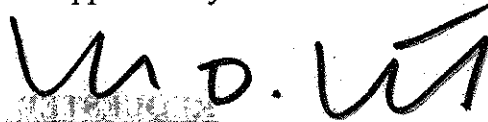
We respectfully submit these waiver requests for the above reasons in order to continue maintaining the state's transportation infrastructure in a safe, efficient and effective manner.

Respectfully Submitted,



William Janelle
Director, Operations

Approved By:



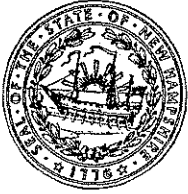
Christopher D. Clement Sr.
Commissioner

EXHIBIT

110024 a 2010 Ford Fusion sedan was driven by [redacted] and involved in the Concord bridge maintenance location. 100/104 began having electrical problems on May 11, 2012, while the battery was being checked and the vehicle was in the shop. The car was driven to the shop on May 22nd and the problem was diagnosed. The vehicle was driven to the Mechanical Services shop at the Ford Center to diagnose and repair the problem. The vehicle would have been in the shop for an extended period of time if not for service for such an extended period of time.

110025 a 2006 Chevrolet Malibu sedan was driven by [redacted] and involved in the Concord bridge maintenance location. 100/104 received the vehicle because a major repair in the spring and was out of service until the new body was welded. The car was in the shop for most the time the repair has been completed. The vehicle is being used as a non-emergency and will only meet the minimum safety requirements of the state.

110026 a 2001 GM Power Window (PW) van was driven by [redacted] and involved in the Concord bridge maintenance location. GM does have limitations on the use of the van in the state. The vehicle for reliability of GM's factory sensors that are used for the van. The van is used for emergency response and is not used for other purposes. The van is used for emergency response and is not used for other purposes. The van is used for emergency response and is not used for other purposes.



New Hampshire Veterans Home

139 Winter Street
Tilton, NH 03276-0229



Margaret D. LaBrecque
Commandant

Telephone: (603) 527-4400
Fax : (603) 527-4402

August 5, 2013

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House
Concord, NH 03301

Requested Action

Pursuant to RSA 21-I: 19-g authorization is respectfully requested for the New Hampshire Veterans Home to retain vehicle M76, which traveled less than the required break-even mileage during fiscal year 2013.

<u>Plate Number</u>	<u>Description and Assignment</u>	<u>FY13 Miles</u>
M76	2003 Ford Crown Victoria / Administrative & Resident Activities	7,261

Explanation

The above vehicle, although under the BEM for the fiscal year, provides necessary support to the operations of the New Hampshire Veterans Home. M 76 is used by administrative staff to make daily deposits and cash checks at the bank and recreational staff to shop for residents who are unable to for themselves.

It is financially cost effective to continue to maintain M 76 at approximately 21.5 cents per mile when compared to the reimbursement rate of 56.5 cents per mile for traveling in a private vehicle.

Loss of the above vehicle would inhibit the ability of the NHVH to perform the basic daily functions involved in operating a long term care facility and the ability to increase the Quality of Life of the residents of the Home.

Respectfully Submitted,

Margaret D LaBrecque

Margaret D. LaBrecque
Commandant



Office of Veterans Services

Mary E. Morin, Director

OCT 10 '13 11:54 AM

905

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

October 8, 2013

REQUESTED ACTION

Pursuant to RSA 21-I: 19-g, authorization is requested for the NH Office of Veterans Services, to retain vehicle M80 which traveled less than the required break-even mileage (7,935 miles) during fiscal year 2013

EXPLANATION

M80 a 2002, Chevrolet, Cavalier was driven 389 miles for the business purposes of itinerant site visits. Low mileage can be attributed to lack of adequate funds within the in-state travel class for FY 13. Vehicle repairs, for this vehicle, at the beginning of the year utilized over 40% of our in-state travel budget for the entire FY. Additionally, due to unexpected loss of trained staff members, we were unable to send one or more of our staff out alone to sites to counsel veterans without supervision. This left the vehicle at a stand-still until we could "train up" staff to perform unsupervised. Because we have since been authorized an increase in in-state travel funds, and have trained new staff members who are now capable of unsupervised counsel to veterans, we have assigned the vehicle to our newest Service Officer and travel will resume.

This vehicle did receive a waiver after fiscal year 2012 due to lack of funding to perform repairs. At that point we had to park the vehicle until the new budget year started in order to make necessary repairs.

This vehicle provides us with a means to travel to 25 itinerant sites to visit with veterans and their family members to assist them to obtain the benefits they have earned; whether they are state or federal benefits. Without the vehicle(s) veterans would be required to travel to our home office in Manchester to receive advocacy assistance.

Respectfully submitted,

Mary Morin
Director

Approved by:

Commissioner



Office of Veterans Services

Mary E. Morin, Director OCT 10 '13 PM 1:54 095

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

October 8, 2013

REQUESTED ACTION

Pursuant to RSA 21-I: 19-g, authorization is requested for the NH Office of Veterans Services, to retain vehicle M124 which traveled less than the required break-even mileage (7,935 miles) during fiscal year 2013

EXPLANATION

M124 a 2006, Chevrolet, Malibu was driven 6677 miles for the business purposes of itinerant site visits. Low mileage can be attributed to lack of adequate funds within the in-state travel class for FY 13. At the beginning of FY 13, vehicle repairs for another vehicle in our fleet, utilized over 40% of our in-state travel budget for the entire FY. Additionally, due to unexpected loss of trained staff members, we were unable to send one or more of our staff out alone to sites to counsel veterans without supervision with said vehicle. The other vehicle was then parked until we could "train up" staff to perform unsupervised. For that reason BEM calculations were based on 3 vehicles, one of which was parked, therefore resulting in a higher BEM standard than normal.

This vehicle did receive a waiver after fiscal year 2012 due to lack of funding to perform repairs on the aforementioned vehicle and the same calculation basis.

This vehicle provides us with a means to travel to 25 itinerant sites to visit with veterans and their family members to assist them to obtain the benefits they have earned; whether they are state or federal benefits. Without the vehicle(s) veterans would be required to travel to our home office in Manchester to receive advocacy assistance.

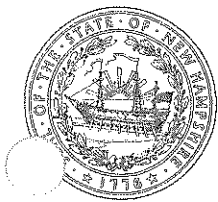
Respectfully submitted,

Mary Morin

Director

Approved by:

Commissioner



State of New Hampshire

FIS 13 288

DEPARTMENT OF ADMINISTRATIVE SERVICES
OFFICE OF THE COMMISSIONER
25 Capitol Street – Room 120
Concord, New Hampshire 03301

LINDA M. HODGDON
Commissioner
(603) 271-3201

JOSEPH B. BOUCHARD
Assistant Commissioner
(603) 271-3204

December 13, 2013

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to the provisions of Chapter 144:31, Laws of 2013, Transfer Among Accounts and Classes, authorize the Department of Administrative Services to transfer funds in and among accounting units in the amount of \$423,783 and to create two new expenditure classes. The transfers are made up of \$290,395 in general funds, \$89,234 in transfer funds, \$41,491 in other funds, and \$2,662 in federal funds. The adjustments are summarized below and detailed on the attached worksheets. Effective upon Fiscal Committee and Governor and Council approval through June 30, 2014. **Funding Source: 68.52% General Funds, 21.06% Transfer Funds, 9.79% Other Funds, and .63% Federal Funds.**

SFY 2014

<u>Division</u>	<u>Account</u>	<u>Amount</u>
Office of the Commissioner	Various	\$ 40,200
Division of Accounting Services	13100000	\$105,000
Bureau Plant & Property Maintenance	Various	\$225,953
Financial Data Management	13700000	\$ 45,000
GAL Certification Board	77700000	\$ 130
Risk Management Unit	29010000	\$ 7,500
Total Department of Administrative Services		<u>\$423,783</u>

EXPLANATION

These transfers reflect adjustments to various expense accounts to address projected expenses in the Department. Expenditure patterns for the first five months of SFY 2014 have been analyzed and taken into consideration when projecting expenditures for the remainder of the fiscal year. Based upon this review, a number of accounts were found to require additional funds, while other accounts were experiencing less than originally anticipated expenditures. This transfer will provide for the continued efficient operation of the Department.

The following is the information specifically required when transfer are requested, in accordance with the Budget Officer's instructional memorandum dated April 17, 1985, to support the above requested actions.

- A. *Justification:* The transfer consists of various payroll related transfers to cover deficits in class 018, overtime, and classes 050, part-time salaries, and 059, temporary full-time salaries due to permanent full time positions being vacant throughout the Department as well as budget estimates in areas being lower than anticipated; funds are available to cover these deficits in class 010, full-time salaries due to the vacant positions. Other areas requiring transfers are higher than anticipated expenditures for class 020, current expenses; class 030, equipment; class 039 telecommunications; these deficits are being offset by reducing classes 047, 048, and 103, contracted and non-contracted maintenance; class 023, heat, electricity, and water; and classes 070 and 080, in-state and out-of-state travel, due to lower than anticipated expenses. It also establishes two new overtime expenditures lines, one in Accounting Unit 13100000, Bureau of Accounting, and the other in Accounting Unit 29010000, Risk Management Unit. These areas had not budgeted overtime but due to vacancies and special projects there is a need for these expenditure lines.
- B. *Does this transfer involve continuing programs or one-time projects?* This transfer involves continuing programs;
- C. *Is this transfer required to maintain existing program levels or will it increase the program level?* This transfer is required to maintain existing program levels;
- D. *Cite any requirements which make this program mandatory.* The programs for the Department are mandated by various state and federal laws;
- E. *Identify the source of funds on all accounts listed on this transfer.* See the attached worksheet for the source of funds for all accounts;
- F. *Will there be any effect on revenue if this transfer is not approved?* There is no anticipated effect on revenue as a result of this transfer;

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
December 13, 2013
Page 3 of 3

- G. *Are funds expected to lapse if this transfer is not approved?* It is anticipated that some funds will lapse whether this transfer is approved or not;
- H. *Are personnel services involved?* No positions are being transferred as a result of this request. Transfer of funds into classes 050, part-time salaries, and 059, temporary full-time salaries is to support existing budgeted positions and not for the creation of any new positions.

The Department has conducted a detailed review of every line item in the budget to ensure that available funds are maximized to the greatest degree possible.

Respectfully submitted,



Linda M. Hodgdon
Commissioner

Bur/Div	Acct Unit	Description	Class	Class Description	Current Adjusted Authorized	Amount to Transfer	Revised Adj Authorized
1400	10420000	COMMISSIONER-ADMINISTRATION	010-500100	PERSONAL SERVICES PERM CLAS	\$ 44,538.00		\$ 44,538
1400	10420000	COMMISSIONER-ADMINISTRATION	011-500102	PERSONAL SERVICES UNCLASSIF	\$ 195,920	\$ 25,000	\$ 220,920
1400	10420000	COMMISSIONER-ADMINISTRATION	018-500106	OVERTIME	\$ 900		\$ 900
1400	10420000	COMMISSIONER-ADMINISTRATION	020-500200	CURRENT EXPENSES	\$ 3,000		\$ 3,000
1400	10420000	COMMISSIONER-ADMINISTRATION	039-500188	TELECOMMUNICATIONS	\$ 1,200		\$ 1,200
1400	10420000	COMMISSIONER-ADMINISTRATION	060-500602	BENEFITS	\$ 120,480		\$ 120,480
1400	10420000	COMMISSIONER-ADMINISTRATION	066-500543	EMPLOYEE TRAINING	\$ 175		\$ 175
1400	10420000	COMMISSIONER-ADMINISTRATION	070-500704	IN STATE TRAVEL REIMBURSEME	\$ 925		\$ 925
1400	10420000	COMMISSIONER-ADMINISTRATION	080-500710	OUT OF STATE TRAVEL REIMB	\$ 500		\$ 500
		TOTAL			\$ 367,638	\$ 25,000	\$ 392,638
		REVENUES					
		Total General Funds	010-000010		\$ 367,638	\$ 25,000	\$ 392,638
1400	13500000	BUDGET OFFICE	010-500100	PERSONAL SERVICES PERM CLAS	\$ 700,555	\$ (12,200)	\$ 688,355
1400	13500000	BUDGET OFFICE	011-500102	PERSONAL SERVICES UNCLASSIF	\$ 104,964		\$ 104,964
1400	13500000	BUDGET OFFICE	018-500106	OVERTIME	\$ 20,000	\$ (3,000)	\$ 17,000
1400	13500000	BUDGET OFFICE	020-500200	CURRENT EXPENSES	\$ 11,500	\$ (1,000)	\$ 10,500
1400	13500000	BUDGET OFFICE	030-500301	EQUIPMENT NEW REPLACEMENT	\$ 250		\$ 250
1400	13500000	BUDGET OFFICE	039-500188	TELECOMMUNICATIONS	\$ 6,025		\$ 6,025
1400	13500000	BUDGET OFFICE	060-500602	BENEFITS	\$ 361,458		\$ 361,458
1400	13500000	BUDGET OFFICE	066-500543	EMPLOYEE TRAINING	\$ 100		\$ 100
1400	13500000	BUDGET OFFICE	070-500704	IN STATE TRAVEL REIMBURSEME	\$ 600		\$ 600
1400	13500000	BUDGET OFFICE	080-500710	OUT OF STATE TRAVEL REIMB	\$ 600		\$ 600
		TOTAL			\$ 1,206,052	\$ (16,200)	\$ 1,189,852
		REVENUES					
		Total General Funds	010-000010		\$ 1,206,052	\$ (16,200)	\$ 1,189,852
1400	13600000	BUSINESS OFFICE	010-500100	PERSONAL SERVICES PERM CLAS	\$ 217,939		\$ 217,939
1400	13600000	BUSINESS OFFICE	018-500106	OVERTIME	\$ 1	\$ 3,000	\$ 3,001
1400	13600000	BUSINESS OFFICE	020-500200	CURRENT EXPENSES	\$ 2,000		\$ 2,000
1400	13600000	BUSINESS OFFICE	022-500255	RENTS-LEASES OTHER THAN STA	\$ 2,000		\$ 2,000
1400	13600000	BUSINESS OFFICE	024-500227	MAINT OTHER THAN BUILD-GRN	\$ 100		\$ 100
1400	13600000	BUSINESS OFFICE	030-500301	EQUIPMENT NEW REPLACEMENT	\$ 100		\$ 100
1400	13600000	BUSINESS OFFICE	035-583514	SHARED SERVICES SUPPORT	\$ 69,996		\$ 69,996
1400	13600000	BUSINESS OFFICE	039-500188	TELECOMMUNICATIONS	\$ 2,450		\$ 2,450
1400	13600000	BUSINESS OFFICE	050-500109	PERSONAL SERVICE TEMP APPOI	\$ 1	\$ 12,200	\$ 12,201
1400	13600000	BUSINESS OFFICE	060-500602	BENEFITS	\$ 101,259		\$ 101,259
1400	13600000	BUSINESS OFFICE	066-500543	EMPLOYEE TRAINING	\$ 1		\$ 1
1400	13600000	BUSINESS OFFICE	070-500704	IN STATE TRAVEL REIMBURSEME	\$ 1		\$ 1
1400	13600000	BUSINESS OFFICE	080-500710	OUT OF STATE TRAVEL REIMB	\$ 1		\$ 1

Bur/Div	Acct Unit	Description	Class	Class Description	Current Adjusted Authorized	Amount to Transfer	Revised Adj Authorized
		TOTAL			\$ 395,849	\$ 15,200	\$ 411,049
		REVENUES					
		Total General Funds	010-000010		\$ 395,849	\$ 15,200	\$ 411,049
1400	86230000	OFFICE OF COST CONTAINMENT	010-500100	PERSONAL SERVICES PERM CLAS	\$ 307,208		\$ 307,208
1400	86230000	OFFICE OF COST CONTAINMENT	020-500200	CURRENT EXPENSES	\$ 51,000	\$ (2,285)	\$ 48,715
1400	86230000	OFFICE OF COST CONTAINMENT	022-500255	RENTS-LEASES OTHER THAN STA	\$ 1		\$ 1
1400	86230000	OFFICE OF COST CONTAINMENT	024-500227	MAINT OTHER THAN BUILD-GRN	\$ 1,600		\$ 1,600
1400	86230000	OFFICE OF COST CONTAINMENT	039-500188	TELECOMMUNICATIONS	\$ 2,708		\$ 2,708
1400	86230000	OFFICE OF COST CONTAINMENT	050-500109	PERSONAL SERVICE TEMP APPOI	\$ 45,000		\$ 45,000
1400	86230000	OFFICE OF COST CONTAINMENT	060-500602	BENEFITS	\$ 227,377		\$ 227,377
1400	86230000	OFFICE OF COST CONTAINMENT	070-500704	IN STATE TRAVEL REIMBURSEME	\$ 5,700		\$ 5,700
		TOTAL			\$ 640,594	\$ (2,285)	\$ 638,309
		REVENUES					
		Total General Funds	010-000010		\$ 640,594	\$ (2,285)	\$ 638,309
		TOTAL 1400			\$ 2,610,133	\$ 21,715	\$ 2,631,848
1405	13100000	BUREAU OF ACCOUNTING	010-500100	PERSONAL SERVICES PERM CLAS	\$ 643,666	\$ (105,000)	\$ 538,666
1405	13100000	BUREAU OF ACCOUNTING	018-500106	OVERTIME	\$ -	\$ 60,000	\$ 60,000
1405	13100000	BUREAU OF ACCOUNTING	020-500200	CURRENT EXPENSES	\$ 5,000	\$ 5,000	\$ 10,000
1405	13100000	BUREAU OF ACCOUNTING	039-500188	TELECOMMUNICATIONS	\$ 5,175		\$ 5,175
1405	13100000	BUREAU OF ACCOUNTING	050-500109	PERSONAL SERVICE TEMP APPOI	\$ 26,000	\$ 40,000	\$ 66,000
1405	13100000	BUREAU OF ACCOUNTING	060-500602	BENEFITS	\$ 334,316		\$ 334,316
1405	13100000	BUREAU OF ACCOUNTING	066-500543	EMPLOYEE TRAINING	\$ 100		\$ 100
1405	13100000	BUREAU OF ACCOUNTING	070-500704	IN STATE TRAVEL REIMBURSEME	\$ 50		\$ 50
		TOTAL			\$ 1,014,307	\$ -	\$ 1,014,307
		REVENUES					
		Total General Funds	010-000010		\$ 1,014,307	\$ -	\$ 1,014,307
		TOTAL 1405			\$ 1,014,307	\$ -	\$ 1,014,307
1410	10440000	PERSONNEL ADMIN - SUPPORT	010-500100	PERSONAL SERVICES PERM CLAS	\$ 906,009	\$ -	
1410	10440000	PERSONNEL ADMIN - SUPPORT	011-500102	PERSONAL SERVICES UNCLASSIF	\$ 94,112	\$ -	\$ 94,112
1410	10440000	PERSONNEL ADMIN - SUPPORT	012-500128	PERSONAL SERVICES UNCLASSIF	\$ 66,765		\$ 66,765
1410	10440000	PERSONNEL ADMIN - SUPPORT	018-500106	OVERTIME	\$ 200		\$ 200
1410	10440000	PERSONNEL ADMIN - SUPPORT	020-500200	CURRENT EXPENSES	\$ 9,500	\$ (651)	\$ 8,849
1410	10440000	PERSONNEL ADMIN - SUPPORT	022-500255	RENTS-LEASES OTHER THAN STA	\$ 7,154		\$ 7,154
1410	10440000	PERSONNEL ADMIN - SUPPORT	024-500227	MAINT OTHER THAN BUILD-GRN	\$ 225		\$ 225
1410	10440000	PERSONNEL ADMIN - SUPPORT	026-500251	ORGANIZATIONAL DUES	\$ 4,000		\$ 4,000
1410	10440000	PERSONNEL ADMIN - SUPPORT	030-500301	EQUIPMENT NEW REPLACEMENT	\$ 250		\$ 250
1410	10440000	PERSONNEL ADMIN - SUPPORT	039-500188	TELECOMMUNICATIONS	\$ 10,000		\$ 10,000
1410	10440000	PERSONNEL ADMIN - SUPPORT	050-500109	PERSONAL SERVICE TEMP APPOI	\$ 52,000		\$ 52,000
1410	10440000	PERSONNEL ADMIN - SUPPORT	060-500602	BENEFITS	\$ 568,232		\$ 568,232

Bur/Div	Acct Unit	Description	Class	Class Description	Current Adjusted Authorized	Amount to Transfer	Revised Adj Authorized
1410	10440000	PERSONNEL ADMIN - SUPPORT	066-500543	EMPLOYEE TRAINING	\$ 100		\$ 100
1410	10440000	PERSONNEL ADMIN - SUPPORT	070-500704	IN STATE TRAVEL REIMBURSEME	\$ 400		\$ 400
1410	10440000	PERSONNEL ADMIN - SUPPORT	080-500710	OUT OF STATE TRAVEL REIMB	\$ 50		\$ 50
1410	10440000	PERSONNEL ADMIN - SUPPORT	103-500735	CONTRACTS FOR OP SERVICES	\$ 50		\$ 50
		TOTAL			\$ 1,719,047	\$ (651)	\$ 1,718,396
		REVENUES					
		Total General Funds	010-000010		\$ 1,466,831	\$ (553)	\$ 1,466,278
		Total Other Funds	009-407017		\$ 252,216	\$ (98)	\$ 252,118
		TOTAL REVENUES			\$ 1,719,047		\$ 1,718,396
		TOTAL 1410			\$ 1,719,047	\$ (651)	\$ 1,718,396
1415	14400000	PLANT - PROPERTY ADMINISTRATN	010-500100	PERSONAL SERVICES PERM CLAS	\$ 188,734		\$ 188,734
1415	14400000	PLANT - PROPERTY ADMINISTRATN	011-500102	PERSONAL SERVICES UNCLASSIF	\$ 100,491	\$ (65,000)	\$ 35,491
1415	14400000	PLANT - PROPERTY ADMINISTRATN	020-500200	CURRENT EXPENSES	\$ 1,225		\$ 1,225
1415	14400000	PLANT - PROPERTY ADMINISTRATN	022-500255	RENTS-LEASES OTHER THAN STA	\$ 1		\$ 1
1415	14400000	PLANT - PROPERTY ADMINISTRATN	037-500165	TECHNOLOGY-HARDWARE	\$ 1		\$ 1
1415	14400000	PLANT - PROPERTY ADMINISTRATN	038-500175	TECHNOLOGY-SOFTWARE	\$ 1		\$ 1
1415	14400000	PLANT - PROPERTY ADMINISTRATN	039-500188	TELECOMMUNICATIONS	\$ 1,800		\$ 1,800
1415	14400000	PLANT - PROPERTY ADMINISTRATN	050-500109	PERSONAL SERVICE TEMP APPOI	\$ 12,000		\$ 12,000
1415	14400000	PLANT - PROPERTY ADMINISTRATN	060-500602	BENEFITS	\$ 152,011		\$ 152,011
1415	14400000	PLANT - PROPERTY ADMINISTRATN	070-500704	IN STATE TRAVEL REIMBURSEME	\$ 1,020		\$ 1,020
1415	14400000	PLANT - PROPERTY ADMINISTRATN	080-500710	OUT OF STATE TRAVEL REIMB	\$ 1		\$ 1
		TOTAL			\$ 457,285	\$ (65,000)	\$ 392,285
		REVENUES					
		Total General Funds	010-000010		\$ 457,285	\$ (65,000)	\$ 392,285
1415	20300000	HEALTH - HUMAN SVCS BLDG	010-500100	PERSONAL SERVICES PERM CLAS	\$ 342,397		\$ 342,397
1415	20300000	HEALTH - HUMAN SVCS BLDG	018-500106	OVERTIME	\$ 34,122		\$ 34,122
1415	20300000	HEALTH - HUMAN SVCS BLDG	020-500200	CURRENT EXPENSES	\$ 68,211	\$ 20,000	\$ 88,211
1415	20300000	HEALTH - HUMAN SVCS BLDG	022-500255	RENTS-LEASES OTHER THAN STA	\$ 1,200		\$ 1,200
1415	20300000	HEALTH - HUMAN SVCS BLDG	023-500210	HEAT ELECTRICITY WATER	\$ 1,859,154	\$ (20,000)	\$ 1,839,154
1415	20300000	HEALTH - HUMAN SVCS BLDG	030-500311	EQUIPMENT NEW REPLACEMENT	\$ 31,006		\$ 31,006
1415	20300000	HEALTH - HUMAN SVCS BLDG	037-500165	TECHNOLOGY-HARDWARE	\$ 2,500		\$ 2,500
1415	20300000	HEALTH - HUMAN SVCS BLDG	039-500188	TELECOMMUNICATIONS	\$ 7,570		\$ 7,570
1415	20300000	HEALTH - HUMAN SVCS BLDG	047-500240	OWN FORCES MAINT BUILD-GRN	\$ 13,427		\$ 13,427
1415	20300000	HEALTH - HUMAN SVCS BLDG	048-500226	CONTRACTUAL MAINT BUILD-GRN	\$ 527,747		\$ 527,747
1415	20300000	HEALTH - HUMAN SVCS BLDG	060-500602	BENEFITS	\$ 243,747		\$ 243,747
1415	20300000	HEALTH - HUMAN SVCS BLDG	070-500704	IN STATE TRAVEL REIMBURSEME	\$ 251		\$ 251
1415	20300000	HEALTH - HUMAN SVCS BLDG	103-500736	CONTRACTS FOR OP SERVICES	\$ 201,255		\$ 201,255
1415	20300000	HEALTH - HUMAN SVCS BLDG	200-509200	BUILDING USE ALLOWANCE	\$ 978,886		\$ 978,886
		TOTAL			\$ 4,311,472	\$ -	\$ 4,311,472

Bur/Div	Acct Unit	Description	Class	Class Description	Current Adjusted Authorized	Amount to Transfer	Revised Adj Authorized
		REVENUES					
		Total General Funds	010-000010		\$ -		\$ -
		Transfer Other Agencies	various		\$ 4,311,472		\$ 4,311,472
		TOTAL REVENUES			\$ 4,311,472	\$ -	\$ 4,311,472
1415	20420000	FACILITIES - ASSETS MANAGEMEN	010-500100	PERSONAL SERVICES PERM CLAS	\$ 601,071		\$ 601,071
1415	20420000	FACILITIES - ASSETS MANAGEMEN	012-500128	PERSONAL SERVICES UNCLASSIF	\$ 152,827		\$ 152,827
1415	20420000	FACILITIES - ASSETS MANAGEMEN	018-500106	OVERTIME	\$ 23,000		\$ 23,000
1415	20420000	FACILITIES - ASSETS MANAGEMEN	020-500200	CURRENT EXPENSES	\$ 246,364		\$ 246,364
1415	20420000	FACILITIES - ASSETS MANAGEMEN	022-500255	RENTS-LEASES OTHER THAN STA	\$ 5,000		\$ 5,000
1415	20420000	FACILITIES - ASSETS MANAGEMEN	023-500210	HEAT ELECTRICITY WATER	\$ 1,762,115		\$ 1,762,115
1415	20420000	FACILITIES - ASSETS MANAGEMEN	030-500311	EQUIPMENT NEW REPLACEMENT	\$ 62,880		\$ 62,880
1415	20420000	FACILITIES - ASSETS MANAGEMEN	035-583514	SHARED SERVICES SUPPORT	\$ 13,457		\$ 13,457
1415	20420000	FACILITIES - ASSETS MANAGEMEN	039-500188	TELECOMMUNICATIONS	\$ 23,800		\$ 23,800
1415	20420000	FACILITIES - ASSETS MANAGEMEN	042-500620	ADDITIONAL FRINGE BENEFITS	\$ 90,360		\$ 90,360
1415	20420000	FACILITIES - ASSETS MANAGEMEN	047-500240	OWN FORCES MAINT BUILD-GRN	\$ 85,000		\$ 85,000
1415	20420000	FACILITIES - ASSETS MANAGEMEN	048-500226	CONTRACTUAL MAINT BUILD-GRN	\$ 1,249,569	\$ (50,000)	\$ 1,199,569
1415	20420000	FACILITIES - ASSETS MANAGEMEN	050-500109	PERSONAL SERVICE TEMP APPOI	\$ 243,650	\$ 50,000	\$ 293,650
1415	20420000	FACILITIES - ASSETS MANAGEMEN	060-500602	BENEFITS	\$ 538,145		\$ 538,145
1415	20420000	FACILITIES - ASSETS MANAGEMEN	068-500562	REMUNERATION	\$ 12,692		\$ 12,692
1415	20420000	FACILITIES - ASSETS MANAGEMEN	070-500704	IN STATE TRAVEL REIMBURSEME	\$ 4,500		\$ 4,500
1415	20420000	FACILITIES - ASSETS MANAGEMEN	103-500736	CONTRACTS FOR OP SERVICES	\$ 37,600		\$ 37,600
		TOTAL			\$ 5,152,030	\$ -	\$ 5,152,030
		REVENUES					
		Total General Funds	010-000010		\$ -		\$ -
		Transfer Other Agencies	various		\$ 5,152,030		\$ 5,152,030
		TOTAL REVENUES			\$ 5,152,030	\$ -	\$ 5,152,030
1415	20910000	PUBLIC WORKS BUREAU	010-500100	PERSONAL SERVICES PERM CLAS	\$ 1,383,132	\$ (75,550)	\$ 1,307,582
1415	20910000	PUBLIC WORKS BUREAU	018-500106	OVERTIME	\$ 30,813		\$ 30,813
1415	20910000	PUBLIC WORKS BUREAU	020-500200	CURRENT EXPENSES	\$ 34,210		\$ 34,210
1415	20910000	PUBLIC WORKS BUREAU	022-500255	RENTS-LEASES OTHER THAN STA	\$ 1,412	\$ 150	\$ 1,562
1415	20910000	PUBLIC WORKS BUREAU	025-506467	STATE OWNED EQUIPMENT USAGE	\$ 33,652		\$ 33,652
1415	20910000	PUBLIC WORKS BUREAU	030-500311	EQUIPMENT NEW REPLACEMENT	\$ 1		\$ 1
1415	20910000	PUBLIC WORKS BUREAU	037-500165	TECHNOLOGY-HARDWARE	\$ 1,566		\$ 1,566
1415	20910000	PUBLIC WORKS BUREAU	038-500175	TECHNOLOGY-SOFTWARE	\$ 3,451		\$ 3,451
1415	20910000	PUBLIC WORKS BUREAU	039-500188	TELECOMMUNICATIONS	\$ 14,556		\$ 14,556
1415	20910000	PUBLIC WORKS BUREAU	049-500294	TRANSFER TO OTHER STATE AGE	\$ 33,952		\$ 33,952
1415	20910000	PUBLIC WORKS BUREAU	050-500109	PERSONAL SERVICE TEMP APPOI	\$ 100,101	\$ 57,200	\$ 157,301
1415	20910000	PUBLIC WORKS BUREAU	059-500117	TEMP FULL TIME	\$ 91,499	\$ 18,200	\$ 109,699
1415	20910000	PUBLIC WORKS BUREAU	060-500602	BENEFITS	\$ 766,893		\$ 766,893

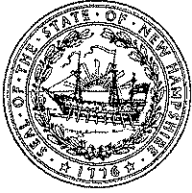
Bur/Div	Acct Unit	Description	Class	Class Description	Current Adjusted Authorized	Amount to Transfer	Revised Adj Authorized
1415	20910000	PUBLIC WORKS BUREAU	066-500543	EMPLOYEE TRAINING	\$ 1		\$ 1
1415	20910000	PUBLIC WORKS BUREAU	070-500704	IN STATE TRAVEL REIMBURSEME	\$ 5,612	\$ (1,205)	\$ 4,407
1415	20910000	PUBLIC WORKS BUREAU	080-500712	OUT OF STATE TRAVEL REIMB	\$ 1	\$ 390	\$ 391
1415	20910000	PUBLIC WORKS BUREAU	080-500714	OUT OF STATE TRAVEL REIMB	\$ -	\$ 300	\$ 300
1415	20910000	PUBLIC WORKS BUREAU	080-500719	OUT OF STATE TRAVEL REIMB	\$ -	\$ 515	\$ 515
1415	20910000	PUBLIC WORKS BUREAU	103-500736	CONTRACTS FOR OP SERVICES	\$ 6,500		\$ 6,500
		TOTAL			\$ 2,507,352	\$ -	\$ 2,507,352
		REVENUES					
		Total General Funds	010-000010		\$ 1,814,304		\$ 1,814,304
		Total Agency Income	009-402065		\$ 693,048		\$ 693,048
		TOTAL REVENUES			\$ 2,507,352	\$ -	\$ 2,507,352
1415	20970000	SPAULDING HALL	010-500100	PERSONAL SERVICES PERM CLAS	\$ 47,583		\$ 47,583
1415	20970000	SPAULDING HALL	018-500106	OVERTIME	\$ 2,000	\$ 7,000	\$ 9,000
1415	20970000	SPAULDING HALL	020-500200	CURRENT EXPENSES	\$ 4,010	\$ 5,000	\$ 9,010
1415	20970000	SPAULDING HALL	022-500255	RENTS-LEASES OTHER THAN STA	\$ 100		\$ 100
1415	20970000	SPAULDING HALL	023-500210	HEAT ELECTRICITY WATER	\$ 56,719		\$ 56,719
1415	20970000	SPAULDING HALL	030-500311	EQUIPMENT NEW REPLACEMENT	\$ 1,522		\$ 1,522
1415	20970000	SPAULDING HALL	039-500188	TELECOMMUNICATIONS	\$ 450		\$ 450
1415	20970000	SPAULDING HALL	048-500226	CONTRACTUAL MAINT BUILD-GRN	\$ 23,693	\$ (5,000)	\$ 18,693
1415	20970000	SPAULDING HALL	050-500109	PERSONAL SERVICE TEMP APPOI	\$ 40,148	\$ (7,000)	\$ 33,148
1415	20970000	SPAULDING HALL	060-500602	BENEFITS	\$ 44,215		\$ 44,215
1415	20970000	SPAULDING HALL	103-500736	CONTRACTS FOR OP SERVICES	\$ 5,050		\$ 5,050
1415	20970000	SPAULDING HALL	200-509200	BUILDING USE ALLOWANCE	\$ 51,975		\$ 51,975
		TOTAL			\$ 277,465	\$ -	\$ 277,465
		REVENUES					
		Total General Funds	010-000010		\$ -	\$ -	\$ -
		Transfer Other Agencies	001-482826		\$ 277,465	\$ -	\$ 277,465
		TOTAL REVENUES			\$ 277,465	\$ -	\$ 277,465
1415	21670000	OLD MILL #1	010-500100	PERSONAL SERVICES PERM CLAS	\$ 71,977		\$ 71,977
1415	21670000	OLD MILL #1	018-500106	OVERTIME	\$ 1,500	\$ 1,000	\$ 2,500
1415	21670000	OLD MILL #1	020-500200	CURRENT EXPENSES	\$ 9,530		\$ 9,530
1415	21670000	OLD MILL #1	022-500255	RENTS-LEASES OTHER THAN STA	\$ 113		\$ 113
1415	21670000	OLD MILL #1	023-500210	HEAT ELECTRICITY WATER	\$ 51,311		\$ 51,311
1415	21670000	OLD MILL #1	030-500311	EQUIPMENT NEW REPLACEMENT	\$ 10,152		\$ 10,152
1415	21670000	OLD MILL #1	039-500188	TELECOMMUNICATIONS	\$ 141		\$ 141
1415	21670000	OLD MILL #1	047-500240	OWN FORCES MAINT BUILD-GRN	\$ 1,200		\$ 1,200
1415	21670000	OLD MILL #1	048-500226	CONTRACTUAL MAINT BUILD-GRN	\$ 17,174		\$ 17,174
1415	21670000	OLD MILL #1	050-500109	PERSONAL SERVICE TEMP APPOI	\$ 12,103	\$ (1,000)	\$ 11,103
1415	21670000	OLD MILL #1	060-500602	BENEFITS	\$ 56,862		\$ 56,862

Bur/Div	Acct Unit	Description	Class	Class Description	Current Adjusted Authorized	Amount to Transfer	Revised Adj Authorized
1415	21670000	OLD MILL #1	070-500704	IN STATE TRAVEL REIMBURSEME	\$ 130		\$ 130
1415	21670000	OLD MILL #1	103-500736	CONTRACTS FOR OP SERVICES	\$ 6,353		\$ 6,353
1415	21670000	OLD MILL #1	200-509200	BUILDING USE ALLOWANCE	\$ 33,500		\$ 33,500
		TOTAL			\$ 272,046	\$ -	\$ 272,046
		REVENUES					
		Total General Funds	010-000010				
		Transfer Other Agencies	various		\$ 272,046	\$ -	\$ 272,046
		TOTAL REVENUES			\$ 272,046	\$ -	\$ 272,046
1415	29500000	GENERAL SERVICES MAINT & GRND	010-500100	PERSONAL SERVICES PERM CLAS	\$ 761,314		\$ 761,314
1415	29500000	GENERAL SERVICES MAINT & GRND	018-500106	OVERTIME	\$ 40,000		\$ 40,000
1415	29500000	GENERAL SERVICES MAINT & GRND	020-500200	CURRENT EXPENSES	\$ 151,507		\$ 151,507
1415	29500000	GENERAL SERVICES MAINT & GRND	022-500255	RENTS-LEASES OTHER THAN STA	\$ 1,543		\$ 1,543
1415	29500000	GENERAL SERVICES MAINT & GRND	023-500210	HEAT ELECTRICITY WATER	\$ 1,023,971		\$ 1,023,971
1415	29500000	GENERAL SERVICES MAINT & GRND	024-500227	MAINT OTHER THAN BUILD-GRN	\$ 1,300		\$ 1,300
1415	29500000	GENERAL SERVICES MAINT & GRND	030-500311	EQUIPMENT NEW REPLACEMENT	\$ 26,180	\$ 2,936	\$ 29,116
1415	29500000	GENERAL SERVICES MAINT & GRND	039-500188	TELECOMMUNICATIONS	\$ 16,864		\$ 16,864
1415	29500000	GENERAL SERVICES MAINT & GRND	047-500240	OWN FORCES MAINT BUILD-GRN	\$ 10,931		\$ 10,931
1415	29500000	GENERAL SERVICES MAINT & GRND	048-500226	CONTRACTUAL MAINT BUILD-GRN	\$ 706,911		\$ 706,911
1415	29500000	GENERAL SERVICES MAINT & GRND	050-500109	PERSONAL SERVICE TEMP APPOI	\$ 268,400		\$ 268,400
1415	29500000	GENERAL SERVICES MAINT & GRND	060-500602	BENEFITS	\$ 483,013		\$ 483,013
1415	29500000	GENERAL SERVICES MAINT & GRND	070-500704	IN STATE TRAVEL REIMBURSEME	\$ 4,038		\$ 4,038
1415	29500000	GENERAL SERVICES MAINT & GRND	103-500736	CONTRACTS FOR OP SERVICES	\$ 76,263		\$ 76,263
		TOTAL			\$ 3,572,235	\$ 2,936	\$ 3,575,171
		REVENUES					
		Total General Funds	010-000010		\$ 3,546,869	\$ 2,915	\$ 3,549,784
		Transfer Other Agencies	001-482803		\$ 7,930	\$ 7	\$ 7,937
		Transfer Other Agencies	001-482838		\$ 17,436	\$ 14	\$ 17,450
		TOTAL REVENUES			\$ 3,572,235	\$ 2,936	\$ 3,575,171
1415	29980000	DISCOVERY CENTER	020-500200	CURRENT EXPENSES	\$ 2,728	\$ 5,000	\$ 7,728
1415	29980000	DISCOVERY CENTER	047-500240	OWN FORCES MAINT BUILD-GRN	\$ 5,366		\$ 5,366
1415	29980000	DISCOVERY CENTER	048-500226	CONTRACTUAL MAINT BUILD-GRN	\$ 61,906	\$ (5,000)	\$ 56,906
		TOTAL			\$ 70,000	\$ -	\$ 70,000
		REVENUES					
		Total General Funds	010-000010		\$ 70,000	\$ -	\$ 70,000
1415	34030000	PRINT SHOP OPERATIONS	010-500100	PERSONAL SERVICES PERM CLAS	\$ 397,286		\$ 397,286
1415	34030000	PRINT SHOP OPERATIONS	018-500106	OVERTIME	\$ 6,000		\$ 6,000
1415	34030000	PRINT SHOP OPERATIONS	020-500200	CURRENT EXPENSES	\$ 376,400		\$ 376,400
1415	34030000	PRINT SHOP OPERATIONS	022-500255	RENTS-LEASES OTHER THAN STA	\$ 121,500		\$ 121,500
1415	34030000	PRINT SHOP OPERATIONS	024-500227	MAINT OTHER THAN BUILD-GRN	\$ 62,000		\$ 62,000

Bur/Div	Acct Unit	Description	Class	Class Description	Current Adjusted Authorized	Amount to Transfer	Revised Adj Authorized
1415	34030000	PRINT SHOP OPERATIONS	028-500292	TRANSFERS TO GENERAL SERVIC	\$ 19,593		\$ 19,593
1415	34030000	PRINT SHOP OPERATIONS	030-500311	EQUIPMENT NEW REPLACEMENT	\$ 800	\$ 20,000	\$ 20,800
1415	34030000	PRINT SHOP OPERATIONS	037-500165	TECHNOLOGY-HARDWARE	\$ 6,250		\$ 6,250
1415	34030000	PRINT SHOP OPERATIONS	038-500175	TECHNOLOGY-SOFTWARE	\$ 6,350		\$ 6,350
1415	34030000	PRINT SHOP OPERATIONS	039-500188	TELECOMMUNICATIONS	\$ 3,800		\$ 3,800
1415	34030000	PRINT SHOP OPERATIONS	042-500620	ADDITIONAL FRINGE BENEFITS	\$ 40,000		\$ 40,000
1415	34030000	PRINT SHOP OPERATIONS	050-500109	PERSONAL SERVICE TEMP APPOI	\$ 43,412	\$ (20,000)	\$ 23,412
1415	34030000	PRINT SHOP OPERATIONS	060-500602	BENEFITS	\$ 237,009		\$ 237,009
1415	34030000	PRINT SHOP OPERATIONS	066-500543	EMPLOYEE TRAINING	\$ 800		\$ 800
1415	34030000	PRINT SHOP OPERATIONS	070-500704	IN STATE TRAVEL REIMBURSEME	\$ 60		\$ 60
1415	34030000	PRINT SHOP OPERATIONS	103-500736	CONTRACTS FOR OP SERVICES	\$ 200		\$ 200
		TOTAL			\$ 1,321,460	\$ -	\$ 1,321,460
		REVENUES					\$ -
		Total General Funds	010-000010		\$ -	\$ -	\$ -
		Total Agency Income	009-402186		\$ 1,321,460	\$ -	\$ 1,321,460
		TOTAL REVENUES			\$ 1,321,460	\$ -	\$ 1,321,460
1415	53200000	LAKES REGION CAMPUS	020-500200	CURRENT EXPENSES	\$ 7,050		\$ 7,050
1415	53200000	LAKES REGION CAMPUS	022-500255	RENTS-LEASES OTHER THAN STA	\$ 250		\$ 250
1415	53200000	LAKES REGION CAMPUS	023-500210	HEAT ELECTRICITY WATER	\$ 107,650		\$ 107,650
1415	53200000	LAKES REGION CAMPUS	030-500311	EQUIPMENT NEW REPLACEMENT	\$ 2,000	\$ (1,000)	\$ 1,000
1415	53200000	LAKES REGION CAMPUS	039-500188	TELECOMMUNICATIONS	\$ 2,500		\$ 2,500
1415	53200000	LAKES REGION CAMPUS	047-500240	OWN FORCES MAINT BUILD-GRN	\$ 11,000	\$ (2,000)	\$ 9,000
1415	53200000	LAKES REGION CAMPUS	048-500226	CONTRACTUAL MAINT BUILD-GRN	\$ 83,883	\$ (3,400)	\$ 80,483
1415	53200000	LAKES REGION CAMPUS	050-500109	PERSONAL SERVICE TEMP APPOI	\$ 25,001	\$ 35,600	\$ 60,601
1415	53200000	LAKES REGION CAMPUS	060-500602	BENEFITS	\$ 1,913		\$ 1,913
1415	53200000	LAKES REGION CAMPUS	070-500704	IN STATE TRAVEL REIMBURSEME	\$ 200		\$ 200
1415	53200000	LAKES REGION CAMPUS	103-500736	CONTRACTS FOR OP SERVICES	\$ 11,200	\$ (5,000)	\$ 6,200
		TOTAL			\$ 252,647	\$ 24,200	\$ 276,847
		REVENUES					\$ -
		Total General Funds	010-000010		\$ 252,647	\$ 24,200	\$ 276,847
1415	59640000	DUBE BUILDING	023-500210	HEAT ELECTRICITY WATER	\$ 23,000	\$ (1,900)	\$ 21,100
1415	59640000	DUBE BUILDING	047-500240	OWN FORCES MAINT BUILD-GRN	\$ 4,894	\$ (2,500)	\$ 2,394
1415	59640000	DUBE BUILDING	048-500226	CONTRACTUAL MAINT BUILD-GRN	\$ 2,500		\$ 2,500
1415	59640000	DUBE BUILDING	050-500109	PERSONAL SERVICE TEMP APPOI	\$ 4,000		\$ 4,000
1415	59640000	DUBE BUILDING	060-500602	BENEFITS	\$ 306		\$ 306
		TOTAL			\$ 34,700	\$ (4,400)	\$ 30,300
		REVENUES					
		Total General Funds	010-000010		\$ 34,700	\$ (4,400)	\$ 30,300

Bur/Div	Acct Unit	Description	Class	Class Description	Current Adjusted Authorized	Amount to Transfer	Revised Adj Authorized
1415	59680000	LACONIA COTTAGES	018-500106	OVERTIME	\$ 500		\$ 500
1415	59680000	LACONIA COTTAGES	020-500200	CURRENT EXPENSES	\$ 600		\$ 600
1415	59680000	LACONIA COTTAGES	022-500255	RENTS-LEASES OTHER THAN STA	\$ 150		\$ 150
1415	59680000	LACONIA COTTAGES	023-500210	HEAT ELECTRICITY WATER	\$ 21,598	\$ (1,900)	\$ 19,698
1415	59680000	LACONIA COTTAGES	030-500311	EQUIPMENT NEW REPLACEMENT	\$ 800	\$ (400)	\$ 400
1415	59680000	LACONIA COTTAGES	047-500240	OWN FORCES MAINT BUILD-GRN	\$ 5,000	\$ (2,500)	\$ 2,500
1415	59680000	LACONIA COTTAGES	048-500226	CONTRACTUAL MAINT BUILD-GRN	\$ 18,600	\$ (15,000)	\$ 3,600
1415	59680000	LACONIA COTTAGES	050-500109	PERSONAL SERVICE TEMP APPOI	\$ 31,500		\$ 31,500
1415	59680000	LACONIA COTTAGES	060-500602	BENEFITS	\$ 2,509		\$ 2,509
1415	59680000	LACONIA COTTAGES	103-500736	CONTRACTS FOR OP SERVICES	\$ 2,900		\$ 2,900
		TOTAL			\$ 84,157	\$ (19,800)	\$ 64,357
		REVENUES					
		Total General Funds	010-000010		\$ 84,157	\$ (19,800)	\$ 64,357
1415	81850000	STATE ADMINISTRATIVE EXPENSE	020-500200	CURRENT EXPENSES	\$ 64,250	\$ 2,662	\$ 66,912
1415	81850000	STATE ADMINISTRATIVE EXPENSE	039-500188	TELECOMMUNICATIONS	\$ 725		\$ 725
1415	81850000	STATE ADMINISTRATIVE EXPENSE	041-500801	AUDIT FUND SET ASIDE	\$ 200		\$ 200
1415	81850000	STATE ADMINISTRATIVE EXPENSE	046-500416	CONSULTANTS	\$ 220,250	\$ (2,662)	\$ 217,588
1415	81850000	STATE ADMINISTRATIVE EXPENSE	050-500109	PERSONAL SERVICE TEMP APPOI	\$ 2,000		\$ 2,000
1415	81850000	STATE ADMINISTRATIVE EXPENSE	060-500602	BENEFITS	\$ 153		\$ 153
		TOTAL			\$ 287,578	\$ -	\$ 287,578
		REVENUES					
		Total General Funds	010-000010		\$ -		\$ -
		Total Federal Funds	010-404354		\$ 287,578	\$ -	\$ 287,578
		TOTAL 1415			\$ 18,530,427	\$ (62,064)	\$ 18,468,363
1420	13700000	FINANCIAL DATA MANAGEMENT	010-500100	PERSONAL SERVICES PERM CLAS	\$ 1,668,622	\$ -	\$ 1,668,622
1420	13700000	FINANCIAL DATA MANAGEMENT	012-500128	PERSONAL SERVICES UNCLASSIF	\$ 94,412	\$ -	\$ 94,412
1420	13700000	FINANCIAL DATA MANAGEMENT	018-500106	OVERTIME	\$ 45,000	\$ 40,000	\$ 85,000
1420	13700000	FINANCIAL DATA MANAGEMENT	020-500200	CURRENT EXPENSES	\$ 3,200	\$ (1,000)	\$ 2,200
1420	13700000	FINANCIAL DATA MANAGEMENT	027-502799	TRANSFERS TO DOIT	\$ 1,672,233		\$ 1,672,233
1420	13700000	FINANCIAL DATA MANAGEMENT	037-500165	TECHNOLOGY-HARDWARE	\$ 33,338		\$ 33,338
1420	13700000	FINANCIAL DATA MANAGEMENT	038-500177	TECHNOLOGY-SOFTWARE	\$ 712,460		\$ 712,460
1420	13700000	FINANCIAL DATA MANAGEMENT	039-500188	TELECOMMUNICATIONS	\$ 15,694	\$ 5,000	\$ 20,694
1420	13700000	FINANCIAL DATA MANAGEMENT	046-500416	CONSULTANTS	\$ 1		\$ 1
1420	13700000	FINANCIAL DATA MANAGEMENT	060-500602	BENEFITS	\$ 790,643		\$ 790,643
1420	13700000	FINANCIAL DATA MANAGEMENT	066-500543	EMPLOYEE TRAINING	\$ 1,000		\$ 1,000
1420	13700000	FINANCIAL DATA MANAGEMENT	070-500704	IN STATE TRAVEL REIMBURSEME	\$ 250		\$ 250
1420	13700000	FINANCIAL DATA MANAGEMENT	080-500710	OUT OF STATE TRAVEL REIMB	\$ 6,000	\$ (3,000)	\$ 3,000
		TOTAL			\$ 5,042,853	\$ 41,000	\$ 5,083,853
		REVENUES					

Bur/Div	Acct Unit	Description	Class	Class Description	Current Adjusted Authorized	Amount to Transfer	Revised Adj Authorized
		Total General Funds	010-000010		\$ 5,042,853	\$ 41,000	\$ 5,083,853
		TOTAL 1420			\$ 5,042,853	\$ 41,000	\$ 5,083,853
1425	77700000	GAL CERTIFICATION BOARD	020-500200	CURRENT EXPENSES	\$ 1,900		\$ 1,900
1425	77700000	GAL CERTIFICATION BOARD	030-500311	EQUIPMENT NEW REPLACEMENT	\$ 450		\$ 450
1425	77700000	GAL CERTIFICATION BOARD	037-500165	TECHNOLOGY-HARDWARE	\$ 150		\$ 150
1425	77700000	GAL CERTIFICATION BOARD	038-500177	TECHNOLOGY-SOFTWARE	\$ 200		\$ 200
1425	77700000	GAL CERTIFICATION BOARD	039-500188	TELECOMMUNICATIONS	\$ 480	\$ 130	\$ 610
1425	77700000	GAL CERTIFICATION BOARD	046-500416	CONSULTANTS	\$ 6,000		\$ 6,000
1425	77700000	GAL CERTIFICATION BOARD	050-500109	PERSONAL SERVICE TEMP APPOI	\$ 19,097		\$ 19,097
1425	77700000	GAL CERTIFICATION BOARD	060-500602	BENEFITS	\$ 1,461		\$ 1,461
1425	77700000	GAL CERTIFICATION BOARD	070-500704	IN STATE TRAVEL REIMBURSEME	\$ 500	\$ (130)	\$ 370
		TOTAL			\$ 30,238	\$ -	\$ 30,238
		REVENUES					
		Total General Funds	010-000010		\$ 27,238	\$ -	\$ 27,238
		Total Agency Income	009-403154		\$ 3,000	\$ -	\$ 3,000
		TOTAL REVENUES			\$ 30,238	\$ -	\$ 30,238
		TOTAL 1425			\$ 30,238	\$ -	\$ 30,238
1435	29010000	RISK MANAGEMENT UNIT	010-500100	PERSONAL SERVICES PERM CLAS	\$ 646,590	\$ (7,500)	\$ 639,090
1435	29010000	RISK MANAGEMENT UNIT	018-500106	OVERTIME	\$ -	\$ 7,500	\$ 7,500
1435	29010000	RISK MANAGEMENT UNIT	020-500200	CURRENT EXPENSES	\$ 4,510		\$ 4,510
1435	29010000	RISK MANAGEMENT UNIT	026-500251	ORGANIZATIONAL DUES	\$ 425		\$ 425
1435	29010000	RISK MANAGEMENT UNIT	030-500301	EQUIPMENT NEW REPLACEMENT	\$ 500		\$ 500
1435	29010000	RISK MANAGEMENT UNIT	039-500188	TELECOMMUNICATIONS	\$ 4,300		\$ 4,300
1435	29010000	RISK MANAGEMENT UNIT	060-500602	BENEFITS	\$ 340,696		\$ 340,696
1435	29010000	RISK MANAGEMENT UNIT	066-500543	EMPLOYEE TRAINING	\$ 250		\$ 250
1435	29010000	RISK MANAGEMENT UNIT	070-500704	IN STATE TRAVEL REIMBURSEME	\$ 1,000		\$ 1,000
1435	29010000	RISK MANAGEMENT UNIT	080-500710	OUT OF STATE TRAVEL REIMB	\$ 1		\$ 1
1435	29010000	RISK MANAGEMENT UNIT	103-500735	CONTRACTS FOR OP SERVICES	\$ 500		\$ 500
1435	29010000	RISK MANAGEMENT UNIT	210-500756	BONDING INSURANCE	\$ 6,500		\$ 6,500
1435	29010000	RISK MANAGEMENT UNIT	211-500757	CATASTROPHIC CASUALTY INS	\$ 355,000		\$ 355,000
		TOTAL			\$ 1,360,272	\$ -	\$ 1,360,272
		REVENUES					
		Total General Funds	010-000010		\$ 252,526	\$ -	\$ 252,526
		Total Agency Income	009-407017		\$ 1,107,746	\$ -	\$ 1,107,746
		TOTAL REVENUES			\$ 1,360,272	\$ -	\$ 1,360,272
		TOTAL 1435			\$ 1,360,272	\$ -	\$ 1,360,272



STATE OF NEW HAMPSHIRE
 DEPARTMENT OF CORRECTIONS
 DIVISION OF ADMINISTRATION

P.O. BOX 1806
 CONCORD, NH 03302-1806

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 TDD Access: 1-800-735-2964

13 282

William L. Wrenn
 Commissioner

Bob Mullen
 Director

December 11, 2013

The Honorable Mary Jane Wallner, Chairman
 Fiscal Committee of the General Court
 State House
 Concord, NH 03301

Her Excellency, Governor Margaret Wood Hassan
 and the Honorable Executive Council
 State House
 Concord, NH 03301

REQUESTED ACTION

Pursuant to Chapter 144:56, Laws of 2013, authorize the NH Department of Corrections to transfer funds in the amount of \$12,000.00 within a budgeted federal grant entitled State Criminal Alien Assistance Program (SCAAP.) This request for transfer of funds will realign appropriated funds that will be utilized by the NH Department of Corrections for correctional purposes, effective upon Fiscal Committee and Governor and Executive Council approval through June 30, 2014. 100% Federal Funds.

Funds are to be transferred in an account entitled, SCAAP, Account Heading: 02-46-46-460510-8344 Department of Corrections, Corrections Grants, SCAAP, as follows:

ACCOUNT	DESCRIPTION	SFY 2014 CURRENT ADJUSTED AUTHORIZED	REQUESTED ACTION TRANSFER	SFY 2014 REVISED ADJUSTED AUTHORIZED
020-500200	Current Expense	\$10,000.00	\$0.00	\$10,000.00
027-582703	Transfer to DOIT	\$10,403.00	\$0.00	\$10,403.00
030-500311	Equipment	\$119,447.00	(\$12,000.00)	\$107,447.00
041-500801	Auit Fund Set Aside	\$150.00	\$0.00	\$150.00
048-500226	Maint. Bldg/Grounds	\$10,000.00	\$0.00	\$10,000.00
066-500544	Employee Training	\$0.00	\$12,000.00	\$12,000.00
	Total	\$150,000.00	\$0.00	\$150,000.00
Source of Funds:				
000-404814	Federal Funds	(\$150,000.00)	\$0.00	(\$150,000.00)

EXPLANATION

The United States Department of Justice (USDOJ), Bureau of Justice Assistance (BJA), under the State Criminal Alien Assistance Program (SCAAP), provides assistance to State and local governments that incur costs due to the incarceration of undocumented criminal aliens.

The NH Department of Corrections (NHDOC) applies for and receives funds from this reimbursement program when Federal Funding is made available to the State of New Hampshire, Department of Corrections. Based on the Department of Justice Reauthorization Act of 2005 (Pub. L. 109-162, Title XI) beginning with the FFY 2007 SCAAP awards, SCAAP funds must be used only for correctional purposes.

The NHDOC requests the transfer of \$12,000.00 of budgeted SCAAP appropriations. The purpose of the transfer is to enable two NHDOC Probation/Parole Officers to attend polygraph training and certify in the deliverance and analysis of polygraph tests. The NHDOC polygraph unit is responsible for conducting polygraph examinations related to the supervision and treatment of sex offenders, the pre-employment recruitment of uniformed staff, and in the criminal and administrative investigations.

The New England Polygraph Institute will conduct the polygraph training and the training will be held at the NH Police Standards and Training Center in Concord.

Although SCAAP is a reimbursement of costs and not a federal grant, the application process is managed through the Internet using the automated Grants Management System (GMS).

Source of funds: 100% Federal Funds

Respectfully Submitted,



William L. Wrenn
Commissioner

CHAPTER 144
HB 2-FN-A-LOCAL - FINAL VERSION
- Page 18 -

1 provider assessments.

2 (b) Evaluating state provider assessment programs in other states to obtain knowledge
3 of various approaches utilized in other states.

4 (c) Increasing the predictability of future annual Medicaid enhancement tax revenues,
5 taking into consideration the Medicaid enhancement tax revenue trends of recent years.

6 (d) Simplifying the Medicaid enhancement tax liability calculation.

7 (e) Obtaining input on how the Medicaid enhancement tax statute should be amended to
8 ensure accurate and consistent application of state and federal requirements.

9 V. The members of the commission shall elect a chairperson from among the legislative
10 members. The first meeting of the commission shall be called by the first-named house member.
11 The first meeting of the commission shall be held within 45 days of the effective date of this section.
12 Six members of the commission shall constitute a quorum.

13 VI. The commission shall report its findings and any recommendations for proposed
14 legislation to the speaker of the house of representatives, the president of the senate, the house
15 clerk, the senate clerk, the governor, and the state library on or before November 1, 2013.

16 144:54 Repeal. RSA 84-A:13, relative to the Medicaid enhancement tax study commission, is
17 repealed.

18 144:55 Department of Corrections; Authority to Fill Unfunded Positions. Notwithstanding any
19 provision of law to the contrary, the commissioner of corrections may fill unfunded positions during
20 the biennium ending June 30, 2015, provided that the total expenditure for such positions shall not
21 exceed the amount appropriated for personal services, permanent, and personal services,
22 unclassified.

23 144:56 Department of Corrections; Transfers. Notwithstanding any provision of law to the
24 contrary, and subject to approval of the fiscal committee of the general court and governor and
25 council, for the biennium ending June 30, 2015, the commissioner of the department of corrections is
26 authorized to transfer funds within and among all accounting units within the department and to
27 create accounting units and expenditure classes as required and as the commissioner deems
28 necessary and appropriate to address present or projected budget deficits, or to respond to changes in
29 federal law, regulations, or programs, and otherwise as necessary for the efficient management of
30 the department.

31 144:57 Child Protection Act; Liability of Expenses. Amend RSA 169-C:27, I(f) to read as follows:

32 (f) ~~[Neither]~~ *Notwithstanding any provision of law to the contrary*, the department
33 ~~[nor the judicial council]~~ shall have ~~[authority]~~ *no responsibility* for the payment of the cost of
34 assigned counsel for any party under this chapter.

35 144:58 Adequate Representation Costs. Amend RSA 604-A:1-a to read as follows:

36 604-A:1-a Neglected or Abused Children. In cases involving a neglected or abused child, when a

ECM



STATE OF NEW HAMPSHIRE
DEPARTMENT OF CORRECTIONS
DIVISION OF ADMINISTRATION

FIS 13 284

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December 11, 2013

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House
Concord, NH 03301

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Executive Council
State House
Concord, NH 03301

REQUESTED ACTION

Pursuant to the provisions of Chapter 144:56 Laws of 2013, the New Hampshire Department of Corrections respectfully requests permission to create a new expenditure class and to transfer \$85,120 within and among accounts listed on the attached detailed exhibit to reallocate appropriations and cover budget shortfalls effective upon Fiscal Committee and Governor and Executive Council approval through June 30, 2014. 100% General Funds.

Transfers are to occur from and within accounts listed on the attached detailed exhibits as follows:

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02-46-46-460010-71010000 Commissioner's Office				
Class	Description	Current Modified Budget	Requested Action	Current Revised Budget
010-500100	Personal Services - Permanent	\$ 348,939	\$ -	\$ 348,939
011-500102	Personal Services - Unclassified	\$ 116,170	\$ -	\$ 116,170
012-500128	Personal Services - Unclassified	\$ 106,089	\$ -	\$ 106,089
020-500200	Current Expenses	\$ 17,149	\$ -	\$ 17,149
022-500255	Rents-Leases Other Than State	\$ 5,403	\$ -	\$ 5,403
018-500106	Overtime	\$ 4,973	\$ -	\$ 4,973
019-500105	Holiday Pay	\$ 271,188	\$ -	\$ 271,188
030-500331	Equipment New/Replacement	\$ 133,171	\$ -	\$ 133,171
039-500188	Telecommunications	\$ 33,346	\$ (3,000)	\$ 30,346
060-500602	Benefits	\$ 227,128	\$ -	\$ 227,128
066-500546	Employee Training	\$ 15,000	\$ -	\$ 15,000
070-500704	In-State Travel Reimbursement	\$ 3,815	\$ -	\$ 3,815
080-500714	Out of State Travel	\$ 500	\$ -	\$ 500
	Total	\$ 1,282,871	\$ (3,000)	\$ 1,279,871
	REVENUES			
	Total General Funds	\$ 1,282,871	\$ (3,000)	\$ 1,279,871

02-46-46-460010-71700000 Parole Board				
Class	Description	Current Modified Budget	Requested Action	Current Revised Budget
010-500100	Personal Services - Permanent	\$ 111,592	\$ -	\$ 111,592
011-500102	Personal Services - Unclassified	\$ 65,515	\$ -	\$ 65,515
020-500200	Current Expenses	\$ 13,198	\$ -	\$ 13,198
022-500255	Rents & Leases Other than State	\$ 2,199	\$ -	\$ 2,199
026-500251	Organizational Dues	\$ 450	\$ -	\$ 450
030-500331	Equipment New/Replacement	\$ 350	\$ -	\$ 350
039-500188	Telecommunications	\$ 7,892	\$ (2,620)	\$ 5,272
050-500109	Personal Service-Temp/Appointe	\$ 55,446	\$ -	\$ 55,446
060-500602	Benefits	\$ 94,011	\$ -	\$ 94,011
068-500565	Remuneration	\$ 800	\$ -	\$ 800
070-500704	In-State Travel Reimbursement	\$ 7,856	\$ 3,000	\$ 10,856
	Total	\$ 359,309	\$ 380	\$ 359,689
	REVENUES			
	Total General Funds	\$ 359,309	\$ 380	\$ 359,689

02-46-46-463010-71130000 NHSP/M - Administration				
Class	Description	Current Modified Budget	Requested Action	Current Revised Budget
010-500100	Personal Services - Permanent	\$ 40,056	\$ -	\$ 40,056
011-500102	Personal Services - Unclassified	\$ 106,164	\$ -	\$ 106,164
018-500106	Overtime	\$ 319	\$ -	\$ 319
020-500200	Current Expenses	\$ 67,354	\$ -	\$ 67,354
022-500255	Rents & Leases Other than State	\$ 5,446	\$ -	\$ 5,446
024-500225	Maint Other Than Build-Grn	\$ 3,764	\$ -	\$ 3,764
030-500331	Equipment New/Replacement	\$ 19	\$ -	\$ 19
039-500188	Telecommunications	\$ 14,546	\$ -	\$ 14,546
050-500109	Personal Service-Temp/Appointe	\$ 30,613	\$ -	\$ 30,613
060-500602	Benefits	\$ 72,373	\$ -	\$ 72,373
070-500704	In-State Travel Reimbursement	\$ 5,142	\$ 10,000	\$ 15,142
	Total	\$ 345,796	\$ 10,000	\$ 355,796
	REVENUES			
	Total General Funds	\$ 345,796	\$ 10,000	\$ 355,796

02-46-46-463010-71200000 NHSP/M - Security				
Class	Description	Current Modified Budget	Requested Action	Current Revised Budget
010-500100	Personal Services - Permanent	\$ 9,824,329	\$ -	\$ 9,824,329
018-500106	Overtime	\$ 2,834,370	\$ -	\$ 2,834,370
019-500105	Holiday Pay	\$ 447,450	\$ -	\$ 447,450
020-500200	Current Expenses	\$ 127,340	\$ -	\$ 127,340
022-500255	Rents-Leases Other Than State	\$ 8,848	\$ -	\$ 8,848
024-500225	Maint Other Than Build-Grn	\$ 3,712	\$ -	\$ 3,712
030-500331	Equipment New/Replacement	\$ 23,300	\$ -	\$ 23,300
039-500188	Telecommunications	\$ -	\$ 15,120	\$ 15,120
050-500109	Personal Service-Temp/Appointe	\$ 23,453	\$ -	\$ 23,453
060-500602	Benefits	\$ 7,634,120	\$ -	\$ 7,634,120
068-500565	Remuneration	\$ 391,498	\$ -	\$ 391,498
070-500704	In-State Travel Reimbursement	\$ 72,134	\$ 5,000	\$ 77,134
242-500787	Transportation of Inmates	\$ 5,041	\$ -	\$ 5,041
	Total	\$ 21,395,595	\$ 20,120	\$ 21,415,715
	REVENUES			
	Total General Funds	\$ 21,395,595	\$ 20,120	\$ 21,415,715

02-46-46-464010-83020000 District Offices				
Class	Description	Current Modified Budget	Requested Action	Current Revised Budget
010-500100	Personal Services - Permanent	\$ 4,765,517	\$ -	\$ 4,765,517
011-500102	Personal Services - Unclassified	\$ 91,805	\$ -	\$ 91,805
018-500106	Overtime	\$ 2,239	\$ -	\$ 2,239
019-500105	Holiday Pay	\$ 597	\$ -	\$ 597
020-500200	Current Expenses	\$ 97,258	\$ -	\$ 97,258
022-500255	Rents & Leases Other than State	\$ 351,069	\$ -	\$ 351,069
023-500291	Heat-Electricity-Water	\$ 23,077		\$ 23,077
024-500225	Maint Other Than Build-Grn	\$ 1,071	\$ -	\$ 1,071
028-500292	Transfers to General Services	\$ 16,738	\$ -	\$ 16,738
030-500331	Equipment New/Replacement	\$ 55,660	\$ -	\$ 55,660
039-500188	Telecommunications	\$ 88,947	\$ -	\$ 88,947
047-500240	Own Forces Maint Build-Grn	\$ 1,000	\$ -	\$ 1,000
060-500602	Benefits	\$ 2,994,704	\$ -	\$ 2,994,704
068-500565	Remuneration	\$ 1,500	\$ -	\$ 1,500
070-500704	In-State Travel Reimbursement	\$ 46,988	\$ 22,500	\$ 69,488
080-500714	Out of State Travel	\$ 100	\$ -	\$ 100
102-500731	Contracts for Program Services	\$ 106,692	\$ (30,000)	\$ 76,692
103-502664	Contracts for Operational Services	\$ 13,193	\$ -	\$ 13,193
	Total	\$ 8,658,155	\$ (7,500)	\$ 8,650,655
	REVENUES			
	Total General Funds	\$ 8,658,155	\$ (7,500)	\$ 8,650,655

02-46-46-464510-60430000 Community Corrections				
Class	Description	Current Modified Budget	Requested Action	Current Revised Budget
010-500100	Personal Services - Permanent	\$ 766,414	\$ -	\$ 766,414
011-500102	Personal Services - Unclassified	\$ 90,606	\$ -	\$ 90,606
020-500200	Current Expenses	\$ 10,071	\$ -	\$ 10,071
021-500211	Food Institutions	\$ 161,925	\$ -	\$ 161,925
039-500188	Telecommunications	\$ 1,416	\$ 5,000	\$ 6,416
060-500602	Benefits	\$ 491,991	\$ -	\$ 491,991
068-500565	Remuneration	\$ 3,035	\$ -	\$ 3,035
070-500704	In-State Travel Reimbursement	\$ 5,412	\$ -	\$ 5,412
	Total	\$ 1,530,870	\$ 5,000	\$ 1,535,870
	REVENUES			
	Total General Funds	\$ 1,530,870	\$ 5,000	\$ 1,535,870

02-46-46-466010-71110000 NHSP/W - Prison for Women

Class	Description	Current Modified Budget	Requested Action	Current Revised Budget
010-500100	Personal Services - Permanent	\$ 1,214,833	\$ -	\$ 1,214,833
011-500102	Personal Services - Unclassified	\$ 85,117	\$ -	\$ 85,117
018-500106	Overtime	\$ 153,853	\$ -	\$ 153,853
019-500105	Holiday Pay	\$ 42,815	\$ -	\$ 42,815
020-500200	Current Expenses	\$ 50,611	\$ -	\$ 50,611
021-500211	Food Institutions	\$ 122,489	\$ -	\$ 122,489
022-500255	Rents & Leases Other than State	\$ 237,764	\$ -	\$ 237,764
023-500291	Heat-Electricity-Water	\$ 218,400	\$ -	\$ 218,400
024-500225	Maint Other Than Build-Grn	\$ 10,392	\$ -	\$ 10,392
030-500331	Equipment New/Replacement	\$ 17,644	\$ -	\$ 17,644
039-500188	Telecommunications	\$ 8,784	\$ -	\$ 8,784
047-500240	Own Forces Maint Build-Grn	\$ 5,766	\$ -	\$ 5,766
048-500226	Contractual Maint Build-Grn	\$ 51,518	\$ -	\$ 51,518
050-500109	Personal Service-Temp/Appointe	\$ 32,298	\$ -	\$ 32,298
060-500602	Benefits	\$ 842,014	\$ -	\$ 842,014
068-500565	Remuneration	\$ 63,699	\$ -	\$ 63,699
070-500704	In-State Travel Reimbursement	\$ 5,375	\$ -	\$ 5,375
102-500731	Contracts for Program Services	\$ 547,500	\$ (30,000)	\$ 517,500
	Total	\$ 3,710,872	\$ (30,000)	\$ 3,680,872
	REVENUES			
	Total General Funds	\$ 3,710,872	\$ (30,000)	\$ 3,680,872

02-46-46-468010-8250000 Berlin Prison (NCF)				
Class	Description	Current Modified Budget	Requested Action	Current Revised Budget
010-500100	Personal Services - Permanent	\$ 5,555,835	\$ -	\$ 5,555,835
011-500102	Personal Services - Unclassified	\$ 95,501	\$ -	\$ 95,501
018-500106	Overtime	\$ 655,256	\$ -	\$ 655,256
019-500105	Holiday Pay	\$ 187,356	\$ -	\$ 187,356
020-500200	Current Expenses	\$ 292,493	\$ -	\$ 292,493
021-500211	Food Institutions	\$ 634,833	\$ -	\$ 634,833
022-500255	Rents & Leases Other than State	\$ 8,939	\$ -	\$ 8,939
023-500291	Heat-Electricity-Water	\$ 1,102,269	\$ -	\$ 1,102,269
024-500225	Maint Other Than Build-Grn	\$ 5,735	\$ -	\$ 5,735
030-500331	Equipment New/Replacement	\$ 61,324	\$ -	\$ 61,324
039-500188	Telecommunications	\$ 68,888	\$ (19,500)	\$ 49,388
047-500240	Own Forces Maint Build-Grn	\$ 57,327	\$ -	\$ 57,327
048-500226	Contractual Maint Build-Grn	\$ 241,307	\$ -	\$ 241,307
050-500109	Personal Service-Temp/Appointe	\$ 32,549	\$ -	\$ 32,549
060-500602	Benefits	\$ 4,010,440	\$ -	\$ 4,010,440
068-500565	Remuneration	\$ 186,526	\$ -	\$ 186,526
070-500704	In-State Travel Reimbursement	\$ 48,888	\$ 19,500	\$ 68,388
	Total	\$ 13,245,466	\$ -	\$ 13,245,466
	REVENUES			
	Total General Funds	\$ 13,245,466	\$ -	\$ 13,245,466

02-46-46-469010-82320000 Programs				
Class	Description	Current Modified Budget	Requested Action	Current Revised Budget
010-500100	Personal Services - Permanent	\$ 3,511,450	\$ -	\$ 3,511,450
018-500106	Overtime	\$ 497	\$ -	\$ 497
019-500105	Holiday Pay	\$ 2,759	\$ -	\$ 2,759
020-500200	Current Expenses	\$ 10,514	\$ -	\$ 10,514
022-500255	Rents & Leases Other than State	\$ 1,958	\$ -	\$ 1,958
030-500331	Equipment New/Replacement	\$ 6,765	\$ -	\$ 6,765
039-500188	Telecommunications	\$ 1,280	\$ 5,000	\$ 6,280
050-500109	Personal Service-Temp/Appointe	\$ 14,145	\$ -	\$ 14,145
060-500602	Benefits	\$ 2,011,929	\$ -	\$ 2,011,929
070-500704	In-State Travel Reimbursement	\$ 1,116	\$ -	\$ 1,116
102-500731	Contract for Program Services	\$ 9,479	\$ -	\$ 9,479
	Total	\$ 5,571,892	\$ 5,000	\$ 5,576,892
	REVENUES			
	Total General Funds	\$ 5,571,892	\$ 5,000	\$ 5,576,892

EXPLANATION

The Department requests to create a new expenditure class and to transfer \$25,120 of appropriated funds within and among accounts in Class 039 Telecommunications to match appropriations to expenditures. This transfer will allow for the correct allocation of a monthly per computer data line charge. The charges had been included in the state automated monthly telephone charge. The Department now receives a separate paper invoice for the computer data lines which gives us the ability to expense this cost to the account each computer is assigned to.

The Department also requests to transfer \$60,000 of appropriated funds from Class 102 Contracts for Program Services to Class 70 In-State Travel Reimbursement in order to match appropriations to expenditures.

During the Governor phase of the SFY 2014 – 2015 Budget, the Department was directed to reduce appropriations in Class 070 by \$150,000. The Department has found that the reduction was too great and current appropriations will not be sufficient to fuel, maintain and repair the Departmental fleet of 70 vehicles; and also to pay travel reimbursements to staff.

Savings have been realized in expenditure Class 102 Contracts for Program Services within the accounting units 7111 State Prison for Women and 8302 District Offices. In accounting unit 7111 State Prison for Women, fewer female inmates have been housed at the Strafford County House of Correction to date than was budgeted. In accounting unit 8302 District Offices, both the cost of courier services and home monitoring services have been less to date than what was budgeted. The above projected savings total \$60,000.

The following information is provided in accordance with the Budget Officer's instructional memorandum dated April 17, 1985 to support the above requested actions.

- A. Does transfer involve continuing program or one-time projects?
These transfers involve continuing programs.
- B. Is this transfer required to maintain existing program level or will it increase the program level?
All transfers listed will be used to maintain existing program levels.
- C. Cite any requirements that make this program mandatory.
The requested action to transfer appropriations to Class 070 In-State Travel Reimbursement is required to maintain institutional security by providing additional funds in order to maintain the Departmental fleet.
- D. Identify the source of the funds on all accounts listed on this transfer.
All accounts listed are 100% General Funds.
- E. Will there be any effect on revenue if this transfer is approved or disapproved?
There will not be any effect on revenue.

F. Are funds expected to lapse if this transfer is not approved?

If the requested action to transfer appropriations within and among accounts for Class 039 Telecommunications is not approved, general funds will not lapse.

If the requested action to transfer appropriations to Class 070 In-State Travel Reimbursement is not approved, general funds will lapse at the end of the fiscal year. However, if this transfer is not approved, the Department will close SFY 2014 with a negative balance in many In-State Travel Reimbursement class lines as expenditures are necessary in order to maintain the Departmental fleet and to reimburse travel expenses to staff.

G. Are personnel services involved?

No.

Respectfully Submitted,



William L. Wrenn
Commissioner

1 provider assessments.

2 (b) Evaluating state provider assessment programs in other states to obtain knowledge
3 of various approaches utilized in other states.

4 (c) Increasing the predictability of future annual Medicaid enhancement tax revenues,
5 taking into consideration the Medicaid enhancement tax revenue trends of recent years.

6 (d) Simplifying the Medicaid enhancement tax liability calculation.

7 (e) Obtaining input on how the Medicaid enhancement tax statute should be amended to
8 ensure accurate and consistent application of state and federal requirements.

9 V. The members of the commission shall elect a chairperson from among the legislative
10 members. The first meeting of the commission shall be called by the first-named house member.
11 The first meeting of the commission shall be held within 45 days of the effective date of this section.
12 Six members of the commission shall constitute a quorum.

13 VI. The commission shall report its findings and any recommendations for proposed
14 legislation to the speaker of the house of representatives, the president of the senate, the house
15 clerk, the senate clerk, the governor, and the state library on or before November 1, 2013.

16 144:54 Repeal. RSA 84-A:13, relative to the Medicaid enhancement tax study commission, is
17 repealed.

18 144:55 Department of Corrections; Authority to Fill Unfunded Positions. Notwithstanding any
19 provision of law to the contrary, the commissioner of corrections may fill unfunded positions during
20 the biennium ending June 30, 2015, provided that the total expenditure for such positions shall not
21 exceed the amount appropriated for personal services, permanent, and personal services,
22 unclassified.

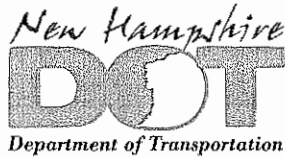
23 144:56 Department of Corrections; Transfers. Notwithstanding any provision of law to the
24 contrary, and subject to approval of the fiscal committee of the general court and governor and
25 council, for the biennium ending June 30, 2015, the commissioner of the department of corrections is
26 authorized to transfer funds within and among all accounting units within the department and to
27 create accounting units and expenditure classes as required and as the commissioner deems
28 necessary and appropriate to address present or projected budget deficits, or to respond to changes in
29 federal law, regulations, or programs, and otherwise as necessary for the efficient management of
30 the department.

31 144:57 Child Protection Act; Liability of Expenses. Amend RSA 169-C:27, I(f) to read as follows:

32 (f) ~~[Neither]~~ *Notwithstanding any provision of law to the contrary*, the department
33 ~~[nor the judicial council]~~ shall have ~~[authority]~~ *no responsibility* for the payment of the cost of
34 assigned counsel for any party under this chapter.

35 144:58 Adequate Representation Costs. Amend RSA 604-A:1-a to read as follows:

36 604-A:1-a Neglected or Abused Children. In cases involving a neglected or abused child, when a



THE STATE OF NEW HAMPSHIRE
DEPARTMENT OF TRANSPORTATION



CHRISTOPHER D. CLEMENT, SR.
COMMISSIONER

JEFF BRILLHART, P.E.
ASSISTANT COMMISSIONER

October 30, 2013
Division of Operations

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to Chapter 144:95, L2013, authorize the Department of Transportation to establish various non-budgeted classes and to transfer \$20,000 between Highway Fund accounts and classes effective upon Fiscal Committee and the date of Governor and Council approval through June 30, 2014.

04-096-096-963015-3039	Current Budget FY2014	Requested Change	Revised Budget FY2014
Betterment			
Expenses:			
018 500106 Overtime	\$750,000	0	\$750,000
020 500200 Current Expense	3,479,715	0	3,479,715
022 500255 Rents-Leases Other than State	2,500,000	0	2,500,000
023 500291 Heat, Electricity, Water	1,000	0	1,000
024 500225 Maint. Other than Bldg-Grounds	50,000	0	50,000
033 509033 Land Acquisition and Easement	50,000	0	50,000
039 500180 Telecommunications	1,000	0	1,000
046 500463 Consultants	506,632	0	506,632
048 500226 Contractual Maint Bldgs & Grnds	50,000	0	50,000
050 500109 Personal Service Temp	200,000	0	200,000
060 500601 Benefits	163,650	0	163,650
070 500704 In-State Travel Reimbursement	75,000	0	75,000
103 500741 Contracts for Op Services	0	20,000	20,000
400 500871 Construction Repair Material	30,691,298	(20,000)	30,671,298
Total	\$38,518,295	\$0	\$38,518,295

Source of Funds			
Revenue:			
000 407683 Federal Funds	\$682,579	\$0	\$682,579
005 402809 Private Local Funds	30,080	0	30,080
009 402424 Agency Income	19,752,200	0	19,752,200
000 000015 Highway Fund	18,053,436	0	18,053,436
Total	\$38,518,295	\$0	\$38,518,295

EXPLANATION

The Department requests authorization to transfer budgeted account funds among the various accounts in order to pay for services to accomplish and meet the State's transportation demands. Specific explanations relating to the Department's spending requests are as follows:

Betterment (3039) – 100% Agency Income

- Class 103 Increase Contracts for Operation Services by \$20,000 to pay for contracted scrap removal from guardrail repairs.
- Class 400 Decrease Construction Repair Material by \$20,000 to pay for contracted scrap removal from guardrail repairs and align appropriations to appropriate class line.

The following is provided in accordance with the Budget Officer's instructional memorandum dated April 17, 1985 to support the above requested actions:

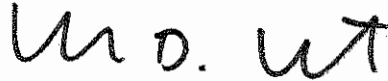
1. Does transfer involve continuing programs or one-time projects?
Transfers are for continuing programs (not one-time).
2. Is this transfer required to maintain existing program level or will it increase program level?
Transfers are to maintain existing program levels (no increase in program level).
3. Cite any requirements, which make this program necessary.
RSA 21-L:2, in part, establishes that the Department will be responsible for planning, developing, and maintaining a state transportation network. This transfer will facilitate the accomplishment of this responsibility.
4. Identify the source of funds on all accounts listed on this transfer.
Source of funds are Agency Income.
5. Will there be any effect on revenue if this transfer is approved or disapproved?
This transfer will have no effect on revenue.
6. Are funds expected to lapse if this transfer is not approved?
Should funds lapse as a result of not approving this request for project funding, such funds will lapse to their respective account fund balances.

7. Are personal services involved?

No personal services are involved and no new positions will be created with this transfer.

Your approval of this resolution is respectfully requested.

Sincerely,

Handwritten signature in black ink, appearing to read "C.D. Clement, Sr." with a stylized flourish at the end.

Christopher D. Clement, Sr.
Commissioner



THE STATE OF NEW HAMPSHIRE
DEPARTMENT OF TRANSPORTATION



CHRISTOPHER D. CLEMENT, SR.
COMMISSIONER

JEFF BRILLHART, P.E.
ASSISTANT COMMISSIONER

December 31, 2013
Project Development Division

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to Chapter 144:95, Laws of 2013, authorize the Department of Transportation to establish various non-budgeted classes in various accounting units and to transfer \$257,475 between Highway Fund accounts and classes effective upon Fiscal Committee and the date of Governor and Council approval through June 30, 2014.

04-096-096-963515-3054	Current Budget FY2014	Requested Change FY2014	Revised Budget FY2014
Consolidated Federal			
Expenses:			
018 500106 Overtime	\$190,857	\$0	\$190,857
020 500200 Current Expense	198,182	0	198,182
022 500255 Rents-Leases Other than State	28,118	0	28,118
023 500291 Heat, Electricity, Water	76,307	0	76,307
024 500225 Maint. Other than Bldg-Grounds	185,034	0	185,034
025 506467 State Owned Equipment Usage	44,418	0	44,418
026 500251 Organizational Dues	105,250	0	105,250
030 500311 Equipment	188,310	0	188,310
038 500175 Technology - Software	0	85,900	85,900
039 500180 Telecommunications	1,500	0	1,500
041 500801 Audit Fund Set Aside	395,560	0	395,560
046 500463 Consulting	61,102,437	0	61,102,437
049 500294 Transfer to Other State Agency	121,410	0	121,410
050 500109 Personal Services - Temporary	354,749	0	354,749

052 500104 Masters FICA	1,706	0	1,706
060 500601 Benefits	65,888	0	65,888
066 500545 Employee Training	19,266	0	19,266
070 500704 In-State Travel	226,805	0	226,805
080 500710 Out of State Travel	29,591	0	29,591
400 500870 Construction-Repairs & Materials	270,679,259	(85,900)	270,593,359
401 500877 Land Interest	92,560,644	0	92,560,644
Total	\$426,575,291	\$0	\$426,575,291
Source of Funds			
Revenue:			
000 409151 Federal Funds	\$414,145,648	\$0	\$414,145,648
005 402851 Private Local Funds	8,349,622	0	8,349,622
000 000015 Highway Fund	4,080,021	0	4,080,021
Total	\$426,575,291	\$0	\$426,575,291

04-096-096-960515-3005	Current Budget FY2014	Requested Change	Revised Budget FY2014
Mechanical Services			
Expenses:			
010 500100 Personal Services – Permanent	\$3,298,690	\$0	\$3,298,690
017 500147 FT Employees Special Pay	20,160	0	20,160
018 500106 Overtime	54,999	0	54,999
019 500105 Holiday pay	1,801	0	1,801
020 500200 Current Expense	8,254,604	0	8,254,604
022 500255 Rents-Leases Other than State	26,618	0	26,618
023 500291 Heat, Electricity, Water	54,182	0	54,182
024 500225 Maint. Other than Bldg-Grounds	169,761	0	169,761
026 500251 Organizational Dues	500	0	500
028 500292 Transfer to General Services	315,358	0	315,358
030 500301 Equipment New Replacement	4,887,331	0	4,887,331
037 500174 Technology-Hardware	43,098	(25,000)	18,098
038 500175 Technology-Software	85,000	25,000	110,000
039 500180 Telecommunications	34,484	0	34,484
046 500463 Consultants	199	0	199
047 500240 Own Forces Maint Bldgs & Grnds	20,231	0	20,231
048 500226 Contractual Maint Bldgs & Grnds	7,000	0	7,000
050 500109 Personal Service Temp	199	0	199
057 500535 Books Periodicals Subscriptions	813	0	813
060 500601 Benefits	2,102,829	0	2,102,829
066 500543 Employee Training	50,400	0	50,400
070 500704 In-State Travel Reimbursement	1,500	0	1,500
080 500710 Out of State Travel	4,900	0	4,900
103 500741 Contracts for Op Services	17,730	0	17,730
Total	\$19,452,387	\$0	\$19,452,387

<u>Source of Funds</u>			
Revenue:			
004 403631 Intra Agency Transfer	\$630,752	\$0	\$630,752
009 405698 Agency Income	327,441	0	327,441
000 000015 Highway Fund	18,494,194	0	18,494,194
Total	\$19,452,387	\$0	\$19,452,387

04-096-096-962515-2944	Current Budget FY2014	Requested Change	Revised Budget FY2014
SPR Planning Funds			
Expenses:			
018 500106 Overtime	100,000	0	100,000
020 500200 Current Expense	45,950	0	45,950
024 500225 Maint Other than Build-Grn	4,000	0	4,000
030 500311 Equipment New Replacement	249,555	0	249,555
037 500174 Technology- Hardware	114,550	0	114,550
038 500175 Technology-Software	227,800	0	227,800
039 500180 Telecommunications	2,000	0	2,000
046 500463 Consultants	3,896,670	(146,575)	3,750,095
050 500109 Personal Services – Temp	40,000	35,000	75,000
060 500601 Benefits	22,840	2,700	25,540
070 500704 In-State Travel Reimbursement	1,700	0	1,700
072 500575 Grants Federal	4,792,066	108,875	4,900,941
081 509081 Out of State Travel Fed Reim	12,000	0	12,000
Total	\$9,509,131	\$0	\$9,509,131
Source of Funds			
Revenue:			
000 409080 Federal Funds	\$4,062,281	\$0	\$4,062,281
000 409151 Federal Funds	5,446,850	0	5,446,850
Total	\$9,509,131	\$0	\$9,509,131

EXPLANATION

The Department requests authorization to transfer budgeted account funds among the various accounts in order to pay for services incurred in meeting the State's transportation demands. Specific explanations relating to the Department's spending requests are as follows:

Consolidated Federal (3054) – 97.09% Federal Funds, 1.95% Private Local Funds, 0.96% Highway Funds

Class 038 Increase Technology - Software by \$85,900 to align appropriation to correct class for the purchase of computer software maintenance as part of an existing contract with Delcan and AASHTOWare Safety Analyst software.

Class 400 Decrease Construction Repair Material by \$85,900 to align appropriation to correct class to purchase necessary computer software maintenance and AASHTO software.

Mechanical Services (3005) – 3.24% Intra Agency Transfer, 1.68% Agency Income, 95.08% Highway Funds

Class 037 Decrease Technology - Hardware by \$25,000 to align appropriation to correct class for a vendor review of the configuration and utilization of the existing Fleet Management System software to improve reporting capabilities and management of the fleet.

Class 038 Increase Technology - Software by \$25,000 to align appropriation to correct class for a vendor review of the configuration and utilization of the existing Fleet Management System software to improve reporting capabilities and management of the fleet.

SPR Planning Funds (2944) – 100% Federal Funds

Class 046 Decrease Consultants by \$146,575 to align appropriation to correct class. Consultant appropriations will not be utilized to the extent appropriated as the balance forward from fiscal year 2013 was budgeted as consultants and should have been budgeted as Federal Grant pass through funds.

Class 050 Increase Personal Services – Temp by \$35,000 to complete Federal Form 536, GIS documentation, STIP and TYP testing and implementation in STYP-RMS, STIP and TYP documentation. These functions will utilize current temporary personnel.

Class 060 Increase Benefits by \$2,700 as needed for additional class 050 expenses.

Class 072 Increase Grants Federal by \$108,875 to align appropriation to correct class for a pass thru contract with the University of New Hampshire to assist local communities and organizations throughout the State in a variety of transportation related programs.

The following is provided in accordance with the Budget Officer's instructional memorandum dated April 17, 1985 to support the above requested actions:

1. Does transfer involve continuing programs or one-time projects?
Transfers are for continuing programs (not one-time).
2. Is this transfer required to maintain existing program level or will it increase program level?
Transfers are to maintain existing program levels (no increase in program level).
3. Cite any requirements, which make this program necessary.
RSA 21-L:2, in part, establishes that the Department will be responsible for planning, developing, and maintaining a state transportation network. This transfer will facilitate the accomplishment of this responsibility.
4. Identify the source of funds on all accounts listed on this transfer.
Source of funds is Federal Funds, Private Local Funds, Intra Agency Transfer, Highway Funds and Agency Income.

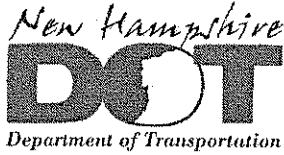
5. Will there be any effect on revenue if this transfer is approved or disapproved?
This transfer will have no effect on revenue.
6. Are funds expected to lapse if this transfer is not approved?
Should funds lapse as a result of not approving this request for project funding, such funds will lapse to their respective account fund balances.
7. Are personal services involved?
The transfer does not result in any new positions.

Your approval of this resolution is respectfully requested.

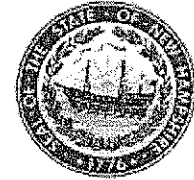
Sincerely,

A handwritten signature in black ink, appearing to read "C. D. Clement, Sr.", written in a cursive style.

Christopher D. Clement, Sr.
Commissioner



THE STATE OF NEW HAMPSHIRE
DEPARTMENT OF TRANSPORTATION



CHRISTOPHER D. CLEMENT, SR.
COMMISSIONER

JEFF BRILLHART, P.E.
ASSISTANT COMMISSIONER

December 31, 2013
Project Development Division

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to Chapter 144:95, Laws of 2013, authorize the Department of Transportation to establish various non-budgeted classes in various accounting units and to transfer \$105,240 between Highway Fund accounts and classes effective upon Fiscal Committee and the date of Governor and Council approval through June 30, 2014.

04-096-096-962015-3036	Current Budget FY2014	Requested Change	Revised Budget FY2014
SPR Research Funds			
Expenses:			
018 500106 Overtime	7,845	0	7,845
020 500200 Current Expense	90,914	0	90,914
026 500251 Organizational Dues	58,000	0	58,000
030 500311 Equipment New Replacement	135,374	(70,000)	65,374
037 500174 Technology- Hardware	10	0	10
038 500175 Technology-Software	2,500	0	2,500
039 500180 Telecommunications	500	0	500
041 500801 Audit Set Aside	239	0	239
046 500463 Consultants	707,127	0	707,127
048 500226 Contractual Maint Build-Grn	95	70,000	70,095
050 500109 Personal Services – Temp	46,108	0	46,108
057 500535 Books Periodicals Subscription	2,000	0	2,000
060 500601 Benefits	4,429	0	4,429
066 500543 Employee Training	38,500	0	38,500
070 500704 In-State Travel Reimbursement	12,010	0	12,010
072 500575 Grants Federal	46,000	0	46,000
080 500712 Out of State Travel Reimb	20,861	0	20,861
Total	\$1,172,512	\$0	\$1,172,512

Source of Funds			
Revenue:			
000 409080 Federal Funds	\$952,842	\$0	\$952,842
000 000015 Highway Funds	219,670	0	219,670
Total	\$1,172,512	\$0	\$1,172,512

04-096-096-962015-3034	Current Budget	Requested Change	Revised Budget
Materials and Research Bureau			
Expenses:			
010 500100 Personal Services – Perm	\$2,634,428	\$0	\$2,634,428
017 500147 FT Employees Special Payments	1,681	0	1,681
018 500106 Overtime	120,000	0	120,000
019 500105 Holiday Pay	1,000	0	1,000
020 500200 Current Expense	87,550	0	87,550
022 500255 Rents-Leases Other than State	3,684	0	3,684
024 500225 Maint Other than Build-Grn	26,500	0	26,500
028 500000 Transfers to General Service	178,246	0	178,246
030 500311 Equipment New Replacement	34,200	0	34,200
037 500174 Technology- Hardware	0	40	40
039 500180 Telecommunications	18,600	0	18,600
046 500463 Consultants	600	20,000	20,600
050 500109 Personal Services – Temp	17,999	0	17,999
057 500535 Books Periodicals Subscription	3,200	4,100	7,300
060 500601 Benefits	1,512,883	0	1,512,883
070 500704 In-State Travel Reimbursement	4,000	0	4,000
103 502664 Contracts for Op Services	5,000	0	5,000
Total	\$4,649,571	\$24,140	\$4,673,711
Source of Funds			
Revenue:			
000 409151 Federal Funds	\$3,021,500	\$0	3,021,500
009 405698 Agency Income	110,718	0	110,718
009 405699 Agency Income	430,582	0	430,582
000 000015 Highway Funds	1,086,771	24,140	1,110,911
Total	\$4,649,571	\$24,140	\$4,673,711

04-096-096-962015-3035	Current Budget	Requested Change	Revised Budget
Construction Bureau			
Expenses:			
010 500100 Personal Services – Perm	\$5,414,278	\$0	\$5,414,278
018 500106 Overtime	700,000	0	700,000
019 500105 Holiday Pay	22,999	0	22,999
020 500200 Current Expense	30,500	0	30,500
022 500255 Rents-Leases Other than State	10,748	0	10,748

024 500225 Maint Other than Build-Grn	2,000	1,100	3,100
030 500301 Equipment New Replacement	8,500	0	8,500
037 500174 Technology- Hardware	12,500	0	12,500
038 500175 Technology - Software	6,000	0	6,000
039 500180 Telecommunications	19,000	0	19,000
050 500109 Personal Services -- Temp	431,650	(25,240)	406,410
057 500535 Books Periodicals Subscription	2,000	0	2,000
060 500601 Benefits	3,137,932	0	3,137,932
070 500704 In-State Travel Reimbursement	255,000	0	255,000
102 500734 Contracts for Program Service	3,000	0	3,000
Total	\$10,056,107	(\$24,140)	\$10,031,967
Source of Funds			
Revenue:			
000-409151 Federal Funds	\$4,085,011	\$0	\$4,085,011
009-405698 Agency Income	192,291	0	192,291
009-405699 Agency Income	845,222	0	845,222
000-000015 Highway Funds	4,933,583	(24,140)	4,909,443
Total	\$10,056,107	(\$24,140)	\$10,031,967

04-096-096-962015-3028	Current Budget	Requested Change	Revised Budget
Right of Way Bureau			
Expenses:			
010 500100 Personal Services -- Perm	\$1,585,517	\$0	\$1,585,517
018 500106 Overtime	30,000	0	30,000
020 500200 Current Expense	27,016	(7,000)	20,016
022 500255 Rents-Leases other than State	3,134	0	3,134
026 500251 Organizational Dues	7,320	0	7,320
030 500301 Equipment New Replacement	1,200	0	1,200
037 500174 Technology - Hardware	5,700	(1,000)	4,700
038 500175 Technology - Software	1,854	1,000	2,854
039 500180 Telecommunications	18,500	0	18,500
048 500226 Contractual Maint Build-Grn	0	7,000	7,000
050 500109 Personal Services - Temp	67,401	0	67,401
057 500535 Books Periodicals Subscriptions	4,500	0	4,500
060 500601 Benefits	791,223	0	791,223
066 500543 Employee Training	13,000	0	13,000
070 500704 In-State Travel Reimbursement	500	0	500
080 500710 Out of State Travel	690	0	690
401 500876 Land Interest	3,735	0	3,735
Total	\$2,561,290	\$0	\$2,561,290
Source of Funds			
Revenue:			
000-409151 Federal Funds	\$855,784	\$0	\$855,784
009-405698 Agency Income	35,049	0	35,049

009-405699 Agency Income	235,449	0	235,449
000-000015 Highway Funds	1,435,008	0	1,435,008
Total	\$2,561,290	\$0	\$2,561,290

04-096-096-960515-3009	Current Budget FY 2014	Requested Change	Revised Budget FY2014
Traffic Bureau			
Expenses:			
010 500100 Personal Services – Perm	\$2,730,953	\$0	\$2,730,953
017 500147 FT Employees Special Payments	4,198	0	4,198
018 500106 Overtime	310,000	0	310,000
019 500105 Holiday Pay	501	0	501
020 500200 Current Expense	4,188,975	(2,000)	4,186,975
022 500255 Rents-Leases Other than State	9,223	0	9,223
023 500291 Heat Electricity Water	275,940	0	275,940
024 500225 Maint Other than Build-Grn	56,700	0	56,700
030 500311 Equipment New Replacement	83,362	0	83,362
037 500174 Technology- Hardware	10,000	0	10,000
038 500175 Technology-Software	500	2,000	2,500
039 500180 Telecommunications	28,650	0	28,650
047 500240 Own Forces Maint Build-Grn	9,000	0	9,000
048 500226 Contractual Maint Build-Grn	137,371	0	137,371
050 500109 Personal Services – Temp	90,000	0	90,000
057 500535 Books Periodicals Subscription	199	0	199
059 500117 Temp Full Time	10,000	0	10,000
060 500601 Benefits	1,654,554	0	1,654,554
066 500543 Employee Training	7,200	0	7,200
070 500704 In-State Travel Reimbursement	41,000	0	41,000
103 500103 Contracts for Op Services	66,000	0	66,000
Total	\$9,714,326	\$0	\$9,714,326
Source of Funds			
Revenue:			
000-409151 Federal Funds	\$4,236,493	\$0	\$4,236,493
004-403630 Intra Agency Transfers	440,000	0	440,000
007-402193 Agency Income	26,700	0	26,700
009-405699 Agency Income	160,513	0	160,513
000-000015 Highway Funds	4,850,620	0	4,850,620
Total	\$9,714,326	\$0	\$9,714,326

EXPLANATION

The Department requests authorization to transfer budgeted account funds among the various accounts in order to pay for services incurred in meeting the State's transportation demands. Specific explanations relating to the Department's spending requests are as follows:

SPR Research Funds (3036) – 81.27% Federal Funds, 18.73 Highway Funds

- Class 030 Decrease Equipment New Replacement by \$70,000 as a result of the reduced need to purchase equipment for in-house research.
- Class 048 Increase Contractual Maintenance Building and Grounds by \$70,000 for laboratory noise mitigation project to allow implementation of the MSCR (multiple stress creep recovery) test and the ABCD (asphalt binder cracking device) low temperature binder testing.

Materials and Research Bureau (3034) – 64.98% Federal Funds, 11.64% Agency Income, 23.38% Highway Funds

- Class 037 Increase Technology - Hardware by \$40. Technology - Hardware purchase of necessary computer graphics component.
- Class 046 Increase Consultants by \$20,000. This increase is for AASHTO Materials Reference Laboratory for lab accreditation originally anticipated to be covered by Federal Funds but after further review of Federal eligibility requires funding from operating budget.
- Class 057 Increase Books Periodicals Subscriptions by \$4,100. This increase is for the Asphalt Price Adjustment subscription used to verify asphalt costs on all paving projects. This item was originally anticipated to be covered by Federal Funds but after further review of Federal eligibility requires funding from operating budget.

Construction Bureau (3035) 40.62% Federal Funds, 10.32% Agency income, 49.06% Highway Funds

- Class 024 Increase Maintenance Other Than Building and Grounds by \$1,100 for necessary repairs to thermal imaging camera and levels not anticipated with normal maintenance expenditures.
- Class 050 Decrease Personal Services - Temporary by \$25,240. The need for temporary employees is less as a result of reduced Federal construction program.

Right of Way Bureau (3028) 33.41% Federal Funds, 10.56% Agency Income, 56.03% Highway Funds

- Class 020 Decrease Current Expense by \$7,000 in order to allocate funding in correct classes. This decrease required to transfer funds into other classes for necessary expenditures originally anticipated to be current expense but after further review of accounting policies required different classifications.
- Class 037 Decrease Technology - Hardware by \$1,000 as a result of the reduced need to purchase computer hardware.
- Class 038 Increase Technology – Software by \$1,000 as a result of the increased cost to purchase necessary budgeted computer software.

Class 048 Increase Contractual Maintenance Building and Grounds by \$7,000 to align funding in correct classes. Office renovation originally anticipated to be Current Expense but after further review of accounting policies requires different classification.

Traffic Bureau (3009) 43.61% Federal Funds; 49.93% Highway Funds; 4.53% Intra-Agency Transfers and 1.93% Agency Income

Class 020 Decrease Current Expense by \$2,000. This amount is available due to the reduction in pavement marking paint prices.

Class 038 Increase Technology – Software by \$2,000 to purchase necessary Corel Draw software upgrade for design of highway signs.

The following is provided in accordance with the Budget Officer's instructional memorandum dated April 17, 1985 to support the above requested actions:

1. Does transfer involve continuing programs or one-time projects?
Transfers are for continuing programs (not one-time).
2. Is this transfer required to maintain existing program level or will it increase program level?
Transfers are to maintain existing program levels (no increase in program level).
3. Cite any requirements, which make this program necessary.
RSA 21-L:2, in part, establishes that the Department will be responsible for planning, developing, and maintaining a state transportation network. This transfer will facilitate the accomplishment of this responsibility.
4. Identify the source of funds on all accounts listed on this transfer.
Source of funds is Federal Funds, Highway Funds and Agency Income.
5. Will there be any effect on revenue if this transfer is approved or disapproved?
This transfer will have no effect on revenue.
6. Are funds expected to lapse if this transfer is not approved?
Should funds lapse as a result of not approving this request for project funding, such funds will lapse to their respective account fund balances.
7. Are personal services involved?
The transfer does not result in any new positions.

Your approval of this resolution is respectfully requested.

Sincerely,



Christopher D. Clement, Sr.
Commissioner



STATE OF NEW HAMPSHIRE
DEPARTMENT OF INFORMATION TECHNOLOGY
 27 Hazen Dr., Concord, NH 03301
 Fax: 603-271-1516 TDD Access: 1-800-735-2964
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Peter C. Hastings
Commissioner

November 21, 2013

The Honorable Mary Jane Wallner, Chairman
 Fiscal Committee of the General Court
 State House
 Concord, NH 03301

Her Excellency, Governor Margaret Wood Hassan
 and the Honorable Council
 State House
 Concord, NH 03301

REQUESTED ACTION

Pursuant to the provisions of Chapter 144:117, Laws of 2013 authorize approval to transfer funds in the amount of \$150,000.00 in State Fiscal Year (SFY) 2014 in Other (Class 027) funds in the Department of Information Technology (DoIT). This transfer will have no impact on General funds or State Revenue. The transfer is summarized below, effective the date of Legislative Fiscal Committee and Governor and Council approval through June 30, 2014. 100% Other (Agency Class 27) Funds.

In SFY14, funds shall be tranfered from 01-03-03-030010-7684, IT for Revenue Administration as follows:

From/To	Account	SFY 14 Appropriation	Increase/ (Decrease)	Revised Appropriation
IT for Revenue Admn Current Expenses	01-03-03-030010 76840000-020	\$ 2,981.00	0	\$ 2,981.00
IT for Revenue Admn Technology-Hardware	01-03-03-030010 76840000-037	124,523.70	0	124,523.70
IT for Revenue Admn Technology-Software	01-03-03-030010 76840000-038	822,772.40	(150,000.00)	672,772.40
IT for Revenue Admn Telecommunications	01-03-03-030010 76840000-039	58,000.00	0	58,000.00
IT for Revenue Admn Consultants	01-03-03-030010 76840000-046	167,443.30	0	167,443.30
	Totals	\$1,175,720.40	(\$150,000.00)	\$1,025,720.40
Source of Funds				
001-406305	Transfers from Other Agencies	\$1,175,720.40	(\$150,000.00)	\$1,025,720.40
	Totals	\$1,175,720.40	(\$150,000.00)	\$1,025,720.40

The Honorable Mary Jane Wallner, Chairman
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In SFY14, funds shall be budgeted in 01-03-03-030010-7703, Central IT Services and Ops as follows:

From/To	Account	SFY 14 Appropriation	Increase/ (Decrease)	Revised Appropriation
Central IT Services & Ops Current Expenses	01-03-03-030010 77030000-020	\$ 138,100.00	0	\$ 138,100.00
Central IT Services & Ops Rents-Leases Other than State	01-03-03-030010 77030000-022	43,450.00	150,000.00	193,450.00
Central IT Services & Ops State Owned Equip Usage	01-03-03-030010 77030000-025	15,000.00	0	15,000.00
Central IT Services & Ops Organizational Dues	01-03-03-030010 77030000-026	8,000.00	0	8,000.00
Central IT Services & Ops Transfers to General Services	01-03-03-030010 77030000-028	629,011.00	0	629,011.00
Central IT Services & Ops Equipment New Replacement	01-03-03-030010 77030000-030	19,000.00	0	19,000.00
Central IT Services & Ops Shared Services Support	01-03-03-030010 77030000-035	28,050.00	0	28,050.00
Central IT Services & Ops Technology-Hardware	01-03-03-030010 77030000-037	1,852,539.52	0	1,852,539.52
Central IT Services & Ops Technology-Software	01-03-03-030010 77030000-038	3,062,830.80	0	3,062,830.80
Central IT Services & Ops Telecommunications	01-03-03-030010 77030000-039	221,892.00	0	221,892.00
Central IT Services & Ops Indirect Costs	01-03-03-030010 77030000-040	2.00	0	2.00
Central IT Services & Ops Consultants	01-03-03-030010 77030000-046	3,060,493.05	0	3,060,493.05
Central IT Services & Ops Transfer to Other State Agencies	01-03-03-030010 77030000-049	11,800.00	0	11,800.00
Central IT Services & Ops Books, Periodicals, Subscrip	01-03-03-030010 77030000-057	2,500.00	0	2,500.00
Central IT Services & Ops Employee Training	01-03-03-030010 77030000-066	182,800.00	0	182,800.00
Central IT Services & Ops In State Travel	01-03-03-030010 77030000-070	39,202.00	0	39,202.00
Central IT Services & Ops Out of State Travel	01-03-03-030010 77030000-080	43,400.00	0	43,400.00
	Totals	\$9,358,070.37	\$150,000.00	\$9,508,070.37
Source of Funds				
001-406305	Transfers from Other Agencies	\$9,358,070.37	\$150,000.00	\$9,508,070.37
	Totals	\$9,358,070.37	\$150,000.00	\$9,508,070.37

The Honorable Mary Jane Wallner, Chairman
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Concord, NH 03301

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EXPLANATION

The transfer of appropriation reflects adjustments to operating expense accounts to address Department of Information Technology shortfalls in DoIT's Class 022 Rents-Leases Other than State. Although the funds were originally budgeted in Class 038-Technology-Software, it was later determined that the appropriation was needed in Class 022. The increase in Class 022 is requested to finance the purchase of Adobe Life Cycle Licenses on behalf of the Department of Revenue Administration (DRA).

DRA is requesting a transfer of funds in order to finance Adobe LiveCycle support services. Support services include necessary security and performance patches, licenses, code releases and updates. The impact of not having this support is; all of the current architecture, processes and applications that are mission critical to DRA are at high risk of failure with no available mitigation or resolution. This would seriously impact DRA by creating significant delays, changes in work effort, and would impede DRA's mission to provide taxpayer services with the highest degree of confidence and promptness. All of the Tax forms, internal processes for document management, and the entire Tax Rate Setting application rely Adobe:

- Real Estate Transfer Tax: Real Estate Transfer Tax (RETT) forms (PA-34, CD-57S, CD-57P, CD-57HC-S, & CD-57HC-P) have been redeveloped into smart PDF's using LiveCycle. The forms have been designed to work with the DRA high speed scanning application and the newly developed RETT eZ-File application. RETT eZ-File will be the first publicly available electronically enabled form released by DRA. The development of RETT eZ-File is complete and its release is currently in a holding pattern pending the resolution of the Adobe Maintenance Agreement herein.
- Tax Rate Setting: DRA entered into a Cooperative Project Agreement with the UNH Technology Transfer Center to redevelop all of the forms used for the property tax rate setting process. These forms are processed and managed by the Property and Municipal Division at DRA. The redevelopment of the 24 municipal services forms is tied to the development of an enterprise level software application to be used by DRA and the 450 municipal entities (towns, cities, villages, schools) in New Hampshire. The redeveloped process will save DRA and the municipal entities a significant amount of resources.
- General Department Tax Forms: The department has utilized Adobe LiveCycle software to redevelop and perfect tax forms deployed in tax year 2012 and 2013. These forms are used in concert with the high speed scanners. The continued modification of scanner compatible forms requires LiveCycle.

The following information is provided in accordance with the Budget Officer's instructional memorandum dated April 17, 1985 to support the above requested actions:

A. Justification:

Information technology needs at DoIT have been assessed prior to the submission of this request and it was determined that sufficient surplus existed in Class 038- Technology-Software to support this request. Additional appropriation is required in Class 022-Leases Other than State to support the upcoming G&C SHI contract.

- B. Does this transfer involve continuing programs or one-time projects?
This transfer involves continuing programs.

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
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C. Is this transfer required to maintain existing program levels or will it increase the program level?
This transfer is required to maintain existing program levels.

D. Cite any requirements, which make this program mandatory.
Not applicable.

E. Identify the source of funds on all accounts listed on this transfer.
The source of funds for this transfer is 100% Other funds. DoIT does not anticipate any impact on General funds as a result of this fiscal transfer.

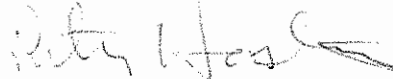
F. Will there be any effect on revenue as a result of this transfer?
There is no anticipated effect on revenue as a result of this transfer.

G. Are funds expected to lapse if this transfer is not approved?
It is anticipated that some funds will lapse whether or not this transfer is approved.

H. Are personnel services involved?
No.

The Department of Information Technology has conducted a review of DoIT operations to ensure that available funds are maximized to the greatest degree possible.

Respectfully submitted,



Peter C. Hastings
Commissioner



DEC 17 10 3:16 AM

dm

FIS 13 293

STATE OF NEW HAMPSHIRE
DEPARTMENT OF INFORMATION TECHNOLOGY
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Peter C. Hastings
 Commissioner

December 16, 2013

The Honorable Mary Jane Wallner, Chairman
 Fiscal Committee of the General Court
 State House
 Concord, NH 03301

Her Excellency, Governor Margaret Wood Hassan
 and the Honorable Council
 State House
 Concord, NH 03301

REQUESTED ACTION

1. Pursuant to the provisions of Chapter 144:117, Laws of 2013 authorize approval to transfer funds in the amount of \$489,443.00 in State Fiscal Year (SFY) 2014 in Other (Class 027) funds in the Department of Information Technology (DoIT). This transfer will have no impact on General Funds or State Revenue. The transfer is summarized below, effective the date of Legislative Fiscal Committee and Governor and Council approval through June 30, 2014. 100% Other (Agency Class 27) Funds.

2. Pursuant to RSA 124:15, authorize the Department of Information Technology to establish two (2) part-time, Systems Development Specialist VI, Labor Grade 30, class 050, Personal Services, Temporary, to support the transition of data from the legacy mainframe during the VISION project effective the date of Legislative Fiscal Committee and Governor and Council approval through June 30, 2014. 100% Other (Agency Class 27) Funds.

In SFY14, funds shall be transferred from 01-03-03-030010-7623, IT for Safety as follows:

From/To	Account	SFY 14 Appropriation	Increase/ (Decrease)	Revised Appropriation
IT for Safety Current Expenses	01-03-03-030010 76230000-020	\$34,732.84	0	\$34,732.84
IT for Safety Technology-Hardware	01-03-03-030010 76230000-037	1,190,096.39	(230,443.00)	959,653.39
IT for Safety Technology-Software	01-03-03-030010 76230000-038	1,794,463.66	(259,000.00)	1,535,463.66
IT for Safety Telecommunications	01-03-03-030010 76230000-039	412,000.00	116,000.00	528,000.00
IT for Safety Consultants	01-03-03-030010 76230000-046	330,000.00	325,000.00	655,000.00
	Totals	\$3,761,292.89	(48,443.00)	\$3,712,849.89
Source of Funds				
001-406305	Transfers from Other Agencies	\$3,761,292.89	(48,443.00)	\$3,712,849.89
	Totals	\$3,761,292.89	(48,443.00)	\$3,712,849.89

The Honorable Mary Jane Wallner, Chairman
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 Concord, NH 03301

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In SFY14, funds shall be budgeted in 01-03-03-030010-7708, Central IT Services and Ops as follows:

From/To	Account	SFY 14 Appropriation	Increase/ (Decrease)	Revised Appropriation
IT Salaries and Benefits Personal Svcs Perm Class	01-03-03-030010 77080000-010	\$22,082,876.00	0	\$22,082,876.00
IT Salaries and Benefits Personal Svcs Unclassified	01-03-03-030010 77080000-012	742,239.00	0	742,239.00
IT Salaries and Benefits Overtime	01-03-03-030010 77080000-018	457,403.00	0	457,403.00
IT Salaries and Benefits Additional Fringe Benefits	01-03-03-030010 77080000-042	1,741,211.00	0	1,741,211.00
IT Salaries and Benefits Personal Services Temp	01-03-03-030010 77080000-050	139,564.00	45,000.00	184,564.00
IT Salaries and Benefits Benefits	01-03-03-030010 77080000-060	11,034,165.00	3,443.00	11,037,608.00
	Totals	36,197,458.00	48,443.00	36,245,901.00
Source of Funds				
001-406305	Transfers from Other Agencies	35,928,854.00	48,443.00	35,977,297.00
	General Funds	268,604.00	0	268,604.00
	Totals	\$36,197,458.00	\$48,443.00	\$36,245,901.00

EXPLANATION

The transfer of appropriation reflects adjustments to operating expense accounts to address Department of Information Technology shortfalls in DoIT's Class 039-Telecommunications, Class 046-Consultants, Class 050-Temp and Class 060-Benefits. Although the funds were originally budgeted in Class 037-Technology-Hardware and Class 038-Technology-Software, it was later determined that the appropriation was required in other classes. Class 037-Technology-Hardware had excess appropriation as PCs, servers and laptops were purchased at the end of FY 2013. Thereby allowing the Dept of Safety to transfer funds to other classes. Class 038-Technology -Software was originally budgeted in support of the old Valor CAD, Oracle funding and funds for SmartNet. The agency saved funds by transferring to a new CAD system and by J-One no longer using Oracle as a database. In addition, the multiyear SmartNet contract was negotiated at a reduced cost.

The increase in Class 039-Telecommunications is to cover the cost of telecommunications to the DOS Municipal Agents during the FY 2014 VPN rollout. An additional \$325,000.00 is required in Class 046 to cover the increased cost of existing consultants in support of J-One and the Crash Records Management System and additional funding is required in Class 050-Temp and the associated benefits for additional part-time staff to support the transition of the legacy mainframe during the VISION project.

The following information is provided in accordance with the Budget Officer's instructional memorandum dated April 17, 1985 to support the above requested actions:

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House
Concord, NH 03301

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council

State House
Concord, NH 03301
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A. Justification:

Information technology needs at DoIT have been assessed prior to the submission of this request and it was determined that sufficient surplus existed in Class 037-Technology-Hardware and Class 038- Technology-Software to support this request.

B. Does this transfer involve continuing programs or one-time projects?

This transfer involves continuing programs.

C. Is this transfer required to maintain existing program levels or will it increase the program level?

This transfer is required to maintain existing program levels.

D. Cite any requirements, which make this program mandatory.

Not applicable.

E. Identify the source of funds on all accounts listed on this transfer.

The source of funds for this transfer is 100% Other funds. DoIT does not anticipate any impact on General Funds as a result of this fiscal transfer.

F. Will there be any effect on revenue as a result of this transfer?

There is no anticipated effect on revenue as a result of this transfer.

G. Are funds expected to lapse if this transfer is not approved?

It is anticipated that some funds will lapse whether or not this transfer is approved.

H. Are personnel services involved?

Yes. We anticipate funding two part time positions to support the transition of the legacy mainframe during the VISION project.

The following information is provided in accordance with the Comptroller's instructional memorandum with respect to the acceptance of federal and other funds by which new positions are established:

1. List of personnel involved:

Two (2) part time positions, Systems Development Specialist VI, Labor Grade 30, class 050, Personal Services, Temporary.

2. Nature, need, and duration:

The funds will be used to support two (2) part time temporary positions in DoIT to support the transition from the legacy mainframe to VISION. The duration of the temporary positions is from the date of Joint Legislative Fiscal Committee and Governor and Council approval through June 30, 2014. Should these positions be required for fiscal year 2015, DoIT will submit a separate Fiscal and Governor and Council item requesting permission to extend the two temporary positions.

3. Relationship to existing programs:

Funds are not currently budgeted within DoIT's class 050 to support this position for the Department of Safety. The need for the two temporary positions became apparent during the planning phase to transition the data from one system to another.

4. Has a similar program been requested of the legislature and denied?

No.

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House
Concord, NH 03301

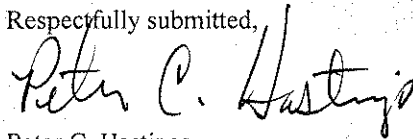
Her Excellency, Governor Margaret Wood Hassan
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Concord, NH 03301
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5. Why wasn't funding included in DoIT's budget request?
At the time DoIT's SFY 2014-2015 budget request was prepared; DoIT and DOS were in the development stages of the transition.
6. Can portions of these funds be utilized?
The amount of support required for DOS will require all of the funds requested to support this position.
7. Estimate the funds required to continue this position.
It is estimated that \$45,000.00 are the funds required to support the two temporary positions through June 30, 2014.

The Department of Information Technology has conducted a review of DoIT operations to ensure that available funds are maximized to the greatest degree possible.

Respectfully submitted,



Peter C. Hastings
Commissioner

PCH/rc

Item #	Meeting	Department	Chapter / RSA Reference	General	Federal	Other	Total	Positions Established		Comments
								Full-Time	Part-Time	
Note: This summary does not include additional federal American Recovery and Reinvestment Act (ARRA) revenues.										
FISCAL YEAR 2014										
13-165	July'13	Adjutant General's Department	RSA 14:30-a, VI	-	585,000	-	585,000			
		Adjutant General's Department Total		-	585,000	-	585,000	-	-	
13-163	July'13	Agriculture, Markets and Food, Department of	RSA 14:30-a, VI	-	156,342	-	156,342			
		Agriculture, Markets and Food, Department of Total		-	156,342	-	156,342	-	-	
13-192	July'13	Energy and Planning	RSA 14:30-a, VI	-	-	200,000	200,000			
13-223	Oct'13	Energy and Planning	RSA 14:30-a, VI	-	-	200,000	200,000			
		Energy and Planning Total		-	-	400,000	400,000	-	-	
13-239	Nov'13	Environmental Services, Department of	RSA 14:30-a, VI	-	182,262	-	182,262			
13-245	Nov'13	Environmental Services, Department of	RSA 14:30-a, VI	-	-	1,250,000	1,250,000			
13-246	Nov'13	Environmental Services, Department of	RSA 14:30-a, VI	-	-	22,316,661	22,316,661	5		Funds are from account held by Justice. See FIS 13-257 for Justice portion of this transaction.
		Environmental Services, Department of Total		-	182,262	23,566,661	23,748,923	5	-	
13-224	Oct'13	DHHS - Division of Community Based Care	RSA 14:30-a, VI	-	353,203	-	353,203			
13-256	Nov'13	DHHS - Division of Community Based Care	RSA 14:30-a, VI	-	414,964	-	414,964			
		DHHS - Division of Community Based Care Total		-	768,167	-	768,167	-	-	
13-232	Oct'13	DHHS - Division of Public Health Services	RSA 14:30-a, VI	-	168,941	-	168,941			
13-233	Oct'13	DHHS - Division of Public Health Services	RSA 14:30-a, VI	-	219,533	-	219,533			
		DHHS - Division of Public Health Services Total		-	388,474	-	388,474	-	-	
13-247	Nov'13	Insurance, Department of	RSA 14:30-a, VI	-	2,318,181	-	2,318,181			Provides approval for establishing consultant positions
		Insurance, Department of Total		-	2,318,181	-	2,318,181	-	-	
13-186	July'13	Justice, Department of	RSA 14:30-a, VI	-	91,014	-	91,014			
		Justice, Department of Total		-	91,014	-	91,014	-	-	
13-167	July'13	Resources & Economic Development	RSA 14:30-a, VI	-	84,772	-	84,772			
13-170	Sept'13	Resources & Economic Development	RSA 14:30-a, VI	-	3,009,272	-	3,009,272			
13-200	Sept'13	Resources & Economic Development	RSA 14:30-a, VI	-	205,800	-	205,800			
13-254	Nov'13	Resources & Economic Development	RSA 14:30-a, VI	-	-	9,100,000	9,100,000			DES accepted federal grant and assigned it directly to entity acquiring the conservation easement.
		Resources & Economic Development Total		-	3,299,844	9,100,000	12,399,844	-	-	
13-177	Sept'13	Safety, Department of	RSA 14:30-a, VI	-	9,543,746	-	9,543,746			
13-178	Sept'13	Safety, Department of	RSA 14:30-a, VI	-	3,271,590	-	3,271,590			
13-179	Sept'13	Safety, Department of	RSA 14:30-a, VI	-	532,223	-	532,223			
13-180	Sept'13	Safety, Department of	RSA 14:30-a, VI	-	811,162	-	811,162			
13-181	Sept'13	Safety, Department of	RSA 14:30-a, VI	-	5,636,571	-	5,636,571			
13-188	Sept'13	Safety, Department of	RSA 14:30-a, VI	-	982,455	-	982,455			
13-189	Sept'13	Safety, Department of	RSA 14:30-a, VI	-	284,000	-	284,000			
13-217	Oct'13	Safety, Department of	RSA 14:30-a, VI	-	7,500,000	-	7,500,000			
13-225	Oct'13	Safety, Department of	RSA 14:30-a, VI	-	360,599	-	360,599			Provides approval for establishing consultant positions

Item #	Meeting	Department	Chapter / RSA Reference	General	Federal	Other	Total	Positions Established	Comments
13-236	Oct'13	Safety, Department of	RSA 14:30-a, VI	-	202,241	-	202,241		
		Safety, Department of Total		-	29,124,587	-	29,124,587	-	-
13-194	Sept'13	Transportation, Department of	RSA 14:30-a, VI	-	-	887,233	887,233		
		Transportation, Department of Total		-	-	887,233	887,233	-	-
		FY 2014 Total		-	36,913,871	33,953,894	70,867,765	5	-

AMERICAN RECOVERY AND REINVESTMENT ACT (ARRA)
STIMULUS FUNDING

Fiscal Committee Approvals Through Meeting of 11/22/13

Item #	Meeting	Department	Chapter / RSA Reference	Federal	Other	Total	Comments	Temporary Positions Established		Position End Date	Audit Fund Set-Aside
								Full-Time	Part-Time		
FISCAL YEAR 2009											
09-124	April'09	Education, Department of	RSA 14:30-a, VI	50,000	-	50,000		-	-		-
09-130	April'09	Education, Department of	RSA 14:30-a, VI	50,000	-	50,000		-	-		-
09-131	April'09	Education, Department of	RSA 14:30-a, VI	1,000,000	-	1,000,000		-	-		-
09-135	April'09	Education, Department of	RSA 14:30-a, VI	1,001,406	-	1,001,406		-	1	12/31/2011	1,001
09-187	May'09	Education, Department of	RSA 14:30-a, VI	50,000	-	50,000		-	-		-
09-188	May'09	Education, Department of	RSA 14:30-a, VI	-	224,945	224,945	funds received from Labor-Workforce Opportunity Council	1	1	6/30/2011	-
		Education, Department of Total		2,151,406	224,945	2,376,351		1	2		1,001
09-230	Jun'09	Employment Security, Office of	RSA 14:30-a, VI	2,242,944	-	2,242,944	FIS 11-088 reallocates funds; FIS 10-159 extended position end dates from 6/30/10 to 6/30/11	6	-	6/30/2011	2,243
09-231	Jun'09	Employment Security, Office of	RSA 14:30-a, VI	1,617,171	-	1,617,171	FIS 10-160 extended position end dates from 6/30/10 to 9/30/10	14	9	9/30/2010	1,617
		Employment Security, Office of Total		3,860,115	-	3,860,115		20	9		3,860
09-095	April'09	Environmental Services, Department of	RSA 14:30-a, VI	1,730,000	-	1,730,000		-	-		1,730
09-162	May'09	Environmental Services, Department of	RSA 14:30-a, VI	1,286,000	-	1,286,000	FIS 11-120 reallocates funds	-	-		1,286
09-184	May'09	Environmental Services, Department of	RSA 14:30-a, VI	395,600	-	395,600		-	-		395
09-198	Jun'09	Environmental Services, Department of	RSA 14:30-a, VI	39,163,900	-	39,163,900	reallocates funds	-	3	12/31/2013	39,164
09-199	Jun'09	Environmental Services, Department of	RSA 14:30-a, VI	19,500,000	-	19,500,000	FIS 11-009 reallocates \$136,786 for better utilization of funds, FIS 12-100 reallocates \$14,700 for better utilization of funds	-	2	10/1/2015	19,500
		Environmental Services, Department of Total		62,075,500	-	62,075,500		-	5		62,075
09-148	May'09	DHHS-Division for Children, Youth & Families	Ch 263:28,II, L'07	1,201,200	-	1,201,200		-	-		1,200
09-185	May'09	DHHS-Division for Children, Youth & Families	Ch 263:28,II, L'07	2,236,379	-	2,236,379		-	-		2,234
		DHHS-Division for Children, Youth & Families Total		3,437,579	-	3,437,579		-	-		3,434
09-139	May'09	DHHS-Division of Community-Based Care	Ch 263:28,II, L'07	634,394	-	634,394		-	-		634
09-140	May'09	DHHS-Division of Community-Based Care	Ch 263:28,II, L'07	452,034	-	452,034		-	-		452
09-145	May'09	DHHS-Division of Community-Based Care	Ch 263:28,II, L'07	282,159	-	282,159		-	-		282
09-146	May'09	DHHS-Division of Community-Based Care	Ch 263:28,II, L'07	6,584,636	-	6,584,636		-	-		6,585
09-147	May'09	DHHS-Division of Community-Based Care	Ch 263:28,II, L'07	433,645	-	433,645		-	-		434
09-186	May'09	DHHS-Division of Community-Based Care	Ch 263:28,II, L'07	4,270,736	-	4,270,736		-	-		4,271
09-193	May'09	DHHS-Division of Community-Based Care	Ch 263:28,II, L'07	20,613,070	-	20,613,070		-	-		19,540
		DHHS-Division of Community-Based Care Total		33,270,674	-	33,270,674		-	-		32,197
09-189	May'09	DHHS-Medicaid and Business Policy	Ch 263:28,II, L'07	16,068,204	-	16,068,204		-	-		16,054
09-190	May'09	DHHS-Medicaid and Business Policy	Ch 263:28,II, L'07	4,308,123	-	4,308,123		-	-		4,304

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								Full-Time	Part-Time		
09-191	May'09	DHHS-Medicaid and Business Policy	Ch 263:28, II, L'07	111,277	-	111,277		-	-		111
		DHHS-Medicaid and Business Policy Total		20,487,604	-	20,487,604		-	-		20,469
09-111	April'09	Labor, Department of	RSA 14:30-a, VI	925,806	-	925,806		-	-		926
09-112	April'09	Labor, Department of	RSA 14:30-a, VI	1,876,488	-	1,876,488		-	-		1,876
09-113	April'09	Labor, Department of	RSA 14:30-a, VI	2,188,517	-	2,188,517		-	-		2,188
09-114	April'09	Labor, Department of	RSA 14:30-a, VI	101,044	-	101,044		-	-		101
		Labor, Department of Total		5,091,855	-	5,091,855		-	-		5,091
09-240	Jun'09	Office of Economic Stimulus	RSA 14:30-a, VI	15,700,000	-	15,700,000		5	-	9/30/2011	15,700
09-353	Oct'09	Office of Economic Stimulus	RSA 14:30-a, VI	18,366,514	-	18,366,514		-	-		-
		Office of Economic Stimulus Total		34,066,514	-	34,066,514		5	-		15,700
09-088	March'09	Office of Energy & Planning	RSA 14:30-a, VI	23,218,594	-	23,218,594	FIS 12-254 reallocates \$431,550 between class lines; FIS 13-141 reallocates 41,885 for period ending 9/30/2013	-	-		23,219
09-182	May'09	Office of Energy & Planning	RSA 14:30-a, VI	47,232	-	47,232		2	-	4/30/2012	47
10-204	Jun'10	Office of Energy & Planning	RSA 14:30-a, VI	(18,022,101)	-	(18,022,101)		-	-		(21,260)
		Office of Energy & Planning Total		5,243,725	-	5,243,725		2	-		2,006
09-089 & 09-277	March'09 & Aug'09	Transportation, Department of	RSA 14:30-a, VI	135,740,556	-	135,740,556	FIS 12-195 extends end date of FIS 09-089 from 2/17/12 to 9/30/15; FIS 12-238 moves \$150 from class 72 to class 60	-	-		135,744
09-151	May'09	Transportation, Department of	RSA 14:30-a, VI	1,000,000	-	1,000,000		-	-		-
09-163	May'09	Transportation, Department of	RSA 14:30-a, VI	4,600,000	-	4,600,000		-	-		-
		Transportation, Department of Total		141,340,556	-	141,340,556		-	-		135,744
		FY 2009 Total		\$ 311,025,528	\$ 224,945	\$ 311,250,473		28	16		\$ 281,578
FISCAL YEAR 2010											
09-229	Jun'09	Adjutant General	RSA 14:30-a, VI	5,081,000	-	5,081,000		-	-		5,076
		Adjutant General Total		5,081,000	-	5,081,000		-	-		5,076
09-222	Jun'09	Administrative Services, Department of	RSA 14:30-a, VI	-	215,264	215,264	funds received from Office of Energy & Planning	3	-	4/30/2012	-
09-261	Aug'09	Administrative Services, Department of	RSA 14:30-a, VI	68,405	-	68,405		-	-		-
10-003	Jan'10	Administrative Services, Department of	RSA 14:30-a, VI	67,766	-	67,766		-	-		68

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								Full-Time	Part-Time		
10-046	Feb'10	Administrative Services, Department of	RSA 14:30-a, VI	-	5,387	5,387	funds received from Office of Energy & Planning	-	1	6/30/2011	-
		Administrative Services, Department of Total		136,171	220,651	356,822					68
09-223	Jun'09	Cultural Resources, Department of	RSA 14:30-a, VI	255,108	-	255,108		-	-		255
		Cultural Resources, Department of Total		255,108	-	255,108					255
09-124	April'09	Education, Department of	RSA 14:30-a, VI	165,765	-	165,765		-	-		-
09-130	April'09	Education, Department of	RSA 14:30-a, VI	708,156	-	708,156		-	-		-
09-131	April'09	Education, Department of	RSA 14:30-a, VI	21,730,633	-	21,730,633		-	-		-
09-135	April'09	Education, Department of	RSA 14:30-a, VI	14,472,421	-	14,472,421		-	-		14,472
09-187	May'09	Education, Department of	RSA 14:30-a, VI	125,000	-	125,000		-	-		-
09-188	May'09	Education, Department of	RSA 14:30-a, VI	-	1,153,958	1,153,958	funds received from Labor-Workforce Opportunity Council	-	-		-
09-266	Aug'09	Education, Department of	RSA 14:30-a, VI	37,382	-	37,382		-	-		37
09-267	Aug'09	Education, Department of	RSA 14:30-a, VI	85,020	-	85,020		-	-		85
09-330	Oct'09	Education, Department of	RSA 14:30-a, VI	673,359	-	673,359		-	-		673
10-004	Jan'10	Education, Department of	RSA 14:30-a, VI	2,124,026	-	2,124,026	FIS 12-068 transfers funds between class lines, extends end date for fund use and positions, also decreases audit setaside by \$400. FIS 12-296 moves \$361	1	1	12/31/2011, FIS 12-068 changed end date to 6/30/12	1,724
		Education, Department of Total		40,121,762	1,153,958	41,275,720					16,992
10-154	May'10	Employment Security, Office of	RSA 14:30-a, VI	217,500	-	217,500	funds received through State of Vermont (accepted as Federal Funds); funds will support portion of two existing positions in addition to two new positions. FIS 11-316 extends positions, accepts additional funds for FY 2012 (see below) and transfers between classes.	2	-	12/31/2011	218
		Employment Security, Office of Total		217,500	-	217,500					218
09-245	Jul'09	Environmental Services, Department of	RSA 14:30-a, VI	500,000	-	500,000		-	-		500

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								Full-Time	Part-Time		
09-312	Sept'09	Environmental Services, Department of	RSA 14:30-a, VI	1,800,000	-	1,800,000	FIS 12-055 transfers \$38,000 between class lines	-	-		-
09-313	Sept'09	Environmental Services, Department of	RSA 14:30-a, VI	2,523,000	-	2,523,000		-	-		-
10-111	April'10	Environmental Services, Department of	RSA 14:30-a, VI	-	400,000	400,000	funds received from Office of Energy & Planning	-	-		-
10-114	April'10	Environmental Services, Department of	RSA 14:30-a, VI	-	-	-	reallocation of ARRA funds accepted in FY 2009 (09-184)	-	-		214
10-196	Jun'10	Environmental Services, Department of	RSA 14:30-a, VI	127,394	-	127,394		-	-		127
		Environmental Services, Department of Total		4,950,394	400,000	5,350,394					841
10-164	May'10	DHHS-Division of Behavioral Health	Ch 144:39,II, L'09	120,696	-	120,696	item also includes additional \$521,338 of other federal funds, and increases audit fund set-aside by \$642.	-	-		-
		DHHS-Division of Behavioral Health Total		120,696	-	120,696					-
09-243	Aug'09	DHHS-Division for Children, Youth & Families	Ch 144:39,II, L'09	3,624,621	-	3,624,621		-	-		1,623
10-099	April'10	DHHS-Division for Children, Youth & Families	Ch 144:39,II, L'09	110,165	-	110,165	item also includes additional \$110 of federal funds for audit fund set-aside	-	-		-
		DHHS-Division for Children, Youth & Families Total		3,734,786	-	3,734,786					1,623
09-241	Jul'09	DHHS-Division of Community-Based Care	Ch 144:39,II, L'09	2,306,161	-	2,306,161		-	-		2,306
09-251	Aug'09	DHHS-Division of Community-Based Care	Ch 144:39,II, L'09	9,203,266	-	9,203,266	item also includes additional \$9,212 of federal funds for audit fund set-aside	-	-		-
09-252	Aug'09	DHHS-Division of Community-Based Care	Ch 144:39,II, L'09	430,735	-	430,735		-	-		431
09-253	Aug'09	DHHS-Division of Community-Based Care	Ch 144:39,II, L'09	21,357,094	-	21,357,094		-	-		18,473
09-253	Aug'09	DHHS-Division of Community-Based Care	Ch 144:39,II, L'09	28,070,516	-	28,070,516		-	-		28,042
09-254	Aug'09	DHHS-Division of Community-Based Care	Ch 144:39,II, L'09	17,073,509	-	17,073,509	item also includes additional \$17,074 of federal funds for audit fund set-aside	-	-		-
09-255	Aug'09	DHHS-Division of Community-Based Care	Ch 144:39,II, L'09	1,719,042	-	1,719,042	item also includes additional \$1,721 of federal funds for audit fund set-aside	-	-		-

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									Full-Time	Part-Time		
09-256	Aug'09	DHHS-Division of Community-Based Care	Ch 144:39,II, L'09		546,892	-	546,892	Item also includes additional \$547 of federal funds for audit fund set-aside	-	-		-
09-257	Aug'09	DHHS-Division of Community-Based Care	Ch 144:39,II, L'09		948,874	-	948,874	Item also includes additional \$959 of federal funds for audit fund set-aside	-	-		-
09-297	Sept'09	DHHS-Division of Community-Based Care	Ch 144:39,II, L'09		4,687,400	-	4,687,400	Item also includes additional \$4,692 of federal funds for audit fund set-aside	-	-		-
09-298	Sept'09	DHHS-Division of Community-Based Care	Ch 144:39,II, L'09		1,946,832	-	1,946,832	Item also includes additional \$1,949 of federal funds for audit fund set-aside	-	-		-
09-326	Sept'09	DHHS-Division of Community-Based Care	Ch 144:39,II, L'09		700,700	-	700,700		-	-		700
09-394	Dec'09	DHHS-Division of Community-Based Care	Ch 144:39,II, L'09		1,001,000	-	1,001,000		-	-		1,000
10-093	April'10	DHHS-Division of Community-Based Care	Ch 144:39,II, L'09		197,460	-	197,460	Item also includes additional \$198 of federal funds for audit fund set-aside	-	-		-
10-094	April'10	DHHS-Division of Community-Based Care	Ch 144:39,II, L'09		498,521	-	498,521	Item also includes additional \$499 of federal funds for audit fund set-aside	-	-		-
10-102	April'10	DHHS-Division of Community-Based Care	Ch 144:39,II, L'09		5,861,751	-	5,861,751	Item also includes additional \$707,868 of other federal funds, and increases audit fund set-aside by \$6,196.	-	-		-
10-103	April'10	DHHS-Division of Community-Based Care	Ch 144:39,II, L'09		3,427,217	-	3,427,217	Item also includes additional \$3,427,217 of other federal funds, and increases audit fund set-aside by \$3,539.	-	-		-
10-104	April'10	DHHS-Division of Community-Based Care	Ch 144:39,II, L'09		1,363,092	-	1,363,092	Item also includes additional \$1,365,819 of other federal funds, and increases audit fund set-aside by \$2,727.	-	-		-

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									Full-Time	Part-Time		
		DHHS-Division of Community-Based Care Total			101,340,062	-	101,340,062					50,952
09-244	Aug'09	DHHS-Division of Family Assistance	Ch 144:39,II, L'09		3,762,500	-	3,762,500					3,763
09-294	Aug'09	DHHS-Division of Family Assistance	Ch 144:39,II, L'09		588,478	-	588,478					-
10-127	May'10	DHHS-Division of Family Assistance	Ch 144:39,II, L'09		3,654	-	3,654					2
		DHHS-Division of Family Assistance Total			4,354,632	-	4,354,632					3,765
09-258	Aug'09	DHHS-Medicaid Business and Policy	Ch 144:39,II, L'09		40,058,742	-	40,058,742					40,019
10-058	Feb'10	DHHS-Medicaid Business and Policy	Ch 144:39,II, L'09		282,368	-	282,368					-
10-115	April'10	DHHS-Medicaid Business and Policy	Ch 144:39,II, L'09		6,259,499	-	6,259,499	item also includes additional \$6,262,405 of other federal funds, and increases audit fund set-aside by \$7,711.				4,805
10-165	May'10	DHHS-Medicaid Business and Policy	Ch 144:39,II, L'09		110,891	-	110,891	item also includes \$479,039 of other federal funds, and increases audit fund set-aside by \$648.				-
		DHHS-Medicaid Business and Policy Total			46,711,499	-	46,711,499					44,824
10-090	Mar'10	DHHS-Office of Improvement, Integrity, & Info.	Ch 144:39,II, L'09		182,379	-	182,379					182
		DHHS-Office of Improvement, Integrity, & Info. Total			182,379	-	182,379					182
09-315	Sept'09	DHHS-Division of Public Health Services	Ch 144:39,II, L'09		320,414	-	320,414			1	12/31/2011	320
09-325	Sept'09	DHHS-Division of Public Health Services	Ch 144:39,II, L'09		257,785	-	257,785					258
10-092	April'10	DHHS-Division of Public Health Services	Ch 144:39,II, L'09		121,778	-	121,778					123
10-128	May'10	DHHS-Division of Public Health Services	Ch 144:39,II, L'09		1,125	-	1,125					1
		DHHS-Division of Public Health Services Total			701,102	-	701,102					702
09-262	Aug'09	Information Technology, Department of	RSA 14:30-a, VI		-	50,800	50,800	funds received from Office of Economic Stimulus; FIS 11-028 extends effective date from 6/30/11 to 9/30/11				-
		Information Technology, Department of Total			-	50,800	50,800					-
09-225	Jun'09	Justice, Department of	RSA 14:30-a, VI		366,780	-	366,780					366
09-226	Jun'09	Justice, Department of	RSA 14:30-a, VI		60,301	-	60,301					60
09-227	Jun'09	Justice, Department of	RSA 14:30-a, VI		2,396,463	-	2,396,463	FIS 12-215 extends end date from 2/28/13 to 6/30/13; FIS 13-154 reallocates funds between accounts	3		6/30/2012	2,395
09-228	Jun'09	Justice, Department of	RSA 14:30-a, VI		704,906	-	704,906					704
10-038	Feb'10	Justice, Department of	RSA 14:30-a, VI		98,000	-	98,000	FIS 11-111 extends end date to April 30, 2012		1	4/30/2012	-
		Justice, Department of Total			3,626,450	-	3,626,450					3,525
09-111	April'09	Labor, Department of	RSA 14:30-a, VI		154,300	-	154,300					154

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								Full-Time	Part-Time		
09-112	April'09	Labor, Department of	RSA 14:30-a, VI	312,748	-	312,748		-	-		313
09-113	April'09	Labor, Department of	RSA 14:30-a, VI	364,754	-	364,754		-	-		365
09-114	April'09	Labor, Department of	RSA 14:30-a, VI	25,262	-	25,262		-	-		25
09-308	Sept'09	Labor, Department of	RSA 14:30-a, VI	558,591	-	558,591		-	-		559
		Labor, Department of Total		1,415,655	-	1,415,655					1,416
09-240	Jun'09	Office of Economic Stimulus	RSA 14:30-a, VI	10,422,000	-	10,422,000		-	-		10,422
09-353	Oct'09	Office of Economic Stimulus	RSA 14:30-a, VI	(8,855,523)	-	(8,855,523)	reallocation of ARRA funds in this item includes an increase of \$500,000 in class 102 for auditing services in addition to audit fund set-aside amounts.	-	-		9,510
10-062	Feb'10	Office of Economic Stimulus	RSA 14:30-a, VI	(68,890)	-	(68,890)		2	-	9/30/2011	
		Office of Economic Stimulus Total		1,497,587	-	1,497,587					19,932
09-182	May'09	Office of Energy & Planning	RSA 14:30-a, VI	24,764,937	-	24,764,937		-	-		24,765
09-345	Oct'09	Office of Energy & Planning	RSA 14:30-a, VI	9,238,636	-	9,238,636	FIS 11-308 transfers \$4,865 between class lines	-	-		9,239
09-346	Oct'09	Office of Energy & Planning	RSA 14:30-a, VI	71,066	-	71,066		1	-	8/14/2012	71
09-384	Dec'09	Office of Energy & Planning	RSA 14:30-a, VI	1,251,817	-	1,251,817		-	-		1,252
10-203	Jun'10	Office of Energy & Planning	RSA 14:30-a, VI	6,459	-	6,459		1	-	5/31/2013	6
10-204	Jun'10	Office of Energy & Planning	RSA 14:30-a, VI	11,696,202	-	11,696,202		-	-		14,114
		Office of Energy & Planning Total		47,029,117	-	47,029,117					49,447
09-389	Dec'09	Public Utilities Commission	RSA 14:30-a, VI	195,487	-	195,487		3	-	12/31/2012	195
10-112	April'10	Public Utilities Commission	RSA 14:30-a, VI	-	280,000	280,000	funds received from Office of Energy & Planning, FIS 12-057 transfers funds between class lines	-	-		280
10-144	May'10	Public Utilities Commission	RSA 14:30-a, VI	-	30,000	30,000	funds received from Office of Energy & Planning	-	-		30
10-145	May'10	Public Utilities Commission	RSA 14:30-a, VI	(77,401)	-	(77,401)		-	-		(77)
11-089	March'11	Public Utilities Commission	RSA 14:30-a, VI	(7,227)	-	(7,227)		-	-		
		Public Utilities Commission Total		110,859	310,000	420,859					428
09-318	Sept'09	Resources & Economic Development	RSA 14:30-a, VI	-	250,000	250,000	funds received from the Office of Economic Stimulus; FIS 12-123 reallocates \$26,000 for best utilization of funds	1	-	6/30/2012	-
09-354	Oct'09	Resources & Economic Development	RSA 14:30-a, VI	-	70,874	70,874	funds received from the Office of Economic Stimulus	1	-	9/30/2010	-

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								Full-Time	Part-Time		
		Resources & Economic Development Total		-	320,874	320,874					-
09-290	Aug'09	Safety, Department of	RSA 14:30-a, VI	-	69,755	69,755	funds received	1	-	6/30/2012	-
09-342	Oct'09	Safety, Department of	RSA 14:30-a, VI	-	244,033	244,033	funds received from the Department of Justice; FIS 12-167 extends end date for grant and the 2 positions approved in original item	2	-	6/30/2012	-
09-347	Oct'09	Safety, Department of	RSA 14:30-a, VI	-	87,362	87,362	funds received from the Office of Economic Stimulus; extends the end date for grant and for position approved in original item.	1	-	6/30/2012	-
		Safety, Department of Total		-	401,150	401,150					-
09-371	Dec'09	Transportation, Department of	RSA 14:30-a, VI	310,070	-	310,070		-	-		-
10-121	April'10	Transportation, Department of	RSA 14:30-a, VI	1,304,433	-	1,304,433		-	-		-
		Transportation, Department of Total		1,614,503	-	1,614,503					-
10-010	Jan'10	Treasury Department	RSA 14:30-a, VI	400,000	-	400,000		-	-		-
		Treasury Department Total		400,000	-	400,000					-
		FY 2010 Total		\$ 263,601,262	\$ 2,857,433	\$ 266,458,695		22	4		\$ 200,246
FISCAL YEAR 2011											
09-222	Jun'09	Administrative Services, Department of	RSA 14:30-a, VI	-	220,205	220,205	funds received from Office of Energy & Planning	-	-		-
10-046	Feb'10	Administrative Services, Department of	RSA 14:30-a, VI	-	4,786	4,786	funds received from Office of Energy & Planning	-	-		-
10-300	Oct'10	Administrative Services, Department of	RSA 14:30-a, VI	82,124	-	82,124		-	-		-
		Administrative Services, Department of Total		82,124	224,991	307,115		-	-		-
09-223	Jun'09	Cultural Resources, Department of	RSA 14:30-a, VI	37,992	-	37,992		-	-		38
		Cultural Resources, Department of Total		37,992	-	37,992		-	-		38
09-241	Jul'09	DHHS-Division of Community-Based Care	Ch 144:39, II, L'09	2,306,161	-	2,306,161		-	-		2,306
09-252	Aug'09	DHHS-Division of Community-Based Care	Ch 144:39, II, L'09	54,265	-	54,265		-	-		54
09-326	Sept'09	DHHS-Division of Community-Based Care	Ch 144:39, II, L'09	1,697,594	-	1,697,594		-	-		1,698
09-394	Dec'09	DHHS-Division of Community-Based Care	Ch 144:39, II, L'09	(1,001,000)	-	(1,001,000)		-	-		(1,000)
11-092	March'11	DHHS-Division of Community-Based Care	Ch 144:39, II, L'09	2,890,497	-	2,890,497	the non-arr fed	-	-		-
11-100	March'11	DHHS-Division of Community-Based Care	Ch 144:39, II, L'09	8,761,984	-	8,761,984		-	-		8,762
11-101	March'11	DHHS-Division of Community-Based Care	Ch 144:39, II, L'09	17,439,803	-	17,439,803		-	-		17,440

AMERICAN RECOVERY AND REINVESTMENT ACT (ARRA)
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Fiscal Committee Approvals Through Meeting of 11/22/13

Item #	Meeting	Department	Chapter / RSA Reference	Federal	Other	Total	Comments	Temporary Positions Established		Position End Date	Audit Fund Set-Aside
								Full-Time	Part-Time		
11-125	March'11	DHHS-Division of Community-Based Care	Ch 144:39,II, L'09	1,901,509	-	1,901,509	Item included non ARRA federal funds that are accounted for on additional revenues	-	-		-
		DHHS-Division of Community-Based Care Total		34,050,813	-	34,050,813		-	-		29,260
11-036	Jan'11	DHHS-Bureau of Elderly and Adult Services	Ch 144:39,II, L'09	17,241,609	-	17,241,609		-	-		17,224
11-036	Jan'11	DHHS-Bureau of Elderly and Adult Services	Ch 144:39,II, L'09	26,398,707	-	26,398,707		-	-		26,372
11-191	June'11	DHHS-Bureau of Elderly and Adult Services	Ch 144:39,II, L'09	696,933	-	696,933		-	-		697
		DHHS-Bureau of Elderly and Adult Services Total		44,337,248	-	44,337,248		-	-		44,293
09-244	Aug'09	DHHS-Division of Family Assistance	Ch 144:39,II, L'09	1,237,500	-	1,237,500		-	-		1,238
09-294	Aug'09	DHHS-Division of Family Assistance	Ch 144:39,II, L'09	115,404	-	115,404		-	-		-
10-247	Sept'10	DHHS-Division of Family Assistance	Ch 144:39,II, L'09	3,763	-	3,763		-	-		-
		DHHS-Division of Family Assistance Total		1,356,667	-	1,356,667		-	-		1,238
		DHHS-Division for Children, Youth & Families	Ch 144:39,II, L'09	664,277	-	664,277		-	-		-
11-035	Jan'11	DHHS-Division for Children, Youth & Families	Ch 144:39,II, L'09	2,542,054	-	2,542,054		-	-		2,543
11-171	June'11	DHHS-Division for Children, Youth & Families	Ch 144:39,II, L'09	2,472,621	-	2,472,621		-	-		2,543
		DHHS-Division for Children, Youth & Families Total		5,678,952	-	5,678,952		-	-		5,086
10-090	Mar'10	DHHS-Office of Improvement, Integrity, & Info.	Ch 144:39,II, L'09	2,423,494	-	2,423,494		-	-		2,423
		DHHS-Office of Improvement, Integrity, & Info. Total		2,423,494	-	2,423,494		-	-		2,423
09-315	Sept'09	DHHS-Division of Public Health Services	Ch 144:39,II, L'09	341,595	-	341,595		-	-		341
09-325	Sept'09	DHHS-Division of Public Health Services	Ch 144:39,II, L'09	345,818	-	345,818		-	-		346
10-092	April'10	DHHS-Division of Public Health Services	Ch 144:39,II, L'09	536,704	-	536,704		-	-		536
10-128	May'10	DHHS-Division of Public Health Services	Ch 144:39,II, L'09	98,038	-	98,038		-	-		98
		DHHS-Division of Public Health Services Total		1,322,155	-	1,322,155		-	-		1,321
10-287	Oct'10	DHHS-Medicaid Business and Policy	Ch 144:39,II, L'09	212,493	-	212,493		-	-		212
10-345	Nov'10	DHHS-Medicaid Business and Policy	Ch 144:39,II, L'09 Ch 144:212, L'09	10,395,814	-	10,395,814	Uncompensated Care Fund "DSH"	-	-		10,396
11-074	Feb'11	DHHS-Medicaid Business and Policy	Ch 144:39,II, L'09	36,614,605	-	36,614,605		-	-		36,578
		DHHS-Medicaid Business and Policy Total		47,222,912	-	47,222,912		-	-		47,186
09-130	April'09	Education, Department of	RSA 14:30-a, VI	50,000	-	50,000		-	-		-
09-131	April'09	Education, Department of	RSA 14:30-a, VI	1,000,000	-	1,000,000		-	-		-
09-187	May'09	Education, Department of	RSA 14:30-a, VI	15,310	-	15,310		-	-		-
09-188	May'09	Education, Department of	RSA 14:30-a, VI	-	1,016,418	1,016,418	funds received from Labor-Workforce Opportunity Council	-	-		-
09-266	Aug'09	Education, Department of	RSA 14:30-a, VI	42,713	-	42,713		-	-		43
09-267	Aug'09	Education, Department of	RSA 14:30-a, VI	121,457	-	121,457		-	-		122
09-330	Oct'09	Education, Department of	RSA 14:30-a, VI	977,008	-	977,008		-	-		976
10-004	Jan'10	Education, Department of	RSA 14:30-a, VI	1,085,349	-	1,085,349		-	-		3,209
10-202	Jun'10	Education, Department of	RSA 14:30-a, VI	15,473,827	-	15,473,827	FIS 12-026 transfers \$49,811 between class lines; FIS 12-306 extends end date	1	-	9/30/2011	15,474

AMERICAN RECOVERY AND REINVESTMENT ACT (ARRA)
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Item #	Meeting	Department	Chapter / RSA Reference	Federal	Other	Total	Comments	Temporary Positions Established		Position End Date	Audit Fund Set-Aside
								Full-Time	Part-Time		
10-237	Jul'10	Education, Department of	RSA 14:30-a, VI	2,645,093	-	2,645,093	FIS 12-283 transfers \$4552 between class lines and extends end date to 6/30/13	2	-	6/30/2012	2,645
11-086	March'11	Education, Department of	RSA 14:30-a, VI	808,155	-	808,155		-	-		-
11-087	March'11	Education, Department of	RSA 14:30-a, VI	23,730,632	-	23,730,632		-	-		-
		Education, Department of Total		45,949,544	1,016,418	46,965,962		3	-		22,469
11-141	May'11	Employment Security, Department of	RSA 14:30-a, VI	-	561,450	561,450	funds received from DRED	-	-		561
		Employment Security, Department of Total		-	561,450	561,450		-	-		561
10-278	Sept'10	Environmental Services, Department of	RSA 14:30-a, VI	78,217	-	78,217		-	-		-
		Environmental Services, Department of Total		78,217	-	78,217		-	-		-
09-262	Aug'09	Information Technology, Department of	RSA 14:30-a, VI	-	25,000	25,000	funds received from the Office of Economic Stimulus	-	-		-
		Information Technology, Department of Total		-	25,000	25,000		-	-		-
09-225	Jun'09	Justice, Department of	RSA 14:30-a, VI	220,220	-	220,220		-	-		220
09-227	Jun'09	Justice, Department of	RSA 14:30-a, VI	2,089,713	-	2,089,713		-	-		2,090
09-228	Jun'09	Justice, Department of	RSA 14:30-a, VI	353,735	-	353,735	FIS 11-085 chang	-	-		354
		Justice, Department of Total		2,663,668	-	2,663,668		-	-		2,664
09-111	April'09	Labor, Department of	RSA 14:30-a, VI	154,300	-	154,300		-	-		154
09-112	April'09	Labor, Department of	RSA 14:30-a, VI	312,748	-	312,748		-	-		313
09-113	April'09	Labor, Department of	RSA 14:30-a, VI	364,754	-	364,754		-	-		365
		Labor, Department of Total		831,802	-	831,802		-	-		832
09-240	Jun'09	Office of Economic Stimulus	RSA 14:30-a, VI	10,421,276	-	10,421,276		-	-		10,420
09-353	Oct'09	Office of Economic Stimulus	RSA 14:30-a, VI	(9,510,991)	-	(9,510,991)		-	-		(9,510)
10-062	Feb'10	Office of Economic Stimulus	RSA 14:30-a, VI	68,890	-	68,890		-	-		-
		Office of Economic Stimulus Total		979,175	-	979,175		-	-		910
09-182	May'09	Office of Energy & Planning	RSA 14:30-a, VI	547,941	-	547,941		-	-		548
		Office of Energy & Planning Total		547,941	-	547,941		-	-		548
09-345	Oct'09	Office of Energy and Planning	RSA 14:30-a, VI	156,393	-	156,393		-	-		156
09-346	Oct'09	Office of Energy and Planning	RSA 14:30-a, VI	102,883	-	102,883		-	-		103
09-384	Dec'09	Office of Energy and Planning	RSA 14:30-a, VI	5,910	-	5,910	FIS 11-140 request to move funds between class lines	-	-		6
10-203	Jun'10	Office of Energy and Planning	RSA 14:30-a, VI	9,616,302	-	9,616,302	FIS 13-051 reallocates \$136,200 between expenditure classes and extends the completion date from 5/31/13 to 9/30/13.	-	-		9,616
10-204	Jun'10	Office of Energy and Planning	RSA 14:30-a, VI	1,396,892	-	1,396,892		-	-		1,403

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Item #	Meeting	Department	Chapter / RSA Reference	Federal	Other	Total	Comments	Temporary Positions Established		Position End Date	Audit Fund Set-Aside
								Full-Time	Part-Time		
10-265	Sept'10	Office of Energy and Planning	RSA 14:30-a, VI	102,504	-	102,504	FIS 11-134 request to move funds between class lines; FIS 12-255 request to move funds between class lines and extend end date	-	-		-
10-280	Sept'10	Office of Energy and Planning	RSA 14:30-a, VI	-	-	-		2	-	4/30/2012	-
10-323	Nov'10	Office of Energy and Planning	RSA 14:30-a, VI	2,565,000	-	2,565,000	FIS 11-113 reallocates funds in FY 11 and FY 12; FIS 12-054 transfers between class lines and extends end date.	-	-		-
Office of Energy and Planning Total				13,945,884	-	13,945,884		2	-		11,284
09-389	Dec'09	Public Utilities Commission	RSA 14:30-a, VI	299,603	-	299,603		-	-		300
10-112	April'10	Public Utilities Commission	RSA 14:30-a, VI	-	140,000	140,000	funds received from Office of Energy & Planning	-	-		140
10-144	May'10	Public Utilities Commission	RSA 14:30-a, VI	-	350,000	350,000	funds received from Office of Energy & Planning	-	-		350
10-145	May'10	Public Utilities Commission	RSA 14:30-a, VI	22,779	-	22,779		-	-		23
11-069	March'11	Public Utilities Commission	RSA 14:30-a, VI	(22,094)	-	(22,094)		-	-		-
Public Utilities Commission Total				300,288	490,000	790,288		-	-		813
09-318	Sept'09	Resources & Economic Development	RSA 14:30-a, VI	-	250,000	250,000	funds received from the Office of Economic Stimulus	-	-		-
10-266	Sept'10	Resources & Economic Development	RSA 14:30-a, VI	972,474	-	972,474		-	-		-
11-029	Jan'11	Resources & Economic Development	RSA 14:30-a, VI	-	71,041	71,041	funds received from UNH to support broadband director pos	1	-		-
11-141	May'11	Resources & Economic Development	RSA 14:30-a, VI	561,450	-	561,450	FIS 13-010 extends end date for both DRED and DES to March 31, 2013; FIS 13-077 extends end date to 9/30/13.	-	-		-
Resources & Economic Development Total				1,533,924	321,041	1,854,965		1	-		-
09-290	Aug'09	Safety, Department of	RSA 14:30-a, VI	-	92,428	92,428	funds received from the Department of Justice	-	-		-

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Item #	Meeting	Department	Chapter / RSA Reference	Federal	Other	Total	Comments	Temporary Positions Established		Position End Date	Audit Fund Set-Aside
								Full-Time	Part-Time		
09-342	Oct'09	Safety, Department of	RSA 14:30-a, VI	-	267,533	267,533	funds received from the Department of Justice	-	-		-
09-347	Oct'09	Safety, Department of	RSA 14:30-a, VI	-	98,294	98,294	funds received from the Office of Economic Stimulus, FIS 13-023 reallocates \$29,300 of funds and accepts another \$28,815 of funds from NHDOJ	1	-		-
11-177	June'11	Safety, Department of	RSA 14:30-a, VI	-	350,691	350,691	funds received from UNH to hire 2 temp fulltime microwave techs	-	2		-
		Safety, Department of Total		-	808,946	808,946		1	2		-
10-121	April'10	Transportation, Department of	RSA 14:30-a, VI	3,130,638	-	3,130,638		-	-		-
11-183	June'11	Transportation, Department of	RSA 14:30-a, VI	-	5,510,875	5,510,875	funds received from UNH	-	-		-
		Transportation, Department of Total		-	5,510,875	5,510,875		-	-		-
10-010	Jan'10	Treasury Department	RSA 14:30-a, VI	1,600,000	-	1,600,000		-	-		-
		Treasury Department Total		1,600,000	-	1,600,000		-	-		-
		FY 2011 Total		\$ 208,073,438	\$ 8,958,721	\$ 217,032,159		7	2		\$ 170,926
FISCAL YEAR 2012											
09-222	Jun'09	Administrative Services, Department of	RSA 14:30-a, VI	-	192,889	192,889	funds received from Office of Energy & Planning	-	-		-
		Administrative Services, Department of Total		-	192,889	192,889		-	-		-
09-315	Sept'09	DHHS-Division of Public Health Services	Ch 144:39,II, L'09	75,542	-	75,542		-	-		96
		DHHS-Division of Public Health Services Total		75,542	-	75,542		-	-		96
11-341	Dec'11	DHHS - Office of Information Services	Chapter 224:14, II, Laws of 2011	(494,078)	-	(494,078)		-	-		-
		DHHS - Office of Information Services Total		(494,078)	-	(494,078)		-	-		-
09-267	Aug'09	Education, Department of	RSA 14:30-a, VI	36,436	-	36,436		-	-		36
09-330	Oct'09	Education, Department of	RSA 14:30-a, VI	273,517	-	273,517		-	-		275
10-237	Jul'10	Education, Department of	RSA 14:30-a, VI	5,943,121	-	5,943,121	FIS 13-129 amends by transferring funds between accounts	-	-		5,943
11-255	Sept'11	Education, Department of	RSA 14:30-a, VI	605,624	-	605,624		-	-		-
		Education, Department of Total		6,858,698	-	6,858,698		-	-		6,254
	May'11	Employment Security, Department of	RSA 14:30-a, VI	-	147,000	147,000	funds received from DRED	-	-		147
11-316	Oct'11	Employment Security, Department of	RSA 14:30-a, VI	58,000	-	58,000		-	-		58
		Employment Security, Department of Total		58,000	147,000	205,000		-	-		205
09-227	Jun'09	Justice, Department of	RSA 14:30-a, VI	1,767,579	-	1,767,579		-	-		1,769
		Justice, Department of Total		1,767,579	-	1,767,579		-	-		1,769

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Item #	Meeting	Department	Chapter / RSA Reference	Federal	Other	Total	Comments	Temporary Positions Established		Position End Date	Audit Fund Set-Aside
								Full-Time	Part-Time		
09-182	May'09	Office of Energy & Planning	RSA 14:30-a, VI	466,891	-	466,891		-	-		467
		Office of Energy & Planning Total		466,891	-	466,891		-	-		467
09-345	Oct'09	Office of Energy and Planning	RSA 14:30-a, VI	156,393	-	156,393		-	-		156
09-346	Oct'09	Office of Energy and Planning	RSA 14:30-a, VI	121,302	-	121,302		-	-		121
09-384	Dec'09	Office of Energy and Planning	RSA 14:30-a, VI	4,273	-	4,273		-	-		4
10-203	Jun'10	Office of Energy and Planning	RSA 14:30-a, VI	183,721	-	183,721	FIS 13-051 reallocates \$136,200 between expenditure classes and extends the completion date from 5/31/13 to 9/30/13.	-	-		184
10-204	Jun'10	Office of Energy and Planning	RSA 14:30-a, VI	4,929,007	-	4,929,007		-	-		5,742
10-265	Sept'10	Office of Energy and Planning	RSA 14:30-a, VI	(87,579)	-	(87,579)		-	-		-
11-309	Oct'11	Office of Energy and Planning	RSA 14:30-a, VI	-	90,000	90,000	FIS 12-164 reallocates \$4,850 between class lines	-	-		-
12-132	April'12	Office of Energy and Planning	RSA 14:30-a, VI	36,644	-	36,644	FIS 12-256 reallocates \$1,200 between class lines. FIS 13-050 reallocates \$16,575 between class lines and extends the grant from 9/30/12 to 9/30/12.	-	-		-
		Office of Energy and Planning Total		5,343,761	90,000	5,433,761		-	-		6,207
09-318	Sept'09	Resources & Economic Development	RSA 14:30-a, VI	-	250,000	250,000	funds received from the Office of Economic Stimulus	-	-		-
11-141	May'11	Resources & Economic Development	RSA 14:30-a, VI	147,000	-	147,000		-	-		-
11-153	June'11	Resources & Economic Development	RSA 14:30-a, VI	-	75,442	75,442	funds received from UNH to support broadband director pos established in FIS 11-029	-	-		-
		Resources & Economic Development Total		147,000	325,442	472,442		-	-		-
09-389	Dec'09	Public Utilities Commission	RSA 14:30-a, VI	217,901	-	217,901		-	-		218
10-112	April'10	Public Utilities Commission	RSA 14:30-a, VI	-	96,000	96,000	funds received from Office of Energy & Planning	-	-		96

AMERICAN RECOVERY AND REINVESTMENT ACT (ARRA)
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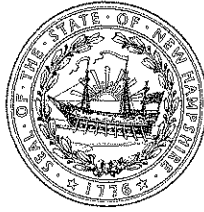
Fiscal Committee Approvals Through Meeting of 11/22/13

Item #	Meeting	Department	Chapter / RSA Reference	Federal	Other	Total	Comments	Temporary Positions Established		Position End Date	Audit Fund Set-Aside
								Full-Time	Part-Time		
10-144	May'10	Public Utilities Commission	RSA 14:30-a, VI	-	120,000	120,000	funds received from Office of Energy & Planning	-	-		120
10-145	May'10	Public Utilities Commission	RSA 14:30-a, VI	43,880	-	43,880		-	-		44
11-089	March'11	Public Utilities Commission	RSA 14:30-a, VI	54,143	-	54,143		-	-		-
12-130	April'11	Public Utilities Commission	RSA 14:30-a, VI	-	100,000	100,000	funds received from OEP	-	-		-
		Public Utilities Commission Total		315,924	316,000	631,924		-	-		478
09-290	Aug'09	Safety, Department of	RSA 14:30-a, VI	-	81,947	81,947	funds received from the Department of Justice	-	-		-
09-342	Oct'09	Safety, Department of	RSA 14:30-a, VI	-	174,360	174,360	funds received from the Department of Justice	-	-		-
09-347	Oct'09	Safety, Department of	RSA 14:30-a, VI	-	91,044	91,044	funds received from the Office of Economic Stimulus	-	-		-
		Safety, Department of Total		-	347,351	347,351		-	-		-
		FY 2012 Total		14,539,318	1,418,682	15,958,000		-	-		15,476
FISCAL YEAR 2013											
11-341	Dec'11	DHHS - Office of Information Services	Chapter 224:14, II, Laws of 2011	963,258	-	963,258		-	-		1,194
		DHHS - Office of Information Services Total		963,258	-	963,258		-	-		1,194
11-141	May'11	Employment Security, Department of	RSA 14:30-a, VI	-	35,550	35,550	funds received from DRED	-	-		36
		Employment Security, Department of Total		-	35,550	35,550		-	-		36
11-141	June '13	Environmental Services, Department of	RSA 14:30-a, VI	-	140,000	140,000	funds received from OEP	-	-		36
		Environmental Services, Department of Total		-	140,000	140,000		-	-		36
09-345	Oct'09	Office of Energy and Planning	RSA 14:30-a, VI	42,078	-	42,078		-	-		43
09-346	Oct'09	Office of Energy and Planning	RSA 14:30-a, VI	25,477	-	25,477		-	-		25
10-203	Jun'10	Office of Energy and Planning	RSA 14:30-a, VI	193,518	-	193,518	FIS 13-051 reallocates \$136,200 between expenditure classes and extends the completion date from 5/31/13 to 9/30/13. FIS 13-100 reallocates \$45,000 between classes.	-	-		194
10-265	Sept'10	Office of Energy and Planning	RSA 14:30-a, VI	(14,925)	-	(14,925)		-	-		-
		Office of Energy and Planning Total		246,148	-	246,148		-	-		262
11-141	May'11	Resources & Economic Development	RSA 14:30-a, VI	35,550	-	35,550		-	-		-

AMERICAN RECOVERY AND REINVESTMENT ACT (ARRA)
STIMULUS FUNDING

Fiscal Committee Approvals Through Meeting of 11/22/13

Item #	Meeting	Department	Chapter / RSA Reference	Federal	Other	Total	Comments	Temporary Positions Established		Position End Date	Audit Fund Set-Aside
								Full-Time	Part-Time		
11-153	June'11	Resources & Economic Development	RSA 14:30-a, VI	-	78,301	78,301	funds received from UNH to support broadband director pos established in FIS 11-029	-	-		-
		Resources & Economic Development Total		35,550	78,301	113,851		-	-		-
09-389	Dec'09	Public Utilities Commission	RSA 14:30-a, VI	70,547	-	70,547		-	-		71
10-145	May'10	Public Utilities Commission	RSA 14:30-a, VI	10,742	-	10,742		-	-		10
11-089	March'11	Public Utilities Commission	RSA 14:30-a, VI	(32,049)	-	(32,049)		-	-		
		Public Utilities Commission Total		49,240	-	49,240		-	-		81
13-088	April'13	Safety, Department of	RSA 14:30-a, VI	-	12,487	12,487	Funds transferred from DOJ	-	-		-
		Safety, Department of Total		-	12,487	12,487		-	-		-
		FY 2013 Total		1,294,196	266,338	1,560,534		-	-		1,609
FISCAL YEAR 2014											
13-199	Sept'13	DHHS - Office of Information Services	RSA 14:30-a, VI	672,781	-	-	Allows for the use of consultants	-	-		672
		DHHS - Office of Information Services Total		672,781	-	-		-	-		672
		FY 2014 Total		672,781	-	-		-	-		672
		CUMULATIVE TOTAL		\$ 799,206,522	\$ 13,726,119	\$ 812,259,861		57	22		\$ 670,507



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State of New Hampshire

OFFICE OF LEGISLATIVE BUDGET ASSISTANT
State House, Room 102
Concord, New Hampshire 03301

RICHARD J. MAHONEY, CPA
Director, Audit Division
(603) 271-2785

January 10, 2014

Fiscal Committee of the General Court
The Honorable Mary Jane Wallner, Chairman
State House
Concord, New Hampshire 03301

Dear Representative Wallner and Members of the Committee,

I am writing to inform you of actions taken under the authority granted to me to approve step increases for employees of the LBA Office. I approved a step increase as of the increment date for the following employee:

Vilay S. Sihabouth: Effective October 30, 2013, a one step increase from grade N-3 to grade N-4.

Date of hire: June 2, 2000 Date of previous increment: October 30, 2010

Please let me know if you have any questions.

Sincerely,

A handwritten signature in black ink that reads "Michael W. Kane".

Michael W. Kane
Deputy Legislative Budget Assistant

MWK/plc

JOINT LEGISLATIVE FACILITIES COMMITTEE
 LEGISLATIVE BRANCH
 DETAIL OF BALANCE OF FUNDS AVAILABLE
 FISCAL YEAR 2014
 As of 11/30/2013

Legislative Branch:	Org/	Balance				Encumb rances	Balance Available
	Class	Forward	Appropriation	Income	Transfers		
Senate:	1170						
Personal srvs. - members	011		6,821.00			99.00	6,722.00
Personal srvs. - nonclassified	016		1,704,687.00			627,562.19	1,077,124.81
Current expenses	020		44,308.00			4,439.92	39,868.08
Rents-Leases other than state	022		9,500.00			3,653.65	5,846.35
Equipment	030		1,000.00			575.50	424.50
Telecommunications	039		24,192.00			6,556.71	17,635.29
Legal srvs.& consultants	046		77,000.00			12,982.92	64,017.08
Personal srvs. - temp/app	050		104,919.00			7,848.35	97,070.65
Benefits	060		605,687.00			275,660.46	330,026.54
Employee training	066		100.00				100.00
Travel:							
In state	070		155,000.00			43,837.63	111,162.37
Out of state	080		11,500.00			1,616.50	9,883.50
President's discretionary fund	285		4,499.00			260.00	4,239.00
Contingency	289		1.00				1.00
Total		0.00	2,749,214.00	0.00	0.00	985,092.83	1,764,121.17

Legislative Branch - continued:	Org/ Class	Balance			Transfers	Expenditures	Encumb rances	Balance Available
		Forward	Appropriation	Income				
House	1180							
Personal svcs. - members	011		2,000.00			1,533.00	467.00	
Personal svcs. - nonclassified	016		1,620,378.00			648,886.45	971,491.55	
Current expenses	020		55,000.00			9,060.70	45,939.30	
Rents-Leases Other than State	022		4,200.00			1,672.46	2,527.54	
Maint. Other than bldg/gmd	024		6,000.00				6,000.00	
Equipment	030		3,000.00				3,000.00	
Telecommunications	039		30,000.00			11,276.86	18,723.14	
Consultants	046		80,000.00				80,000.00	
Personal svcs. - temp/app	050		260,517.00			37,061.70	223,455.30	
Benefits	060		779,846.00			260,235.14	519,610.86	
Employee training	066		300.00				300.00	
Travel:								
in state	070		1,100,000.00			225,120.82	874,879.18	
Out of state	080		100,000.00			24,667.15	75,332.85	
Speaker's special fund	286		6,000.00			1,710.16	4,289.84	
Democratic Leader's Account	287		3,500.00			120.00	3,380.00	
Republican Leader's Account	288		3,500.00			430.17	3,069.83	
Total		0.00	4,054,241.00	0.00	0.00	1,221,774.61	2,832,466.39	

Legislative Branch - continued:		Balance			Transfers	Expenditures	Encumb rances	Balance Available
		Forward	Appropriation	Income				
Operations	1160							
Personal svcs. - nonclassified	016		202,620.00			86,940.50	115,679.50	
Current expenses	020		3,000.00			882.11	2,117.89	
Telecommunications	039		9,000.00			3,344.21	5,655.79	
Benefits	060		143,526.00			58,647.73	84,878.27	
Total		0.00	358,146.00		0.00	149,814.55	208,331.45	
Joint Expenses	8677							
Current expenses	020		50,000.00			4,978.07	45,021.93	
Rents-Leases Other Than State	022		10,000.00			1,946.41	8,053.59	
Organizational Dues	026		126,761.00			126,761.00	0.00	
Equipment New/Replacement	030		10,000.00			309.99	9,690.01	
Consultants	046		3,000.00				3,000.00	
Transfer to Other State Agencies	049		3,000.00				3,000.00	
Legislative Printing & Binding	290		285,000.00			17,019.10	267,980.90	
Joint Orientation	291		0.00				0.00	
Total		0.00	487,761.00	0.00	0.00	151,014.57	336,746.43	
Less estimated Revenue		90.12	-12,000.00	1,467.86	(A)		-10,442.02	
Total		90.12	475,761.00	1,467.86	0.00	151,014.57	326,304.41	
Joint Legislative Historical Committee	8870-216	66,475.76	10,000.00			8,495.00	67,980.76	

Legislative Branch - continued:		Balance				Encumb	Balance
		Forward	Appropriation	Income	Transfers		
Visitor's Center:	1229						
Personal svcs. - nonclassified	016		98,260.00			41,792.40	56,467.60
Current Expenses	020		750.00			95.92	654.08
Telecommunications	039		1,100.00			354.35	745.65
Benefits	060		61,711.00			24,369.32	37,341.68
Total		0.00	161,821.00		0.00	66,611.99	95,209.01
Visitor's Ctr. Revolving Fund (G)	1230						
Souvenir Purchases	106	1,166.93	0.00		48,904.00	14,353.91	35,627.02
Revenue	2016	48,904.22	0.00	15,525.67	(48,904.00)		15,525.89
Total		50,071.15	0.00	15,525.67	0.00	14,353.91	51,152.91
Legislative Accounting:	1166						
Personal svcs. - nonclassified	016		201,784.00			84,714.69	117,069.31
Current expenses	020		1,500.00			88.12	1,411.88
Telecommunications	039		900.00			279.48	620.52
Benefits	060		103,325.00			43,934.89	59,390.11
Total		0.00	307,509.00		0.00	129,017.18	178,491.82

Legislative Branch - continued:	Org/ Class	Balance				Encumb rances	Balance Available
		Forward	Appropriation	Income	Transfers		
General Court Info. Systems:	4654						
Personal srvs. - nonclassified	016		376,161.00			132,202.50	243,958.50
Current expenses	020		32,000.00			5,522.08	26,477.92
Technology - Hardware	037		80,000.00			4,348.61	75,651.39
Technology - Software	038		90,000.00			7,733.34	81,793.59
Telecommunications	039		2,500.00			760.11	1,739.89
Benefits	060		176,834.00			56,727.68	120,106.32
Total		0.00	757,495.00		0.00	207,294.32	549,727.61
Protective Services:	1164						
Personal srvs. - nonclassified	016		361,068.00			150,592.81	210,475.19
Current expenses	020		2,700.00			11.54	2,688.46
Telecommunications	039		4,300.00			1,752.85	2,547.15
Benefits	060		205,406.00			81,632.58	123,773.42
Total		0.00	573,474.00		0.00	233,989.78	339,484.22

Legislative Branch - continued:		Balance			Transfers	Expenditures	Encumb rances	Balance Available
		Forward	Appropriation	Income				
Health Services:	1165							
Current expenses	020		1,500.00			495.19	1,004.81	
Telecommunications	039		500.00			192.95	307.05	
Personal srvs. - temp/app	050		57,428.00			15,461.40	41,976.60	
Benefits	060		4,393.00			1,182.03	3,210.97	
Total		0.00	63,821.00		0.00	17,321.57	46,499.43	
Legislative Services:	1270							
Personal srvs. - nonclassified	016		1,538,188.00			629,880.18	908,307.82	
Current expenses	020		19,300.00			5,433.05	13,866.95	
Rents-Leases other than State	022		5,500.00			2,145.00	3,355.00	
Telecommunications	039		7,000.00			2,623.26	4,376.74	
Personal srvs. - temp/app	050		25,000.00			3,193.57	21,806.43	
Benefits	060		692,823.00			276,348.62	416,474.38	
Employee training	066		1,500.00				1,500.00	
Printing and binding	290		8,000.00			2,031.64	5,968.36	
Total		0.00	2,297,311.00		0.00	921,655.32	1,375,655.68	
Less estimated revenue	009/2045	-53.32	-1,000.00	130.00	⊖		-923.32	
Total		-53.32	2,296,311.00	130.00	⊖	921,655.32	1,374,732.36	

		Balance				Encumb	Balance	
Legislative Branch - continued:		Forward	Appropriation	Income	Transfers	Expenditures	ances	Available
Budget Division:	1221							
Personal svcs. - nonclassified	016		637,469.00			288,199.20		349,269.80
Current expenses	020		10,967.00			2,328.38		8,638.62
Rents-Leases other than State	022		6,000.00			2,418.75		3,581.25
Organizational Dues	026		100.00		1,000.00	1,000.00		100.00
Equipment	030		2,500.00		2,500.00	3,586.55		1,413.45
Telecommunications	039		3,033.00			1,331.51		1,701.49
Consultants	046		15,000.00					15,000.00
Personal svcs. - temp/app	050		88,055.00		(6,000.00)			82,055.00
Benefits	060		218,925.00			123,444.92		95,480.08
Employee training	066		3,500.00			319.95		3,180.05
In state travel	070		500.00					500.00
Out of state travel	080		100.00		2,500.00	1,150.68		1,449.32
Total		0.00	986,149.00		0.00	423,779.94		562,369.06

Legislative Budget Assistant:

Audit Division:	1222							
Personal svcs. - nonclassified	016		2,080,979.00			741,071.13		1,339,907.87
Current expenses	020		12,860.00			4,530.60		8,329.40
Rents-Leases other than State	022		100,000.00			97,524.00		2,476.00
Equipment	030		20,000.00			1,288.36		18,711.64
Telecommunications	039		2,040.00			1,157.99		882.01
Consultants	046		570,000.00			74,500.00		495,500.00
Personal svcs. - temp/app	050		49,948.00			5,836.65		44,111.35
Benefits	060		815,560.00			352,566.87		462,993.13
Employee training	066		40,000.00		(4,000.00)	2,527.00		33,473.00
In state travel	070		15,000.00			2,468.85		12,531.15
Out of state travel	080		100.00		4,000.00	3,214.44		885.56
Total		0.00	3,706,487.00	0.00	0.00	1,286,685.89		2,419,801.11
Less estimated revenue	006/1251	556,382.00	-488,205.00		(D)			68,177.00
Total		556,382.00	3,218,282.00	0.00	0.00	1,286,685.89		2,487,978.11

Total		672,965.71	16,012,224.00	17,123.53	0.00	5,816,901.46	563.07	10,884,848.71
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(A) Proceeds from the sale of legislative subscriptions, advance sheets, permanent journals, and rosters, and royalties from Lexis Law Publishing.

(B) Pursuant to Chapter 177:151 State House Visitor's Center Revolving Fund established - Proceeds from sales of souvenirs and expenditures from souvenir purchases transferred to V.C. Revolving account effective 10/18/06.

(C) Proceeds from sales of photocopies and rulemaking registers.

(D) Auditing fees



State of New Hampshire

FIS 13 266

DEPARTMENT OF ADMINISTRATIVE SERVICES
OFFICE OF THE COMMISSIONER
25 Capitol Street – Room 120
Concord, New Hampshire 03301

LINDA M. HODGDON
Commissioner
(603) 271-3201

JOSEPH B. BOUCHARD
Assistant Commissioner
(603) 271-3204

November 15, 2013

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

INFORMATIONAL ITEM

In accordance with RSA 21-I: 36-b, Chapter 144:33, Laws of 2013, established the Cost Containment Commission to Review Retiree health Care Benefits for Employees Hired after July 1, 2013. As the Chair of this Commission, I respectfully submit the attached final report for your information.

EXPLANATION

RSA 21-I: 36-b, requires that the Commission report its findings and recommendations to the Governor and the Fiscal Committee of the General Court by November 15, 2013.

Respectfully Submitted

Linda M. Hodgdon
Commissioner



State of New Hampshire

DEPARTMENT OF ADMINISTRATIVE SERVICES
OFFICE OF THE COMMISSIONER
25 Capitol Street - Room 120
Concord, New Hampshire 03301

LINDA M. HODGDON
Commissioner
(603) 271-3201

JOSEPH B. BOUCHARD
Assistant Commissioner
(603) 271-3204

November 19, 2013

Her Excellency, Governor Margaret Wood Hassan
State House
Concord, NH 03301

RE: Retiree Cost Containment Commission

Dear Governor Hassan:

As Chair of the Cost Containment Commission to Review Retiree Health Care Benefits for Employees Hired after July 1, 2013, I am pleased to submit the enclosed final report to you.

Chapter 144:33, Laws of 2013, established this Commission to study the question whether the State of New Hampshire should continue to offer retiree health benefits to new hires. This question is important to the state as an employer that competes to recruit and retain a quality workforce. It is also an extremely important question relevant to the state's financial health.

Governmental Accounting Standards Board (GASB) Statement No. 45 requires the state to determine on an actuarial basis and to disclose in its financial statements the cost of retiree health benefits and obligations for other post employment benefits (OPEB). In the last several months, the Department of Administrative Services working with the state's actuary, The Segal Company, conducted this actuarial analysis as of December 31, 2012 for inclusion in the state's FY 2013 Comprehensive Annual Financial Report and determined that the state's unfunded actuarial accrued liability totals \$1.9 billion. Segal also conducted a thirty year projection of this unfunded actuarial accrued liability and estimated the state's OPEB liability to be \$6.8 billion by 2042.

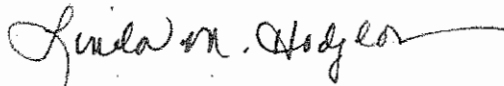
I am very pleased to report that the Commission continued to work with Segal to understand this long term liability and discovered that the \$6.8 billion 30-year projection needed to be refined to reflect changes made to retiree health benefit eligibility laws, most recently as 2011. Under the current law, a Group I employee hired after July 1, 2011 is not eligible for retiree health benefits unless the individual has 20 years of state service and is age 65. Not only does the current retiree health benefit eligibility law require a significant period of state service, but a long-serving employee has to be age 65, the age when the individual is also eligible for Medicare, to receive retiree health benefits. This eligibility law has very positive financial benefits to the state since employees hired since its passage will only be eligible to receive a Medicare wrap retiree health benefit that we refer to as a Medcomp plan; significantly, the cost of the Medcomp plan is 1/3 the cost of the retiree health benefit that is available to today's retirees who are under the age 65 and not Medicare eligible. The Commission's work with Segal to incorporate the eligibility law changes refined the 30-year projection of the state's OPEB liability from \$6.8 billion to \$5.8 billion, a reduction of \$1 billion. We encourage more analysis to see if changes already passed into law don't further reduce this liability.

Her Excellency, Governor Margaret Wood Hassan
Re: Retiree Cost Containment Commission
November 19, 2013
Page 2 of 2

Unfortunately, the establishing law provided very little time for the Commission to complete its study from the point commission members were named to serve to November 15th, the termination of the Commission. I am pleased the members met seven times in that condensed timeframe and identified a significant number of possible solutions to consider going forward. The Commission acknowledges in its report that it was only able to partially study the many issues it identified and therefore suggests issues for a successor commission to study. The Commission's report specifically recommends that any future commission should be provided the time and financial resources to adequately and fairly study the many important issues that should be considered when deciding whether to continue to offer newly hired employees the existing retiree health benefit or other alternative retiree health benefit support. In addition to attaching the report, I have attached the minutes of the Commission's meetings as well as the information and data that the Commission considered.

Please contact me if you have any questions.

Sincerely,



Linda M. Hodgdon

cc: Fiscal Committee members
Commission members

**Commission to Review Retiree Health Care Benefits
For Employees Hired after July 1, 2013**

FINAL REPORT

Background

Chapter 144:33, Laws of 2013, created RSA 21-I: 36-b and provided, “there is established a commission to review retiree health care benefits for employees hired after July 1, 2013 in light of the implementation of the Patient Protection and Affordable Care Act (ACA) and recommend a cohesive plan outlining cost effective health plan models effective for such new employees.” The Commission is required to report its findings and recommendations to the Governor and the Fiscal Committee of the General Court by November 15, 2013.

The Commission had limited time and resources to fulfill its charge and met seven times over a period of seven weeks to review the current retiree health care plan model and to consider cost effective health plan models for new employees. The Commission reviewed data provided by the Department of Administrative Services (DAS) regarding retiree health benefits costs, the current retiree health care model and enrollment counts, conferenced with state contracted actuaries who conduct the actuarial valuation of the state’s long term Other Post Employment Benefits (OPEB) liability, heard from a representative of the Department of Insurance about the ACA and the Marketplace exchange that is offering new health insurance products to New Hampshire citizens, and considered financial vehicles available that people may use to pre-fund future retiree health care costs. For purposes of its analysis, the Commission assumed that the law authorizing retiree health benefits for state employees would change no earlier than the end of the upcoming legislative session, or July 1, 2014. A summary of the Commission’s work follows.

A. Health Care Public Policy

In 1985, the New Hampshire legislature passed a series of laws relative to employee and retiree health benefits and their administration. RSA 21-I: 26 articulates the purpose and policy that is the public rationale for providing retiree health insurance coverage for state employees and their spouses:

“21-I: 26 Purpose and Policy. – This subdivision is to provide permanent group life insurance and group hospitalization, hospital medical care, surgical care and other medical and surgical benefits for New Hampshire state employees and their families, and retired state employees and their spouses. In view of the accepted value of group insurance to the well-being and efficiency of employees on the part of small and large private employers and the other 5 New England states in obtaining benefits of this type of insurance for their employees, the state of New Hampshire implements this subdivision in order that the state shall compare favorably to the standards now commonly accepted by private employers and the state employees in the other 5 New England states by making available to state employees and their families and retired state employees and

their spouses permanent group life insurance and group hospitalization, hospital medical care, surgical care and other medical and surgical insurance benefits.”

Much has changed in the twenty-eight years since passage of RSA 21-I:26. From a public policy and legislative perspective, the 2010 passage of the ACA changed the health insurance landscape in many ways, most notably offering people a new way to access and purchase health insurance. The New Hampshire Health Insurance Marketplace (Marketplace) is an online exchange where retirees under the age of 65 may purchase health insurance. In fact, by going through the Marketplace, an individual, depending on his or her income, may be eligible for a subsidy or be directed to apply for Medicaid. While the Marketplace does not offer options to retirees over the age of 65 and others who are Medicare eligible, there are many options in the general market for purchasing health care coverage that is supplemental to Medicare, commonly referred to as a “Medicomp Wrap” benefit.

B. The State Employee Workforce

Against this backdrop of a health insurance Marketplace that provides individuals with access to health insurance options, the Commission looked at today’s state employee workforce. Based on the 2012 State of New Hampshire, Division of Personnel Annual Report, the average full-time state employee has twelve years of service, is 47 years old and earns \$46,559 in annual wages. From an employee recruitment perspective, over 80% of state employee positions require at least a high school degree and more than 50% require a postsecondary degree. More than 40% of state employee job applicants are between the ages of 41-50. From an employee retention perspective, 52% of the employees leaving state service had less than ten years of service. State employees today have more income earning potential and career mobility. Given the legislatively authorized retirement eligibility changes described later in this report, the number of newly hired workers that will remain in employ until retirement is likely to be significantly different than one would project for the current workforce.

C. The Cost of Retiree Health Benefits

The State of New Hampshire has long provided retiree health benefits to employees who meet age and years of state service eligibility requirements. Even though the State receives from its federal partners on a per employee basis approximately \$10 million per year for post employment retiree health benefits, the state has never pre-funded the cost of retiree health benefits during the employee’s active service. The use of this federal revenue is unrestricted and the state routinely reallocates these funds to other funding needs in the budget.

Rather than pre-funding retiree health care costs, the state pays for the retiree benefit when the employee retires and incurs medical costs, a funding method referred to as “pay-go”. Further, in 2004, the state became self insured and annually adjusts the premiums, or working rates, to cover medical claims and modest administrative costs. The legislature’s decision to move from fully insured to self insured was made primarily to lower the state’s health care inflation trend for active and retiree health care costs. Notably, this decision has successfully achieved the desired outcomes. Today the active and retiree health plans consistently experience health care inflation trends that are significantly below the national average.

Effective July 1, 2007, governmental accounting rules applicable to the State of New Hampshire changed. Governmental Accounting Standards Board (GASB) Statement No. 45 requires the state to determine on an actuarial basis and disclose in its financial statements the cost of retiree health benefits and obligations for other post employment benefits (OPEB), just as it does for its pension plans. This had been established practice in the private sector that now is applied to governments as well. Most recently, the state conducted this OPEB actuarial valuation as of December 31, 2012, and although in FY 2013 the State paid nearly \$50 million in retiree health benefits (with an additional \$20 million in expenditures funded by certain plan participants and other revenue sources), this expenditure fell short of the actuarially calculated Annual Required Contribution (ARC) of approximately \$132.3 million, that would be necessary to begin pre-funding the benefit. GASB requires New Hampshire to amortize the unfunded actuarial accrued liability over a period not to exceed thirty years and this unfunded actuarial accrued liability as of December 31, 2012 totals \$1.9 billion. Thus the state's longstanding policy to provide retiree health care benefits on a "pay-go" basis results in a cost shift to the future.

Although the current OPEB liability represents the state's obligations to current employees and retirees, the state could implement a change that will impact new employees. The state could significantly reduce its ARC by setting aside funds in an OPEB trust to help pay for future retiree health care costs which may one day benefit new employees. In fact, in 2013, the New Hampshire legislature passed a law amending RSA 6:12-c and creating an OPEB trust, (Chapter 144:141, Laws of 2013), but did not provide funding for the trust. The \$10 million per year in federal revenue referenced above could be placed into the OPEB trust to begin to reduce the state's long term unfunded liability, thereby applying the money to the purpose for which it was intended.

As the magnitude of the state's OPEB liability has come to light and been considered, the state has passed a series of laws to limit the state's liability and to study alternatives to offering retiree health benefits to its employees. The state's actuary originally estimated the OPEB liability to be \$6.8 billion by 2042. As this Commission worked with the actuary to project the changes to the State's OPEB liability if retiree health benefits were not offered to new hires, it came to light that the actuary needed to refine its 30 year OPEB projection to take into account the changes to retiree health benefit eligibility described herein. This refined projection resulted in a reduction of the estimate of the state's OPEB liability as of 2042 from \$6.8 billion to \$5.8 billion.

D. Statutory Changes to Retiree Health Benefits Eligibility Laws

The State of New Hampshire has made significant statutory changes to eligibility laws that help to limit its liability for future retiree health benefit costs. For many years, Group I employees, the largest group of state employees, were required to have ten (10) years of service in order to be eligible for retiree health benefits provided that they received their pensions on a periodic basis rather than in a lump sum, and except for those having thirty (30) years of service, further required the retiree to be at least 60 years old in order to receive retiree health benefits.

These eligibility standards apply to 60% of the current state employee workforce who were hired when they were in place.

The state changed this eligibility standard in 2003, so that an employee hired on or after July 1, 2003 is required to have twenty (20) years of service in order to qualify for retiree health benefits, and except for those having thirty (30) years of service, continued to require the retiree to be at least 60 years old in order to receive retiree health benefits. Close to 40% of the current state employee workforce was hired under these new eligibility standards and will not be eligible to receive retiree health benefits until 2023.

In 2011, the state further restricted the eligibility for retiree health benefits for individuals hired after July 1, 2011 by eliminating the exception for those having thirty (30) years of service, and requiring the individual to have twenty (20) years of service and to be at least age 65 to receive retiree health benefits. This was an important change because retirees in this group will be Medicare eligible, presuming no changes to the age of Medicare eligibility occur, and the state share of the cost of retiree health benefits for someone who is Medicare eligible is one-third the cost of retiree health benefits for the non-Medicare eligible retiree. By 2031, the average state employee newly retiring will receive a retiree health benefit referred to as "Medicomp Wrap" that is supplemental to Medicare.

Group II employees have different eligibility rules for retiree health benefits due to the nature of their careers. For example, these employees do not earn or accrue a Social Security benefit during their Group II career, nor does the State make payments on behalf of these workers toward Social Security. Group II employees hired before July 1, 2010, do not have a minimum state service requirement and are eligible for retiree health benefits upon retirement from the state. In 2010, the state passed a law requiring Group II employees hired on or after July 1, 2010 to have twenty (20) years of state service in order to be eligible for retiree health benefits. In 2011, the law changed again so that Group II employees hired after July 1, 2011, must now have twenty (20) years of state service and be at least 52.5 years old to be eligible for retiree health benefits.

Prior to July 1, 2009, the state paid the full premium for all eligible retirees and their spouses. For the non-Medicare eligible retiree health benefit that the state provides, the retiree and the spouse must now each contribute 12.5% of the premium cost in order to obtain coverage. For the Medicare eligible retiree, the state pays the full cost of the Medicomp wrap coverage, which costs one-third of the amount of the non-Medicare plan, for the retiree and the spouse. In addition, a retiree's dependents may access benefits if the retiree self-pays for dependent coverage.

From an OPEB liability perspective, the 2011 statutory changes to the eligibility requirements for retiree health benefits will reduce the state's long term OPEB obligations. Those eligibility requirements have not been factored into the state's recent OPEB liability calculations, in part because relatively few people have been hired since the new eligibility laws were put into place. It is very important to understand the effects of these statutory changes. A determination whether the changes resulted in the State's intended effect should be made so that the state does not make further changes to benefits that may not be necessary. This Commission

has worked with the State's actuary to review the question of the long-term impact of statutory eligibility changes to retiree eligibility, but further study is required.

The State of New Hampshire's 2012 Comprehensive Annual Financial Report reflects an OPEB liability of \$1.9 billion for Fiscal Year ending 2013. Working with the state's actuary, the Commission studied what the effect to OPEB liability would be if the state did not offer a retiree health benefits to new hires beginning July 1, 2014. This analysis showed that for the first ten years following the policy change to discontinue offering retiree health benefits to new hires the actuarial accrued liability drops modestly, mostly because the retiree health costs during those years are for people who are already receiving the benefit. After a ten year period, however, the actuarial accrued liability begins to reduce more significantly such that after a period of thirty years, the state's OPEB liability drops from \$5.7 billion to \$2.3 billion.

E. Retiree Health Benefits Alternatives and Considerations

Given the changing demographics of the state employee workforce, the availability of new funding vehicles for retiree health care coverage, the availability of new group health insurance products and the OPEB liability that the current pay-go practice has accumulated, it is clear that further research is needed. The options and alternatives presented below are not prioritized and they are not recommendations. They are simply options that this Commission partially studied and believes that successor commissions should study further.

Whether the state maintains the status quo benefit for new hires or pursues changes to the benefit, some specific alternatives that should be further researched include:

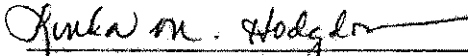
1. Funding future retiree health benefits for new hires throughout their career to ensure OPEB liability does not grow with respect to this benefit for this portion of the state employee workforce.
2. Eliminating the statutory requirement for the state to provide retiree health benefits for new hires and instead provide funding, in an amount to be determined each biennium, to assist the future retiree in funding health care coverage, deductibles, co-pays, or portions thereof.
3. Maintaining the State of New Hampshire's ability to compete with other employers with respect to recruitment and retention of a quality state employee workforce.
 - a. Study the factors that make the state an effective recruiter and allow it to retain its employees.
 - b. Study the effect of changes to eligibility requirements for retiree health benefits on recruitment and retention given that a new hire with limited or no access to retiree health benefits could be working along side an employee who because of date of hire and years of service may have access to retiree health benefits.
 - c. Study the unique recruitment and retention issues that apply to Group II employees.

4. Research options such as a VEBA, HRA or Section 115 trust. Employers, employee representatives, such as unions, and individual accounts are all options that may provide tax sheltered savings alternatives to funding retiree health benefits.
5. Research further changes to eligibility laws. Among the options that should be considered are:
 - a. Increasing eligibility to require more years of state service for Group I and Group II employees
 - b. Providing retiree health benefits only to the state retiree and make spousal coverage only at the expense of the retiree, as is dependent coverage now.
 - c. Tying the age of retiree health benefit eligibility to the "age of Medicare eligibility", rather than to a specific age. This would result in an automatic change in the age of retiree health benefit eligibility in the event of future changes to age of Medicare eligibility and would maintain the contraction of the state's retiree health program to one that only offers the Medcomp wrap coverage.
 - d. Matching spousal coverage eligibility requirements to the same age as is required for the employee/retiree, i.e. age 65 or 52.5 or age of Medicare eligibility.
6. Continue to study the impact of the changes to retiree eligibility on the state's OPEB liability and report on recommendations to contain those costs including some or all of the \$10 million in federal funds being directed to the OPEB trust.
7. Provide any future Commissions with the time and the financial resources to adequately and fairly study the above topics so that it can make soundly formed recommendations for legislative changes.
8. Whether the state should discontinue offering the current retiree health benefit to new employees.

In conclusion, before any future Commission spends time and resources on the above suggestions, make sure there is a clear understanding of the changes to retiree health benefit eligibility already made, particularly in light of the state's interest in and ability to recruit and retain a quality state employee workforce.

Respectfully Submitted,

The undersigned hereby submit this Final Report of the Commission to Review Retiree Health Care Benefits for Employees Hired after July 1, 2013 on this 15th day of November 2013.



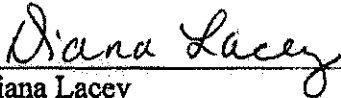
Linda M. Hodgdon, Chair
Commissioner, Department of Administrative Services



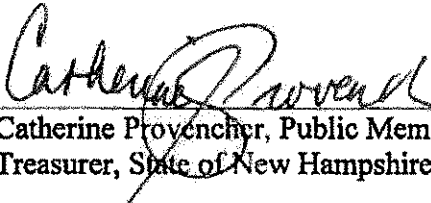
Stephen Arnold
NH State Director, New England Police Benevolent Association



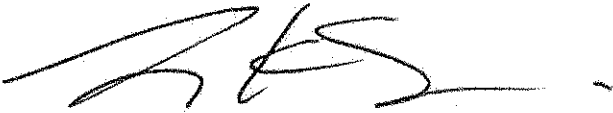
John Beardmore, Public Member
Commissioner, Department of Revenue,



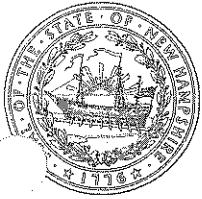
Diana Lacey
President, New Hampshire State Employees Association



Catherine Provencier, Public Member
Treasurer, State of New Hampshire



Lisa Shapiro, PhD, Public Member



State of New Hampshire

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DEPARTMENT OF ADMINISTRATIVE SERVICES
OFFICE OF THE COMMISSIONER
25 Capitol Street – Room 120
Concord, New Hampshire 03301

LINDA M. HODGDON
Commissioner
(603) 271-3201

JOSEPH B. BOUCHARD
Assistant Commissioner
(603) 271-3204

November 8, 2013

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Dear Representative Wallner:

INFORMATIONAL ITEM

In accordance with Chapter 319:32, Laws of 2003, State Employee Health Insurance; Administrative Services Reporting, I respectfully submit this report regarding the self-funded Health Benefits Program.

- A. **Program Activity:** Historically, the Department of Administrative Services (DAS) Health Benefit Program has presented the Health Program Fund Balance on a Cash Basis to the Fiscal Committee. An Accrual Basis Fund Balance is a more reliable and relevant amount. In order to present a Fund Balance that is consistent and comparable over the fiscal year (FY), the Fund Balance will be presented on a cash basis and an accrual basis in the bi-monthly Health Benefit Program reports going forward. The Fund Balance presented below as of September 30, 2013 is on a cash basis and an accrual basis.

Cash Basis: The beginning Cumulative Cash Fund Balance as of July 1, 2013 was \$42.3m. To this balance add \$.9m, which represents Revenue less Expenditures from July 1, 2013 through September 30, 2013. The Ending Cumulative Cash Fund Balance at September 30, 2013 is \$43.2m.

	<i>FY 2013</i> <i>(000's)</i>
Cumulative Cash Fund Balance (<i>July 1, 2013</i>).....	<u>\$ 42,290</u>
Plus: Program Revenue Collected.....	<u>\$ 57,273</u>
Less: Total Expenditures	<u>\$ 56,361</u>
Revenue less Expenditures (<i>July 1 – September 30, 2013</i>).....	<u>\$ 912</u>
Cumulative Cash Fund Balance (<i>September 30, 2013</i>).....	<u>\$ 43,202</u>

Source: NH FIRST

Accrual Basis: The above amounts are cash basis only and do not take into consideration IBNR, statutory reserve, accounts payable or receivables. To arrive at a true fund balance as of September 30, 2013 we must start with the Cumulative Cash Fund Balance as of

Fiscal Committee of the General Court
 November 8, 2013

September 30, 2013 and subtract the IBNR (Incurred but not Reported) reserve and the statutory reserve. Then we must add outstanding receivables earned and realized or realizable and payables incurred as of 9/30/13. The Cumulative Accrual Fund Balance at September 30, 2013 is \$12.8m.

	FY 2013 (000's)
Cumulative Cash Fund Balance (September 30, 2013).....	\$ 43,202
Less: IBNR & Statutory Reserve.....	\$27,891
Add: Program Revenue Earned (estimate as of September 30, 2013).....	\$ 1,750
Less: Program Expenses Incurred (estimate as of September 30, 2013).	\$4,243
Cumulative Accrual Fund Balance (September 30, 2013).....	\$ 12,818
Less: Estimated Transitional Reinsurance Fee (Due January 2015).....	1,814
Estimated Cumulative Fund Balance (September 30, 2013).....	<u>\$11,004</u>

- A. Estimated Cumulative Fund Balance: As indicated above, the Program's cumulative accrual fund balance as of September 30, 2013 is \$12.8m. The surplus balance amount must be reduced by the required 2014 ACA Transitional Fees of an estimated \$1.8m which will be calculated on enrollment during calendar year 2014 and paid out to the federal government in January 2015(see F). When the 2014 ACA Transition Fees are applied, the overall remaining surplus balance of \$11m (total funds) represents approximately 4.3% of the estimated FY2014 annual program expenditures. This \$11m encompasses surplus for retirees and actives.

It is important to note that working rates are set on a calendar year basis based on an average rate for the midpoint of the year. Accordingly, working rates generate a surplus at the beginning of the calendar year that is spent down in the last six months of the year. A surplus at this time is therefore anticipated and necessary, especially as we move toward the end of the calendar year.

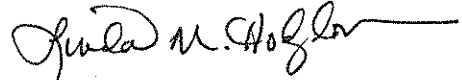
- B. IBNR Reserve: The final IBNR (incurred but not reported) for FY2013 was developed by The Segal Company, which provides actuarial services to the State. The updated IBNR reserve amount was provided in September and was applied to the Program FY 2013 fund balances for financial reporting. The FY2013 IBNR will be applied to the FY2014 fund balance during FY14 as an estimated IBNR reserve. The IBNR reserve decreased slightly from \$13.5m to \$13.3m. The recommended reserve is intended to cover claims reported and not yet paid, as well as claims incurred but not reported.
- C. Statutory Reserve: The overall RSA21-I:30-b statutory reserve for FY 14 decreased from \$14.9m to \$14.6m. DAS calculates the reserve for each health plan as at least 5% of estimated annual claims and administrative costs. The estimated reserve for Retirees for FY14 is \$3.7m and the estimated reserve for Actives for FY14 is \$10.9m.

Fiscal Committee of the General Court
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- D. Working Rates: The working rates for calendar year (CY) 2014 have been developed by the Segal Company. The CY14 rates for actives will increase 3.7% over CY 2013 rates and the CY14 rates for retirees will increase 2.8% over CY2013 rates. The new working rates will be implemented on January 1, 2014.
- E. ACA Transitional Reinsurance Fee: The Affordable Care Act establishes a transitional Reinsurance Program to help stabilize premiums for coverage in the individual market during the years 2014 through 2016. The proposed fee of \$5.25 per member per month times the number of active and non-Medicare eligible plan members during calendar year 2014 is estimated at \$1.8m. The Risk Management Unit will encumber the fee in the LAWSON system in January 2014 to reduce the Health Benefit Fund Surplus in FY14. DAS anticipates a Reinsurance Fee of \$1.2m in FY2015 and \$756k in FY2016.

I am available to address any questions you may have.

Respectfully Submitted,



Linda M. Hodgdon
Commissioner

Attachments

Account	PLAN	FY 2013			ALL FUNDS YTD
	Actives	Fund Balance	Jul-13	Aug-13	
Revenue					
Total Revenue		\$ 12,795,007	\$ 13,296,859	\$ 13,908,424	\$ 40,000,290
Expenditures					
Total Expenditures		\$ 10,888,352	\$ 16,346,103	\$ 12,315,624	\$ 39,550,079
Net Plan Activity		\$ 1,906,655	\$ (3,049,243)	\$ 1,592,800	\$ 450,211
Cummulative Plan Activity	\$ 24,369,598	\$ 26,276,253	\$ 23,227,009	\$ 24,819,809	\$ 24,819,809

Account	Troopers				
Revenue					
Total Revenue		\$ 274,507	\$ 284,194	\$ 291,707	\$ 850,408
Expenditures					
Total Expenditures		\$ 382,266	\$ 266,252	\$ 215,065	\$ 863,583
Net Plan Activity		\$ (107,759)	\$ 17,942	\$ 76,642	\$ (13,175)
Cummulative Plan Activity	\$ 4,129,135	\$ 4,021,376	\$ 4,039,318	\$ 4,115,960	\$ 4,115,960

Account	Retiree - U65				
Revenue					
Total Revenue		\$ 2,722,994	\$ 2,841,026	\$ 2,968,917	\$ 8,532,937
Expenditures					
Total Expenditures		\$ 1,559,654	\$ 3,150,814	\$ 2,299,885	\$ 7,010,353
Net Plan Activity		\$ 1,163,340	\$ (309,787)	\$ 669,032	\$ 1,522,585
Cummulative Plan Activity	\$ 9,397,041	\$ 10,560,381	\$ 10,250,594	\$ 10,919,626	\$ 10,919,626

Account	Retiree - O65				
Revenue					
Total Revenue		2,235,552	2,673,203	2,980,201	7,888,955
Expenditures					
Total Expenditures		2,597,290	3,560,070	2,779,438	8,936,797
Net Plan Activity		(361,737)	(886,867)	200,762	(1,047,842)
Cummulative Plan Activity	4,394,698	4,032,960	3,146,093	3,346,856	3,346,856
Total Retirees	\$ 13,791,739	\$ 14,593,341	\$ 13,396,687	\$ 14,266,482	\$ 14,266,482

Plan Summary Information:

Total Program Revenue					
001 GHRS		16,616,084	16,530,030	16,644,735	\$ 49,790,848.04
005 Cobra/NHRS		1,339,382	1,519,913	1,368,562	\$ 4,227,856.23
006 Rx Rebate		(999,544)	-	851,652	\$ (147,892.46)
007 Interest Earned		-	2,794	-	\$ 2,794.00
008 Employee Contributions		850,871	849,255	844,860	\$ 2,544,986.29
009 Non-GHRS		221,267	193,291	439,440	\$ 853,998.86
Performance Guarantees/Recov		-	-	-	\$ -
Total Combined Revenue		18,028,060	19,095,283	20,149,249	\$ 57,272,590.96
Total Program Expenditures					
HB Employee Salary Costs		-	-	-	\$ -
HB Employee Benefit Costs		-	-	-	\$ -
Medical Claims		12,270,327	16,832,704	12,498,996	\$ 41,602,027
Medical Administration		3,500	1,183,901	548,920	\$ 1,736,321
Enrollment Services		-	-	-	\$ -
Exercise Incentive		-	-	-	\$ -
Consulting		33,312	40,260	6,667	\$ 80,239

Account	PLAN		FY 2013			ALL FUNDS YTD
		Fund Balance	Jul-13	Aug-13	Sep-13	
	Actives					
Pharmacy Claims		2,977,576	5,172,998	4,462,356	\$	12,612,929
Pharmacy Administration		40,174	42,071	39,864	\$	122,109
HRA Claims		58,176	50,778	42,810	\$	151,765
HRA Administration		9,968	-	10,268	\$	20,236
Other Expenses		34,528	525	133	\$	35,186
Assess/Vaccn Fees		-	-	-	\$	-
Total Combined Expenses		15,427,562	23,323,238	17,610,012	\$	56,360,813
Net Plan Fund Activity		2,600,498	(4,227,956)	2,539,236	\$	911,778
Cummulative Net Fund Activity	42,290,472	44,890,970	40,663,014	43,202,251	\$	43,202,251

Less:

Less:

IBNR	(13,327,000)
Statutory Reserve (≥5%)	
Actives	(8,743,230)
Troopers	(2,171,812)
Retirees	(3,649,651)
	<u>\$ 15,310,558</u>

Add Receivables as of 9/30/13	\$ 1,750,000
Less Payables as of 9/30/13	\$ (4,242,739)
	<u>\$ 12,817,818</u>

Less Transitional Reinsurance Fee	\$ (1,814,000)
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Accrual Fund Balance	<u>\$ 11,003,818</u>
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DENTAL

Revenue						
Total DENTAL Revenue - PLAN		849,153	908,282	759,879		2,517,314
Expense						
Total DENTAL Expense - PLAN		739,795	902,744	740,433		2,382,972
Net Plan Fund Activity - PLAN		109,358	5,538	19,446		134,343
Cummulative Fund Balance	1,683,832	1,793,191	1,798,729	1,818,175		1,818,175

Less:

IBNR	(228,000)
Statutory Reserve (≥5%)	(531,055)
	<u>\$ 1,059,120</u>

Add Receivables as of 9/30/13	\$ -
Less Payables as of 9/30/13	\$ (213,069.00)

Accrual Fund Balance	<u>\$ 846,051</u>
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GOVERNOR Margaret Wood Hassan
CHAIRMAN Debra M. Douglas
COMMISSIONER Paul J. Holloway
COMMISSIONER Doug Scamman
EXECUTIVE DIRECTOR Charles R. McIntyre

November 1, 2013

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

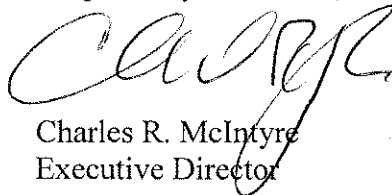
INFORMATIONAL ITEM

Pursuant to Chapter 224:89, Laws of 2011, requesting that the Lottery Commission shall report quarterly to the Fiscal Committee of the General Court on the status of the incentive employee recognition program for the sale of instant tickets. The Lottery Commission is very satisfied with this program.

- Fiscal year 2013 fourth quarter increased \$2,977,139 compared to fourth fiscal quarter of 2012.
- Fiscal year 2013 total annual gross revenue increased \$15,604,379 compared to fiscal year 2012.
- Fiscal year 2014 first quarter decreased \$337,563 compared to the first fiscal quarter of 2013.

We are very pleased to continue this program through fiscal year 2014. Please find attached the data sheets detailing the incentive recognition program for the fiscal periods listed above.

Respectfully submitted,



Charles R. McIntyre
Executive Director

CRM:dc
Attachments

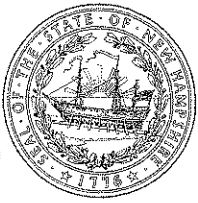


Rep	Goal 8% for bonus or 12.8% for double bonus	Bonus amount	FY12 4th Q Instant sales	FY13 4th Q Instant sales	Additional Instant Sales
Kim Parker 201	5.75		\$4,125,635	\$4,362,744	\$237,109
Jenn Rouse 202	9.44	\$1,000	\$3,570,223	\$3,907,392	\$337,169
Julie O'Brien 204	4.41		\$4,322,436	\$4,512,894	\$190,458
Eileen 205	2.38		\$3,765,186	\$3,854,625	\$89,439
Paul Sullivan 206	2.18		\$3,074,013	\$3,141,120	\$67,107
Adam Hopkins 207	6.65		\$3,606,296	\$3,846,020	\$239,724
Diane Floyd 208	8.01	\$1,000	\$1,933,915	\$2,088,738	\$154,823
Karen O'Brien 209	10.18	\$1,000	\$3,820,269	\$4,209,175	\$388,906
Laura Towle 210	7.7		\$4,749,856	\$5,115,640	\$365,784
James Downey 211	7.6		\$4,709,740	\$5,067,595	\$357,855
Heather Stone 212	7.9		\$3,525,148	\$3,803,670	\$278,522
Tom Rues 213	7.01		\$3,856,479	\$4,126,722	\$270,243
TOTAL		\$3,000	\$45,059,196	\$48,036,335	\$2,977,139

Yearly Bonus	\$2,000 or \$4,000				
Rep	Goal 8% for bonus or 12.8% for double bonus	Bonus amount	FY12 Yearly Instant sales	FY13 Yearly Instant sales	Additional Instant Sales
Kim Parker 201	8.27	\$2,000	\$15,878,193	\$17,191,077	\$1,312,884
Jenn Rouse 202	10.89	\$2,000	\$14,144,481	\$15,684,920	\$1,540,439
Julie O'Brien 204	4.95		\$17,136,701	\$17,985,472	\$848,771
Eileen 205	4.95		\$15,010,814	\$15,754,313	\$743,499
Paul Sullivan 206	8.22	\$2,000	\$12,167,725	\$13,168,468	\$1,000,743
Adam Hopkins 207	8.38	\$2,000	\$14,009,361	\$15,183,633	\$1,174,272
Diane Floyd 208	6.34		\$8,079,158	\$8,591,778	\$512,620
Karen O'Brien 209	10.13	\$2,000	\$15,095,311	\$16,624,708	\$1,529,397
Laura Towle 210	12.27	\$2,000	\$17,910,286	\$20,108,089	\$2,197,803
James Downey 211	8.5	\$2,000	\$18,426,019	\$19,992,809	\$1,566,790
Heather Stone 212	13.04* double bonus	\$4,000	\$13,414,422	\$15,163,597	\$1,749,175
Tom Rues 213	9.42	\$2,000	\$15,151,857	\$16,579,843	\$1,427,986
TOTAL		\$20,000	\$176,424,328	\$192,028,707	\$15,604,379

FY 14 Total bonus paid to date \$0

Rep	Goal 6% for bonus or 9.6% for double bonus	Bonus amount	FY13 1 st Q Instant sales	FY14 1 st Q Instant sales	Additional Instant Sales
Vacant 201	0.64%	\$0	\$4,182,619	\$4,209,464	\$26,845
Jenn Rouse 202	2.41%	\$0	\$4,082,847	\$4,181,261	\$98,414
Eileen Gromelski 205	1.19%	\$0	\$4,288,929	\$4,339,789	\$50,860
Julie O'Brien 204	-7.46%	\$0	\$4,424,076	\$4,094,142	(\$329,934)
Paul Sullivan 206	-4.21%	\$0	\$3,504,468	\$3,357,000	(\$147,468)
Adam Hopkins 207	-5.06%	\$0	\$3,786,883	\$3,595,224	(\$191,659)
Diane Floyd 208	2.22%	\$0	\$2,227,936	\$2,277,465	\$49,529
Karen O'Brien 209	2.53%	\$0	\$3,998,613	\$4,099,765	\$101,152
Laura Towle 210	-0.54%	\$0	\$4,675,224	\$4,650,024	(\$25,200)
James Downey 211	0.11%	\$0	\$4,711,067	\$4,716,392	\$5,325
Heather Stone 212	3.83%	\$0	\$3,621,441	\$3,760,249	\$138,808
Tom Rues 213	-2.89%	\$0	\$3,949,711	\$3,835,476	(\$114,235)
TOTAL	-0.71%		\$47,453,814	\$47,116,251	(\$337,563)



John T. Beardmore
Commissioner

State of New Hampshire
Department of Revenue Administration

109 Pleasant Street
PO Box 457, Concord, NH 03302-0457
Telephone 603-230-5005
www.nh.gov/revenue

FIS 13 270



December 2, 2013

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Re: Refund Report

Dear Representative Wallner:

Pursuant to RSA 21-J:45, enclosed is the Department of Revenue Administration's refund report for November 2013.

Please contact me with any questions or concerns.

Sincerely,

John T. Beardmore
Commissioner of Revenue

Enclosure

TDD Access: Relay NH 1-800-735-2964

Individuals who need auxiliary aids for effective communication in programs and services of the Department of Revenue Administration are invited to make their needs and preferences known to the Department.

Department of Revenue Administration
 Refund Report for November 2013
 As Required by RSA 21-J:45

	Section 1		Section 2		Section 3	
	Count	November Refunds Issued	Count	November Current Claims Refunds Pending	Count	November taxpayer requested refund
CORPORATE BPT	227	\$ 9,420,893				
PROPRIETORSHIP BPT	79	\$ 315,910				
PARTNER FID BPT	66	\$ 2,093,902				
TOTAL BPT	372	\$ 11,830,705			64	\$ 609,072
BPT/BET Refunds Under Audit Review			12	\$ 3,650,157		
BPT/BET Refunds			530	\$ 2,734,677		
BUSINESS ENTERPRISE	62	\$ 496,459			-	\$ -
TOTAL BPT & BET	434	\$ 12,327,164				
INTEREST & DIVIDENDS	281	\$ 929,214	2,153	\$ 1,649,263	54	\$ 69,152

Section 1 Refunds Issued This Month

This section is generated from the Lawson Financial System. It is the refunds processed by the Department of Revenue in the calendar month in question.

Section 2 Current Claims Pending This Month

This is all refunds pending that have not been processed. A pending refund can be the result of any of the following: a taxpayer request, or audit findings.

- a.) Taxpayer Requested Refunds: These are refunds requested by taxpayers that are outstanding at the end of the month. These refunds can be from original or amended returns. Does not necessarily mean they will be granted.
- b.) Refunds as a Result of Audit: Some audits result in refunds because evaluation of taxpayer records result in the identification of a legitimate refund.

Section 3 Taxpayer Requested Refunds This Month

These are refunds requested by taxpayers received and data entered in the month reported on. These refunds can be from original or amended returns.



FIS 13 271

STATE OF NEW HAMPSHIRE
DEPARTMENT of RESOURCES and ECONOMIC DEVELOPMENT
OFFICE OF WORKFORCE OPPORTUNITY
172 Pembroke Road P.O. Box 1856 Concord, New Hampshire 03302-1856

December 3, 2013

The Honorable Mary Jane Wallner, Chair
Fiscal Committee of the General Court
State House
Concord NH 03301

INFORMATIONAL ITEM

In accordance with RSA 12-A:58, I am pleased to submit to you the annual report of the Job Training Fund.

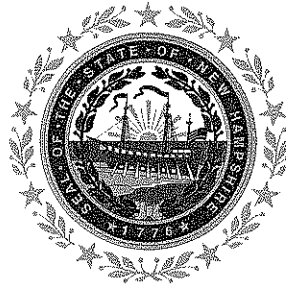
As you know, the Job Training Fund is comprised of two programs – the Job Training Fund, which is a 1:1 matching fund to employers for upgrading worker skills and enhancing the economic competitiveness of New Hampshire business; and WorkReadyNH, a new program to ensure the work-readiness of entry-level workers with a certification assuring employers of basic skills attainment.

I hope you find this information useful.

Sincerely,

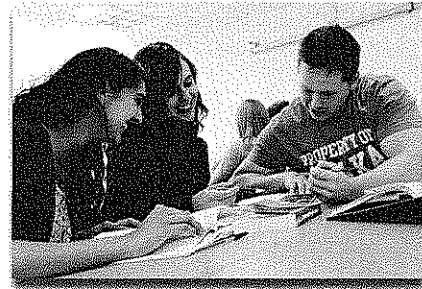
A handwritten signature in black ink, appearing to read "Tim Galvin".

Tim Galvin, Chair
Job Training Fund Grant Review Committee



State of New Hampshire
Department of Resources & Economic Development
Jeffrey J. Rose, Commissioner

New Hampshire
jobTrainingfund



Report on Activities
July 1, 2012 – June 30, 2013

Acknowledgement

The \$2 million Job Training Fund is an innovative asset to New Hampshire's economic development strategy, enabling business throughout the State to stay competitive in an increasingly global marketplace while improving the skills of workers who seek better career opportunities in a constantly changing economy.

The Job Training Fund is actually two programs that foster employee training. The \$1 million Job Training Fund upgrades incumbent and new worker skills and was created by the General Court in 2007.

WorkReadyNH is a program addressing gaps in worker readiness in the areas of math, reading, and problem solving, as well as in "soft skills", including workplace behaviors, teamwork and ethical behavior. It was created as a result of legislation in 2010.

Working with our partners in the NH Works Consortium and the Community College System of New Hampshire as well as a volunteer Job Training Fund Grant Review Committee, the Job Training Fund has helped over 275 employers offer training to more than 18,000 workers since late 2007. The fund is matched by employer contributions and is truly a public-private partnership that works.

WorkReadyNH is being offered to unemployed residents of New Hampshire at all the Community College System of New Hampshire's campuses as well as at the Advanced Technology and Academic Academy in Rochester.

Combined, the Job Training Fund and WorkReadyNH offer employers and New Hampshire workers new and innovative ways to learn, compete and thrive.

I want to acknowledge the good work done by those who have worked to create and grow these successful programs, including the volunteer Job Training Grant Review Committee and the Interagency Directors Group of the NH Works System.

Sincerely,



Jeffrey J. Rose, Commissioner
Department of Resources & Economic Development

Part I

New Hampshire jobTrainingfund

The Job Training Fund was created by legislation approved by the General Court in 2007. Funds for the program are from the administrative fund of NH Employment Security (NHES). They are transferred to the Department of Resources and Economic Development (DRED) to implement both the Job Training Fund and WorkReadyNH.

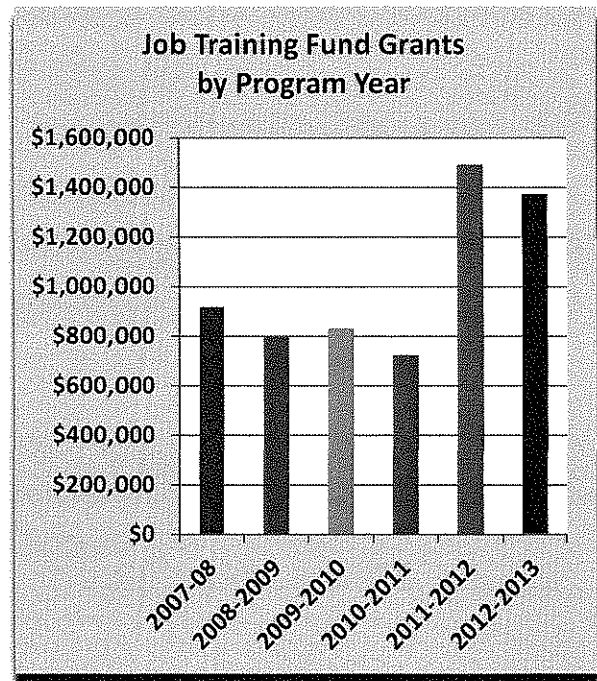
WorkReadyNH was the result of legislation passed in 2011. It is administered by the Community College System of New Hampshire and operates through a Memorandum of Understanding with the Office of Workforce Opportunity (OWO), which administers the Job Training Fund.

The \$2 million Job Training Fund has been a valuable resource for employers seeking to upgrade the skills of their workers, as well as providing worker readiness skills for job seekers.

This annual report covers the past Program Year (PY12) of the Fund, from July 1, 2012 through May 2013 for both the Job Training Fund and WorkReadyNH.

The Job Training Fund is a 1:1 matching grant (reimbursable) program, with a cash match being provided by employers. Often, employers actually invest in more than the 1:1 match to

cover ineligible costs of training (i.e. Administrative, Wages, in-kind contributions). Since the program's inception in October 2007, employers have contributed a total of \$8.72 million to the program, while receiving grants totaling \$6.138 million. The total of grants plus matching funds of \$14.86 million has enabled the training of up to 18,238 workers at 252 companies across New Hampshire.

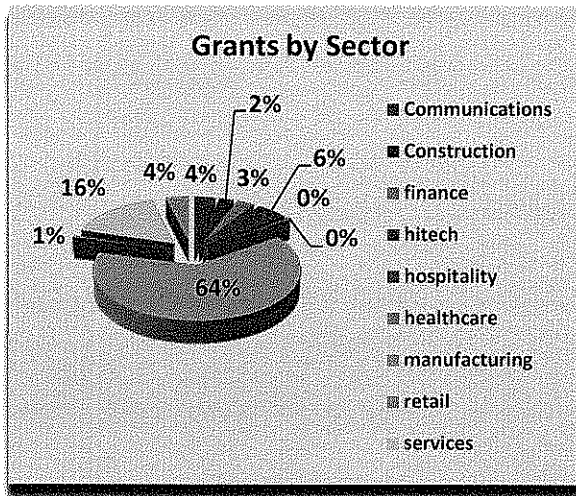


The Job Training Fund provides anecdotal evidence of the relative strength of New Hampshire's economy. When the economy is growing, the Job Training Fund has more employers investing in training programs. PY11 demonstrated pent-up demand for training following the recession in recent years.

Part I

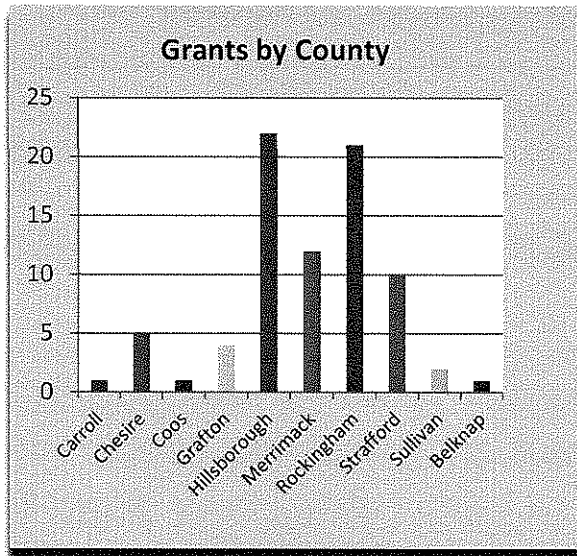
New Hampshire jobTrainingfund

PY12 Grant Funds	Matching Funds by Employers	Total Training Funds
\$1,372,961.81	\$1,395,498.31	\$2,768,460.12

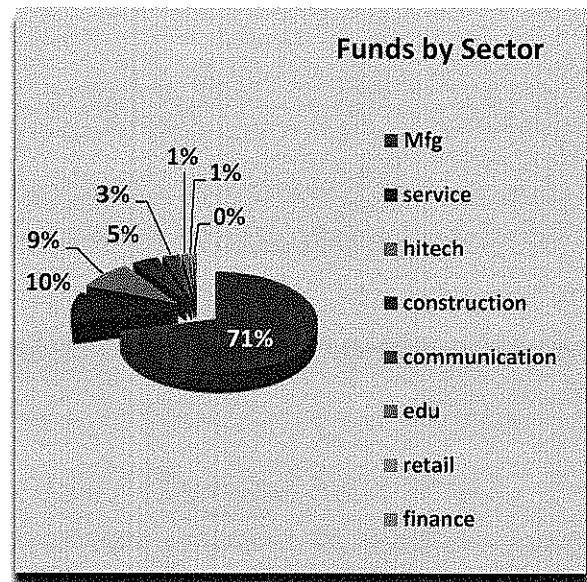


The two leading sectors receiving Job Training Funds grants are manufacturing and services.

Two populous counties in Southern NH account for more 54% of JTF awards.



While grants in PY12 were awarded in all 10 of the state's counties, employers located in the state's most populous areas were recipients of a majority of the grant awards. Hillsborough, with 22 grants, and Rockingham with 21 grants accounted for 54% of the 79 grant awards.



Manufacturing, services and hi-tech accounted for 90% of grant funds awarded.

The PY12 grant fund disbursement reflected a similar pattern as in previous years, with manufacturing dominating the grant awards. The manufacturing sector has a constant need for training due to companies updating their equipment (requiring training) and an influx of new workers replacing a population of employees aging out of the workforce.

Part I

New Hampshire jobTrainingfund

The cost of training a worker in manufacturing can be expensive, but the total number of trainees in a training program lowers the average cost. Likewise, the low number of trainees in a training program raises the average cost.

The average cost for training per worker is \$425.

Sector	Grant Award	Trainees	Average Sector Training Cost
Mfg.	\$974,602	2,295	\$424
Services	\$137,576	361	\$381
High Tech	\$127,688	111	\$1,150
Construction	\$63,370	170	\$374
Communication	\$39,125	39	\$1,003
Education	\$14,126	200	\$70
Retail	\$8,387	46	\$182
Finance	\$7,785	3	\$2,595
	Average Grant Cost Of Trainee	\$425	

Community Colleges and Job Training

The statute creating the Job Training Fund states that as long as it is feasible, the Community College System of New Hampshire shall provide the proposed training. If the applicant's local community college does not offer the training, or if the applicant company has an established relationship with a training vendor, a waiver will be issued by the college so that other training vendors can be utilized.

The statute has provided the seven community colleges with an opportunity to offer their training programs to every employer applying to the Job Training Fund. From off-the-shelf programs to customized training, to recognized certificate programs, the community colleges have been an active partner in the Job Training Fund program.

Six of the seven community college campuses have a Business and Training Director who works with employers, and the directors have played a significant role in promoting the Job Training Fund. In addition, CCSNH has also featured the Job Training Fund on its web site and its numerous promotional materials. This effort has greatly expanded the awareness of the Job Training Fund throughout the business community in New Hampshire.



In PY12, six of the seven Community Colleges participated in the Job Training Fund. White Mountains Community College, which has participated in previous program years, was not a participant in this year's round, mainly due to the fact there were so few employers from their catchment area.

Part I

New Hampshire jobTrainingfund

Of the 79 grants awarded in PY12, 27 (34 percent) included training by a community college.

College	# Grants	Grant Share
GBCC	12	\$207,316
MCC	6	\$110,416
NHTI	4	\$20,675
NCC	2	\$6,475
RVCC	2	\$18,436
LRCC	1	\$2,345
Total	27	\$365,663

There is potential for increased participation in grants as well as increased grant fund revenue as the community colleges continue their outreach efforts to business and offer affordable, industry-specific training needed by their business clients. There will always be training areas, i.e., on specific machines and specialized software that CCSNH is unable to offer, but collaborating with other training vendors will continue to be a way to establish new relationships with local employers.

The obvious opportunity for CCSNH to increase participation and revenue is in advanced manufacturing offerings throughout New Hampshire. With a \$20 million capacity-building grant from the US Department of

Labor, CCSNH has expanded its advanced manufacturing curricula at all seven colleges.

The advanced manufacturing programs, especially at a new advanced manufacturing training center located in Rochester, will attract manufacturing companies seeking skilled and educated workers, and the Job Training Fund is available to play a role in training programs for those manufacturers.

There is also a potential for more Job Training Fund grants to help workers in other sectors. New certificate programs in insurance, childhood education and other areas can attract employers from niche sectors of the economy. Recent Job Training Fund applications for Enterprise Resource Planning (ERP) training as well as 3D CAD/CAM Design training could be new avenues of training development for CCSNH.

Manufacturers continue to adopt LEAN programs that help design more efficient production methods, eliminate waste, and to empower workers to collaborate in a team approach to production line policies.

The LEAN products are offered most often by the **NH Manufacturing Extension Partnership (NHMEP)**. The National Institute of Standards and Technology's Hollings Manufacturing

Part I

New Hampshire jobTrainingfund

Extension Partnership (MEP) works with small and mid-sized U.S. manufacturers to help them create and retain jobs, increase profits, and save time and money. The nationwide network provides a variety of services, from innovation strategies to process improvements to green manufacturing. New Hampshire's MEP organization works with employers throughout the State and offers ISO Certification as well as the LEAN product line of training services, including Six Sigma, Kaizen, Continuous Improvement, and Systematic Plant Layout.



New Hampshire MEP clients are surveyed, by an independent third party survey company, to determine the economic impact resulting from their relationship with the MEP program. Clients are surveyed roughly one year after the completion of a project and asked a number of questions relating to changes in efficiency and output as well as questions relating to the quality of the MEP services they received. Between January 2008 and November 2012, 203 companies surveyed reported that, as a result of their relationship with the New Hampshire MEP they have:

- *Created 435 jobs*
- *Retained 788 jobs that otherwise would not exist*
- *Increased and retained \$337.5 million in sales*
- *Spent \$80.3 million on new investment*
- *Experienced \$35.3 million in cost savings*

In addition, the following conclusions were offered by NHMEP: "The effects of this increased economic activity, extends beyond the client firms. Increased sales by New Hampshire MEP client firms require that they increase their purchases of intermediate goods and services from companies located in New Hampshire and elsewhere to support their increased output. The supplying companies, in turn, generate additional demands of their own. In this way, dollar expenditures for final demand can be traced to all of the affected industries in the regional economy. In addition, the income from new jobs generated by New Hampshire MEP clients and the supplying firm's results in increased demand for consumer goods. Each of these effects, in turn, generates subsequent ripples throughout the New Hampshire economy. The sum of these direct, indirect, and induced effects suggests that small- and medium-size manufacturing companies that increased or retained jobs or sales and/or

Part I

New Hampshire jobTrainingfund

increased investments with assistance from the New Hampshire MEP are responsible for:

- *Creating or retaining 3,609 jobs that paid a total of \$180.7 million in employee wages and benefits*
- *Increasing or retaining economic output worth \$733.5 million*
- *Contributing or retaining \$295.6 million of gross state product*
- *Generating or retaining \$94.5 million in additional tax and non-tax revenues at the Federal, state, and local government levels, including \$21.1 million at the state and local level”*

In PY12, NHMEP was involved in 15 Job Training Fund grants totaling \$185,263. The 15 grants represent nearly 30% of the PY12 grants to manufacturing firms. Five of the NHMEP training grants involved ISO Certification. The International Standardization Organization (ISO) sets state of the art specifications to products, services and practices, and many New Hampshire companies seek ISO certification in order to qualify for contracts in both public and private sectors.

The remaining NHMEP grants were in lean manufacturing, six sigma and systematic plant layout and team involvement problem solving.

Manufacturers use a wide variety of training.

Manufacturers also utilized the Job Training Fund for a variety of other training programs. Supervisory and leadership training is the most common training, as companies realize a need to train future supervisory staff. Other training provided by manufacturers to employees included heavy equipment, crane operations, project management, welding, ERP software, problem solving, conflict management, communication, shop math, manufacturing fundamentals, web development, IT, safety training, MasterCam computer training, Autodesk electrical training and others.

Service Sector Increases Training

The service sector represents a variety of disciplines, from engineering, survey and design firms to computer servicing, convention planning services, and sales companies. In PY12, nearly one quarter of applications was from companies providing a service. Grants awarded to service sector companies rose forty-three percent and totaled \$137,576.

Part I

New Hampshire
jobTrainingfund

McLean Communications Inc., Manchester



*Job Training Fund trainees at McLean Communications Inc.,
Back row: Connie Audet, Steve Pare, Sharron McCarthy,
Josh Auger Front: Jessica Schooley, Deb Birch, Trish Baker-
Schmitt*

The publishing company received a \$4,000 Job Training Fund grant to “cultivate a consistent sales culture” and to understand the influence of competitive media.

Eleven sales representatives plus four managers participated in this training, reported Sharron McCarthy, publisher. “The objective of the training was to change our current way of transactional selling to more long term contracted sales. The new sales process focused on prospecting, needs analysis, proposal creation and closing sales based on client’s advertising needs. With this training, we have found our sales representatives are becoming more proficient at appointment setting, conducting needs analyses and proposal writing.”

“The training with Jeanette (Cogent Solutions of Portsmouth NH trainer) opened a new door to the strategy behind reading clients and adapting to their personality, which in turn has given me greater insight on the client’s individual needs and closer to closing the sale as a direct result. Overall a great experience, I would recommend to anyone in sales.”

Jessica Schooley, Regional Sales Manager

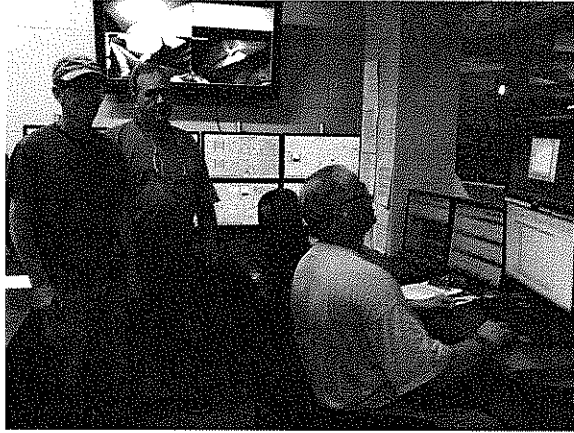
“As part of the management track, it was helpful to learn how to be a more effective communicator when working with various personality types within an organization. The training session brought to light how we differ in approaching problems and finding solutions – both internally and with clients. In my role, it gave me more confidence in working with sponsors/clients who are on the opposite of the fence.” **Tricia Baker Schmitt, Event Marketing**

Manager

Part I

New Hampshire jobTrainingfund

Gorham Paper and Tissue LLC, Berlin



Paul Gagnon, Leo Arsenault and Lawrence Mailloux are one the crews that operate the multi-million dollar, three-story high tissue machine that produces tissue paper and paper towels rolls twenty-four hours per day, seven days a week. Computer training was part of the learning process.

The revival of Gorham Tissue and Paper is well-known throughout New Hampshire. The mill was purchased by Patriarch Partners LLC in 2011, and led by Patriarch's founder Lynn Tilton the mill began an aggressive growth plan that called for design and construction of a new tissue machine.

Gorham Tissue and Paper needed to create 16 new jobs to operate the new machine, and 11 other workers in the plant received training for the jobs left by the new tissue machine operators.

"The training...was an extraordinary undertaking which required an intense and organized effort to complete," said Willis Blevins, General Manager. "The assistance received through the Job Training Fund

contributed to the successful startup of the new tissue machine and ensured replacement workers in other areas had the skills necessary to step up to more responsible positions."

Machine #6" was a design/build project on site, so workers could learn operations and specifications regarding the huge machine as they were trained by vendors who were resources for parts of the machine, as well as in-house personnel.

Workers such as Paul Gagnon, Leo Arsenault and Lawrence Mailloux, who had worked on totally different paper machinery for decades, were trained in a sophisticated software system as well as the three-story high tissue machine. With computer screens and keyboards spread across several tables, the three-man crew can run the operation, check for any faults and load huge rolls of paper onto the machine.

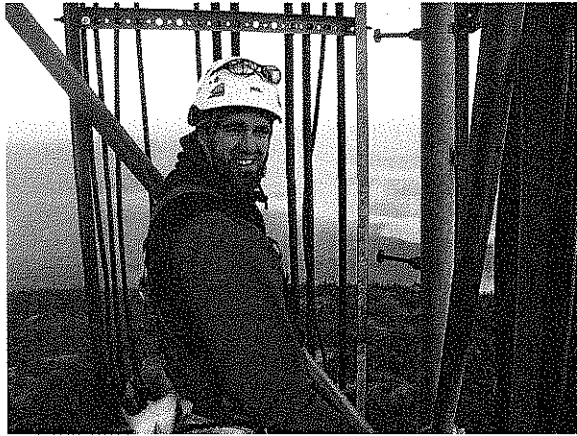
Willis said that within 32 hours of setup of the new machine, "We were producing Grade A selling paper." By the time training was complete; four crews were able to run the machine 24 hours a day.

With the new tissue machine, Gorham Tissue and Paper has a much brighter future. And with training, workers have new and more secure jobs.

Part I

New Hampshire jobTrainingfund

Green Mountain Communications, Pembroke

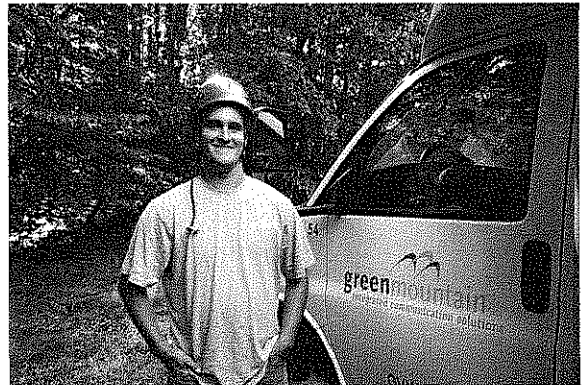


Todd Cleveland, a Green Mountain field employee, has benefited from several training grants. He has received Alcatel/Lucent antenna training, Dragonwave installer training, and most recently, fiber optic installer training.

Green Mountain is an end-to-end wired/wireless solutions provider, an expert source for integrated communication solutions for businesses in the northeast region. With more than 10,000 installed projects, Green Mountain is equipped to engineer, install, and furnish the integration of wired/wireless systems proficiently, rapidly, and cost-effectively. The New Hampshire Job Training Fund grant awarded to Green Mountain in 2012 enabled the company to provide training to 10 of its employees. The type of training provided ranged from HR training, wireless testing equipment and fiber certification training.

Green Mountain believes this training has been extremely beneficial to the skillsets and careers of its employees. In turn, it has allowed Green

Mountain to add more than 20 new positions in the last 2 years. Ever-changing technology requires Green Mountain to stay current and knowledgeable in order to remain competitive; this means a significant investment in training, and the grants Green Mountain has received have had a direct impact on its ability to advance employees' careers and increase company growth. Green Mountain is thrilled to have the opportunity to put these grant dollars to good use and ultimately add many new jobs to the NH economy.



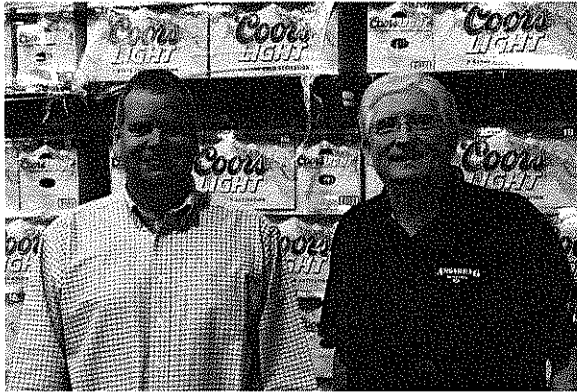
Matt Becker, a Green Mountain field employee, has benefited from the most recent training grant. Matt was trained in Anritsu testing gear, equipment Green Mountain's customers require field employees to be certified in.

“We are proud to have been awarded the New Hampshire Job Training Fund grant,” said Victor Drouin, President of Green Mountain. “It is our goal to keep all of our employees well-trained and knowledgeable in their fields of expertise, and these job training grants allow us to stay current and at the top of our industry.”

Part I

New Hampshire jobTrainingfund

Amoskeag Beverages, LLC



Curt Quimby, Controller and Joel Moran, HR Director of Amoskeag Beverages faced a big challenge when two distribution companies merged and the workforce required training to realize unified company goals, procedures and mission.

When two competing beer distributor companies became merged four years ago, the challenge of developing a company standard operating procedure was evident immediately. “It took us a couple of years of just putting out fires and surviving in a very competitive beer industry,” said Edward Murphy, Vice President of Sales.

But the new Vision Statement for Amoskeag Beverages LLC included becoming “the first choice in beer distribution as measured by our customers” and “to invest in the training and development of our employees.”

Joel Moran, HR Director, knew that all employees would have to meet the standard expressed by the Vision Statement, not just the sale staff.

The company committed itself to a multi-tiered training program which began with a \$13,000 Job Training Fund grant for Frontline Leadership Development training from Snowden Associates of Portsmouth,

Twenty-two supervisors representing cross-functional areas including sales, warehouse, delivery and administration went through the training. As a result of the training, two people received increased responsibilities and five new positions were created. All 22 workers were able to retain their employment with the company.

“Amoskeag Beverages considers the Frontline Leader Development program met and exceeded needs and expectations,” said Moran. “This developmental process of training emerging leaders has helped pave the way for a prosperous future.

Amoskeag Beverages expects to continue its training to another 175 employees in Service Excellence techniques. Due to the Job Training Fund grant, the company was also able to expand its own, non-grant internal training to other areas, including safety training and forklift operations.

Part I

New Hampshire jobTrainingfund

Altronics Manufacturing

Altronics Manufacturing is typical of many of the small but highly competitive contract manufacturers located in New Hampshire. By offering a wide variety of services with quick turnaround, the company is able to expertly assemble a variety of circuit board assemblies including: commercial, military, medical, RF, flexible circuits, and backplanes. And because Altronics is ISO 9001:2000 compliant, Altronics is known for its exacting standards and quality.

The company is committed to training, and has been involved in equipment and software training, IPC: Electro Static Discharge training; Failure Analysis and Soldering.

John Regan of Altronics came to the Job Training Fund to upgrade some worker skills in three areas: QSO training, which is designed to provide an understanding of the design and implementation of quality control and improvement systems; Sales training to assist in attracting new clients; and “Superior Service”, offered to help all employees connect with every client in all areas of customer service.

As a result of the QSO training, the Altronics worker is now a Certified Six Sigma Green Belt and will bring the best practices he learned to other employees in the company.

“The (Sandler) sales training allowed our employees to gain the confidence to close the sale,” said Regan. “It also allows us to target accounts with greater accuracy and give better information to management.”



Twenty employees participated in the customer service training. “We were able to train (the employees) quickly and efficiently, and the training allowed us to convey a standard message to everyone at the same time,” accords to Regan.



Altronics Manufacturing wanted all employees who dealt with customers to know how to offer the best customer service possible.

Part I

New Hampshire jobTrainingfund

UltraSource, Inc.

For many companies doing business with the federal government, cutbacks due to sequestration meant “adapt or die.” For UltraSource, Inc., a 22-year old Hollis-based manufacturer of custom ceramic microchips, adapting to a new reality meant that training employees in new systems and operating procedures was vital. Company founder and President/CEO Michael Casper said “A shift in our business from our traditional mix to more sophisticated technology requirement” became the focal point of a Job Training Fund application.

UltraSource embarked on an ambitious training program, which included Performance Improvement and Leadership Development; Lean Manufacturing, including Kaizen, Value Stream Mapping and Continuous Improvement; First Aid and CPR Training; Microwave Packaging Technology; and Visual Manufacturing Systems Training.

Every employee received training, and despite the challenges of sequestration and a tough economic environment, UltraSource has realized

an increase in employee productivity of over 40 percent.

“As a result of the training grant, we were able to continue our work on our revised strategic direction...to move toward becoming a more engineering disciplined organization responding to the changes that sequestration brought and to positively impact our employees through training,” said Casper.



Casper is grateful for the Job Training Fund program, particularly because he was able to “offer continued employment to our staff...provide revenue to the State of New Hampshire, continue to satisfy our traditional customers, and most importantly maintain our business through new customers.”



Job Training Fund Committee Members

Jeffrey J. Rose, *Commissioner, NH Department of Resources and Economic Development*

Tim Galvin, *Chair, Nantucket Beadboard (business)*

George N. Copadis, *Commissioner, NH Employment Security, (designee Marie-Helene Bailinson)*

Ron Rioux, *Vice Chancellor, Community College System of New Hampshire*

Joseph M. Casey, *(labor)*

Kate Luczko, *Executive Director, Stay Work Play (business)*

Mike Alberts, *Dir. of Organization Development, New England Wire Technologies (business)*

Michael Power, *Office of Workforce Opportunity, DRED*

State Representative James Aguiar
Campton

State Senator Russell Prescott
Kingston

New Hampshire jobTrainingfund

July 2012 - June 2013

Company	City	Grant	Match	Trainees	Training
Company C Inc.	Concord	\$6,000.00	\$6,000.00	6	sales training
FSM Drawings LLC	Manchester	\$4,250.00	\$4,250.00	4	TopoDot software training
Stonyfield Inc.	Londonderry	\$55,208.00	\$55,208.00	21	mechanical and electrical training
Madison Resource Funding Corp	Portsmouth	\$7,785.00	\$7,785.00	3	web based computer software training
Airex Corp.	Somersworth	\$7,405.00	\$7,405.00	17	software, soldering, Lean and APICS
Corning Specialty Materials	Keene	\$5,500.00	\$5,500.00	61	blueprint reading
Markem-Imaje	Keene	\$14,000.00	\$14,000.00	10	Lean
NH Industries	Lebanon	\$12,936.00	\$12,936.00	12	Leadership Training
Gorham Paper & Tissue LLC	Gorham	\$64,295.30	\$64,295.30	62	pipng systems training, startup procedures, machine specific software
Green Mountain Communications, Inc.	Pembroke	\$20,745.50	\$20,745.50	21	9500eRadio Certification, lbWave training, fiber optic splicing, others
C3I, Inc.	Hampton	\$9,105.00	\$9,105.00	11	IPC Certification, advanced machine tool and CNC, APICS, others
Ken-Mar LLC	Salem	\$1,207.00	\$1,207.00	1	computer networking training
Hi-Rel Systems LLC	Dover	\$4,750.00	\$4,750.00	10	Failure Mode & Effect Analysis
Dynamic Network Solutions Inc.	Manchester	\$28,975.00	\$29,975.00	30	database technologies
Daystar Computer Services Inc.	Newington	\$9,549.50	\$9,549.50	12	computer software training, leadership, communication skills
NH Home Builders & Remodelers Assn	Concord	\$13,500.00	\$13,500.00	100	construction training
Exchange Networks LLC	Peterborough	\$24,000.00	\$24,000.00	7	sales and technology training
ThermoFisher Scientific	Portsmouth	\$68,500.00	\$68,500.00	230	Aseptic Processes, project management, leadership, ESOL
Phase 2 Medical Manufacturing	Rochester	\$17,350.50	\$17,350.50	45	Lean, IPC Certification, assembly process, communication skills
Pennichuck Water	Merimack	\$9,525.00	\$17,702.50	104	customer service, manangement, First Aid/AED, CPR (non-required)
NH Rapid Machining	Nashua	\$60,525.00	\$60,525.00	25	Mastercam computer training
Chi Engineering Services, Inc.	Portsmouth	\$13,107.12	\$23,581.12	23	CADWorx, Autodesk electrical, AutoCad, manangement training
NH Ball Bearings, Inc.	Peterborough	\$60,846.50	\$60,846.50	183	CNC, leadership development, machine maintenance, computer skills
Interstate Manufacturing Ass.	Newport	\$4,250.00	\$4,250.00	4	ISO 9001
APC Paper Company	Claremont	\$1,657.50	\$3,442.50	13	Lean Manufacturing
Design Group Facility Solutions	Portsmouth	\$1,362.50	\$1,362.50	6	mechanical/electrical/plumbing CAD software training
Tender Corporation	Littleton	\$14,300.00	\$14,300.00	7	sales training
Osram Sylvania	Hillsboro	\$29,554.50	\$29,554.50	100	DENSO robot maintenance, electrical/safety, management, controls
Teledyne Printed Circuit Tech.	Hudson	\$2,800.00	\$2,800.00	20	Project Management Skills
Altronics Manufacturing	Hudson	\$5,085.00	\$5,085.00	18	Excel Training; ACT Software
Monadnock Paper Mills Inc.	Bennington	\$24,850.00	\$24,850.00	20	safety training
Airmar Technology Corp.	Millford	\$6,745.00	\$6,745.00	209	ergonomic safety training
Refyco Sales, Inc.	Dover	\$19,962.50	\$19,962.50	15	Sandler Sales Training
Bovie Screen Process Printing	Concord	\$5,500.00	\$5,500.00	3	ISO 9001
Cocci Computer Services	Hooksett	\$4,800.00	\$4,800.00	2	sales training
Axenics	Nashua	\$1,342.50	\$1,342.50	3	CADD
GI Plastek	Wolfeboro	\$9,100.00	\$9,100.00	12	ISO 9001
NE Wire Technologies Corp.	Lisbon	\$9,370.00	\$9,370.00	10	supervisory training
Geophysical Survey Systems	Newfields	\$1,490.00	\$1,490.00	4	soldering training
Benson Woodworking Co., Inc.	Walpole	\$2,995.00	\$2,995.00	10	systematic layout planning

New Hampshire jobTrainingfund

July 2012 - June 2013

Company	City	Grant	Match	Trainees	Training
Doucet Survey Inc.	Newmarket	\$1,235.00	\$1,235.00	8	AutoCad Training
Pro-Cut International Ltd. LLC	W. Lebanon	\$5,950.00	\$5,950.00	6	systematic layout planning
Eptam Plastics	Northfield	\$18,375.00	\$19,475.00	28	Manufacturing Fundamentals, Communications
Princeton Technology Corps.	Hudson	\$812.50	\$812.50	5	Leadership
Haydon Kerk Motion Solutions	Hollis	\$3,800.00	\$3,800.00	2	Lean Enterprise Certificate Program
Exchange Networks LLC	Peterborough	\$18,000.00	\$18,000.00	7	Sales Training
Teleflex Medical	Jaffrey	\$4,144.75	\$4,144.75	40	LEAN, Mentoring, Teamwork
ABTech, Inc.	Swanzey	\$2,445.00	\$2,445.00	15	Managing Change, Communications and Workplace Goals
Associated grocers of NE, Inc.	Pembroke	\$2,345.00	\$2,345.00	1	Tractor Trailer CD-L
Altronics Manufacturing	Hudson	\$3,690.00	\$3,690.00	15	sales, QSL and customer service training
Substructure, Inc.	Portsmouth	\$12,912.74	\$12,912.74	7	heavy equipment, crane operations, project management, welding
Hutchinson Sealing Systems Inc.	Newfields	\$20,316.30	\$20,316.30	10	tool&die, machinist, electrical training
Alene Candles	Milford	\$64,772.50	\$64,772.50	158	computer & systems training, LEAN, supply chain, compliance, other
PixelMEDIA, Inc.	Portsmouth	\$47,163.50	\$47,163.50	55	web development, IT, leadership, management, marketing
General Electric	Hooksett	\$68,119.00	\$68,119.00	370	Communications, Teambuilding, Microsoft, technical training
Sponge-Jet, Inc.	Newington	\$4,312.50	\$4,312.50	4	Certification Training for ISO9001 and 2008
Willis of NH Inc.	Portsmouth	\$19,480.00	\$19,480.00	8	Insurance courses (ethics, personal, commercial, prop&liability)
NEMO Equipment, Inc.	Dover	\$1,395.00	\$1,395.00	1	APICS Certification Training
Welch Fluorocarbon Inc.	Dover	\$34,500.00	\$34,500.00	32	Enterprise IQ Training (software)
Chi Engineering	Portsmouth	\$17,361.85	\$17,361.85	30	advanced power systems, power modeling, Access, Safety, others
SDE	Peterborough	\$1,018.50	\$1,018.50	50	Critical Thinking, Collaboration, Clear Communications
North American Equipment Upfitters Inc.	Hooksett	\$49,356.00	\$49,356.00	36	Aerial Lift and Body Installation Certificate
Flex Energy Energy Systems Inc.	Portsmouth	\$10,200.00	\$10,200.00	14	ISO 9001:2008
Community Crossroads Inc.	Atkinson	\$3,675.00	\$3,675.00	20	Word and Excel
Contitech Thermopol Inc.	Somersworth	\$32,571.00	\$32,571.00	170	Lean, Supervisory Training
WireBelt Company of America	Londonderry	\$3,500.00	\$3,500.00	2	Six Sigma
Company C	Concord	\$2,387.50	\$2,387.50	40	Management Fundamentals, Microsoft Project 2010
XMA Corporation	Manchester	\$28,650.00	\$28,650.00	24	software, sales, communication, ISO, conflict management, others
Green Mountain Communications	Pembroke	\$18,380.00	\$18,380.00	18	Fiber optic training, Anritsu, project management,
Early Learning New Hampshire	Concord	\$14,126.50	\$14,126.50	200	Early Childhood - Appropriate Guidance and Discipline
Thompson Investment Casting	Rochester	\$3,390.00	\$3,390.00	80	Shop Math, Gage R&R, Minilab, Hazardous WASTE AND Used Oil, Inspection, others
Kollsman, Inc.	Merrimack	\$34,420.25	\$34,420.25	99	configuration management, FDA IEC, lead auditor training, software validation
SIG SAUER, Inc.	Exeter	\$67,460.00	\$67,460.00	32	CPIM Certification, CNC
Methuen Construction Co., Inc.	Salem	\$50,170.00	\$50,170.00	70	construction math, pipe hangers, fabrication layout, others
Princeton Technology Corp.	Hudson	\$9,600.00	\$9,600.00	35	ERP software
Stonewall Kitchen LLC	Rochester	\$8,922.50	\$8,922.50	27	supervisory skills
Blue Dolphin Screenprint, Inc.	Somersworth	\$10,750.00	\$10,750.00	10	LEAN, Team Involvement Problem Solving
J. Jill	Tilton	\$7,024.00	\$7,024.00	41	customer service training
Pure Process Technology	Nashua	\$2,000.00	\$2,000.00	1	sales training
		\$1,372,961.81	\$1,395,498.31	3,225	

Part II



In the Spring and Fall of 2011 Business Round Tables were held at each of the seven Community College System of New Hampshire campuses. Businesspeople attending the discussions expressed their support for two training ideas:

- The need for a ‘standardized’ credential to verify the educational and intellectual abilities of job applicants to achieve success at a particular occupation.
- The need to instruct applicants in what was generally referred to as ‘soft skills’. The term soft skills refers to all skills necessary to be successful in a ‘work environment’ including but not limited to teamwork, conflict resolution, promptness, appropriate dress, communication, work ethic, problem solving, initiative and personal ethics.

As a result of the employer suggestions, a partnership between the Office of Workforce Opportunity, Department of Resources and Economic Development and the Community College System of New Hampshire was formed to offer **WorkReadyNH**.

The new training program began in October 2011 and was available at no cost to unemployed job seekers, offering skills-training and a nationally-recognized work-readiness certificate.

The training provides assessment, instruction and credentialing in key areas identified by employers as essential to workplace success. As a result, job seekers will have verifiable skills and qualifications most desired by employers, and employers will be able to more efficiently identify qualified applicants for hire.

The **WorkReadyNH** program:

1. Assesses job-seekers’ basic workplace skills in Applied Mathematics, Reading for Information, and Locating Information (the WorkKeys® Assessments).
2. Helps job-seekers improve in academic skill areas to earn a National Career Readiness Certificate at the bronze, silver, gold or platinum level (through the self-paced and fully online KeyTrain™ learning modules).
3. Provides classroom instruction in “soft-skill” practices identified by employers as key to workplace success (Soft Skills course).
4. Is free to all NH residents 18 or more years old and not in school.
5. Is offered at Manchester, River Valley (Claremont), White Mountains (Berlin) and Great Bay (Portsmouth) Community Colleges.

Part II



Performance Outcomes

WorkReadyNH began offering its courses in October 2011. Student enrollments have increased:

Program Year	2011	2012	Total
Students	388	652	1,040

An unexpected outcome is the number of females participating in the WorkReadyNH program in the first 17 months of the program.

Female	640	62%
Male	400	38%
Veterans	46	4%

WorkReady NH programs funded with Job Training funds are offered at four campuses of the Community College System of New Hampshire.

Campus	Students
Great Bay	328
White Mountains	181
Manchester	348
River Valley	183
Total	1,040

Graduates of the program are defined as those who complete soft skills training and receive a Bronze/Level 3 or better on the National Career Readiness Certificate. Of the 1,040 students enrolled in the program to date, 54% graduated.

Campus	Graduates	Share of Graduates
Great Bay	145	26%
White Mountains	115	20%
Manchester	173	31%
River Valley	130	23%
	563	100%

Graduates receiving a National Career Readiness Certificate achieve one of four levels: Bronze, Silver, Gold or Platinum. Each level represents a defined level of work-readiness.

Level of Achievement	# of students who attained	Percent of attainment
Bronze	106	18%
Silver	357	63%
Gold	103	18%
Platinum	3	1%

Part II



Non-Completers are those who, for various reasons, do not finish all elements of WorkReadyNH.

Reason for Non-Completion	Number of Non-Completers
Got A Job	108
Refused to Continue	46
Health Issues	45
Low NCRC Score	31
Terminated	24
Transportation, Moved, Other	169

Increased enrollments and reduced costs associated with program startup, which was a factor in year one, contributed to a lower cost per registrant in the second year of the program.

Year	Students	Cost Per
2011	388	\$1,535.68
2012	652	\$1,076.83
Total	1,040	\$1,248.02

Students for WorkReadyNH are referred by a variety of NH Works partner agencies, advertising, and word of mouth.

Referral Source	Percentage
Marketing	35%
NHES	27%
WIA	11%
Word of Mouth	8%
CCSNH	6%
HPOP	6%
NHVR	3%
Other	4%

WRNH is grounded in the National Career Readiness Certificate issued by ACT (American Council Testing program) and is a portable, evidence-based credential that measures essential workplace skills and is a reliable predictor of workplace success. Sixty-three percent of NH participants have attained Silver status; eighteen percent attained Bronze and Gold; one percent achieved Platinum.

Part II

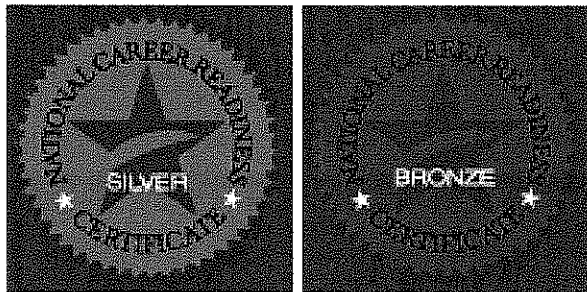


Platinum

Skills for 99%
of the jobs in
ACT's workforce
database

Gold

Skills for 90%
of the jobs in
ACT's workforce
database



Silver

Skills for 65%
of the jobs in
ACT's workforce
database

Bronze

Skills for 35%
of the jobs in
ACT's workforce
database

Next Steps

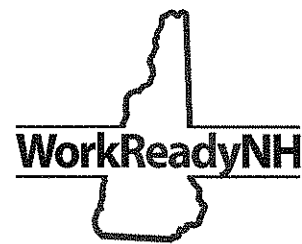
“WorkReadyNH was created to meet the need expressed by employers in NH for employees who would be ready upon hire to succeed and contribute to the success of the enterprise. This program does that and more – it gives job seekers and career builders the confidence they need to move

forward, the skills that will enable them to be successful, and the credentials to prove it. This is a win-win for New Hampshire residents, our businesses and our economy.”

Chancellor Ross Gittell

Community College System of New Hampshire

Data for the first eighteen months of WorkReadyNH demonstrates that the program can be helpful to unemployed residents of New Hampshire who want to improve their soft skills and in math, reading and problem solving. (Note: WRNH is now available to any NH resident 18 years or older and not a full time student.)



Part II



A new Memorandum of Understanding (MOU) between the Department of Resources and Economic Development's Office of Workforce Opportunity and the Community College System of New Hampshire was approved by the Governor and Executive Council and provides funding for Program Years 2013 and 2014 (July 1, 2013 to June 30, 2015).

WorkReadyNH is expanding to the three remaining campuses of the Community College System, including Lakes Region, NHTI and Nashua, as well as the newly-opened Advanced Technology and Academic Center in Rochester. Funding for the expansion is from New Hampshire's Trade Adjustment Assistance Community College and Career Training (TAACCCT) Grant Program.

The recruitment of students for WorkReadyNH will continue, and new efforts to educate employers about the Work-Readiness Certificate will help graduates who apply for jobs and present their work-readiness credentials.

Employer "buy-in" for the program is essential for WorkReady NH's success, and a collaborative effort by CCSNH and NH Works partner agencies is underway to educate employers and promote WorkReadyNH in the business community.

NHWR Grads:

"The program helped me to identify my strengths and skills and to be able to explain to a potential employer how my skills would add value to their organization."

"Before coming into class, I felt that I was obsolete...My skills, perceptions and accomplishments did not cut it anymore. The class helped me to clarify my skills and experiences and realize that I can still be relevant to the workforce."



WorkReadyNH Oversight Committee Members

Bonnie St. Jean, *Program Administrator, Office of Workforce Opportunity*

Pamela R. Szacik, *Employment Service Bureau Director, New Hampshire Employment Security*

Lisa Hatz, *Director, NH Department of Education, Vocational Rehabilitation*

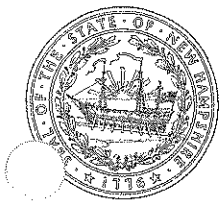
Kim Runion, *Administrator, Bureau of Youth Workforce, NH Department of Education*

Mark Jewell, *Administrator, Welfare to Work, Dept. of Health and Human Services*

Beth Doiron, *Director of College Access and DoE Programs, Community College System of NH*

Joan Holleran, *External Administrator, Dept. of Education, Vocational Rehabilitation*

Mandy Fraser, *Liaison, CCSNH and WorkReadyNH*



State of New Hampshire

FIS 13 274

DEPARTMENT OF ADMINISTRATIVE SERVICES
OFFICE OF THE COMMISSIONER
25 Capitol Street – Room 120
Concord, New Hampshire 03301

LINDA M. HODGDON
Commissioner
(603) 271-3201

JOSEPH B. BOUCHARD
Assistant Commissioner
(603) 271-3204

December 17, 2013

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

INFORMATIONAL ITEM

Pursuant to RSA 21-I: 19-g, III-b requires the Department of Administrative Services to annually report to the fiscal committee of the general court all light duty trucks whose mileage is at or below the break-even mileage requirement during such year.

This information item details the following:

1. Executive Summary – Data summary of all state owned light duty trucks and all light duty trucks at or below the break-even mileage.
2. Exhibit A – Light Duty Trucks Break Even Mileage Report Fiscal Year 2013

Vehicle information referenced and contained in the attachments are supported by each agency's FY 2013 Motor Vehicle Record Reports.

Respectfully submitted

Linda M. Hodgdon
Commissioner

Light Duty Truck Executive Summary

Agency	Total Light Duty Trucks in Agency Fleet 0 to 10,000 lbs	Below BEM		
		Total	Light Duty Truck 1 up to 8,500 lbs	Light Duty Truck 2 8,501 lbs to 10,000 lbs
Adjutant General	8	7	3	4
Administrative Services, Department of	21	11	5	6
Agriculture, Department of	9	3	3	0
Banking Department	0	0	0	0
Correctional Industries	2	2	1	1
Corrections, Department of	24	13	7	6
Cosmetology	0	0	0	0
Cultural Resources, Department of	6	1	0	1
DRED (Resources & Econ. Dev.)	121	47	27	20
Education	1	0	0	0
Employment Security	4	2	1	1
Energy and Planning, Office of	1	0	0	0
Environmental Services, Department of	57	10	5	5
Fish & Game	115	26	15	11
Health & Human Services	48	33	19	14
Highway Safety	1	1	1	0
Information Technology, Department of	1	1	1	0
Insurance, Department of	1	0	0	0
Justice, Department of	17	5	5	0
Labor, Department of	1	0	0	0
Liquor Commission	5	0	0	0
Lottery Commission	15	0	0	0
Pharmacy Board	0	0	0	0
Police Standards & Training	3	3	2	1
Public Utilities Commission	0	0	0	0
Racing & Charitable Gaming Commission	0	0	0	0
Revenue, Department of	3	1	1	0
Safety, Department of / State Police	135	31	25	6
Transportation, Department of	308	5	0	5
Veterans Home	6	3	2	1
Veterans Services	0	0	0	0
Totals:	913	205	123	82

22.45%

Total <BEM as percentage of the LDT Fleet.

Observations:

Approximately 88% of the Light Duty Trucks are pool vehicles. It is assumed that these vehicles would be service, maintenance, and grounds vehicles.

Exhibit A

Light Duty Truck (1 & 2) Break Even Mileage Report

As Required by RSA 21 – I: 19 – g, III-b

BEM Report - Light Duty Trucks (up to 10,000 lbs)

FY 2013

Agency Name	Fleet Unit Subtype	Model Year	Make	Model	Current Odometer	In-Service Date	Plate or ID	Person Assigned	Position of Person Assigned	City/Town Where Garaged	Garaged at Residential Site?	Use Class	FY2013 Mileage
Adjutant General	LDT1	2008	Ford	F150	40,365	2/12/2008	NG11	Pool	N/A	Concord	No	1	7,099
Adjutant General	LDT1	2006	Chevrolet	Colorado	42,804	1/25/2006	NG2	Pool	N/A	Concord	No	1	6,664
Adjutant General	LDT1	1988	GMC	1 Ton Box - C3500	101,029	7/5/2001	NG8	Pool	N/A	Boscawen	No	1	137
Adjutant General	LDT2	1999	Ford	FORD ECONOLINE E350 SUPER DUTY	184,136	3/29/2000	NG9	Pool	N/A	Concord	No	1	6,562
Adjutant General	LDT2	2000	Ford	ECONOLINE E350 SUPER DUTY Cargovan	109,385	8/1/2000	NG3	Pool	N/A	Concord	No	1	5,331
Adjutant General	LDT2	2007	Ford	E34N VAN	35,135	12/14/2006	NG13	Pool	N/A	Concord	No	1	4,240
Adjutant General	LDT2	1986	Chevrolet	Pickup 3/4 ton C20	155,020	4/22/1986	NG4	Pool	N/A	Concord	No	1	181
Adjutant General													7
Administrative Services, Department of	LDT1	2001	Chevrolet	1500 Van	35,588	11/1/2008	A15	Surplus Food / Pool	Pool	Concord	No	1	5,633
Administrative Services, Department of	LDT1	2000	Chevrolet	G3500 Cargo Van	78,398	3/1/2000	A20	General Services / Pool	Pool	Concord	No	1	4,850
Administrative Services, Department of	LDT1	2008	Chevrolet	Van	21,654	3/1/2008	A22	General Services / Pool	Pool	Concord	No	1	4,471
Administrative Services, Department of	LDT1	2002	Chevrolet	Astro Van	76,156	7/1/2006	A64	Facilities Asset & Mgt / Pool	Pool	Concord	No	1	4,390
Administrative Services, Department of	LDT1	2004	Chevrolet	Silverado	114,235	3/1/2009	A12	General Services / Pool	Pool	Concord	No	1	3,932
Administrative Services, Department of	LDT2	2008	Ford	F250 Superduty	30,480	2/1/2008	A9	General Services / Pool	Pool	Concord	No	1	6,287
Administrative Services, Department of	LDT2	2011	Chevrolet	Van	14,693	10/4/2010	A13	General Services / Pool	Pool	Concord	No	1	4,775
Administrative Services, Department of	LDT2	2011	Ford	E250 Van	5,220	5/1/2011	A5	General Services / Pool	Pool	Concord	No	1	4,065
Administrative Services, Department of	LDT2	2011	Ford	F250 Superduty	4,333	9/1/2011	A16	General Services / Pool	Pool	Concord	No	1	3,462
Administrative Services, Department of	LDT2	2002	Chevrolet	2500HD	46,393	9/24/2010	A8	Surplus Property / Pool	Pool	Concord	No	1	1,377
Administrative Services, Department of	LDT2	1986	Chevrolet	Blazer military	10,713	7/1/2006	A59	Facilities Asset & Mgt / Pool	Pool	Concord	No	1	657
Administrative Services, Department of													11
Agriculture, Department of	LDT1	2006	Chevrolet	C1500 Silverado Pickup	80,064	1/1/2006	AGR75	W&Ms / Pool	Weights & Ms Inspector	Stickney Ave-Concord	No	1	7,592
Agriculture, Department of	LDT1	2011	Ford	Ranger Super Cab	5,523	11/2/2010	AGR20	Pesticide / Pool	Pool	Stickney Ave-Concord	No	1	2,092
Agriculture, Department of	LDT1	2002	Chevrolet	C1500 Silverado Pickup	101,322	7/1/2002	AGR69	W&Ms / Pool	Weights & Ms Inspector	Stickney Ave-Concord	No	1	3
Agriculture, Department of													3
Correctional Industries	LDT1	2000	GMC	SAVANNA	208,220	2/1/2005	COR64	Farm	N/A	Concord	No	1	2,751
Correctional Industries	LDT2	1984	Chevrolet	NF5 K30 Pickup	38,951	3/31/2008	COR 21	Farm	N/A	Concord	No	1	121
Corrections, Department of	LDT1	2006	Chevrolet	Express Van	31,466	3/1/2006	COR18	NHSP-M Pool	Pool	Concord	No	1	6,646
Corrections, Department of	LDT1	2008	Chevrolet	Uplander	31,727	3/1/2008	COR53	Northend House	Pool	Concord	No	1	5,716
Corrections, Department of	LDT1	2007	Dodge	Ram 3500 Van	18,159	5/1/2007	COR12	NHSP-M Warehouse	Pool	Concord	No	1	4,763
Corrections, Department of	LDT1	2005	Jeep	Liberty	127,485	2/1/2005	COR56	NHSP-M Pool	Pool	Concord	No	1	2,615
Corrections, Department of	LDT1	2007	Ford	F450	8,016	6/1/2006	COR43	NHSP-M Maint	Pool	Concord	No	1	1,017
Corrections, Department of	LDT1	2000	GMC	Sierra 1 ton truck	23,398	9/1/1999	COR61	NCF - Maint	Pool	Berlin	No	1	750
Corrections, Department of	LDT1	2013	Ford	E150 Van	0		COR84	NHSP-M Transport	Pool	Concord	No	1	444
Corrections, Department of	LDT2	2009	Ford	E350	56,656	8/1/1996	COR34	Shea Farm	Pool	Concord	No	1	7,403
Corrections, Department of	LDT2	2008	Chevrolet	Crew Cab	14,270	3/1/2008	COR52	NHSP-M Maint	Pool	Concord	No	1	7,135
Corrections, Department of	LDT2	2006	Ford	F-350 Van	47,331	3/1/2006	COR13	NHSP-M Maint	Pool	Concord	No	1	5,475
Corrections, Department of	LDT2	1998	Dodge	Ram 3500 Van	83,748	10/1/1999	COR19	MSU	Pool	Concord	No	1	4,063
Corrections, Department of	LDT2	2006	Ford	F-350 Van	30,132	3/1/2006	COR14	Vocational Training	Pool	Concord	No	1	3,656
Corrections, Department of	LDT2	2006	Ford	F-350 Van	15,456	3/1/2008	COR16	NHSP-W Maint	Pool	Goffstown	No	1	1,327
Corrections, Department of													15
Cultural Resources, Department of	LDT2	1994	Ford	F350	171,224	6/20/2002	M36	Historical Resources / Pool	Pool	Concord	No	1	3,450
Cultural Resources, Department of													1
DRED (Resources & Economic Development)	LDT1	2001	Dodge	RAM 1500 (WS1500)	193,023	9/16/2005	D304	Parks & Recreation	Pawtuckaway Park	Pawtuckaway/Nottingham	No	1	7,324
DRED (Resources & Economic Development)	LDT1	2002	Ford	F150 XL	53,549	7/8/2011	D288	Sloan, Harry	Park Mgr III	Greenfield	No	1	6,319
DRED (Resources & Economic Development)	LDT1	2003	Ford	F150 XL	40,472	6/16/2010	D173	Parks & Recreation	Pillsbury Park	Washington	No	1	6,291
DRED (Resources & Economic Development)	LDT1	2011	Chevrolet	Colorado	10,320	7/11/2011	D93	Goulet, Grant	Program Spec IV	Concord	No	1	6,279
DRED (Resources & Economic Development)	LDT1	2011	Ford	F150 XL	8,682	9/21/2011	D123	Rolfe, Scott	Forester I	Allenstown	No	1	5,838
DRED (Resources & Economic Development)	LDT1	2001	Chevrolet	S10 Pickup (CS10603)	65,082	5/18/2001	D115	Parks & Recreation	Hampton Meter Patrol	Hampton	No	1	5,698
DRED (Resources & Economic Development)	LDT1	2010	Ford	F150 XL	11,951	8/12/2010	D335	Parks & Recreation / Cannon Mt	Cannon Mt	FNSP / Franconia	No	1	5,552
DRED (Resources & Economic Development)	LDT1	2011	Ford	F150 XL	8,122	4/11/2011	D333	Parks & Recreation / Cannon Mt	Cannon Mt	FNSP / Franconia	No	1	5,515
DRED (Resources & Economic Development)	LDT1	2001	Dodge	RAM 1500	105,879	10/20/2000	D327	Parks & Recreation	Wallis Sands Park	Rye	No	1	5,195
DRED (Resources & Economic Development)	LDT1	2001	Dodge	RAM 1500 (WS1500)	142,489	9/14/2007	D103	Parks & Recreation	Pawtuckaway Park	Pawtuckaway/Nottingham	No	1	5,171
DRED (Resources & Economic Development)	LDT1	2005	Chevrolet	1500	95,489	5/27/2011	D117	Parks & Recreation	Lafayette Campground	Franconia	No	1	5,005
DRED (Resources & Economic Development)	LDT1	1999	Ford	F150	144,705	4/5/1999	D121	Parks & Recreation	Sunapee Park	Sunapee	No	1	4,889
DRED (Resources & Economic Development)	LDT1	2001	Chevrolet	C1500 Silverado (CC15703)	97,978	5/3/2001	D119	Urban Forestry Staff	Pool	Portsmouth	No	1	4,337
DRED (Resources & Economic Development)	LDT1	2001	Dodge	RAM 1500 (WS1500)	185,022	9/20/2005	D284	Parks & Recreation	Greenfield State Park	Greenfield	No	1	4,147
DRED (Resources & Economic Development)	LDT1	2003	Chevrolet	C1500 Silverado - CK15753	153,648	3/25/2003	D25	Parks & Recreation / Trails Bureau	Trails Staff	Pittsburg	No	1	3,912
DRED (Resources & Economic Development)	LDT1	2001	Chevrolet	K-1500 Silverado (CC15903)	60,398	6/7/2001	D122	Forests & Lands / Forest Nursery	Forest Nursery Staff	Boscawen	No	1	3,717
DRED (Resources & Economic Development)	LDT1	2004	Chevrolet	C1500 Silverado - CK15753	119,131	5/18/2004	D29	White, Joshua	Equipment Operator	Tamworth	No	1	3,689
DRED (Resources & Economic Development)	LDT1	2001	Dodge	RAM 1500	92,541	10/4/2000	D311	Parks & Recreation	Wellington Park	Alexandria	No	1	3,466
DRED (Resources & Economic Development)	LDT1	1993	Chevrolet	S10 Pickup (CS10803)	102,218	4/2/1993	D315	Parks & Recreation	Deer Mt State Park	Deer Mt / Pittsburg	No	1	3,456
DRED (Resources & Economic Development)	LDT1	2001	Dodge	RAM 1500	167,607	11/17/2000	D312	Parks & Recreation	White Lake Park	Tamworth	No	1	2,810
DRED (Resources & Economic Development)	LDT1	1998	Chevrolet	K1500 (CR10703)	176,993	9/2/1998	D317	Parks & Recreation	White Lake Park	Tamworth	No	1	2,804
DRED (Resources & Economic Development)	LDT1	1994	Ford	F150	153,906	5/5/1994	D189	Parks & Recreation	Coleman Park	Pittsburg	No	1	2,667
DRED (Resources & Economic Development)	LDT1	1993	Chevrolet	C1500 (CC10903)	99,866	4/9/1993	D306	Parks & Recreation	Bear Brook Park	Bear Brook / Allenstown	No	1	2,085
DRED (Resources & Economic Development)	LDT1	1998	Ford	F150	126,472	2/2/1998	D9	Parks & Recreation	Kingston Park	Kingston	No	1	1,690
DRED (Resources & Economic Development)	LDT1	2001	Dodge	RAM 1500 (WS1500)	179,723	9/16/2005	D300	Parks & Recreation	Silver Lake State Park	Hollis	No	1	1,115

BEM Report - Light Duty Trucks (up to 10,000 lbs)

FY 2013

Agency Name	Fleet Unit Subtype	Model Year	Make	Model	Current Odometer	In-Service Date	Plate or ID	Person Assigned	Position of Person Assigned	City/Town Where Garaged	Garaged at Residential Site?	Use Class	FY2013 Mileage	
DRED (Resources & Economic Development)	LDT1	1983	Chevrolet	C30 (NF5)	36,034	12/20/1993	180382	Freeman, James	Mt. Sunapee Resort	Mt Sunapee	No	1	631	
DRED (Resources & Economic Development)	LDT1	1968	Kaiser	M715 Jeep	9,509	12/1/1978	D75	Forest Fire Response	Forest & Lands	Milford	No	1	117	
DRED (Resources & Economic Development)	LDT2	2009	Ford	F250	21,885	6/24/2009	D330	Preville, Greg	Park Mgr III	Allenstown	No	1	7,665	
DRED (Resources & Economic Development)	LDT2	2006	Chevrolet	K2500HD	90,612	6/30/2011	D309	Parks & Recreation	Flume Gorge	FNSP / Franconia	No	1	6,580	
DRED (Resources & Economic Development)	LDT2	1999	Ford	F250	132,262	6/17/1999	D319	Parks & Recreation	Pawtuckaway Park	Pawtuckaway/Nottingham	No	1	6,386	
DRED (Resources & Economic Development)	LDT2	2008	Ford	F250	36,008	1/24/2008	D276	Parks & Recreation / Cannon Mt	Cannon Mt	Franconia	No	1	5,946	
DRED (Resources & Economic Development)	LDT2	1999	Ford	F250	198,910	6/2/1999	D293	Parks & Recreation	White Lake State Park	Tamworth	No	1	4,867	
DRED (Resources & Economic Development)	LDT2	2005	Ford	F250 SD	51,709	6/22/2005	D325	Parks & Recreation	White Lake State Park	White Lake / Tamworth	No	1	4,518	
DRED (Resources & Economic Development)	LDT2	1999	Ford	F250	159,410	1/19/1999	D322	Parks & Recreation	Seacoast Parks Staff	Hampton	No	1	4,288	
DRED (Resources & Economic Development)	LDT2	1999	Ford	F250	126,264	6/2/1999	D282	Parks & Recreation	Ellacoya Park	Gilford	No	1	3,399	
DRED (Resources & Economic Development)	LDT2	2012	Ford	F250 XL	1,125	6/25/2012	D279	Blaney, Tara	Park Mgr V	Nottingham	No	1	3,392	
DRED (Resources & Economic Development)	LDT2	2004	Chevrolet	2500HD	68,232	6/18/2010	D295	Parks & Recreation	Greenfield State Park	Greenfield	No	1	3,373	
DRED (Resources & Economic Development)	LDT2	2003	Ford	F350 SD	40,577	3/28/2003	D133	Forest Nursery Staff	Forest & Lands	Boscawen	No	1	2,628	
DRED (Resources & Economic Development)	LDT2	2002	Ford	F250	61,002	1/10/2002	D107	Whiting, Kevin	Maint Mech II	Lancaster	No	1	2,317	
DRED (Resources & Economic Development)	LDT2	1999	Ford	F250	179,422	6/1/1999	D71	Wildlands Fire Patrol	Forest & Lands	Allenstown	No	1	2,010	
DRED (Resources & Economic Development)	LDT2	1999	Ford	F250	158,769	6/2/1999	D287	Parks & Recreation	Wentworth Park	Wolfeboro	No	1	1,666	
DRED (Resources & Economic Development)	LDT2	1998	Chevrolet	K2500 (CK20903)	147,672	7/20/1998	D305	Parks & Recreation	Milan Hill State Park	Lancaster	No	1	1,459	
DRED (Resources & Economic Development)	LDT2	1995	Chevrolet	K2500 (CK20903)	236,108	7/13/1995	D112	Forest Protection Pool	Forest & Lands	Lancaster	No	1	1,444	
DRED (Resources & Economic Development)	LDT2	1998	Dodge	RAM 2500 (BR2L62)	153,962	2/3/1998	D298	Parks & Recreation	Jericho Mt Park	Berlin	No	1	1,430	
DRED (Resources & Economic Development)	LDT2	1985	Chevrolet	D30 Military Postal Unit (CD30903)	38,320	12/16/1997	D76	Forest Fire Response	Forest & Lands	Woodstock	No	1	951	
DRED (Resources & Economic Development)	LDT2	1987	GMC	R3500 (TR31403)	39,253	9/3/1987	D134	Forest Nursery Staff	Forest & Lands	Boscawen	No	1	413	
DRED (Resources & Economic Development)	LDT2	1998	Chevrolet	K2500 (CK20903)	77,690	7/1/1998	D318	Parks & Recreation	Bear Brook Park	Bear Brook / Allenstown	No	1	315	
DRED (Resources & Economic Development)													47	
Employment Security, Department of	LDT1	1998	Chevrolet	CC20903 PKUP	191,225	7/1/1998	M188	NHES / Pool	Pool	Concord	no	1	4,702	
Employment Security, Department of	LDT2	2003	Chevrolet	Express Van CG33405	192,545	6/1/2003	M104	NHES / Pool	Pool	Concord	no	1	7,530	
Employment Security, Department of													2	
Environmental Services, Department of	LDT1	2005	Chevrolet	Van	61,181	2/9/2005	ES83	Air Resources	Technical Services	29 Hazen Dr/Concord	No	1	6,689	
Environmental Services, Department of	LDT1	2003	Ford	F250 Pick Up	47,952	5/2/2003	ES30	Alan Williams	Murphy Dam Operator	Murphy Dam-Pittsburg	No	4	6,197	
Environmental Services, Department of	LDT1	2012	Chevrolet	Colorado	4,137	9/30/2010	ES74	Water Division/Operations	Wastewater trmt operator	Wastewater Treatment Plant/Laconia	No	1	4,262	
Environmental Services, Department of	LDT1	2008	Ford	Ranger XL	24,614	5/20/2008	ES76	Water Division/Operations	Wastewater trmt operator	Wastewater Treatment Plant/Laconia	No	1	2,869	
Environmental Services, Department of	LDT1	2006	Chevrolet	ExpressG1Van	20,983	6/22/2006	ES72	Water Division/Operations	Wastewater trmt operator	Wastewater Treatment Plant/Franklin	No	1	2,594	
Environmental Services, Department of	LDT2	2012	Ford	F 350	14,944	9/8/2010	ES71	Water Division/Operations	Wastewater trmt operator	Wastewater Treatment Plant/Laconia	No	1	7,857	
Environmental Services, Department of	LDT2	2006	Ford	F21D Pick Up	48,927	6/7/2005	ES185	Water Division/Operations	Wastewater trmt operator	Wastewater Treatment Plant/Laconia	No	1	5,499	
Environmental Services, Department of	LDT2	2001	Ford	F250 Pick Up	75,990	2/22/2001	ES69	Water Division/Operations	Wastewater trmt operator	Wastewater Treatment Plant/Laconia	No	1	4,276	
Environmental Services, Department of	LDT2	2005	GMC	Sierra	14,292	7/1/2005	ES186	Water Division/Operations	Wastewater trmt operator	Wastewater Treatment Plant/Franklin	No	1	1,620	
Environmental Services, Department of	LDT2	1997	Ford	F 250 Pick Up	92,692	8/13/1997	ES157	Water Division/Operations	Wastewater trmt operator	Wastewater Treatment Plant/Laconia	No	1	141	
Environmental Services, Department of													10	
Fish & Game, Department of	LDT1	2003	Chevrolet	Astro Van	92,440	7/23/2003	F115	POOL	FISHERIES	CONCORD	no	1	7,038	
Fish & Game, Department of	LDT1	2002	Chevrolet	Pickup	62,000	1/30/2002	F134	POOL	BORDEAU, KAREN	WILDLIFE	NEW HAMPTON	no	1	6,615
Fish & Game, Department of	LDT1	1997	Chevrolet	Pickup	137,432	3/26/1997	F180	POOL	FISHERIES	POWDER MILL	no	1	6,512	
Fish & Game, Department of	LDT1	2006	Ford	Freestar SE VAN	49,891		F126	POOL	BUSINESS	CONCORD	no	1	5,626	
Fish & Game, Department of	LDT1	1998	Chevrolet	Pickup	170,277	6/2/1998	F145	POOL	PUBLIC AFFAIRS	CONCORD	no	1	5,582	
Fish & Game, Department of	LDT1	1999	Chevrolet	Pickup	86,994	6/9/1999	F149	POOL	FISHERIES	KEENE	no	1	5,407	
Fish & Game, Department of	LDT1	2009	Chevrolet	K1500 Ext. Cab Pickup	23,297	9/1/2009	F138	POOL	PUBLIC AFFAIRS HE	CONCORD	no	1	5,060	
Fish & Game, Department of	LDT1	1999	Chevrolet	Pickup	148,509	6/7/1999	F186	POOL	ENGINEERING	CONCORD	no	1	4,802	
Fish & Game, Department of	LDT1	1998	Chevrolet	Pickup	114,616	6/2/1998	F155	POOL	WILDLIFE	CONCORD	no	1	4,499	
Fish & Game, Department of	LDT1	2000	Dodge	Durango SUV	142,378		25954						3,783	
Fish & Game, Department of	LDT1	2000	Chevrolet	Pickup	134,064	1/29/2000	F97	POOL	WILDLIFE	CONCORD	no	1	3,573	
Fish & Game, Department of	LDT1				23,051				LAW			4	3,405	
Fish & Game, Department of	LDT1	1999	Ford	Pickup	122,232		F140	POOL	MARINE FISHERIES	DURHAM	no	1	3,343	
Fish & Game, Department of	LDT1	1997	Chevrolet	Pickup	151,410	3/24/1997	F116	POOL	BUSINESS	CONCORD	no	1	3,010	
Fish & Game, Department of	LDT1	1996	Chevrolet	Pickup	144,951	3/8/1996	F113	POOL	FISHERIES	MILFORD	no	1	999	
Fish & Game, Department of	LDT2	2011	FORD	E150 VAN	12,893	8/1/2010	F201	POOL	WILDLIFE	CONCORD	no	1	7,715	
Fish & Game, Department of	LDT2	2009	Ford	F350 W/PLOW	25,818		F195	POOL	FISHERIES	NEW HAMPTON	no	1	7,459	
Fish & Game, Department of	LDT2	2008	Ford	F250 Ext Cab	42,577		F128	POOL	PUBLIC AFFAIRS ARE	HQ	no	1	7,269	
Fish & Game, Department of	LDT2	1999	Chevrolet	K2500 CK20903	161,236		F118	POOL	FISHERIES	LANCASTER	no	1	6,844	
Fish & Game, Department of	LDT2	2009	Ford	F350 W/PLOW	19,414		F196	POOL	FISHERIES	MILFORD	no	1	6,154	
Fish & Game, Department of	LDT2	2009	Ford	F350 W/PLOW	22,220		F197	POOL	FISHERIES	WARREN	no	1	5,436	
Fish & Game, Department of	LDT2	1994	Chevrolet	C3500 HD (MD3500)	99,525		F131	POOL	FISHERIES	MILFORD	no	1	5,045	
Fish & Game, Department of	LDT2	2000	Chevrolet	K2500 CK20903	124,995		F168	POOL	FISHERIES	BERLIN	no	1	5,032	
Fish & Game, Department of	LDT2	2000	Chevrolet	K2500 CK20903	96,930		F162	POOL	FISHERIES	NEW HAMPTON	no	1	3,206	
Fish & Game, Department of	LDT2	1985	Chevrolet	Platform C30	93,450		F129	POOL	FISHERIES	New Hampton	no	1	1,641	
Fish & Game, Department of	LDT2	1985	Chevrolet	Platform C30	30,764		F135	POOL	FISHERIES	WARREN	no	1	62	
Fish & Game, Department of													26	
Health and Human Services	LDT1	2000	Dodge	Caravan	122,113	9/1/2000	P146	Brown Garage	Pool	Concord	no	1	7,580	
Health and Human Services	LDT1	2003	Chevrolet	Astro Van	76,490	8/1/2003	P188	DPH Labs / M. Holliday	Pool	Concord	no	1	6,013	
Health and Human Services	LDT1	2006	Chevrolet	Silverado 1500	32,174	6/1/2006	P33	GLENCLIFF / POOL	POOL	BENTON	no	1	5,156	

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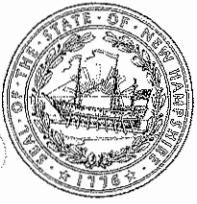
Agency Name	Fleet Unit Subtype	Model Year	Make	Model	Current Odometer	In-Service Date	Plate or ID	Person Assigned	Position of Person Assigned	City/Town Where Garaged	Garaged at Residential Site?	Use Class	FY2013 Mileage
Health and Human Services	LDT1	2002	Chevrolet	C1500 SILVERADO	129,118	6/25/2002	P047	NHH / Pool	Pool	Concord	no	1	4,529
Health and Human Services	LDT1	2005	Chevrolet	Express Van	47,089	4/1/2005	P172	Mail Svcs / John Mahon	Pool	Concord	no	1	4,442
Health and Human Services	LDT1	2001	Ford	ECONOLINE E150	65,013	8/1/2001	Y9	DJJS / Pool	DJJS Grounds	Manchester	No	1	3,329
Health and Human Services	LDT1	2009	Chevrolet	Express G3500	17,895	2/1/2009	P193	NHH / Pool	Pool	Concord	no	1	2,814
Health and Human Services	LDT1	2001	Chevrolet	Astro Van	60,961	6/1/2001	P145	ESU / R. Theriault	Pool	Concord	no	1	2,723
Health and Human Services	LDT1	1998	Ford	Ranger	114,391	8/1/2004	P57	GLENCLIFF / POOL	POOL	BENTON	no	1	1,839
Health and Human Services	LDT1	1998	Ford	Ranger	105,570	2/3/1998	P130	NHH / Pool	Pool	Concord	no	1	1,822
Health and Human Services	LDT1	2001	Dodge	Ram Pick-up	28,996	10/1/2000	Y24	DJJS / Pool	DJJS Grounds	Manchester	No	1	1,800
Health and Human Services	LDT1	1999	Dodge	Caravan	110,026	9/7/1999	P082	NHH / Pool	Pool	Concord	no	1	1,793
Health and Human Services	LDT1	1994	Ford	F150 Pickup	106,892	5/10/2001	P012	NHH / Pool	Pool	Concord	no	1	1,739
Health and Human Services	LDT1	2000	Chevrolet	K3500	31,881	6/1/2000	Y4	DJJS / Pool	DJJS Grounds	Manchester	No	1	1,331
Health and Human Services	LDT1	2001	Ford	Econoline E150	69,460	7/20/2001	P177	NHH / Pool	Pool	Concord	no	1	753
Health and Human Services	LDT1	1998	Dodge	F-150	122,725	11/29/2007	P083	NHH / Pool	Pool	Concord	no	1	630
Health and Human Services	LDT1	1998	Dodge	Caravan	99,064	9/24/1998	P088	NHH / Pool	Pool	Concord	no	1	601
Health and Human Services	LDT1	1997	Ford	F-150	27,319	10/29/2007	P050	NHH / Pool	Pool	Concord	no	1	494
Health and Human Services	LDT1	1986	Chevrolet	CK 31003	56,880	4/1/1986	Y13	DJJS / Pool	DJJS Grounds	Manchester	No	1	64
Health and Human Services	LDT2	2011	Ford	F250 SUPER DUTY	7,469	1/28/2011	P114	GLENCLIFF / POOL	POOL	BENTON	no	1	4,678
Health and Human Services	LDT2	2002	Chevrolet	C2500 PKUP	139,432	9/1/2007	P68	GLENCLIFF / POOL	POOL	BENTON	no	1	3,604
Health and Human Services	LDT2	1991	Chevrolet	Cheyenne	169,220	6/25/2002	P166	NHH / Pool	Pool	Concord	no	1	2,850
Health and Human Services	LDT2	2001	Chevrolet	Express 3500 cargo van	127,650	8/1/2006	P67	GLENCLIFF / POOL	POOL	BENTON	no	1	2,537
Health and Human Services	LDT2	2005	Dodge	Pickup RAM 2500 ST/SLT	21,484	2/1/2005	P108	GLENCLIFF / POOL	POOL	BENTON	no	1	2,196
Health and Human Services	LDT2	2003	Ford	F350 SUPER DUTY	33,385	2/1/2003	Y5	DJJS / Pool	DJJS Grounds	Manchester	No	1	2,154
Health and Human Services	LDT2	1998	Ford	Econoline 350	156,045	2/18/1999	P089	NHH / Pool	Pool	Concord	no	1	1,633
Health and Human Services	LDT2	1999	Chevrolet	Express G3500 Cargo Van	78,825	10/6/1998	P078	NHH / Pool	Pool	Concord	no	1	1,590
Health and Human Services	LDT2	1990	Chevrolet	Pickup	48,282	9/27/2006	P148	NHH / Pool	Pool	Concord	no	1	925
Health and Human Services	LDT2	1994	Ford	CLUB WAGON SUPER E350	134,065	2/1/1995	P31	GLENCLIFF / POOL	POOL	BENTON	no	1	904
Health and Human Services	LDT2	1995	Ford	F-350	24,445	1/26/2007	P052	NHH / Pool	Pool	Concord	no	1	849
Health and Human Services	LDT2	2001	Ford	F250 SUPER DUTY	33,571	2/1/2001	P115	GLENCLIFF / POOL	POOL	BENTON	no	1	847
Health and Human Services	LDT2	1995	Dodge	RAM VAN B3500	101,107	7/18/2000	P129	NHH / Pool	Pool	Concord	no	1	352
Health and Human Services	LDT2	1982	Chevrolet	C30 Van	49,715		P095	NHH / Pool	Pool	Concord	no	1	226
Health and Human Services													33
Highway Safety, Agency of	LDT1	2010	Dodge	Grand Caravan	21,428	5/1/2010	M9	Highway Safety / Pool	Pool	Concord	no	1	6,568
Highway Safety, Agency of													1
Information Technology	LDT1	2001	Ford	Econoline E150 Van	87,448	6/1/2001	A24	ASDC / Pool	Pool	Concord	No	1	4,790
Information Technology													1
Justice, Department of	LDT1				75,350		UND		Investigator			4	7,905
Justice, Department of	LDT1				73,268		UND		Investigator			4	6,125
Justice, Department of	LDT1				96,500		UND		Investigator			4	5,340
Justice, Department of	LDT1				87,473		UND		Investigator			4	1,826
Justice, Department of	LDT1				108,369		UND		Investigator			4	1,651
Justice, Department of													5
Police Standards & Training Council	LDT1	2005	Chevrolet	Express G1500 Van	15,352	9/1/2004	P558	Pool - PSTC	N/A	Concord	No	1	1,027
Police Standards & Training Council	LDT1	2008	Ford	Explorer	18,088	7/1/2008	PS96	Trng - PSTC	N/A	Concord	No	1	222
Police Standards & Training Council	LDT2	2000	Ford	4x4 F350	49,033	5/1/2000	PS73	Pool - PSTC	N/A	Concord	No	1	2,803
Police Standards & Training Council													3
Revenue Administration	LDT1	2006	Chevrolet	K1500 Silverado	184,438	5/6/2006	T18	Revenue / Maintenance	Spare	Concord	No	1	3,016
Revenue Administration													1
Safety, Department of	LDT1	2006	FORD	ESCAPE	209,643	3/8/2006	4313	ADMIN POOL	ADM	CONCORD	No	1	7,263
Safety, Department of	LDT1	2010	FORD	EXPEDITION	16,028	6/8/2011	4805	DUBOIS, STACEY	DFS	JEFFERSON	Yes	4	6,666
Safety, Department of	LDT1	2008	FORD	EXPEDITION	28,667	6/6/2008	4639	HSEM POOL	EM	CONCORD	No	1	5,492
Safety, Department of	LDT1	2002	DODGE	DURANGO	113,105	2/28/2002	3813	MPHQ POOL	DSP	GILFORD	No	4	4,518
Safety, Department of	LDT1	2006	CHEVROLET	TAHOE	167,385	7/3/2006	4310	TAR UNIT	DSP	CONCORD	No	4	4,081
Safety, Department of	LDT1	2012	FORD	TRANSIT	627	6/28/2012	4903	911	ECM	CONCORD	No	1	3,772
Safety, Department of	LDT1	2012	FORD	TRANSIT	155	6/28/2012	4902	911	ECM	CONCORD	No	1	3,526
Safety, Department of	LDT1	1999	FORD	Econoline Van E250	76,354	7/7/1999	3454	FMO POOL	DFS	CONCORD	No	1	3,037
Safety, Department of	LDT1	2002	CHEVROLET	SUBURBAN	153,104	4/12/2002	3822	TAR UNIT	DSP	CONCORD	No	4	2,078
Safety, Department of	LDT1	2004	FORD	EXPLORER	78,228	6/10/2004	4081	MPHQ POOL	DSP	GILFORD	No	1	1,967
Safety, Department of	LDT1	2002	CHEVROLET	ASTRO	78,397	2/28/2002	3810	MPHQ POOL	DSP	GILFORD	No	1	1,009
Safety, Department of	LDT1	2002	DODGE	DURANGO	126,498	7/23/2002	3832	MPHQ POOL	DSP	GILFORD	No	1	831
Safety, Department of	LDT1	2003	FORD	VAN	97,655	12/10/2003	4043	CDL TEAM	DSP	CONCORD	No	4	0
Safety, Department of	LDT2	2000	FORD	F350	114,857	4/28/2000	3478	MARINE PATROL	DSP	GILFORD	No	1	5,366
Safety, Department of	LDT2	2005	FORD	ECONOLINE	158,697	3/1/2005	4189	WAREHOUSE	ADM	CONCORD	No	1	3,381
Safety, Department of	LDT2	2009	FORD	ECONOLINE	7,089	9/1/2009	4686	911	ECM	LACONIA	No	1	2,211
Safety, Department of	LDT2	2004	FORD	F250 Superduty 4X4	14,348	3/22/2004	4080	GARAGE	ADM	CONCORD	No	1	1,338
Safety, Department of	LDT2	1999	FORD	F250 SUPER DUTY	83,101	2/9/1999	3205	FST POOL	FST	CONCORD	No	1	1,286
State Police, Division of	LDT1				96,297		4566		DSP			4	7,870
State Police, Division of	LDT1	2008	CHEVROLET	HHR	31,751	6/20/2008	4651	TOXICOLOGY LAB	DSP	CONCORD	no	1	6,516

BEM Report - Light Duty Trucks (up to 10,000 lbs)

FY 2013

Agency Name	Fleet Unit Subtype	Model Year	Make	Model	Current Odometer	In-Service Date	Plate or ID	Person Assigned	Position of Person Assigned	City/Town Where Garaged	Garaged at Residential Site?	Use Class	FY2013 Mileage
State Police, Division of	LDT1	2005	CHEVROLET	SILVERADO	89,484	6/3/2006	4295	RADIO MAINT	DSP	CONCORD	no	1	6,359
State Police, Division of	LDT1	2004	DODGE	RAM PICKUP	68,533	9/4/2004	4162	STATE POLICE	ADM	CONCORD	no	1	6,177
State Police, Division of	LDT1	2008	CHEVROLET	HHR	30,171	6/17/2008	4652	TOXICOLOGY LAB	DSP	CONCORD	no	1	5,396
State Police, Division of	LDT1	2005	CHEVROLET	SILVERADO	151,339	7/1/2006	4296	RADIO MAINT	DSP	CONCORD	no	1	5,124
State Police, Division of	LDT1	2005	DODGE	CARAVAN	181,102	4/13/2005	4187	FORENSIC LAB	DSP	CONCORD	no	1	5,056
State Police, Division of	LDT1	2002	CHEVROLET	CK1500	120,755	4/29/2002	3827	TROOP F SPARE	DSP	TWIN-MT	no	4	4,430
State Police, Division of	LDT1	2006	CHEVROLET	UPLANDER	111,845	8/1/2006	4344	ADMIN POOL	DSP	CONCORD	no	1	4,130
State Police, Division of	LDT1	2003	CHEVROLET	ASTRO	82,411	12/31/2003	4014	RADIO MAINT	DSP	CONCORD	no	1	2,543
State Police, Division of	LDT1	2002	CHEVROLET	CK1500	122,666	4/25/2002	3828	RADIO MAINT	DSP	CONCORD	no	1	1,738
State Police, Division of	LDT1				30,928		3746		DSP			4	627
State Police, Division of	LDT2	2004	FORD	F250	38,229	1/28/2010	4683	CENTRAL MAINT	ADM	CONCORD	no	1	5,521
Safety, Department of / State Police													31
Transportation	LDT2	2001	CHEVROLET	SUBURBAN	142,340	12/3/2001	H01380	BRENDA L ORDWAY	ADMINISTRATIVE ASST I	BUREAU OF HIGHWAY DESIGN PARKING	NO	1	7,227
Transportation	LDT2	2000	CHEVROLET	SUBURBAN	176,136	12/13/2000	H00382	SCOTT CLOUTIER	SURVEY TEAM TECHNICIAN IV	BUREAU OF HIGHWAY DESIGN PARKING	NO	1	6,494
Transportation	LDT2	1994	FORD	E250 PROFILE	192,343	9/22/1994	H00097	SCOTT LESLIE	ENGINEERING TECHNICIAN V	BUREAU OF MATERIALS & RESEARCH PARKING	NO	1	5,229
Transportation	LDT2	2001	FORD	F250 CREWCAB	66,917	2/2/2001	H00366	ROBERT SPINNEY	BRIDGE CONSTRUCTION SUPV	BRIDGE MAINT. PORTSMOUTH CREW 15	NO		4,953
Transportation	LDT2	2003	CHEVROLET	SUBURBAN	154,944	7/30/2003	H00393	BRIAN EASLER	ENGINEERING TECHNICIAN V	BUREAU OF HIGHWAY DESIGN PARKING	NO	1	4,449
Transportation													5
Veterans Home	LDT1	2006	Ford	E450 SUPER DUTY Cutaway	41,482	11/1/2006	M179	Veterans Home / Pool	Pool	Tilton	No	1	6,002
Veterans Home	LDT1	2006	Chevrolet	3500 Silverado	25,197	5/1/2005	M177	Veterans Home / Pool	Pool	Tilton	No	1	3,915
Veterans Home	LDT2	2008	Ford	E350 SUPER DUTY	30,662	7/1/2008	M109	Veterans Home / Pool	Pool	Tilton	No	1	4,968
Veterans Home													3

Total Vehicles Below BEM: 205



**State of New Hampshire
Department of Revenue Administration**

109 Pleasant Street
PO Box 457, Concord, NH 03302-0457
Telephone 603-230-5005
www.nh.gov/revenue



John T. Beardmore
Commissioner

December 9, 2013

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House
Concord, NH 03301

Dear Chairman Wallner,

Pursuant to Ch. 143:15, Laws of 2013, the Department of Revenue Administration (DRA) shall reduce state general fund appropriations by \$750,000 for the fiscal year ending June 30, 2014. The DRA is required to provide a quarterly report of such reductions to the Fiscal Committee. The purpose of this letter is to report the full \$750,000 general fund appropriation reduction for FY 2014. Accordingly, this is the first and final quarterly report to be submitted by the DRA.

The DRA will reduce general fund appropriations as follows in FY 2014:

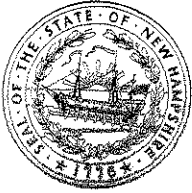
Accounting Unit	Class	Amount
7884 – Administration	010	(\$6,692)
7884 – Administration	060	(\$3,734)
1301 – Audit Division	010	(\$178,585)
1301 – Audit Division	012	(\$3,228)
1301 – Audit Division	014	(\$107,420)
1301 – Audit Division	060	(\$171,835)
1401 – Collection Division	010	(\$6,926)
1401 – Collection Division	060	(\$6,279)
1501 – Documents Processing Division	010	(\$43,201)
1501 – Documents Processing Division	060	(\$76,243)
2953 – Central Tax Services	010	(\$45,154)
2953 – Central Tax Services	060	(\$27,016)
5413 – Appraisal Services	010	(\$47,282)
5413 – Appraisal Services	060	(\$26,405)
TOTAL		(\$750,000)

Sincerely,

John T. Beardmore
Commissioner

TDD Access: Relay NH 1-800-735-2964

Individuals who need auxiliary aids for effective communication in programs and services of the Department of Revenue Administration are invited to make their needs and preferences known to the Department.



State of New Hampshire

DEPARTMENT OF HEALTH AND HUMAN SERVICES

129 PLEASANT STREET, CONCORD, NH 03301-3857

~~603-271-4386~~ FAX: 603-271-4912 TDD ACCESS: 1-800-735-2964

New Number: 603-271-9200

NICHOLAS A. TOUMPAS
COMMISSIONER

December 4, 2013

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House
Concord, NH 03301

Re: Dashboard – October 2013

Information

The Department of Health and Human Services is providing this dashboard report, which provides a status on demand for services in entitlement programs. The purposes of this dashboard are to:

1. Provide summary information on enrollments in several high cost programs,
2. Monitor high level fiscal issues to ensure sufficient funding is available for entitlement programs and for programs intended by the legislature, and to
3. Provide a summary of significant administrative and operations initiatives.

Explanation

Mission

The Department's mission is "to join communities and families in providing opportunities for citizens to achieve health and independence." The majority of individuals serviced by the Department fall into three groups and programs to help these individuals require different approaches with differing objectives.

- Permanently Disabled Individuals include the developmentally disabled, frail elderly and those with mental health issues who require long term care services. The objective is to help them maximize their independence, to allow, to the extent it is safe for the individual, to live within a community, while recognizing that for many there will always be a need for long-term services and supports.
- Temporarily Low Income Individuals are those who have exhausted their financial resources due a loss of employment, divorce or temporary health issues. These individuals have the ability to likely recover their independence when jobs are available or their current crises are overcome with the appropriate interim supports.
- Chronically Low Income Individuals are the most complex. Breaking the cycle of poverty for the chronically low income requires a commitment from public and state leaders to invest in programs that will support a coordinated statewide effort including not only the Department of Health and Human Services but also Education, Corrections and Employment Security.

For the four months ended October 2013, the Department provided services to an average of 152,653 individuals per month. This represented a decrease of 2.6% versus the prior year. The largest programs managed by the Department are the food stamp, Medicaid and FANF programs, which provide supports to low-income individuals. As noted in the following table, the number of people receiving Medicaid and food stamps has declined slightly from the prior year, but remain at high, unprecedented levels. The number of people receiving Financial Assistance to Needy Families and Aid to the Permanently and Totally Disabled continues to decline.

Average Enrollment (Persons) Four Months Ended October

	SFY11	SFY12	SFY13	SFY14
Total Unduplicated Persons	151,225	153,932	156,748	152,653
<i>Pct Increase from Prior Year</i>	<i>6.57%</i>	<i>1.79%</i>	<i>1.83%</i>	<i>-2.61%</i>
Medicaid Persons	118,914	119,699	129,848	128,740
<i>Pct Increase from Prior Year</i>	<i>3.69%</i>	<i>0.66%</i>	<i>8.48%</i>	<i>-0.85%</i>
Food Stamp Persons	110,091	114,330	117,303	115,208
<i>Pct Increase from Prior Year</i>	<i>21.44%</i>	<i>3.85%</i>	<i>2.60%</i>	<i>-1.79%</i>
FANF Persons	13,895	11,949	8,711	7,792
<i>Pct Increase from Prior Year</i>	<i>2.11%</i>	<i>-14.01%</i>	<i>-27.10%</i>	<i>-10.56%</i>
APTD Persons	8,642	8,898	8,284	7,938
<i>Pct Increase from Prior Year</i>	<i>8.23%</i>	<i>2.97%</i>	<i>-6.91%</i>	<i>-4.18%</i>
Elderly Nursing Services	7,192	7,141	7,312	7,194
<i>Pct Increase from Prior Year</i>	<i>-2.67%</i>	<i>-0.71%</i>	<i>2.39%</i>	<i>-1.61%</i>

The budget for SFY14-15 assumes no growth in Medicaid caseloads. The Department is implementing a managed care program to provide these services, which will not change the eligibility but will impact how Medicaid services are delivered.

Funding Issues

As noted in Table A, the Department is tracking a projected general fund shortfall for the biennium of \$36.6 million and the approved budget assumes another \$47.6 million of lapse from Department appropriations. The Department has historically been proactive in dealing with budget shortfalls and the current budget issues will be addressed in a similar manner. The day the budget was passed the Department began work on assessing funding shortfalls and options for addressing those shortfalls.

- A DHHS hiring freeze was implemented for all non-direct care positions with an objective of maintaining 250 vacancies. Vacancies at the end of October were 318, 11% of authorized positions.
- All administrative accounts were reviewed to identify areas for potential reduction.
- Similar to the LBA process during the Senate phase of the budget, a list of program areas where significant general funds were added to our budget was prepared and is being reviewed.

With this information in hand, we are working with the Governor's office to develop a cost reduction plan to address the projected shortfall. Since many of the cost reduction initiatives are likely to require changes in legislation, it is expected the plan will be presented to Fiscal Committee and the Legislature at the appropriate time.

Operations & Administration

The Department has a number of operations challenges for the current biennium. Several significant changes to service delivery systems and operational infrastructure are in process. These include:

Service Delivery

1. Medicaid Care Management for Medical and Long Term Care Services
2. Implementation of Children in Need of Services (CHINS) Voluntary Services
3. Implementation of the 10-Year Mental Health Plan
4. State Innovation Model (SIM) Grant
5. Balanced Incentive Program (BIP) Grant

Medicaid Model

6. Development of an 1115 Waiver to restructure the Medicaid program
7. Implementation of authorized elements of the Affordable Care Act (ACA)
 - a. Implementation of the Modified Adjusted Gross Income
 - b. Federally Facilitated Marketplace
 - c. Federally Funded Primary Care Rate Increase

Information Technology

8. Medicaid Management Information System
9. Service Delivery System Transformation – Data Repository
10. Health Information Exchange
11. Replace Child Support Information System (NECSSES)
12. Complete the installation of the Medicaid Management Information System (MMIS)
13. Continue the modernization of the eligibility determination system (New HEIGHTS)
14. Implement Electronic Medical Records at New Hampshire Hospital
15. Implement WISDOM Public Health Performance Management System

Additionally, legislation related to medical marijuana and family planning services provided no funding for implementation and will require resources be transferred from other areas of the Department's budget.

These projects and high caseloads are straining the Department's capacity. In July 2008, the Department provided services to 125,236; today the Department provides services to 152,132 individuals, representing an increase of 21%. In July 2008, the Department had 3,095 filled positions. The number of filled positions has fallen to 2,579, representing a loss of over 500 positions, 17%. The decline in number of staff is exacerbated by the fact that nearly 12% of the Department's workforce is age 60 with at least 10 years of service and eligible for retirement. This potential drain of experienced staff combined with the organizational downsizing and transformation challenges creates risk to the Department's core competencies.

Litigation & Audits

In addition to managing current operations and working toward implementation of the significant transformation initiatives required in the budget, Department resources have become disproportionately directed at and continue to be consumed to addressing audits and litigation including:

- Litigation involving acute care hospitals
- Litigation involving the Olmstead regulations for the mental health services
- Litigation involving providers of residential care for children
- Litigation involving developmental services inclusion in Medicaid Care Management
- Managing the disproportionate share program
- Audits by the Office of Inspector General
- Federal review of Title IV-E
- Audits by the LBA such as the recently completed audit of EBT cards and the Sununu Youth Services Center
- State Single Audit

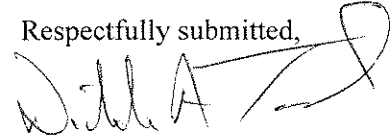
NHH DSH

New Hampshire Hospital (NHH) receives approximately \$18 million per year from the Medicaid Disproportionate Share (DSH) program for services for uninsured patients. In 2008, CMS issued rules defining "uninsured" to be more restrictive than the service-specific definition previously employed and applied the definition on an individual-specific basis rather than a service-specific basis. This reduces the costs that can be claimed for DSH since many clients have insurance, but not for the services being rendered by NHH. In January 2012 CMS issued a proposed rule, which will reinstate the broader definition, but the rule has yet to be finalized. NHH has and continues to believe that when the final, broader, rule is issued, it will retain federal funding at historical levels and has been budgeting under that assumption.

Summary

The Department has continually strived to deliver high quality and cost-effective services to individuals requiring support services and to the taxpayers who fund those services, and to improve the value of the services delivered. Successful transition to a new business structure, however, is challenged by continuing reduction in resources and resistance from existing delivery systems and stakeholders.

Respectfully submitted,



Nicholas A. Toumpas
Commissioner

Enclosure

cc: The Honorable Mary Jane Wallner, Chairman, House Finance Committee
The Honorable Jeanie Forrester, Chairman, Senate Finance Committee
The Honorable Chuck W. Morse, President, NH State Senate
The Honorable James MacKay, House Health, Human Services & Elderly Affairs Committee
The Honorable Nancy Stiles, Senate Health, Education & Human Services Committee
Her Excellency, Governor Margaret Wood Hassan
The Honorable Terie Norelli, Speaker, NH House of Representatives
Jeffrey A. Pattison, Legislative Budget Assistant

Executive Council

The Honorable Colin Van Ostern The Honorable Chris Sununu
The Honorable Christopher Pappas The Honorable Debora B. Pignatelli

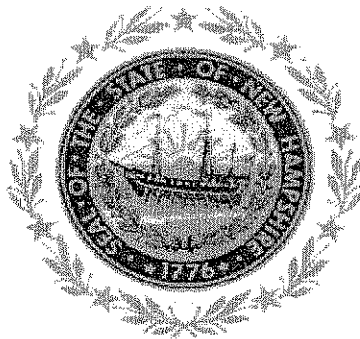
House Finance Committee

The Honorable Mary Allen	The Honorable Richard Barry	The Honorable Bernard Benn
The Honorable Thomas Buco	The Honorable John Cebrowski	The Honorable Daniel Eaton
The Honorable Robert Elliott	The Honorable Susan Ford	The Honorable Marilinda Garcia
The Honorable William Hatch	The Honorable David Huot	The Honorable Neal Kurk
The Honorable Peter Leishman	The Honorable Alfred Lerandean	The Honorable Dan McGuire
The Honorable Sharon Nordgren	The Honorable Lynne Ober	The Honorable Katherine Rogers
The Honorable Cindy Rosenwald	The Honorable Stephen Spratt	The Honorable Karen Umberger
The Honorable Robert Walsh	The Honorable Kenneth Weyler	The Honorable Colette Worsman

Senate Finance Committee

The Honorable Peter Bragdon The Honorable Lou D'Allesandro
The Honorable Sylvia Larsen The Honorable Bob Odell

DEPARTMENT OF HEALTH AND HUMAN SERVICES



OPERATING STATISTICS DASHBOARD

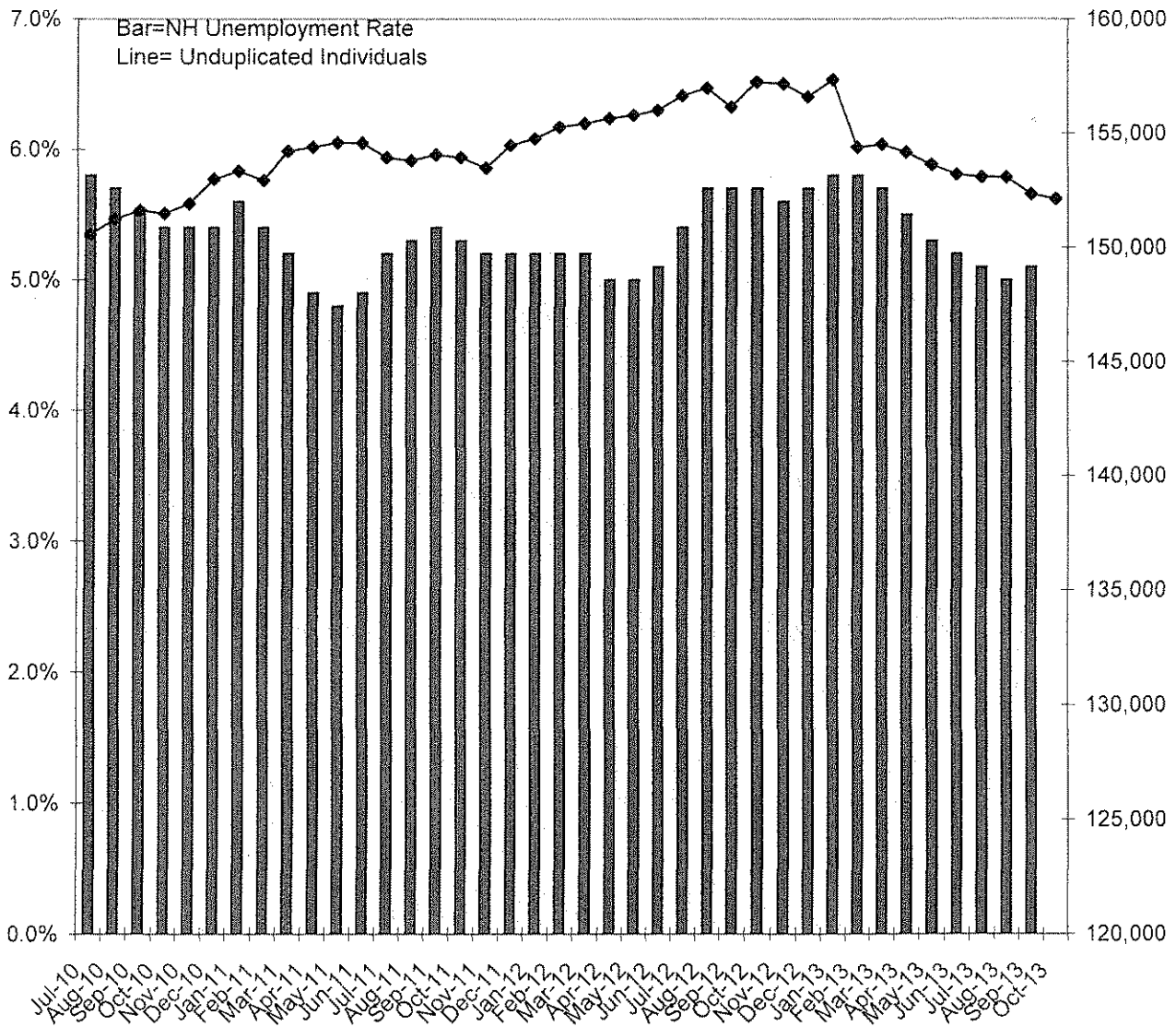
DATA THROUGH OCTOBER 2013

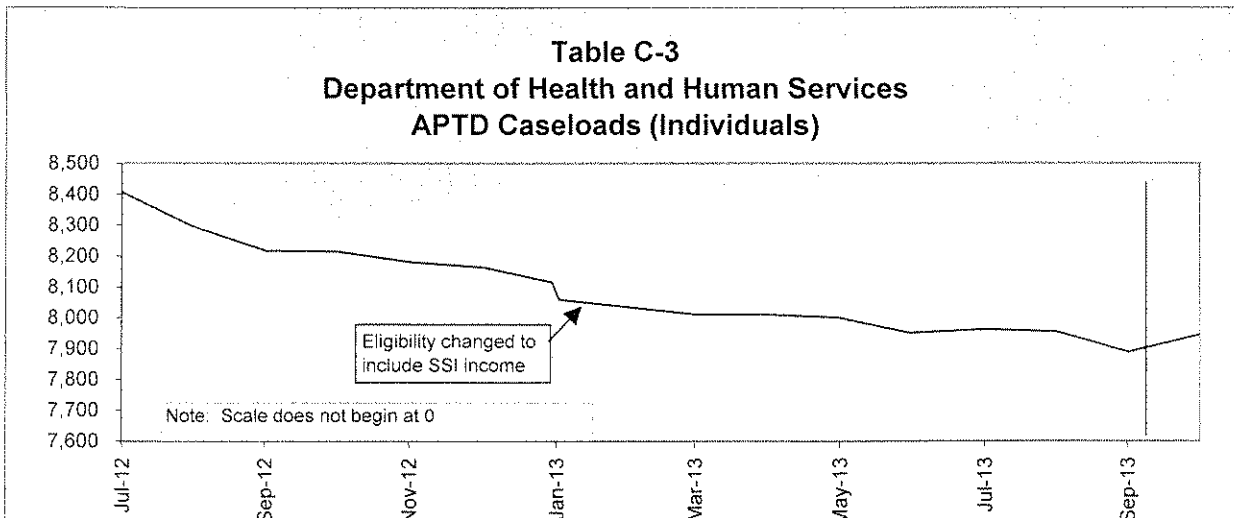
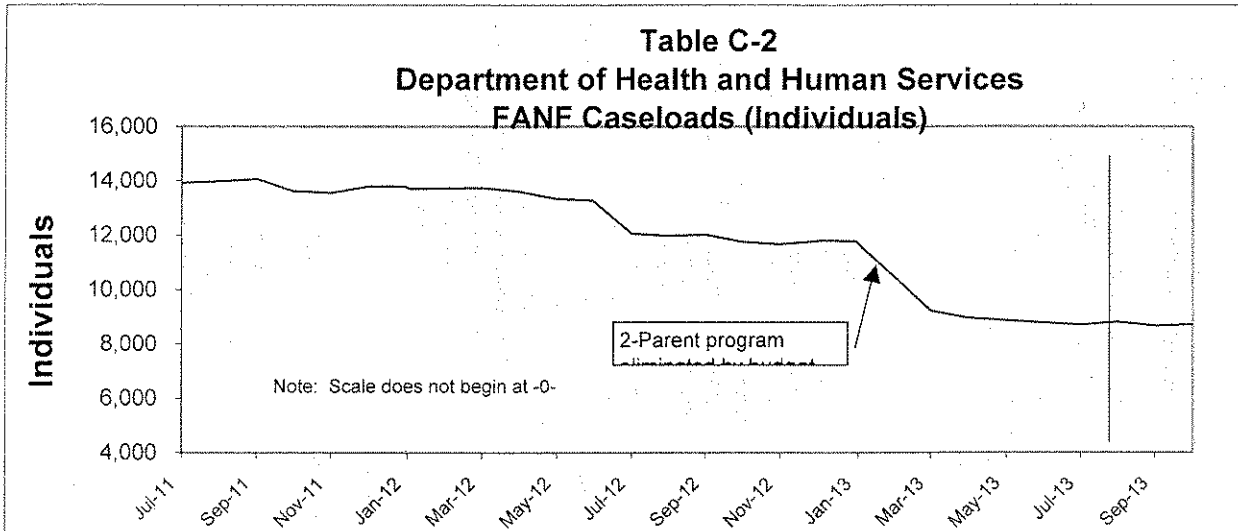
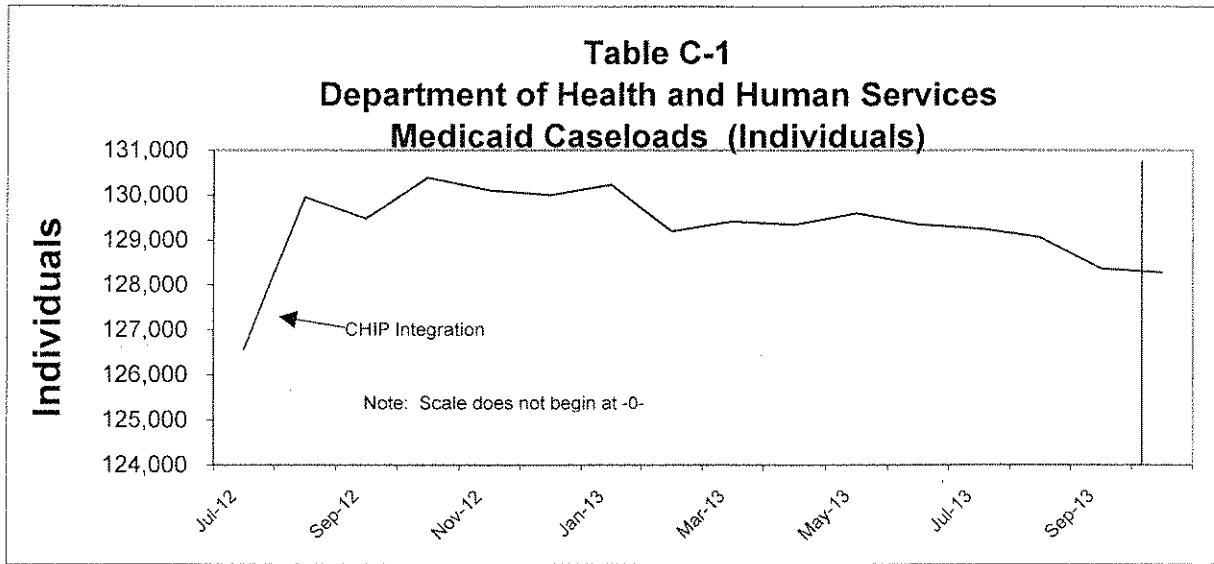
SFY14

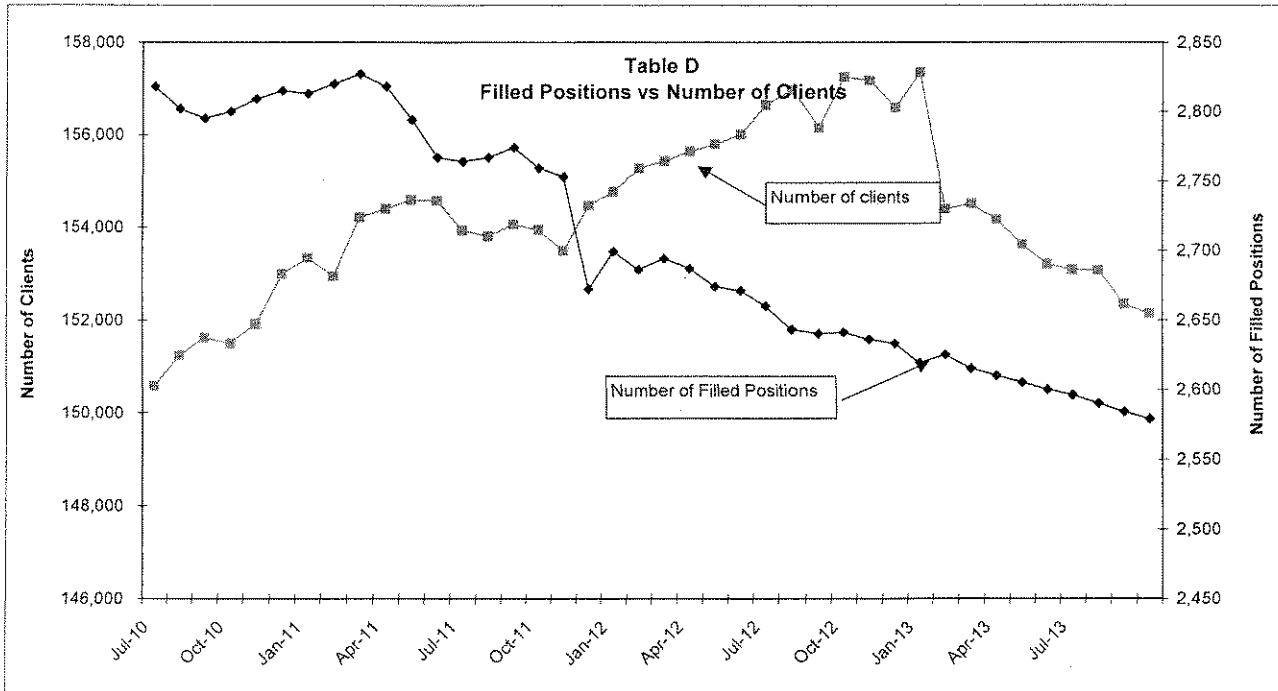
Prepared November 18, 2013

	A	B	C	E	G	H	I
1	TABLE A						
2	Department of Health and Human Services						
3	Budget Planning SFY14-SFY15						
4	As of October 18, 2013						
5							
6	Anticipated Areas of Budget Shortfalls						
7	The budget for SFY14-15 provides insufficient general funds to address the legislative intends for services and obligations that are expected to be incurred. This summary identifies the shortfalls as currently anticipated. The Department has been working with the Governor's office on a cost reduction plan to address these issues.						
8							
9	Prepared October 18, 2013						
10	<i>Figures Rounded to \$000</i>			SFY14 General	SFY15 General	Total General	
11							
12	Lapse Estimated in Final Budget						
13		Lapse estimated in final budget-3.63%		\$23,854			
14		Lapse estimated in final budget-3.68%			\$23,785	\$47,639	
15							
16	Known Shortfalls						
17	DHHS	DHHS footnote reduction (HB2:10) (\$7 mil F14-15)		\$3,500	\$3,500		
18	DHHS	DHHS share of Governor's personnel reduction		\$1,745	\$2,623		
19	DHHS	Health Facility Licensing Fees not authorized		\$155	\$173		
20	SYSC	Reduction in SYSC appropriation (HB2:14)		\$500	\$750		
21	DHHS	Anticipated Delay in Managed Care		\$2,033	\$8,460		
22	DHHS	Medicaid To Schools-Transportation		\$500	\$2,000		
23	DHHS	DSH Settlement		\$8,886			
24	DHHS	Budgeting Error-Food License Revenue Budgeted Twice		\$878	\$907		
25		Total Known Shortfall		\$18,197	\$18,413	\$36,610	
26							
27	Other Potential Shortfalls						
28	The above list includes only those shortfalls which a) are likely to be incurred and b) for which amounts can be reasonably estimated. There are a number of other issues, not listed, such as caseload increases, for which the risks are less defined. If or when other funding issues arise, they will be appended to the above.						
29							
30							
31							

Table B
Department of Health and Human Services
Caseload vs Unemployment Rate







	A	B	C	D	E	F	G	H
1	Table E							
2	Department of Health and Human Services							
3	Operating Statistics							
4	Children In Services							
5								
6		DCYF	DCYF	Family Foster	Residential	Child Care	Child Care	SYSC
7		Referrals	Assessments	Care	Placement	Emplmnt	Wait List	Secure
8				Placement		Related		Census
9		Actual	Actual	Actual	Actual	Actual	Actual	Actual
10								
23	Jul-10	987	638	663	424	5,041	2,386	55
24	Aug-10	1,012	659	646	413	4,903	2,508	53
25	Sep-10	1,182	691	627	400	4,769	2,666	50
26	Oct-10	1,110	651	625	414	4,407	2,505	57
27	Nov-10	1,125	593	626	426	4,487	2,361	64
28	Dec-10	1,072	746	630	410	4,345	1,382	60
29	Jan-11	1,131	831	616	403	4,475	326	59
30	Feb-11	1,076	888	618	394	4,743	0	57
31	Mar-11	1,339	909	619	424	5,083	0	61
32	Apr-11	1,165	805	628	427	5,162	0	73
33	May-11	1,240	810	631	425	5,251	0	80
34	Jun-11	1,237	697	629	423	5,333	0	73
35	Jul-11	963	737	574	351	5,053	0	68
36	Aug-11	1,073	776	583	317	5,055	0	65
37	Sep-11	1,261	674	580	289	5,136	0	61
38	Oct-11	1,197	742	590	302	4,969	0	52
39	Nov-11	1,116	640	602	311	5,047	0	44
40	Dec-11	1,123	777	610	321	5,017	0	48
41	Jan-12	1,289	881	590	309	4,925	0	56
42	Feb-12	1,183	725	596	298	4,869	0	64
43	Mar-12	1,300	767	602	331	4,970	0	62
44	Apr-12	1,223	784	603	332	4,967	0	63
45	May-12	1,477	876	612	350	5,231	0	69
46	Jun-12	1,057	873	613	352	5,274	0	69
47	Jul-12	1,100	681	605	323	5,175	0	60
48	Aug-12	1,050	744	611	317	5,219	0	57
49	Sep-12	1,151	681	619	295	5,050	0	56
50	Oct-12	1,344	898	612	306	5,076	0	60
51	Nov-12	1,098	656	609	321	5,061	0	57
52	Dec-12	1,086	656	601	325	4,995	0	59
53	Jan-13	1,245	715	594	322	5,164	0	54
54	Feb-13	1,072	674	609	318	5,113	0	58
55	Mar-13	1,180	842	619	318	5,231	0	57
56	Apr-13	1,269	852	612	339	5,368	0	60
57	May-13	1,383	852	589	331	5,357	0	69
58	Jun-13	1,147	685	594	332	5,345	0	72
59	Jul-13	1,124	772	571	315	5,568	0	61
60	Aug-13	1,045	591	570	323	5,517	0	60
61	Sep-13	1,276	544	560	297	5,345	0	56
62	Oct-13	1,276	603	567	305	5,357	0	58
63	Nov-13							
64	Dec-13							
65	Jan-14							
66	Feb-14							
67	Mar-14							
68	Apr-14							
69	May-14							
70	Jun-14							
71								
72	Source of Data							
73	Column							
74	B	DCYF SFY Management Database Report: Bridges.						
75	C	DCYF Assessment Supervisory Report: Bridges.						
76	D	Bridges placement authorizations during the month, unduplicated.						
77	E	Bridges placement authorizations during the month, unduplicated.						
78	F	Bridges Expenditure Report, NHB-OAR8-128						
79	G	Child Care Wait List Screen: New Heights						
80	H	Bridges Service Day Query - Bed days divided by days in month						

	A	B	C	D	E	F	G	H	I	J
1	Table F									
2	Department of Health and Human Services									
3	Operating Statistics									
4	Social Services									
5										
6		FANF	APTD Persons	Food Stamps Persons	Child Support Cases					
7					Current Cases	Former Cases	Never Cases	Total Cases		
8					Actual	Actual	Actual	Actual		
9		Actual	Actual	Actual	Actual	Actual	Actual	Actual		
22	Jul-10	13,920	8,617	109,131	5,550	17,304	13,123	35,977		
23	Aug-10	13,981	8,643	109,950	5,758	17,120	13,138	36,016		
24	Sep-10	14,065	8,650	110,588	5,508	17,374	13,072	35,954		
25	Oct-10	13,615	8,656	110,694	5,726	17,177	13,051	35,954		
26	Nov-10	13,553	8,667	111,476	5,645	17,262	13,026	35,933		
27	Dec-10	13,789	8,749	112,293	5,577	17,345	12,986	35,908		
28	Jan-11	13,796	8,740	113,127	5,716	17,142	12,965	35,823		
29	Feb-11	13,705	8,779	112,803	5,654	17,189	12,917	35,760		
30	Mar-11	13,730	8,912	114,023	5,411	17,425	12,942	35,778		
31	Apr-11	13,597	9,019	114,482	5,435	17,379	12,986	35,800		
32	May-11	13,330	9,009	114,611	5,586	17,150	12,961	35,697		
33	Jun-11	13,272	9,088	114,441	5,401	17,296	12,902	35,599		
34	Jul-11	12,046	9,031	113,984	5,302	17,277	12,906	35,485		
35	Aug-11	11,980	8,905	114,285	5,416	17,099	12,842	35,357		
36	Sep-11	12,014	8,864	114,344	5,163	17,225	12,748	35,136		
37	Oct-11	11,756	8,763	114,705	5,365	17,081	12,749	35,195		
38	Nov-11	11,668	8,854	114,371	5,325	17,095	12,728	35,148		
39	Dec-11	11,787	9,006	115,671	5,192	17,184	12,760	35,136		
40	Jan-12	11,781	8,834	117,047	5,360	17,052	12,793	35,205		
41	Feb-12	11,628	8,792	117,293	5,327	17,066	12,836	35,229		
42	Mar-12	9,202	8,600	117,250	4,211	18,113	12,897	35,221		*
43	Apr-12	8,950	8,575	117,443	4,308	17,966	12,876	35,150		
44	May-12	8,853	8,541	117,744	4,308	17,881	12,845	35,034		
45	Jun-12	8,774	8,518	117,708	4,139	17,952	12,898	34,989		
46	Jul-12	8,690	8,405	117,625	4,184	17,771	12,928	34,883		
47	Aug-12	8,793	8,296	117,916	4,031	17,760	12,899	34,690		
48	Sep-12	8,657	8,218	117,569	4,038	17,722	12,853	34,613		
49	Oct-12	8,704	8,216	119,101	4,261	17,526	12,865	34,652		
50	Nov-12	8,599	8,181	118,992	4,066	17,650	12,862	34,578		
51	Dec-12	8,493	8,164	118,817	4,051	17,653	12,893	34,597		
52	Jan-13	8,559	8,115	120,153	4,136	17,542	12,836	34,514		
53	Feb-13	8,538	8,059	117,654	4,175	17,545	12,857	34,577		
54	Mar-13	8,378	8,011	117,409	4,041	17,723	13,006	34,770		
55	Apr-13	8,337	8,011	114,147	4,162	17,606	13,054	34,822		
56	May-13	8,169	8,001	119,317	3,973	17,780	13,102	34,855		
57	Jun-13	8,005	7,951	116,087	3,917	17,850	13,146	34,913		
58	Jul-13	7,926	7,962	115,691	4,035	17,724	13,193	34,952		
59	Aug-13	7,922	7,955	115,499	3,866	17,901	13,180	34,947		
60	Sep-13	7,709	7,889	114,725	3,772	17,913	13,183	34,868		
61	Oct-13	7,609	7,945	114,915	3,938	17,797	13,227	34,962		
62	Nov-13									
63	Dec-13									
64	Jan-14									
65	Feb-14									
66	Mar-14									
67	Apr-14									
68	May-14									
69	Jun-14									
70										
71	Source of Data									
72	Column									
73	B	Office of Research & Analysis, Ca								
74	C	Budget Document								
75	D	Budget Document								
76	E-H	DCSS Caseload (Month End Actual from NECSES)								
77										
78	Note	* Effective 3/1/12, SSI or SSP is considered when determining FANF eligibility.								
79		Those child support cases no longer eligible, are now "Former" assistance								
80		cases.								
81										

	A	B	C	D	E	F	G	H	I
1	Table G								
2	Department of Health and Human Services								
3	Operating Statistics								
4	Community Mental Health Center Medicaid								
5									
6		Monthly Cost	YTD Weekly Average Cost						
7		Actual	Actual						
20	Jul-10	\$ 7,988,373	\$ 1,597,675						
21	Aug-10	\$ 7,136,649	\$ 1,680,558						
22	Sep-10	\$ 6,629,711	\$ 1,673,441						
23	Oct-10	\$ 8,685,885	\$ 1,691,145						
24	Nov-10	\$ 8,628,997	\$ 1,775,892						
25	Dec-10	\$ 6,900,690	\$ 1,702,604						
26	Jan-11	\$ 6,184,140	\$ 1,682,401						
27	Feb-11	\$ 6,740,043	\$ 1,682,700						
28	Mar-11	\$ 7,382,305	\$ 1,699,405						
29	Apr-11	\$ 9,302,312	\$ 1,757,654						
30	May-11	\$ 7,547,988	\$ 1,731,814						
31	Jun-11	\$ 7,992,643	\$ 1,752,303						
32	Jul-11	\$ 7,631,195	\$ 1,526,239	Medicaid Client Trending Report					
33	Aug-11	\$ 6,879,546	\$ 1,612,305	Current Date:		Note: All figures are year-to-date			
34	Sep-11	\$ 8,259,497	\$ 1,626,446	ACTUALS - YTD					
35	Oct-11	\$ 6,551,174	\$ 1,628,967	FISCAL YEAR	QTR 1	QTR 2	QTR 3	QTR 4	
36	Nov-11	\$ 6,684,985	\$ 1,636,654	2008	11,016	13,553	15,497	17,392	
37	Dec-11	\$ 8,227,790	\$ 1,638,303	2009	12,014	14,693	16,849	19,206	
38	Jan-12	\$ 6,020,154	\$ 1,621,108	2010	13,240	16,187	18,580	20,797	
39	Feb-12	\$ 6,992,712	\$ 1,635,630	2011	13,480	16,390	18,410	20,665	
40	Mar-12	\$ 8,495,420	\$ 1,643,562	2012	13,358	15,775	17,447	19,925	
41	Apr-12	\$ 7,164,315	\$ 1,656,972	2013	13,227	15,761	17,460	19,555	
42	May-12	\$ 7,280,134	\$ 1,670,561	2014					
43	Jun-12	\$ 8,576,998	\$ 1,674,791						
44	Jul-12	\$ 6,080,133	\$ 1,520,033	BUDGETED - YTD					
45	Aug-12	\$ 8,396,227	\$ 1,608,484	FISCAL YEAR	QTR 1	QTR 2	QTR 3	QTR 4	
46	Sep-12	\$ 6,638,801	\$ 1,624,243	2011	12,541	15,333	17,599	19,699	
47	Oct-12	\$ 6,557,972	\$ 1,627,831	2012	13,806	16,787	18,856	21,165	
48	Nov-12	\$ 8,163,038	\$ 1,628,917	2013	14,214	16,786	18,565	21,202	
49	Dec-12	\$ 6,888,680	\$ 1,643,264	2014	13,957	16,631	18,424	20,635	
50	Jan-13	\$ 5,678,659	\$ 1,613,450						
51	Feb-13	\$ 6,844,750	\$ 1,624,949	VARIANCE: BUDGETED TO ACTUAL - YTD					
52	Mar-13	\$ 9,366,958	\$ 1,656,800	FISCAL YEAR	QTR 1	QTR 2	QTR 3	QTR 4	
53	Apr-13	\$ 9,806,502	\$ 1,730,738	2012	-448	-1,012	-1,409	-1,240	
54	May-13	\$ 5,993,645	\$ 1,675,320	2013	-987	-1,025	-1,105	-1,647	
55	Jun-13	\$ 6,614,944	\$ 1,673,660	2014					
56	Jul-13	\$ 6,663,858	\$ 1,665,965						
57	Aug-13	\$ 8,147,505	\$ 1,645,707						
58	Sep-13	\$ 6,844,233	\$ 1,665,815						
59	Oct-13	\$ 6,845,837	\$ 1,676,555						
60	Nov-13								
61	Dec-13								
62	Jan-14								
63	Feb-14								
64	Mar-14								
65	Apr-14								
66	May-14								
67	Jun-14								

Table H Department of Health and Human Services Operating Statistics Elderly & Adult Long Term Care																	
6	Total Nursing Clients		CFI Home Health	CFI Midlevel	Other Nursing	Nursing Home Beds		Pct in NF	APS Clients Assmnts	APS Cases Ongoing	SSBG AIHC Waitlist	Total SSBG AIHC					
	Actual	Budget				3 mo. Avg	Budget										
7					Note 1												
8																	
20	Jul-10	7,284	7,740	2,541	384	36	4,359	4,063	59.8%	250	1,121	5					
21	Aug-10	7,223	7,740	2,494	389	34	4,340	4,063	60.1%	221	1,118	1					
22	Sep-10	7,112	7,740	2,513	365	32	4,234	4,063	59.5%	228	1,104	0	506				
23	Oct-10	7,150	7,740	2,527	387	35	4,236	4,063	59.2%	228	1,080	0					
24	Nov-10	7,237	7,740	2,557	396	28	4,284	4,063	59.2%	221	1,067	3					
25	Dec-10	7,346	7,740	2,530	413	32	4,403	4,063	59.9%	183	1,068	0	614				
26	Jan-11	7,217	7,740	2,468	416	32	4,333	4,063	60.0%	178	1,039	3					
27	Feb-11	7,164	7,740	2,548	385	33	4,231	4,063	59.1%	162	1,040	6					
28	Mar-11	7,127	7,740	2,544	388	32	4,195	4,063	58.9%	203	1,042	3					
29	Apr-11	7,221	7,740	2,511	422	31	4,288	4,063	59.4%	222	1,041	3					
30	May-11	7,079	7,740	2,485	417	34	4,177	4,063	59.0%	207	1,058	8					
31	Jun-11	7,094	7,740	2,436	420	35	4,238	4,063	59.7%	238	1,077	4	740	YTD			
32	Jul-11	7,142	7,515	2,499	443	31	4,200	4,400	58.8%	200	1,069	1					
33	Aug-11	7,196	7,515	2,396	456	37	4,344	4,400	60.4%	226	1,083	2					
34	Sep-11	7,174	7,515	2,382	447	32	4,345	4,400	60.6%	236	1,091	2	532	YTD			
35	Oct-11	7,053	7,515	2,340	442	33	4,271	4,400	60.6%	253	1,108	2					
36	Nov-11	7,037	7,515	2,350	432	35	4,255	4,400	60.5%	212	1,103	2					
37	Dec-11	7,132	7,515	2,356	446	32	4,330	4,400	60.7%	220	1,095	-	667	YTD			
38	Jan-12	7,189	7,515	2,357	439	34	4,393	4,400	61.1%	215	1,077	9					
39	Feb-12	7,312	7,515	2,417	418	33	4,477	4,400	61.2%	215	1,084	9					
40	Mar-12	7,518	7,515	2,530	448	31	4,540	4,400	60.4%	240	1,065	13	740	YTD			
41	Apr-12	7,368	7,515	2,450	433	34	4,485	4,400	60.9%	223	1,053	9					
42	May-12	7,343	7,515	2,486	439	33	4,418	4,400	60.2%	223	1,084	5					
43	Jun-12	7,376	7,515	2,554	438	34	4,386	4,400	59.5%	245	1,095	16	786	YTD			
44	Jul-12	7,225	7,578	2,401	444	34	4,380	4,422	60.6%	238	1,096	9					
45	Aug-12	7,448	7,578	2,468	471	39	4,509	4,422	60.5%	251	1,087	5					
46	Sep-12	7,281	7,578	2,454	462	37	4,365	4,422	60.0%	209	1,092	6	518	YTD			
47	Oct-12	7,293	7,578	2,475	464	38	4,354	4,422	59.7%	243	1,137	1					
48	Nov-12	7,254	7,578	2,478	482	34	4,294	4,422	59.2%	200	1,203	1					
49	Dec-12	7,253	7,578	2,433	484	35	4,336	4,422	59.8%	178	1,186	1	635	YTD			
50	Jan-13	7,194	7,578	2,421	461	37	4,312	4,422	59.9%	255	1,201	1					
51	Feb-13	7,092	7,578	2,415	443	33	4,234	4,422	59.7%	159	1,202	1					
52	Mar-13	7,052	7,578	2,487	438	38	4,127	4,422	58.5%	220	1,196	1	705	YTD			
53	Apr-13	6,658	7,578	2,390	238	9	4,030	4,422	60.5%	205	1,228	1					
54	May-13	7,037	7,578	2,511	362	11	4,164	4,422	59.2%	174	1,206	1					
55	Jun-13	7,038	7,578	2,405	421	10	4,212	4,422	59.8%	194	1,224	1	769	YTD			
56	Jul-13	7,153	7,356	2,452	421	72	4,280	4,380	59.8%	276	1,230	1					
57	Aug-13	7,284	7,356	2,532	439	25	4,313	4,380	59.2%	263	1,225	1					
58	Sep-13	7,145	7,356	2,480	449	20	4,216	4,380	59.0%	264	1,247	1	474	YTD			
59	Oct-13	7,281	7,356	2,488	436	25	4,357	4,380	59.8%	268	1,234	1		Oct #s estimated based on ytd history			
60	Nov-13																
61	Dec-13																
62	Jan-14																
63	Feb-14																
64	Mar-14																
65	Apr-14																
66	May-14																
67	Jun-14																
68																	
69																	
70																	
71	Source of Data																
72	Columns																
73																	
74	D-F	MDSS monthly client counts															
75	G	3 month Avg of the number of paid bed days in the month/days in prior month by the number of days in the previous month. MDSS															
76																	
77	J	Options Monthly Protective Reports															
78	K	Options Monthly Activity Report															
79	L	SSBG Adult In-Home Care verbal report from Adult Protective Services Administrator															
80	M	Quarterly Options Paid Claims from Business Systems Unit Manager															

	A	B	C	D	E	F	G	H	I	J
1	Operating Statistics									
2	Developmental Services Long Term Care									
3										
4										
5		BDS Programs served FYTD**	BDS Programs FYTD Unduplicated Count	Early Supports & Services	Special Medical Services	Partners in Health Program	Devl. Serv. Priority #1 DD Waitlist	Devl. Serv. ABD Waitlist		Medicaid to Schools
6					(8-09 to 8-12 Actual)	(8-09 to 8-12 Actual)	Actual*	Actual*		
18	Jul-10	9,505	6,463	1,927	1,652	1,390	40	0		
19	Aug-10	10,574	7,826	2,054	1,690	1,058	13	0		
20	Sep-10	11,107	8,324	2,069	1,730	1,053	9	0		
21	Oct-10	11,667	8,826	2,087	1,767	1,074	21	1		
22	Nov-10	12,438	9,600	2,128	1,768	1,070	19	0		
23	Dec-10	12,732	9,959	2,101	1,667	1,106	19	0		
24	Jan-11	13,152	10,344	1,972	1,659	1,149	19	0		
25	Feb-11	13,567	10,817	2,017	1,613	1,137	19	0		
26	Mar-11	13,900	11,098	2,182	1,651	1,151	20	0		
27	Apr-11	14,201	11,337	2,277	1,695	1,169	30	0		
28	May-11	14,623	11,713	2,339	1,742	1,168	30	0		
29	Jun-11	15,148	12,168	2,344	1,772	1,208	24	4		6,785
30	Jul-11	10,626	7,627	2,248	1,795	1,204	56	6		
31	Aug-11	10,953	7,957	1,799	1,806	1,190	34	8		
32	Sep-11	11,146	8,328	2,329	1,811	1,007	34	10		
33	Oct-11	11,500	8,529	2,668	1,841	1,130	46	9		
34	Nov-11	11,918	9,077	2,917	1,727	1,114	58	9		
35	Dec-11	12,290	9,445	3,057	1,742	1,103	62	0		
36	Jan-12	12,535	9,848	3,274	1,667	1,020	66	0		
37	Feb-12	12,767	10,112	3,468	1,663	992	71	0		
38	Mar-12	13,133	10,455	3,661	1,695	983	78	0		
39	Apr-12	13,510	10,802	3,922	1,702	1,006	81	0		
40	May-12	13,850	11,122	4,154	1,740	988	90	0		
41	Jun-12	14,248	11,513	4,423	1,737	998	94	0		6,419
42	Jul-12	9,742	7,055	1,891	1,689	998	123	0		
43	Aug-12	10,324	7,590	2,083	1,738	996	123	0		
44	Sep-12	11,000	8,156	2,288	1,814	1,030	154	0		
45	Oct-12	11,701	8,774	2,601	1,876	1,051	169	0		
46	Nov-12	12,207	9,209	2,861	1,935	1,063	172	0		
47	Dec-12	12,562	9,502	3,033	1,980	1,080	190	0		
48	Jan-13	13,217	10,065	3,255	2,063	1,089	219	0		
49	Feb-13	13,660	10,438	3,521	2,123	1,099	225	1		
50	Mar-13	14,057	10,694	3,706	2,253	1,110	242	3		
51	Apr-13	14,460	10,992	3,925	2,342	1,126	240	1		
52	May-13	14,863	11,289	4,132	2,430	1,144	265	4		
53	Jun-13	15,205	11,580	4,323	2,460	1,165	288	8		
54	Jul-13	8,995	6,364	1,865	1,646	985	373	15		
55	Aug-13	10,041	7,291	2,074	1,755	995	186	5		
56	Sep-13	10,978	8,160	2,381	1,813	1,005	103	6		
57	Oct-13	11,573	8,648	2,618	1,903	1,022	108	10		
58	Nov-13									
59	Dec-13									
60	Jan-14									
61	Feb-14									
62	Mar-14									
63	Apr-14									
64	May-14									
65	Jun-14									
66										
67	Data Sources:	NHLeads	NHLeads	NHLeads	SMSdb	PIHdb	Registry	Registry		
68										
69	*G & *H	Represent the number of individuals waiting at least 90-days for DD or ABD								
70		Waiver funding.								
71	**	BDS count excludes MTS Students served								
72	E & F	Represents year-to-date total number served								

	A	B	C	D	E	F	G	H	I	J	K
1	Table I										
2	Department of Health and Human Services										
3	Operating Statistics										
4	Shelter & Institutions										
5											
6		NHH				BHHS					Glenciff
7		APS & APC Census	APS & APC Admissions	THS Census		Individual Bednights	% of		Family Bednights	% of	GH Census
8		Actual	Actual	Actual	Capacity	Actual	Capacity	Capacity	Actual	Capacity	Actual
9											
34	Jul-11	142	209	43	10,943	9,368	86%	806	627	78%	113
35	Aug-11	134	192	41	10,943	9,590	88%	806	732	91%	115
36	Sep-11	128	196	41	10,590	9,719	92%	768	744	97%	115
37	Oct-11	149	200	37	10,943	10,781	99%	806	826	102%	117
38	Nov-11	150	193	36	10,590	10,779	102%	780	885	113%	116
39	Dec-11	151	202	36	11,521	11,721	102%	806	877	109%	113
40	Jan-12	153	207	n/a	12,090	12,173	101%	806	883	110%	115
41	Feb-12	153	191	n/a	11,310	11,137	98%	754	770	102%	116
42	Mar-12	153	184	n/a	12,090	11,049	91%	806	837	104%	118
43	Apr-12	153	200	n/a	10,590	9,945	94%	780	817	105%	118
44	May-12	155	208	n/a	10,943	10,510	96%	806	898	111%	117
45	Jun-12	149	187	n/a	10,590	9,845	93%	780	869	111%	119
46	Jul-12	145	161	n/a	10,943	9,568	87%	806	685	85%	118
47	Aug-12	149	193	n/a	10,943	9,573	87%	806	755	94%	118
48	Sep-12	151	162	n/a	9,870	9,304	94%	780	674	86%	119
49	Oct-12	150	178	n/a	10,199	9,826	96%	806	757	94%	119
50	Nov-12	150	161	n/a	9,870	9,369	95%	780	763	98%	117
51	Dec-12	150	125	n/a	10,133	9,420	93%	754	808	107%	117
52	Jan-13	161	173	n/a	11,346	11,433	101%	806	826	102%	117
53	Feb-13	164	135	n/a	9,212	10,544	114%	728	704	97%	118
54	Mar-13	155	149	n/a	9,833	10,995	112%	780	764	98%	119
55	Apr-13	152	173	n/a	9,833	9,313	95%	780	643	82%	119
56	May-13	148	187	n/a	10,162	9,166	90%	806	586	73%	118
57	Jun-13	155	175	n/a	9,833	8,019	82%	780	617	79%	119
58	Jul-13	155	187	n/a	10,199	8,152	80%	806	641	80%	117
59	Aug-13	161	164	n/a	10,943	8,380	77%	806	676	84%	116
60	Sep-13	163	165	n/a	9,870	8,801	89%	780	650	83%	115
61	Oct-13	161	184	n/a							116
62	Nov-13			n/a							
63	Dec-13			n/a							
64	Jan-14			n/a							
65	Feb-14			n/a							
66	Mar-14			n/a							
67	Apr-14			n/a							
68	May-14			n/a							
69	Jun-14			n/a							
70											
71	Source of Data										
72	Column										
73	B	Daily in-house midnight census averaged per month									
74	C	Daily census report of admissions totalled per month									
75	D	Daily in-house midnight census averaged per month									
76	E	Total number of individual bednights available in emergency shelters									
77	F	Total number of individual bednights utilized in emergency shelters									
78	G	Percentage of individual bednights utilized during month									
79	H	Total number of family bednights available in emergency shelters									
80	I	Total number of family bednights utilized in emergency shelters									
81	J	Percentage of family bednights utilized during month									
82	K	Daily in-house midnight census averaged per month									

	A	B	C	D	E
1	Table J				
2	Department of Health and Human Services				
3	Office of Medicaid Business and Policy				
4	Budget V. Actual Medical Expenditures				
5					
6	Medicaid Provider Payments				
7	(Provider Payments, Outpatient Hospital, Prescription Drugs and CHIP FFS)				
8		Budgeted	Expended	Excess/Shortfall	
9	Jul-13	\$34,256,263	\$29,803,207	\$4,453,057	
10	Aug-13	\$42,820,329	\$49,438,554	(\$6,618,224)	
11	Sep-13	\$34,256,263	\$40,280,037	(\$6,023,774)	
12	Oct-13	\$34,256,263	\$33,593,181	\$663,083	
13	Nov-13	\$42,820,329	\$40,350,022	\$2,470,307	
14	Dec-13	\$34,256,263	\$35,662,277	(\$1,406,014)	
15	Jan-14	\$34,256,263	\$40,283,680	(\$6,027,416)	
16	Feb-14	\$34,256,263	\$36,729,041	(\$2,472,778)	
17	Mar-14	\$42,820,329	\$37,439,450	\$5,380,879	
18	Apr-14	\$34,256,263	\$35,136,389	(\$880,126)	
19	May-14	\$42,820,329	\$41,665,988	\$1,154,341	
20	Jun-14	\$34,256,263	\$35,016,914	(\$760,651)	
21	Total	\$445,331,425	\$455,398,740	(\$10,067,315)	
22					
23					
24	BCCP				
25	(Provider Payments, Outpatient Hospital, Prescription Drugs)				
26		Budgeted	Expended	Excess/Shortfall	
27	Jul-13	\$324,071	\$336,835	(\$12,764)	
28	Aug-13	\$405,089	\$436,898	(\$31,809)	
29	Sep-13	\$324,071	\$305,530	\$18,542	
30	Oct-13	\$324,071	\$274,231	\$49,840	
31	Nov-13	\$405,089	\$249,412	\$155,677	
32	Dec-13	\$324,071	\$302,085	\$21,986	
33	Jan-14	\$324,071	\$357,139	(\$33,067)	
34	Feb-14	\$324,071	\$300,080	\$23,991	
35	Mar-14	\$405,089	\$300,111	\$104,978	
36	Apr-14	\$324,071	\$299,729	\$24,342	
37	May-14	\$405,089	\$373,310	\$31,779	
38	Jun-14	\$324,071	\$305,061	\$19,010	
39	Total	\$4,212,928	\$3,840,422	\$372,506	
40					
41					
42	Notes:				
43	Shaded figures are estimates				

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S
1	Table K																		
2	Department of Health and Human Services																		
3	Caseloads Versus Prior Year & Prior Month																		
4																			
5		Unduplicated Persons			Medicaid Persons			Long Term Care-Seniors			FANF Persons			APTD Persons			SNAP Persons		
6		Actual	Vs PY	Vs Pmo	Actual	Vs PY	Vs Pmo	Actual	Vs PY	Vs Pmo	Actual	Vs PY	Vs Pmo	Actual	Vs PY	Vs Pmo	Actual	Vs PY	Vs Pmo
7																			
44	Jul-11	153,928	2.2%	-0.4%	119,814	0.8%	-0.9%	7,142	-1.9%	0.7%	12,046	-13.5%	-9.2%	9,031	4.8%	-0.6%	113,984	4.4%	-0.4%
45	Aug-11	153,803	1.7%	-0.1%	119,628	0.7%	-0.2%	7,196	-0.4%	0.8%	11,980	-14.3%	-0.5%	8,905	3.0%	-1.4%	114,285	3.9%	0.3%
46	Sep-11	154,055	1.6%	0.2%	119,916	0.6%	0.2%	7,174	0.9%	-0.3%	12,014	-14.6%	0.3%	8,864	2.5%	-0.5%	114,344	3.4%	0.1%
47	Oct-11	153,942	1.6%	-0.1%	119,437	0.6%	-0.4%	7,053	-1.4%	-1.7%	11,756	-13.7%	-2.1%	8,793	1.6%	-0.8%	114,705	3.6%	0.3%
48	Nov-11	153,484	1.0%	-0.3%	118,901	0.0%	-0.4%	7,037	-2.8%	-0.2%	11,668	-13.9%	-0.7%	8,854	2.2%	0.7%	114,371	2.6%	-0.3%
49	Dec-11	154,470	1.0%	0.6%	119,626	-0.2%	0.6%	7,132	-2.9%	1.4%	11,787	-14.5%	1.0%	9,006	2.9%	1.7%	115,671	3.0%	1.1%
50	Jan-12	154,765	0.9%	0.2%	119,338	-0.2%	-0.2%	7,189	-0.4%	0.8%	11,781	-14.6%	-0.1%	8,834	1.1%	-1.9%	117,047	3.5%	1.2%
51	Feb-12	155,274	1.5%	0.3%	119,553	0.2%	0.2%	7,312	2.1%	1.7%	11,628	-15.2%	-1.3%	8,792	0.1%	-0.5%	117,293	4.0%	0.2%
52	Mar-12	155,424	0.8%	0.1%	120,382	0.0%	0.7%	7,518	5.5%	2.8%	9,202	-33.0%	-20.9%	8,600	-3.5%	-2.2%	117,250	2.8%	0.0%
53	Apr-12	155,639	0.8%	0.1%	120,538	0.0%	0.1%	7,368	2.0%	-2.0%	8,950	-34.2%	-2.7%	8,595	-4.7%	-0.1%	117,443	2.6%	0.2%
54	May-12	155,789	0.8%	0.1%	120,520	0.1%	0.0%	7,343	3.7%	-0.3%	8,853	-33.6%	-1.1%	8,541	-5.2%	-0.6%	117,744	2.7%	0.3%
55	Jun-12	156,002	0.9%	0.1%	120,335	-0.4%	-0.2%	7,376	4.0%	0.4%	8,774	-33.9%	-0.9%	8,518	-6.3%	-0.3%	117,708	2.9%	0.0%
56	Jul-12	156,637	1.8%	0.4%	129,569	Eff. 7/1/12 CHIP included		7,225	1.2%	-2.0%	8,690	-27.9%	-1.0%	8,405	-6.9%	-1.3%	117,625	3.2%	-0.1%
57	Aug-12	156,966	2.1%	0.2%	129,951	n/a	0.3%	7,448	3.5%	3.1%	8,793	-26.6%	1.2%	8,296	-6.8%	-1.3%	114,916	0.6%	-2.3%
58	Sep-12	156,144	1.4%	-0.5%	129,479	n/a	-0.4%	7,281	1.5%	-2.2%	8,657	-27.9%	-1.5%	8,218	-7.3%	-0.9%	117,569	2.8%	2.3%
59	Oct-12	157,243	2.1%	0.7%	130,393	n/a	0.7%	7,293	3.4%	0.2%	8,704	-26.0%	0.5%	8,216	-6.6%	0.0%	119,101	3.8%	1.3%
60	Nov-12	157,170	2.4%	0.0%	130,110	n/a	-0.2%	7,254	3.1%	-0.5%	8,599	-26.3%	-1.2%	8,181	-7.6%	-0.4%	118,992	4.0%	-0.1%
61	Dec-12	156,588	1.4%	-0.4%	130,001	n/a	-0.1%	7,253	1.7%	0.0%	8,493	-27.9%	-1.2%	8,164	-9.3%	-0.2%	118,817	2.7%	-0.1%
62	Jan-13	157,348	1.7%	0.5%	130,239	n/a	0.2%	7,194	0.1%	-0.8%	8,559	-27.3%	0.8%	8,115	-8.1%	-0.6%	120,153	2.7%	1.1%
63	Feb-13	154,386	-0.6%	-1.9%	129,200	n/a	-0.8%	7,092	-3.0%	-1.4%	8,538	-26.6%	-0.2%	8,059	-8.3%	-0.7%	117,654	0.3%	-2.1%
64	Mar-13	154,504	-0.6%	0.1%	129,413	n/a	0.2%	7,052	-6.2%	-0.6%	8,378	-9.0%	-1.9%	8,011	-6.8%	-0.6%	117,409	0.1%	-0.2%
65	Apr-13	154,159	-1.0%	-0.2%	129,346	n/a	-0.1%	n/a			8,337	-6.8%	-0.5%	8,011	-6.8%	0.0%	117,147	-0.3%	-0.2%
66	May-13	153,625	-1.4%	-0.3%	129,598	n/a	0.2%	7,037	-4.2%		8,169	-7.7%	-2.0%	8,001	-6.3%	-0.1%	119,317	1.3%	1.9%
67	Jun-13	153,197	-1.8%	-0.3%	129,353	n/a	-0.2%	7,038	-4.6%	0.0%	8,005	-8.8%	-2.0%	7,951	-6.7%	-0.6%	116,087	-1.4%	-2.7%
68	Jul-13	153,075	-2.3%	-0.1%	129,255	-0.2%	-0.1%	7,153	-1.0%	1.6%	7,926	-8.8%	-1.0%	7,962	-5.3%	0.1%	115,691	-1.6%	-0.3%
69	Aug-13	153,065	-2.5%	0.0%	129,063	-0.7%	-0.1%	7,284	-2.2%	1.8%	7,922	-9.9%	-0.1%	7,955	-4.1%	-0.1%	115,499	0.5%	-0.2%
70	Sep-13	152,338	-2.4%	-0.5%	128,364	-0.9%	-0.5%	7,145	-1.9%	-1.9%	7,709	-11.0%	-2.7%	7,889	-4.0%	-0.8%	114,725	-2.4%	-0.7%
71	Oct-13	152,132	-3.3%	-0.1%	128,276	-1.6%	-0.1%				7,609	-12.6%	-1.3%	7,945	-3.3%	0.7%	114,915	-3.5%	0.2%
72	Nov-13																		
73	Dec-13																		
74	Jan-14																		
75	Feb-14																		
76	Mar-14																		
77	Apr-14																		
78	May-14																		
79	Jun-14																		

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q
1	DATA TABLES FOR CHARTS																
2																	
3	Caseloads Vs Unemployment			Caseloads-Actual					Personnel Vacancy Rate								
4		NH Unempl. Rate	Unduplicated Persons		FANF Persons Actual	APTD Actual	Medicaid Persons Actual		Authorized	Filled	Vacant	PCT		Caseload	Staff		
5																	
43	Jul-10	5.8%	150,572	Jul-10	13,920	8,617	118,831	Jul-10	3,344	2,818	526	15.7%	Jul-10	150,572	2,818		
44	Aug-10	5.7%	151,231	Aug-10	13,981	8,643	118,841	Aug-10	3,344	2,802	542	16.2%		151,231	2,802		
45	Sep-10	5.5%	151,609	Sep-10	14,065	8,650	119,213	Sep-10	3,344	2,795	549	16.4%		151,609	2,795		
46	Oct-10	5.4%	151,486	Oct-10	13,615	8,656	118,770	Oct-10	3,341	2,800	541	16.2%	Oct-10	151,486	2,800		
47	Nov-10	5.4%	151,906	Nov-10	13,553	8,667	118,882	Nov-10	3,344	2,809	535	16.0%		151,906	2,809		
48	Dec-10	5.4%	152,991	Dec-10	13,789	8,749	119,845	Dec-10	3,348	2,815	533	15.9%		152,991	2,815		
49	Jan-11	5.6%	153,338	Jan-11	13,796	8,740	119,554	Jan-11	3,348	2,813	535	16.0%	Jan-11	153,338	2,813		
50	Feb-11	5.4%	152,942	Feb-11	13,705	8,779	119,255	Feb-11	3,348	2,820	528	15.8%		152,942	2,820		
51	Mar-11	5.2%	154,218	Mar-11	13,730	8,912	120,395	Mar-11	3,348	2,827	521	15.6%		154,218	2,827		
52	Apr-11	4.9%	154,397	Apr-11	13,597	9,019	120,532	Apr-11	3,348	2,818	530	15.8%	Apr-11	154,397	2,818		
53	May-11	4.8%	154,589	May-11	13,330	9,009	120,353	May-11	3,348	2,794	554	16.5%		154,589	2,794		
54	Jun-11	4.9%	154,572	Jun-11	13,272	9,088	120,867	Jun-11	3,348	2,767	581	17.4%		154,572	2,767		
55	Jul-11	5.2%	153,928	Jul-11	12,046	9,031	119,814	Jul-11	2,995	2,764	231	7.7%	Jul-11	153,928	2,764		
56	Aug-11	5.3%	153,803	Aug-11	11,980	8,905	119,628	Aug-11	2,995	2,767	228	7.6%		153,803	2,767		
57	Sep-11	5.4%	154,055	Sep-11	12,014	8,864	119,916	Sep-11	2,995	2,774	221	7.4%		154,055	2,774		
58	Oct-11	5.3%	153,942	Oct-11	11,756	8,793	119,437	Oct-11	2,997	2,759	238	7.9%	Oct-11	153,942	2,759		
59	Nov-11	5.2%	153,484	Nov-11	11,668	8,854	118,901	Nov-11	2,997	2,753	244	8.1%		153,484	2,753		
60	Dec-11	5.2%	154,470	Dec-11	11,787	9,006	119,626	Dec-11	2,898	2,672	226	7.8%		154,470	2,672		
61	Jan-12	5.2%	154,765	Jan-12	11,781	8,834	119,338	Jan-12	2,898	2,699	199	6.9%	Jan-12	154,765	2,699		
62	Feb-12	5.2%	155,274	Feb-12	11,628	8,792	119,553	Feb-12	2,898	2,686	212	7.3%		155,274	2,686		
63	Mar-12	5.2%	155,424	Mar-12	9,202	8,600	120,382	Mar-12	2,898	2,694	204	7.0%		155,424	2,694		
64	Apr-12	5.0%	155,639	Apr-12	8,950	8,595	120,538	Apr-12	2,898	2,687	211	7.3%	Apr-12	155,639	2,687		
65	May-12	5.0%	155,789	May-12	8,853	8,541	120,520	May-12	2,898	2,674	224	7.7%		155,789	2,674		
66	Jun-12	5.1%	156,002	Jun-12	8,774	8,518	120,335	Jun-12	2,897	2,671	226	7.8%		156,002	2,671		
67	Jul-12	5.4%	156,637	Jul-12	8,690	8,405	126,569	Jul-12	2,897	2,660	237	8.2%	Jul-12	156,637	2,660		
68	Aug-12	5.7%	156,966	Aug-12	8,793	8,296	129,951	Aug-12	2,897	2,643	254	8.8%		156,966	2,643		
69	Sep-12	5.7%	156,144	Sep-12	8,657	8,218	129,479	Sep-12	2,897	2,640	257	8.9%		156,144	2,640		
70	Oct-12	5.7%	157,243	Oct-12	8,704	8,216	130,393	Oct-12	2,897	2,641	256	8.8%	Oct-12	157,243	2,641		
71	Nov-12	5.6%	157,170	Nov-12	8,599	8,181	130,110	Nov-12	2,897	2,636	261	9.0%		157,170	2,636		
72	Dec-12	5.7%	156,588	Dec-12	8,493	8,164	130,001	Dec-12	2,897	2,633	264	9.1%		156,588	2,633		
73	Jan-13	5.8%	157,348	Jan-13	8,559	8,115	130,239	Jan-13	2,898	2,619	279	9.6%	Jan-13	157,348	2,619		
74	Feb-13	5.8%	154,386	Feb-13	8,538	8,059	129,200	Feb-13	2,898	2,625	273	9.4%		154,386	2,625		
75	Mar-13	5.7%	154,504	Mar-13	8,378	8,011	129,413	Mar- Est	2,900	2,615	285			154,504	2,615		
76	Apr-13	5.5%	154,159	Apr-13	8,337	8,011	129,346	Apr- Est	2,900	2,610	290		Apr-13	154,159	2,610		
77	May-13	5.3%	153,625	May-13	8,169	8,001	129,598	May- Est	2,900	2,605	295			153,625	2,605		
78	Jun-13	5.2%	153,197	Jun-13	8,005	7,951	129,353	Jun-13	2,888	2,600	288	10.0%		153,197	2,600		
79	Jul-13	5.1%	153,075	Jul-13	7,926	7,962	129,255	Jul-13	2,898	2,596	302	10.4%	Jul-13	153,075	2,596		
80	Aug-13	5.0%	153,065	Aug-13	7,922	7,955	129,063	Aug-13	2,898	2,590	308	10.6%		153,065	2,590		
81	Sep-13	5.1%	152,338	Sep-13	7,709	7,889	128,364	Sep-13	2,898	2,584	314	10.8%		152,338	2,584		
82	Oct-13		152,132	Oct-13	7,609	7,945	128,276	Oct-13	2,897	2,579	318	11.0%	Oct-13	152,132	2,579		
83	Nov-13			Nov-13				Nov-13									
84	Dec-13			Dec-13				Dec-13									
85	Jan-14			Jan-14				Jan-14					Jan-14				
86	Feb-14			Feb-14				Feb-14									
87	Mar-14			Mar-14				Mar-14									
88	Apr-14			Apr-14				Apr-14					Apr-14				
89	May-14			May-14				May-14									
90	Jun-14			Jun-14				Jun-14									

GOVERNOR Margaret Wood Hassan
CHAIRMAN Debra M. Douglas
COMMISSIONER Paul J. Holloway
COMMISSIONER Doug Scamman
EXECUTIVE DIRECTOR Charles R. McIntyre



Over \$1.5 billion to education

December 12, 2013

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

INFORMATIONAL ITEM

Pursuant to RSA 284:21-f, the Lottery Commission is reporting the only transfer made in FY 2013 which was 100% Lottery funds. Due to high record jackpots and increased instant ticket sales, additional in-state travel by management was needed to retail locations.

Attached is the document and explanation of the transfer.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "C. McIntyre", written over a faint circular stamp.

Charles R. McIntyre
Executive Director

CRM:dc
Attachment



Live Free or Die

New Hampshire Lottery Commission 14 Integra Drive Concord, New Hampshire 03301
TEL 603.271.3391 FAX 603.271.1160 TDD 1.800.735.2964 www.nhlottery.com



GOVERNOR John H. Lynch
 CHAIRMAN Debra M. Douglas
 COMMISSIONER Paul J. Holloway
 COMMISSIONER Doug Scamman
 EXECUTIVE DIRECTOR Charles R. McIntyre

May 15, 2013

Timothy Hartshorn
 Administrator IV
 Bureau of Accounts
 State House Annex
 Concord, NH 03301

REQUESTED ACTION

1. Pursuant to RSA 284:21-F, Transfer of Appropriated Funds, authorize the NH Lottery Commission to transfer \$2,000 into account 10290000 500704 and direct the Director, Division of Accounting Services, to adjust the budget accordingly. Source of funds: 100% Lottery Funds.

Class	Description	FY13 Modified Appropriation	Requested Change	New Total
20	Current Expenses	582,752.00	(2,000.00)	580,752.00
70	In-state Travel	3,700.00	2,000.00	5,700.00

EXPLANATION

This transfer is to address a projected shortfall by the Lottery Commission in Class 70, In-state travel. There is a projected shortfall in Class 70 because of increased travel for retailer visits by our Deputy Director and Director.

A. Justification:

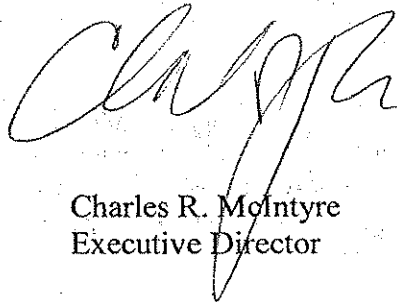
Because of record high jackpots and increase of ticket sales, our Deputy Director and Director have visited more retail locations within the state and used their personal vehicles.



- B. Does this transfer involve continuing programs or one-time projects?
This will be a continuing program.
- C. Is this transfer required to maintain existing program levels or will it increase the program level?
This transfer is required to maintain existing program levels.
- D. Cite any requirements which make this program mandatory.
These funds will be used for reimbursement to employees for state use of personal vehicles.
- E. Identify the source of funds on all accounts listed on this transfer?
The source of funds is 100% Lottery funds.
- F. Will there be any effect on revenue as a result of this transfer?
There is no anticipated effect on revenue as a result of this transfer.
- G. Are funds expected to lapse if this transfer is not approved?
It is anticipated that some funds will lapse whether or not this transfer is approved.
- H. Are personnel services involved?
No.

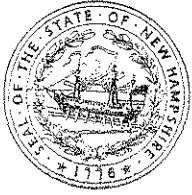
The NH Lottery Commission has conducted a review of our operations and expenses and this transfer will ensure available funds are used to support mission-critical projects at our agency.

Respectfully Submitted,



Charles R. McIntyre
Executive Director

Cc: Barry Bodell
Lynda Plante
Kassie Strong



State of New Hampshire

DEPARTMENT OF HEALTH AND HUMAN SERVICES

129 PLEASANT STREET, CONCORD, NH 03301-3857

~~XXXXXX~~ FAX: 603-271-4912 TDD ACCESS: 1-800-735-2964

New Number: 603-271-9200

NICHOLAS A. TOUMPAS
COMMISSIONER

December 13, 2013

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House
Concord, NH 03301

Re: Dashboard – November 2013

Information

The Department of Health and Human Services is providing this dashboard report, which provides a status on demand for services in entitlement programs. The purposes of this dashboard are to:

1. Provide summary information on enrollments in several high cost programs,
2. Monitor high level fiscal issues to ensure sufficient funding is available for entitlement programs and for programs intended by the legislature, and to
3. Provide a summary of significant administrative and operations initiatives.

Explanation

Mission

The Department's mission is "to join communities and families in providing opportunities for citizens to achieve health and independence." The majority of individuals serviced by the Department fall into three groups, and programs to help these individuals require different approaches with differing objectives.

- Permanently Disabled Individuals include the developmentally disabled, frail elderly and those with mental health issues who require long term care services. The objective is to help them maximize their independence to allow, to the extent it is safe for the individual, to live within a community, while recognizing that for many there will always be a need for long-term services and supports.
- Temporarily Low Income Individuals are those who have exhausted their financial resources due a loss of employment, divorce or temporary health issues. These individuals have the ability to likely recover their independence when jobs are available or their current crises are overcome with the appropriate interim supports.
- Chronically Low Income Individuals are the most complex. Breaking the cycle of poverty for the chronically low income requires a commitment from public and State leaders to invest in programs that will support a coordinated statewide effort including not only the Department of Health and Human Services, but also Education, Corrections and Employment Security.

For the five months ended November 2013, the Department provided services to an average of 152,282 individuals per month. This represented a decrease of 2.9% versus the prior year. The largest programs managed by the Department are the food stamp, Medicaid and FANF programs, which provide supports to low-income individuals. As noted in the following table, the number of people receiving Medicaid and food stamps has declined slightly from the prior year but remain at high, unprecedented, levels. The number of people receiving Financial Assistance to Needy Families and Aid to the Permanently and Totally Disabled continues to decline.

Average Enrollment (Persons) Five Months Ended November

	SFY11	SFY12	SFY13	SFY14
Total Unduplicated Persons	151,361	153,842	156,832	152,282
<i>Pct Increase from Prior Year</i>	<i>6.27%</i>	<i>1.64%</i>	<i>1.94%</i>	<i>-2.90%</i>
Medicaid Persons	118,907	119,539	129,900	128,463
<i>Pct Increase from Prior Year</i>	<i>3.39%</i>	<i>0.53%</i>	<i>8.67%</i>	<i>-1.11%</i>
Food Stamp Persons	110,368	114,338	117,641	114,869
<i>Pct Increase from Prior Year</i>	<i>20.13%</i>	<i>3.60%</i>	<i>2.89%</i>	<i>-2.36%</i>
FANF Persons	13,827	11,893	8,689	7,723
<i>Pct Increase from Prior Year</i>	<i>1.13%</i>	<i>-13.99%</i>	<i>-26.94%</i>	<i>-11.11%</i>
APTD Persons	8,647	8,889	8,263	7,927
<i>Pct Increase from Prior Year</i>	<i>7.65%</i>	<i>2.81%</i>	<i>-7.04%</i>	<i>-4.07%</i>
Elderly Nursing Services	7,201	7,120	7,300	7,216
<i>Pct Increase from Prior Year</i>	<i>-2.24%</i>	<i>-1.12%</i>	<i>2.53%</i>	<i>-.012%</i>

The budget for SFY14-15 assumes no growth in Medicaid caseloads. Caseloads have been declining and are approximately 1,500 below the prior year average. This trend is expected to change as new enrollees come into the system as a result of the federal expansion. On December 1, 2013, the Department implemented a managed care program to provide Medicaid medical services, which will not change the eligibility, but will impact how Medicaid services are delivered.

Funding Issues

As noted in Table A, the Department is tracking a projected general fund shortfall for the biennium of \$36.6 million and the approved budget assumes another \$47.6 million of lapse from Department appropriations. The Department has historically been proactive in dealing with budget shortfalls and the current budget issues will be addressed in a similar manner. The day the budget was passed the Department began work on assessing funding shortfalls and options for addressing those shortfalls.

- A DHHS hiring freeze was implemented for all non-direct care positions with an objective of maintaining 250 vacancies. Vacancies at the end of November were 309, 10.7% of authorized positions.
- All administrative accounts were reviewed to identify areas for potential reduction.
- Similar to the LBA process during the Senate phase of the budget, a list of program areas where significant general funds were added to our budget was prepared and is being reviewed.

As noted on the Budget Summary, approximately \$8 million in cost savings have been identified for SFY14. The Department continues to work with the Governor's office to identify the \$10 million balance needed for SFY14.

Operations & Administration

The Department has a number of operations challenges for the current biennium. Several significant changes to service delivery systems and operational infrastructure are in process. These include:

Service Delivery

1. Medicaid Care Management for Medical and Long Term Care Services
2. Implementation of Children in Need of Services (CHINS) Voluntary Services
3. Implementation of the 10-Year Mental Health Plan
4. State Innovation Model (SIM) Grant
5. Balanced Incentive Program (BIP) Grant

Medicaid Model

6. Development of an 1115 Waiver to restructure the Medicaid program
7. Implementation of authorized elements of the Affordable Care Act (ACA)
 - a. Implementation of the Modified Adjusted Gross Income
 - b. Federally Facilitated Marketplace
 - c. Federally Funded Primary Care Rate Increase

Information Technology

8. Medicaid Management Information System
9. Service Delivery System Transformation – Data Repository
10. Health Information Exchange
11. Replace Child Support Information System (NECSSES)
12. Complete the installation of the Medicaid Management Information System (MMIS)
13. Continue the modernization of the eligibility determination system (New HEIGHTS)
14. Implement Electronic Medical Record at New Hampshire Hospital
15. Implement WISDOM Public Health Performance Management System

Additionally, legislation related to medical marijuana and family planning services provided no funding for implementation and will require resources be transferred from other areas of the Department's budget.

These projects and high caseloads are straining the Department's capacity. In July 2008, the Department provided services to 125,236; today the Department provides services to 152,282 individuals, representing an increase of 21%. In July 2008, the Department had 3,095 filled positions. The number of filled positions has fallen to 2,588, representing a loss of over 500 positions, 17%. The decline in number of staff is exacerbated by the fact that nearly 12% of the Department's workforce is age 60 with at least 10 years of service and eligible for retirement. This potential drain of experienced staff combined with the organizational downsizing and transformation challenges creates risk to the Department's core competencies.

Litigation & Audits

In addition to managing current operations and working toward implementation of the significant transformation initiatives required in the budget, Department resources have become disproportionately directed at and continue to be consumed to addressing audits and litigation including:

- Litigation involving acute care hospitals
- Litigation involving the Olmstead regulations for the mental health services
- Litigation involving providers of residential care for children
- Litigation involving developmental services inclusion in Medicaid Care Management
- Managing the disproportionate share program
- Audits by the Office of Inspector General
- Federal review of Title IV-E
- Audits by the LBA such as the recently completed audit of EBT cards and the Sununu Youth Services Center
- State Single Audit

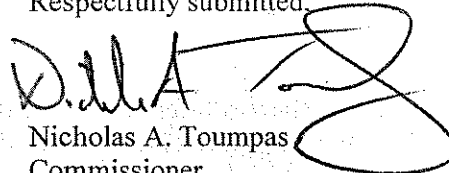
NHH DSH

New Hampshire Hospital (NHH) receives approximately \$18 million per year from the Medicaid Disproportionate Share (DSH) program for services for uninsured patients. In 2008, CMS issued rules defining "uninsured" to be more restrictive than the service-specific definition previously employed and applied the definition on an individual-specific basis rather than a service-specific basis. This reduces the costs that can be claimed for DSH since many clients have insurance, but not for the services being rendered by NHH. In January 2012 CMS issued a proposed rule, which will reinstate the broader definition, but the rule has yet to be finalized. NHH has and continues to believe that when the final, broader, rule is issued, it will retain DSH federal funding at historical levels and has been budgeting under that assumption.

Summary

The Department has continually strived to deliver high quality and cost-effective services to individuals requiring support services and to the taxpayers who fund those services and to improve the value of the services delivered. Successful transition to a new business structure, however, is challenged by continuing reduction in resources and resistance from existing delivery systems and stakeholders.

Respectfully submitted,



Nicholas A. Toumpas
Commissioner

Enclosure

cc: The Honorable Mary Jane Wallner, Chairman, House Finance Committee
The Honorable Jeanie Forrester, Chairman, Senate Finance Committee
The Honorable Chuck W. Morse, President, NH State Senate
The Honorable James MacKay, House Health, Human Services & Elderly Affairs Committee
The Honorable Nancy Stiles, Senate Health, Education & Human Services Committee
Her Excellency, Governor Margaret Wood Hassan
The Honorable Terie Norelli, Speaker, NH House of Representatives
Jeffrey A. Pattison, Legislative Budget Assistant

Executive Council

The Honorable Colin Van Ostern The Honorable Chris Sununu
The Honorable Christopher Pappas The Honorable Debora B. Pignatelli

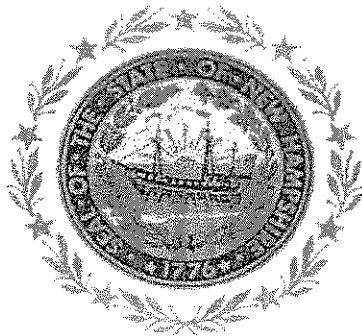
House Finance Committee

The Honorable Mary Allen	The Honorable Richard Barry	The Honorable Bernard Benn
The Honorable Thomas Bucio	The Honorable John Cebrowski	The Honorable Daniel Eaton
The Honorable Robert Elliott	The Honorable Susan Ford	The Honorable Marilinda Garcia
The Honorable William Hatch	The Honorable David Huot	The Honorable Neal Kurk
The Honorable Peter Leishman	The Honorable Alfred Lerandean	The Honorable Dan McGuire
The Honorable Sharon Nordgren	The Honorable Lynne Ober	The Honorable Katherine Rogers
The Honorable Cindy Rosenwald	The Honorable Stephen Spratt	The Honorable Karen Umberger
The Honorable Robert Walsh	The Honorable Kenneth Weyler	The Honorable Colette Worsman

Senate Finance Committee

The Honorable Peter Bragdon The Honorable Lou D'Allesandro
The Honorable Sylvia Larsen The Honorable Bob Odell

DEPARTMENT OF HEALTH AND HUMAN SERVICES



OPERATING STATISTICS DASHBOARD

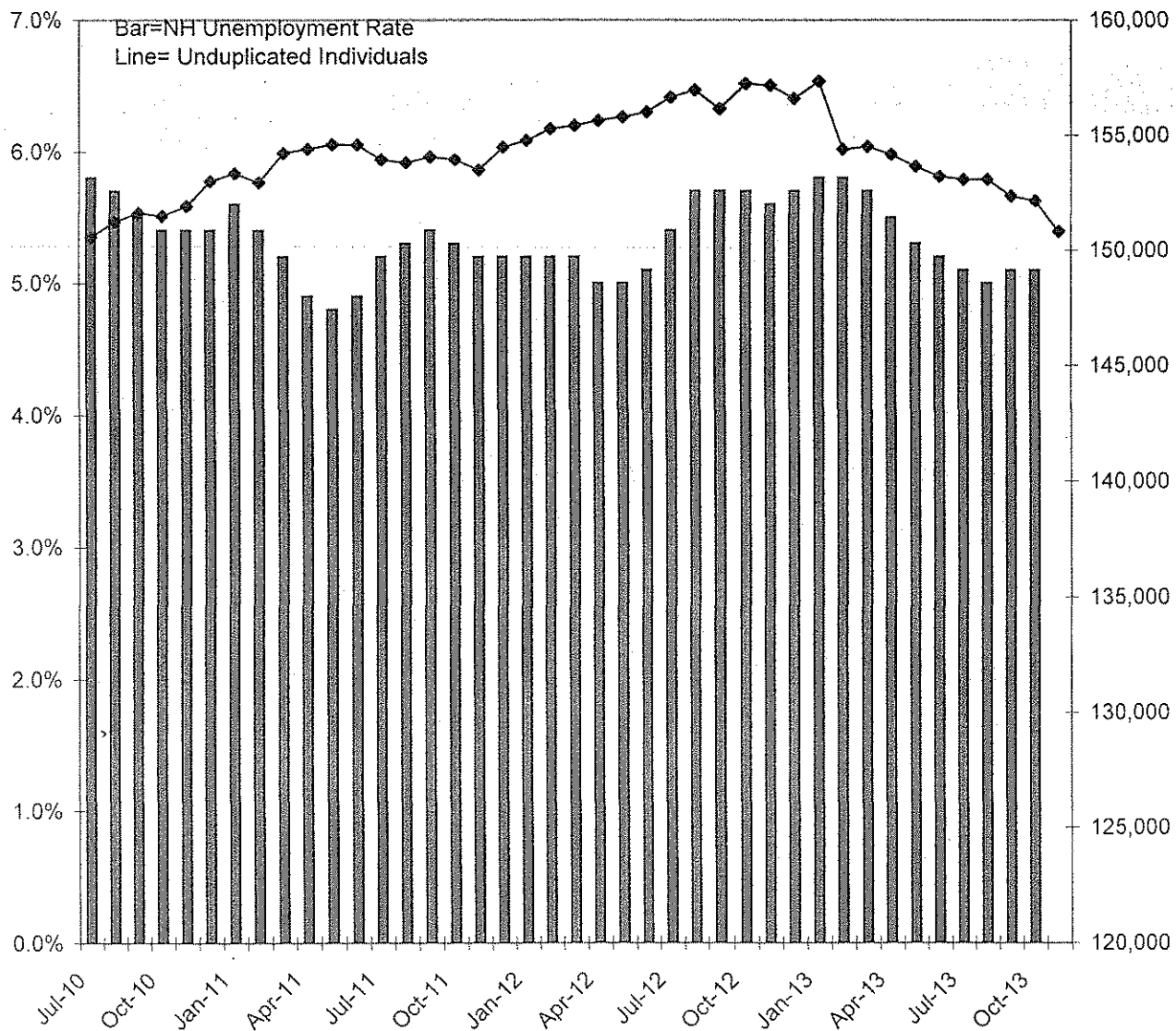
DATA THROUGH NOVEMBER 2013

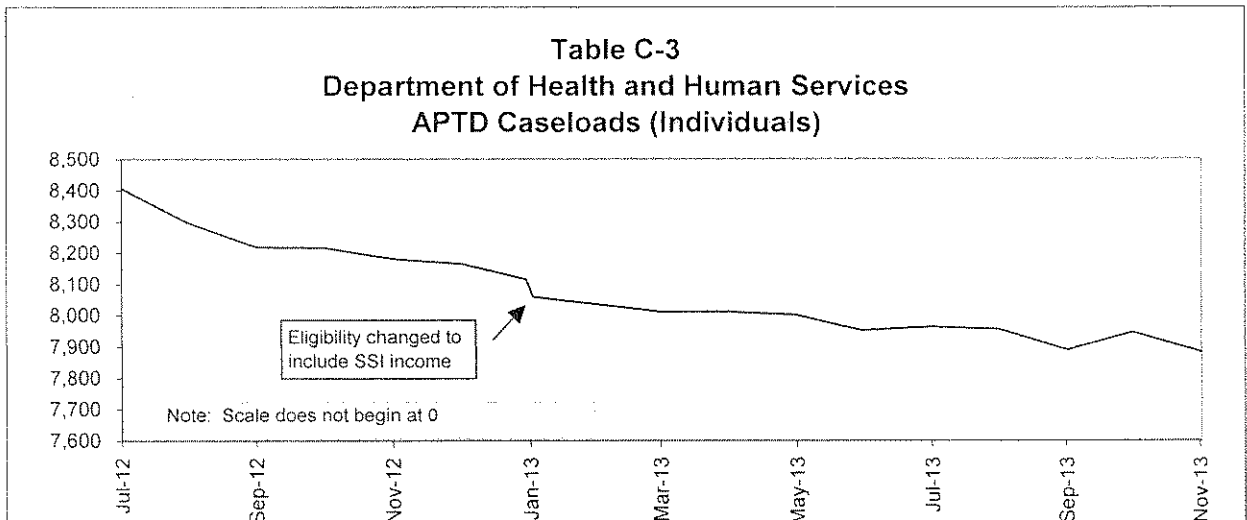
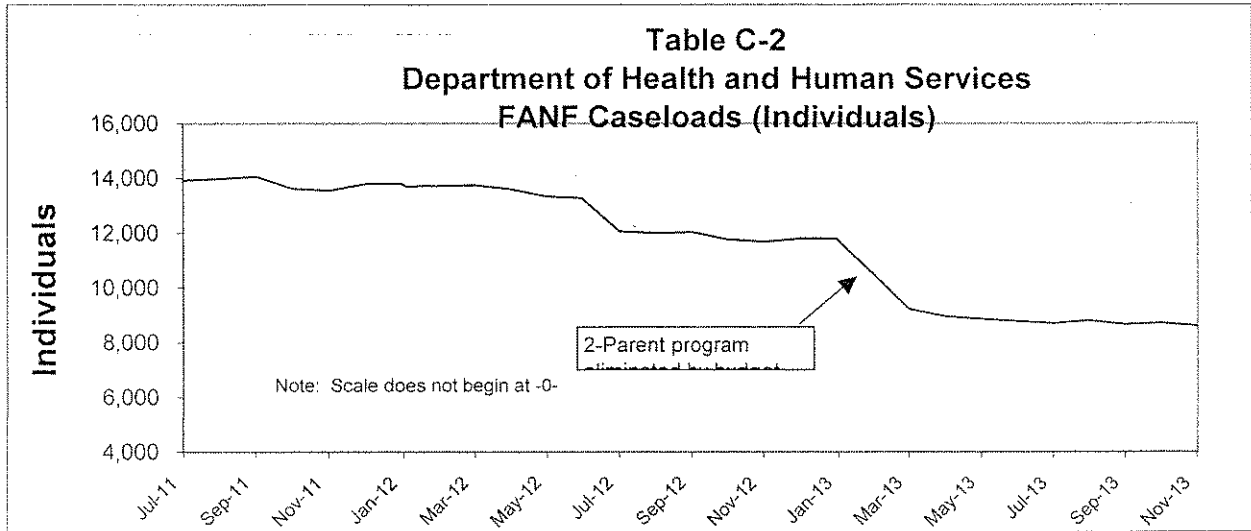
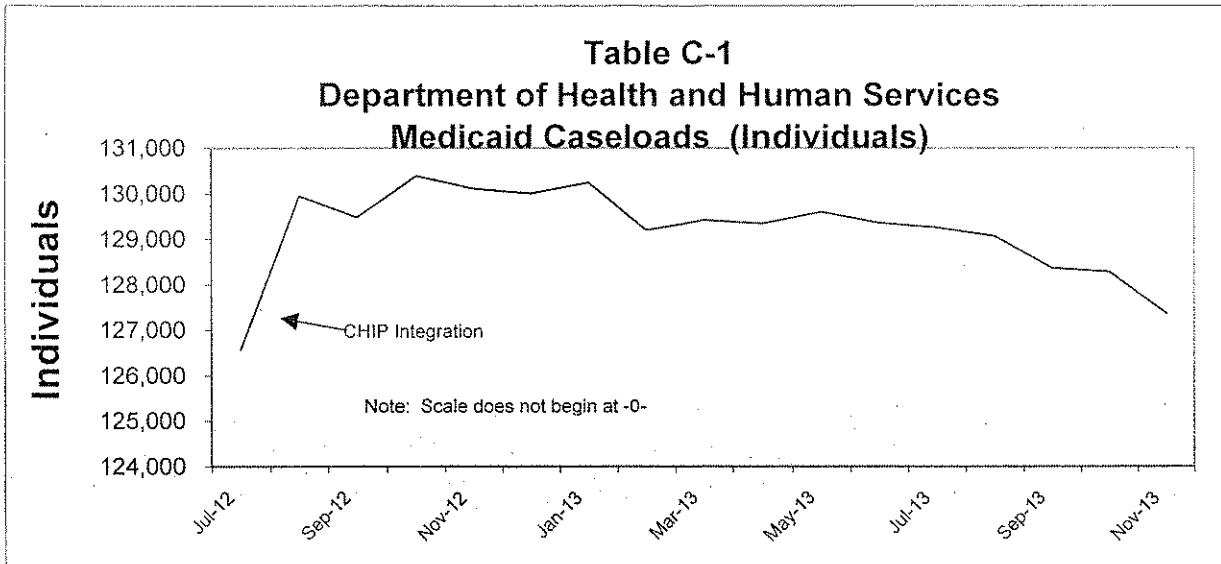
SFY14

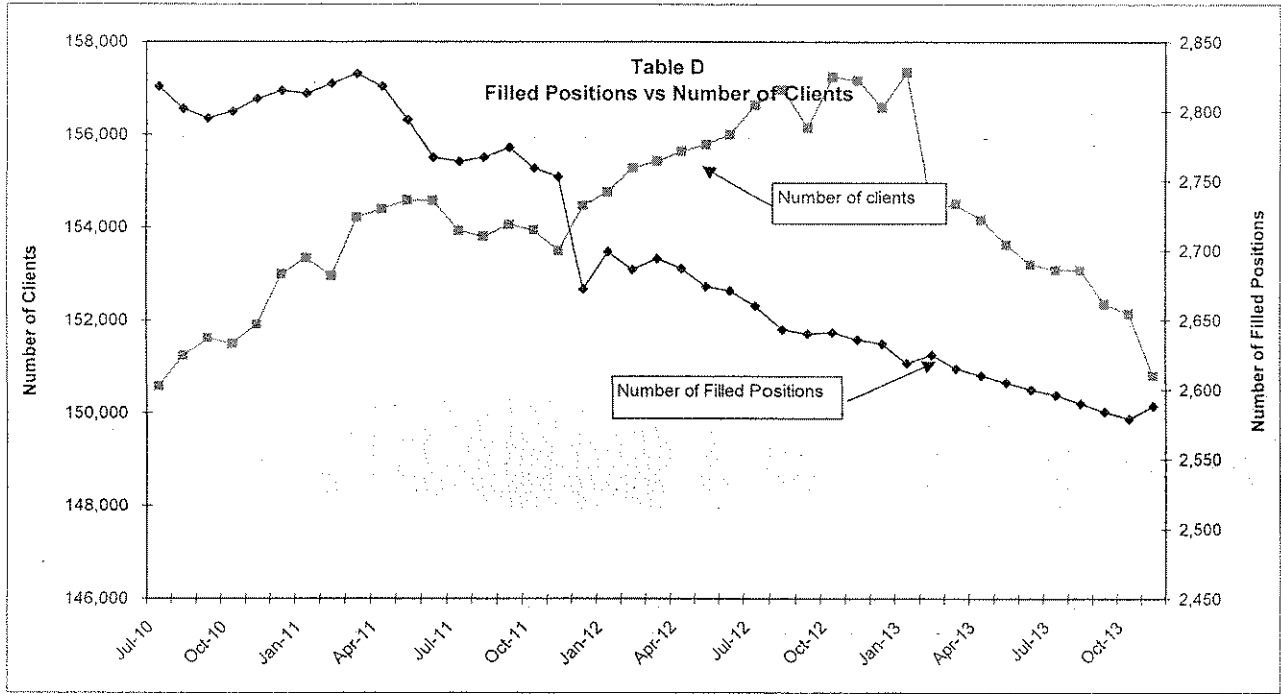
Prepared December 13, 2013

	A	B	C	D	E	G	H	I
1	TABLE A							
2	Department of Health and Human Services							
3	Budget Planning SFY14-SFY15							
4	As of December 2, 2013							
5	Anticipated Areas of Budget Shortfalls							
6	Anticipated Areas of Budget Shortfalls							
7	The budget for SFY14-15 provides insufficient general funds to address the legislative intends for services and obligations that are expected to be incurred. This summary identifies the shortfalls as currently anticipated. The Department has been working with the Governor's office on a cost reduction plan to address these issues.							
8								
9	Prepared December 2, 2013							
10			<i>Figures Rounded to \$000</i>	SFY14 Total Funds	SFY14 General Funds	SFY15 General Funds	Total General Funds	
11								
12	Lapse Estimated in Final Budget							
13			Lapse estimated in final budget-3.63%		\$23,854			
14			Lapse estimated in final budget-3.68%			\$23,785	\$47,639	
15								
16	Known Shortfalls							
17	DHHS	:	DHHS footnote reduction (HB2:10) (\$7 mil F14-15)	\$5,833	\$3,500	\$3,500		
18	DHHS	:	DHHS share of Statewide personnel reduction		\$1,745	\$2,623		
19	DHHS	:	Health Facility Licensing Fees not authorized	\$155	\$155	\$173		
20	SYSC	:	Reduction in SYSC appropriation (HB2:14)	\$500	\$500	\$750		
21	DHHS	:	Anticipated Delay in Managed Care	\$4,066	\$2,033	\$8,460		
22	DHHS	:	Medicaid To Schools-Transportation	\$2,500	\$500	\$2,000		
23	DHHS	:	DSH Settlement	\$8,886	\$8,886			
24	DHHS	:	Budgeting Error-Food License Revenue Budgeted Twice		\$878	\$907		
25			Total Known Shortfall		\$18,197	\$18,413	\$36,610	
26								
27	Other Potential Shortfalls							
28	The above list includes only those shortfalls which a) are likely to be incurred and b) for which amounts can be reasonably estimated. There are a number of other issues, not listed, such as caseload increases, for which the risks are less defined. If or when other funding issues arise, they will be appended to the above.							
29								
30								
31	Budget Reduction Initiatives Taken To Date							
32	Actions Already Taken							
33	DHHS	:	Vacancy savings for state-wide personnel reduction	\$2,908	\$1,745			
34	OCOM	:	Eliminate funding for Dube Bldg Laconia	\$65	\$39			
35	OBO	:	Reduce appropriation for leases	\$200	\$120			
36	NHH	:	Reduce appropriation for general operations	\$218	\$83			
37	DFA	:	IDP Projected Surplus	\$140	\$140			
38	DFA	:	Savings from Contract Negotiations	\$334	\$334			
39	DHHS	:	MMIS Fiscal Agent-Make Whole Payment	\$0	\$800			
40	BDS	:	DD savings from lower service utilization	\$838	\$419			
41	BDS	:	Funding for Family support respite (non medicaid service)	\$225	\$225			
42	DPHS	:	Reduced funding for Family Planning	\$100	\$100			
43	DPHS	:	Reduced funding for Comm Health Centers	\$250	\$250			
44	DCYF	:	CHINS cost reduction due to delayed start up	\$1,383	\$913			
45			Actions Taken To Date	\$6,662	\$5,168			
46								
47	Actions In Process-Require Legislation							
48	DHHS	:	Balance of Vacancy Savings Above Target	\$3,758	\$2,255			
49	SYSC	:	Reduction in SYSC appropriation (HB2:14)	\$500	\$500			
50			Net Shortfall	\$4,258	\$2,755			
51								
52			Net Surplus (Shortfall) After Above Actions	(\$12,807)	(\$10,274)			
53								

Table B
Department of Health and Human Services
Caseload vs Unemployment Rate







	A	B	C	D	E	F	G	H
1	Table E							
2	Department of Health and Human Services							
3	Operating Statistics							
4	Children in Services							
5								
6		DCYF	DCYF	Family Foster	Residential	Child Care	Child Care	SYSC
7		Referrals	Assessments	Care	Placement	Emplmnt	Wait List	Secure
8				Placement		Related		Census
9		Actual	Actual	Actual	Actual	Actual	Actual	Actual
10								
23	Jul-10	987	638	663	424	5,041	2,386	55
24	Aug-10	1,012	659	646	413	4,903	2,508	53
25	Sep-10	1,182	691	627	400	4,769	2,666	50
26	Oct-10	1,110	651	625	414	4,407	2,505	57
27	Nov-10	1,125	593	626	426	4,487	2,361	64
28	Dec-10	1,072	746	630	410	4,345	1,382	60
29	Jan-11	1,131	831	616	403	4,475	326	59
30	Feb-11	1,076	888	618	394	4,743	0	57
31	Mar-11	1,339	909	619	424	5,083	0	61
32	Apr-11	1,165	805	628	427	5,162	0	73
33	May-11	1,240	810	631	425	5,251	0	80
34	Jun-11	1,237	697	629	423	5,333	0	73
35	Jul-11	963	737	574	351	5,053	0	68
36	Aug-11	1,073	776	583	317	5,055	0	65
37	Sep-11	1,261	674	580	289	5,136	0	61
38	Oct-11	1,197	742	590	302	4,969	0	52
39	Nov-11	1,116	640	602	311	5,047	0	44
40	Dec-11	1,123	777	610	321	5,017	0	48
41	Jan-12	1,289	881	590	309	4,925	0	56
42	Feb-12	1,183	725	596	298	4,869	0	64
43	Mar-12	1,300	767	602	331	4,970	0	62
44	Apr-12	1,223	784	603	332	4,967	0	63
45	May-12	1,477	876	612	350	5,231	0	69
46	Jun-12	1,057	873	613	352	5,274	0	69
47	Jul-12	1,100	681	605	323	5,175	0	60
48	Aug-12	1,050	744	611	317	5,219	0	57
49	Sep-12	1,151	681	619	295	5,050	0	56
50	Oct-12	1,344	898	612	306	5,076	0	60
51	Nov-12	1,098	656	609	321	5,061	0	57
52	Dec-12	1,086	656	601	325	4,995	0	59
53	Jan-13	1,245	715	594	322	5,164	0	54
54	Feb-13	1,072	674	609	318	5,113	0	58
55	Mar-13	1,180	842	619	318	5,231	0	57
56	Apr-13	1,269	852	612	339	5,368	0	60
57	May-13	1,383	852	589	331	5,357	0	69
58	Jun-13	1,147	685	594	332	5,345	0	72
59	Jul-13	1,124	772	571	315	5,568	0	61
60	Aug-13	1,045	591	570	323	5,517	0	60
61	Sep-13	1,276	544	560	297	5,345	0	56
62	Oct-13	1,276	603	567	305	5,357	0	58
63	Nov-13	1,083	536	565	304	5,350	0	61
64	Dec-13							
65	Jan-14							
66	Feb-14							
67	Mar-14							
68	Apr-14							
69	May-14							
70	Jun-14							
71								
72	Source of Data							
73	Column							
74	B	DCYF SFY Management Database Report: Bridges.						
75	C	DCYF Assessment Supervisory Report: Bridges.						
76	D	Bridges placement authorizations during the month, unduplicated.						
77	E	Bridges placement authorizations during the month, unduplicated.						
78	F	Bridges Expenditure Report, NHB-OAR8-128						
79	G	Child Care Wait List Screen: New Heights						
80	H	Bridges Service Day Query - Bed days divided by days in month						

	A	B	C	D	E	F	G	H	I	J
1	Table F									
2	Department of Health and Human Services									
3	Operating Statistics									
4	Social Services									
5										
6		FANF	APTD	Food	Child Support Cases					
7			Persons	Stamps	Current	Former	Never	Total		
8			Persons	Persons	Cases	Cases	Cases	Cases		
9		Actual	Actual	Actual	Actual	Actual	Actual	Actual		
22	Jul-10	13,920	8,617	109,131	5,550	17,304	13,123	35,977		
23	Aug-10	13,981	8,643	109,950	5,758	17,120	13,138	36,016		
24	Sep-10	14,065	8,650	110,588	5,508	17,374	13,072	35,954		
25	Oct-10	13,615	8,656	110,694	5,726	17,177	13,051	35,954		
26	Nov-10	13,553	8,667	111,476	5,645	17,262	13,026	35,933		
27	Dec-10	13,789	8,749	112,293	5,577	17,345	12,986	35,908		
28	Jan-11	13,796	8,740	113,127	5,716	17,142	12,965	35,823		
29	Feb-11	13,705	8,779	112,803	5,654	17,189	12,917	35,760		
30	Mar-11	13,730	8,912	114,023	5,411	17,425	12,942	35,778		
31	Apr-11	13,597	9,019	114,482	5,435	17,379	12,986	35,800		
32	May-11	13,330	9,009	114,611	5,586	17,150	12,961	35,697		
33	Jun-11	13,272	9,088	114,441	5,401	17,296	12,902	35,599		
34	Jul-11	12,046	9,031	113,984	5,302	17,277	12,906	35,485		
35	Aug-11	11,980	8,905	114,285	5,416	17,099	12,842	35,357		
36	Sep-11	12,014	8,864	114,344	5,163	17,225	12,748	35,136		
37	Oct-11	11,756	8,763	114,705	5,365	17,081	12,749	35,195		
38	Nov-11	11,668	8,854	114,371	5,325	17,095	12,728	35,148		
39	Dec-11	11,787	9,006	115,671	5,192	17,184	12,760	35,136		
40	Jan-12	11,781	8,834	117,047	5,360	17,052	12,793	35,205		
41	Feb-12	11,628	8,792	117,293	5,327	17,066	12,836	35,229		
42	Mar-12	9,202	8,600	117,250	4,211	18,113	12,897	35,221		*
43	Apr-12	8,950	8,575	117,443	4,308	17,966	12,876	35,150		
44	May-12	8,853	8,541	117,744	4,308	17,881	12,845	35,034		
45	Jun-12	8,774	8,518	117,708	4,139	17,952	12,898	34,989		
46	Jul-12	8,690	8,405	117,625	4,184	17,771	12,928	34,883		
47	Aug-12	8,793	8,296	117,916	4,031	17,760	12,899	34,690		
48	Sep-12	8,657	8,218	117,569	4,038	17,722	12,853	34,613		
49	Oct-12	8,704	8,216	119,101	4,261	17,526	12,865	34,652		
50	Nov-12	8,599	8,181	118,992	4,066	17,650	12,862	34,578		
51	Dec-12	8,493	8,164	118,817	4,051	17,653	12,893	34,597		
52	Jan-13	8,559	8,115	120,153	4,136	17,542	12,836	34,514		
53	Feb-13	8,538	8,059	117,654	4,175	17,545	12,857	34,577		
54	Mar-13	8,378	8,011	117,409	4,041	17,723	13,006	34,770		
55	Apr-13	8,337	8,011	114,147	4,162	17,606	13,054	34,822		
56	May-13	8,169	8,001	119,317	3,973	17,780	13,102	34,855		
57	Jun-13	8,005	7,951	116,087	3,917	17,850	13,146	34,913		
58	Jul-13	7,926	7,962	115,691	4,035	17,724	13,193	34,952		
59	Aug-13	7,922	7,955	115,499	3,866	17,901	13,180	34,947		
60	Sep-13	7,709	7,889	114,725	3,772	17,913	13,183	34,868		
61	Oct-13	7,609	7,945	114,915	3,938	17,797	13,227	34,962		
62	Nov-13	7,449	7,882	113,514	3,793	17,908	13,325	35,026		
63	Dec-13									
64	Jan-14									
65	Feb-14									
66	Mar-14									
67	Apr-14									
68	May-14									
69	Jun-14									
70										
71	Source of Data									
72	Column									
73	B	Office of Research & Analysis, Ca								
74	C	Budget Document								
75	D	Budget Document								
76	E-H	DCSS Caseload (Month End Actual from NECSES)								
77										
78	Note	* Effective 3/1/12, SSI or SSP is considered when determining FANF eligibility.								
79		Those child support cases no longer eligible, are now "Former" assistance								
80		cases.								
81										

	A	B	C	D	E	F	G	H	I
1	Table G								
2	Department of Health and Human Services								
3	Operating Statistics								
4	Community Mental Health Center Medicaid								
5									
6		Monthly Cost	YTD Weekly Average Cost						
7		Actual	Actual						
20	Jul-10	\$ 7,988,373	\$ 1,597,675						
21	Aug-10	\$ 7,136,649	\$ 1,680,558						
22	Sep-10	\$ 6,629,711	\$ 1,673,441						
23	Oct-10	\$ 8,685,885	\$ 1,691,145						
24	Nov-10	\$ 8,628,997	\$ 1,775,892						
25	Dec-10	\$ 6,900,690	\$ 1,702,604						
26	Jan-11	\$ 6,184,140	\$ 1,682,401						
27	Feb-11	\$ 6,740,043	\$ 1,682,700						
28	Mar-11	\$ 7,382,305	\$ 1,699,405						
29	Apr-11	\$ 9,302,312	\$ 1,757,654						
30	May-11	\$ 7,547,988	\$ 1,731,814						
31	Jun-11	\$ 7,992,643	\$ 1,752,303						
32	Jul-11	\$ 7,631,195	\$ 1,526,239	Medicaid Client Trending Report					
33	Aug-11	\$ 6,879,546	\$ 1,612,305	Current Date:		Note: All figures are year-to-date			
34	Sep-11	\$ 8,259,497	\$ 1,626,446	ACTUALS - YTD					
35	Oct-11	\$ 6,551,174	\$ 1,628,967	FISCAL YEAR	QTR 1	QTR 2	QTR 3	QTR 4	
36	Nov-11	\$ 6,684,985	\$ 1,636,654	2008	11,016	13,553	15,497	17,392	
37	Dec-11	\$ 8,227,790	\$ 1,638,303	2009	12,014	14,693	16,849	19,206	
38	Jan-12	\$ 6,020,154	\$ 1,621,108	2010	13,240	16,187	18,580	20,797	
39	Feb-12	\$ 6,992,712	\$ 1,635,630	2011	13,480	16,390	18,410	20,665	
40	Mar-12	\$ 8,495,420	\$ 1,643,562	2012	13,358	15,775	17,447	19,925	
41	Apr-12	\$ 7,164,315	\$ 1,656,972	2013	13,227	15,761	17,460	19,555	
42	May-12	\$ 7,280,134	\$ 1,670,561	2014					
43	Jun-12	\$ 8,576,998	\$ 1,674,791						
44	Jul-12	\$ 6,080,133	\$ 1,520,033	BUDGETED - YTD					
45	Aug-12	\$ 8,396,227	\$ 1,608,484	FISCAL YEAR	QTR 1	QTR 2	QTR 3	QTR 4	
46	Sep-12	\$ 6,638,801	\$ 1,624,243	2011	12,541	15,333	17,599	19,699	
47	Oct-12	\$ 6,557,972	\$ 1,627,831	2012	13,806	16,787	18,856	21,165	
48	Nov-12	\$ 8,163,038	\$ 1,628,917	2013	14,214	16,786	18,565	21,202	
49	Dec-12	\$ 6,888,680	\$ 1,643,264	2014	13,957	16,631	18,424	20,635	
50	Jan-13	\$ 5,678,659	\$ 1,613,450						
51	Feb-13	\$ 6,844,750	\$ 1,624,949	VARIANCE: BUDGETED TO ACTUAL - YTD					
52	Mar-13	\$ 9,366,958	\$ 1,656,800	FISCAL YEAR	QTR 1	QTR 2	QTR 3	QTR 4	
53	Apr-13	\$ 9,806,502	\$ 1,730,738	2012	-448	-1,012	-1,409	-1,240	
54	May-13	\$ 5,993,645	\$ 1,675,320	2013	-987	-1,025	-1,105	-1,647	
55	Jun-13	\$ 6,614,944	\$ 1,673,660	2014					
56	Jul-13	\$ 6,663,858	\$ 1,665,965						
57	Aug-13	\$ 8,147,505	\$ 1,645,707						
58	Sep-13	\$ 6,844,233	\$ 1,665,815						
59	Oct-13	\$ 6,845,837	\$ 1,676,555						
60	Nov-13	\$ 8,112,072	\$ 1,664,250						
61	Dec-13								
62	Jan-14								
63	Feb-14								
64	Mar-14								
65	Apr-14								
66	May-14								
67	Jun-14								

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q
1	Table H																
2	Department of Health and Human Services																
3	Operating Statistics																
4	Elderly & Adult Long Term Care																
5																	
6		Total Nursing Clients		CFI Home Health	CFI Midlevel	Other Nursing	Nursing Home Beds		Pct in NF	APS Clients Assmnts	APS Cases Ongoing	SSBG AIHC Waitlist	Total SSBG AIHC				
7		Actual	Budget			Note 1	3 mo. Avg	Budget									
8																	
20	Jul-10	7,284	7,740	2,541	384	36	4,359	4,063	59.8%	250	1,121	5					
21	Aug-10	7,223	7,740	2,494	389	34	4,340	4,063	60.1%	221	1,118	1					
22	Sep-10	7,112	7,740	2,513	365	32	4,234	4,063	59.5%	228	1,104	0	506				
23	Oct-10	7,150	7,740	2,527	387	35	4,236	4,063	59.2%	228	1,080	0					
24	Nov-10	7,237	7,740	2,557	396	28	4,284	4,063	59.2%	221	1,067	3					
25	Dec-10	7,346	7,740	2,530	413	32	4,403	4,063	59.9%	183	1,068	0	614				
26	Jan-11	7,217	7,740	2,468	416	32	4,333	4,063	60.0%	178	1,039	3					
27	Feb-11	7,164	7,740	2,548	385	33	4,231	4,063	59.1%	162	1,040	6					
28	Mar-11	7,127	7,740	2,544	388	32	4,195	4,063	58.9%	203	1,042	3					
29	Apr-11	7,221	7,740	2,511	422	31	4,288	4,063	59.4%	222	1,041	3					
30	May-11	7,079	7,740	2,485	417	34	4,177	4,063	59.0%	207	1,058	8					
31	Jun-11	7,094	7,740	2,436	420	35	4,238	4,063	59.7%	238	1,077	4	740	YTD			
32	Jul-11	7,142	7,515	2,499	443	31	4,200	4,400	58.8%	200	1,069	1					
33	Aug-11	7,196	7,515	2,396	456	37	4,344	4,400	60.4%	226	1,083	2					
34	Sep-11	7,174	7,515	2,382	447	32	4,345	4,400	60.6%	236	1,091	2	532	YTD			
35	Oct-11	7,053	7,515	2,340	442	33	4,271	4,400	60.6%	253	1,108	2					
36	Nov-11	7,037	7,515	2,350	432	35	4,255	4,400	60.5%	212	1,103	2					
37	Dec-11	7,132	7,515	2,356	446	32	4,330	4,400	60.7%	220	1,095	-	667	YTD			
38	Jan-12	7,189	7,515	2,357	439	34	4,393	4,400	61.1%	215	1,077	9					
39	Feb-12	7,312	7,515	2,417	418	33	4,477	4,400	61.2%	215	1,084	9					
40	Mar-12	7,518	7,515	2,530	448	31	4,540	4,400	60.4%	240	1,065	13	740	YTD			
41	Apr-12	7,368	7,515	2,450	433	34	4,485	4,400	60.9%	223	1,053	9					
42	May-12	7,343	7,515	2,486	439	33	4,418	4,400	60.2%	223	1,084	5					
43	Jun-12	7,376	7,515	2,554	436	34	4,386	4,400	59.5%	245	1,095	16	786	YTD			
44	Jul-12	7,225	7,578	2,401	444	34	4,380	4,422	60.6%	238	1,096	9					
45	Aug-12	7,448	7,578	2,468	471	39	4,509	4,422	60.5%	251	1,087	5					
46	Sep-12	7,281	7,578	2,454	462	37	4,365	4,422	60.0%	209	1,092	6	518	YTD			
47	Oct-12	7,293	7,578	2,475	464	35	4,354	4,422	59.7%	243	1,137	1					
48	Nov-12	7,254	7,578	2,478	482	34	4,294	4,422	59.2%	200	1,203	1					
49	Dec-12	7,253	7,578	2,433	484	35	4,336	4,422	59.8%	178	1,186	1	635	YTD			
50	Jan-13	7,194	7,578	2,421	461	37	4,312	4,422	59.9%	255	1,201	1					
51	Feb-13	7,092	7,578	2,415	443	33	4,234	4,422	59.7%	159	1,202	1					
52	Mar-13	7,052	7,578	2,487	438	38	4,127	4,422	58.5%	220	1,196	1	705	YTD			
53	Apr-13	6,658	7,578	2,390	238	9	4,030	4,422	60.5%	205	1,228	1					
54	May-13	7,037	7,578	2,511	362	11	4,164	4,422	59.2%	174	1,206	1					
55	Jun-13	7,038	7,578	2,405	421	10	4,212	4,422	59.8%	194	1,224	1	769	YTD			
56	Jul-13	7,153	7,356	2,452	421	72	4,280	4,380	59.8%	276	1,230	1					
57	Aug-13	7,284	7,356	2,532	439	25	4,313	4,380	59.2%	263	1,225	1					
58	Sep-13	7,145	7,356	2,480	449	20	4,216	4,380	59.0%	264	1,247	1	474	YTD			
59	Oct-13	7,281	7,356	2,488	436	25	4,357	4,380	59.8%	268	1,234	1					Oct #s estimated based on ytd history
60	Nov-13																
61	Dec-13																
62	Jan-14																
63	Feb-14																
64	Mar-14																
65	Apr-14																
66	May-14																
67	Jun-14																
68																	
69	Note 1: These clients are also captured under OMBP Provider Payments																
70	Note : CFI Home Health = CFI Home Support and Home Health Care Waiver Services																
71	Source of Data																
72	Columns																
73																	
74	D-F	MDSS monthly client counts															
75	G	3 month Avg of the number of paid bed days in the month/days in prior month															
76		by the number of days in the previous month. MDSS															
77	J	Options Monthly Protective Reports															
78	K	Options Monthly Activity Report															
79	L	SSBG Adult In-Home Care verbal report from Adult Protective Services Administrator															
80	M	Quarterly Options Paid Claims from Business Systems Unit Manager															

	A	B	C	D	E	F	G	H	I	J
1	Operating Statistics									
2	Developmental Services Long Term Care									
3										
4										
5		BDS Programs served FYTD**	BDS Programs FYTD Unduplicated Count	Early Supports & Services	Special Medical Services	Partners in Health Program	Devl. Serv. Priority #1 DD Waitlist	Devl. Serv. ABD Waitlist		Medicaid to Schools
6					(8-09 to 8-12 Actual)	(8-09 to 8-12 Actual)	Actual*	Actual*		
18	Jul-10	9,505	6,483	1,927	1,652	1,390	40	0		
19	Aug-10	10,574	7,826	2,054	1,690	1,058	13	0		
20	Sep-10	11,107	8,324	2,069	1,730	1,053	9	0		
21	Oct-10	11,667	8,826	2,087	1,767	1,074	21	1		
22	Nov-10	12,438	9,600	2,128	1,768	1,070	19	0		
23	Dec-10	12,732	9,959	2,101	1,667	1,106	19	0		
24	Jan-11	13,152	10,344	1,972	1,659	1,149	19	0		
25	Feb-11	13,567	10,817	2,017	1,613	1,137	19	0		
26	Mar-11	13,900	11,098	2,182	1,651	1,151	20	0		
27	Apr-11	14,201	11,337	2,277	1,695	1,169	30	0		
28	May-11	14,623	11,713	2,339	1,742	1,168	30	0		
29	Jun-11	15,148	12,168	2,344	1,772	1,208	24	4		6,785
30	Jul-11	10,626	7,627	2,248	1,795	1,204	56	6		
31	Aug-11	10,953	7,957	1,799	1,806	1,190	34	8		
32	Sep-11	11,146	8,328	2,329	1,811	1,007	34	10		
33	Oct-11	11,500	8,529	2,668	1,841	1,130	46	9		
34	Nov-11	11,918	9,077	2,917	1,727	1,114	58	9		
35	Dec-11	12,290	9,445	3,057	1,742	1,103	62	0		
36	Jan-12	12,535	9,848	3,274	1,667	1,020	66	0		
37	Feb-12	12,767	10,112	3,468	1,663	992	71	0		
38	Mar-12	13,133	10,455	3,661	1,695	983	78	0		
39	Apr-12	13,510	10,802	3,922	1,702	1,006	81	0		
40	May-12	13,850	11,122	4,154	1,740	988	90	0		
41	Jun-12	14,248	11,513	4,423	1,737	998	94	0		6,419
42	Jul-12	9,742	7,055	1,891	1,689	998	123	0		
43	Aug-12	10,324	7,590	2,083	1,738	996	123	0		
44	Sep-12	11,000	8,156	2,288	1,814	1,030	154	0		
45	Oct-12	11,701	8,774	2,601	1,876	1,051	169	0		
46	Nov-12	12,207	9,209	2,861	1,935	1,063	172	0		
47	Dec-12	12,562	9,502	3,033	1,980	1,080	190	0		
48	Jan-13	13,217	10,065	3,255	2,063	1,089	219	0		
49	Feb-13	13,660	10,438	3,521	2,123	1,099	225	1		
50	Mar-13	14,057	10,694	3,706	2,253	1,110	242	3		
51	Apr-13	14,460	10,992	3,925	2,342	1,126	240	1		
52	May-13	14,863	11,289	4,132	2,430	1,144	265	4		
53	Jun-13	15,205	11,580	4,323	2,460	1,165	288	8		
54	Jul-13	8,995	6,364	1,865	1,646	985	373	15		
55	Aug-13	10,041	7,291	2,074	1,755	995	186	5		
56	Sep-13	10,978	8,160	2,381	1,813	1,005	103	6		
57	Oct-13	11,573	8,648	2,618	1,903	1,022	108	10		
58	Nov-13	12,129	9,122	2,978	1,963	1,044	116	12		
59	Dec-13									
60	Jan-14									
61	Feb-14									
62	Mar-14									
63	Apr-14									
64	May-14									
65	Jun-14									
66										
67	Data Sources:	NHLeads	NHLeads	NHLeads	SMSdb	PIHdb	Registry	Registry		
68										
69	*G & *H Represent the number of individuals waiting at least 90-days for DD or ABD									
70	Waiver funding.									
71	** BDS count excludes MTS Students served									
72	E & F	Represents year-to-date total number served								

	A	B	C	D	E	F	G	H	I	J	K
1	Table I										
2	Department of Health and Human Services										
3	Operating Statistics										
4	Shelter & Institutions										
5											
6		NHH			BHHS						Glenciff
7		APS & APC Census	APS & APC Admissions	THS Census		Individual Bednights	% of		Family Bednights	% of	GH Census
8		Actual	Actual	Actual	Capacity	Actual	Capacity	Capacity	Actual	Capacity	Actual
9											
34	Jul-11	142	209	43	10,943	9,368	86%	806	627	78%	113
35	Aug-11	134	192	41	10,943	9,590	88%	806	732	91%	115
36	Sep-11	128	196	41	10,590	9,719	92%	768	744	97%	115
37	Oct-11	149	200	37	10,943	10,781	99%	806	826	102%	117
38	Nov-11	150	193	36	10,590	10,779	102%	780	885	113%	116
39	Dec-11	151	202	36	11,521	11,721	102%	806	877	109%	113
40	Jan-12	153	207	n/a	12,090	12,173	101%	806	883	110%	115
41	Feb-12	153	191	n/a	11,310	11,137	98%	754	770	102%	116
42	Mar-12	153	184	n/a	12,090	11,049	91%	806	837	104%	118
43	Apr-12	153	200	n/a	10,590	9,945	94%	780	817	105%	118
44	May-12	155	208	n/a	10,943	10,510	96%	806	898	111%	117
45	Jun-12	149	187	n/a	10,590	9,845	93%	780	869	111%	119
46	Jul-12	145	161	n/a	10,943	9,568	87%	806	685	85%	118
47	Aug-12	149	193	n/a	10,943	9,573	87%	806	755	94%	118
48	Sep-12	151	162	n/a	9,870	9,304	94%	780	674	86%	119
49	Oct-12	150	178	n/a	10,199	9,826	96%	806	757	94%	119
50	Nov-12	150	161	n/a	9,870	9,369	95%	780	763	98%	117
51	Dec-12	150	125	n/a	10,133	9,420	93%	754	808	107%	117
52	Jan-13	161	173	n/a	11,346	11,433	101%	806	826	102%	117
53	Feb-13	164	135	n/a	9,212	10,544	114%	728	704	97%	118
54	Mar-13	155	149	n/a	9,833	10,995	112%	780	764	98%	119
55	Apr-13	152	173	n/a	9,833	9,313	95%	780	643	82%	119
56	May-13	148	187	n/a	10,162	9,166	90%	806	586	73%	118
57	Jun-13	155	175	n/a	9,833	8,019	82%	780	617	79%	119
58	Jul-13	155	187	n/a	10,199	8,152	80%	806	641	80%	117
59	Aug-13	161	164	n/a	10,943	8,380	77%	806	676	84%	116
60	Sep-13	163	165	n/a	9,870	8,801	89%	780	650	83%	115
61	Oct-13	161	184	n/a	10,199	9,113	89%	806	724	90%	116
62	Nov-13	164	149	n/a	9,870	11,993	122%	780	719	92%	119
63	Dec-13			n/a							
64	Jan-14			n/a							
65	Feb-14			n/a							
66	Mar-14			n/a							
67	Apr-14			n/a							
68	May-14			n/a							
69	Jun-14			n/a							
70											
71	Source of Data										
72	Column										
73	B	Daily in-house midnight census averaged per month									
74	C	Daily census report of admissions totalled per month									
75	D	Daily in-house midnight census averaged per month									
76	E	Total number of individual bednights available in emergency shelters									
77	F	Total number of individual bednights utilized in emergency shelters									
78	G	Percentage of individual bednights utilized during month									
79	H	Total number of family bednights available in emergency shelters									
80	I	Total number of family bednights utilized in emergency shelters									
81	J	Percentage of family bednights utilized during month									
82	K	Daily in-house midnight census averaged per month									

	A	B	C	D	E
1	Table J				
2	Department of Health and Human Services				
3	Office of Medicaid Business and Policy				
4	Budget V. Actual Medical Expenditures				
5					
6	Medicaid Provider Payments				
7	(Provider Payments, Outpatient Hospital, Prescription Drugs and CHIP FFS)				
8		Budgeted	Expended	Excess/Shortfall	
9	Jul-13	\$34,256,263	\$29,803,809	\$4,452,455	
10	Aug-13	\$42,820,329	\$49,438,554	(\$6,618,224)	
11	Sep-13	\$34,256,263	\$40,280,037	(\$6,023,774)	
12	Oct-13	\$34,256,263	\$33,593,181	\$663,083	
13	Nov-13	\$42,820,329	\$40,463,629	\$2,356,700	
14	Dec-13	\$34,256,263	\$33,572,080	\$684,183	
15	Jan-14	\$34,256,263	\$41,483,913	(\$7,227,649)	
16	Feb-14	\$34,256,263	\$36,208,914	(\$1,952,650)	
17	Mar-14	\$42,820,329	\$37,017,467	\$5,802,863	
18	Apr-14	\$34,256,263	\$34,720,586	(\$464,322)	
19	May-14	\$42,820,329	\$41,179,669	\$1,640,660	
20	Jun-14	\$34,256,263	\$34,595,612	(\$339,348)	
21	Total	\$445,331,425	\$452,357,450	(\$7,026,025)	
22					
23					
24	BCCP				
25	(Provider Payments, Outpatient Hospital, Prescription Drugs)				
26		Budgeted	Expended	Excess/Shortfall	
27	Jul-13	\$324,071	\$336,835	(\$12,764)	
28	Aug-13	\$405,089	\$436,898	(\$31,809)	
29	Sep-13	\$324,071	\$305,530	\$18,542	
30	Oct-13	\$324,071	\$274,231	\$49,840	
31	Nov-13	\$405,089	\$221,821	\$183,268	
32	Dec-13	\$324,071	\$264,036	\$60,035	
33	Jan-14	\$324,071	\$371,202	(\$47,131)	
34	Feb-14	\$324,071	\$290,600	\$33,471	
35	Mar-14	\$405,089	\$290,408	\$114,681	
36	Apr-14	\$324,071	\$289,765	\$34,306	
37	May-14	\$405,089	\$357,271	\$47,819	
38	Jun-14	\$324,071	\$290,875	\$33,196	
39	Total	\$4,212,928	\$3,729,472	\$483,456	
40					
41					
42	Notes:				
43	Shaded figures are estimates				

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S
1	Table K																		
2	Department of Health and Human Services																		
3	Caseloads Versus Prior Year & Prior Month																		
4																			
5		Unduplicated Persons			Medicaid Persons			Long Term Care-Seniors			FANF Persons			APTD Persons			SNAP Persons		
6		Actual	Vs PY	Vs Pmo	Actual	Vs PY	Vs Pmo	Actual	Vs PY	Vs Pmo	Actual	Vs PY	Vs Pmo	Actual	Vs PY	Vs Pmo	Actual	Vs PY	Vs Pmo
7																			
44	Jul-11	153,928	2.2%	-0.4%	119,814	0.8%	-0.9%	7,142	-1.9%	0.7%	12,046	-13.5%	-9.2%	9,031	4.8%	-0.6%	113,984	4.4%	-0.4%
45	Aug-11	153,803	1.7%	-0.1%	119,628	0.7%	-0.2%	7,196	-0.4%	0.8%	11,980	-14.3%	-0.5%	8,905	3.0%	-1.4%	114,285	3.9%	0.3%
46	Sep-11	154,055	1.6%	0.2%	119,916	0.6%	0.2%	7,174	0.9%	-0.3%	12,014	-14.6%	0.3%	8,864	2.5%	-0.5%	114,344	3.4%	0.1%
47	Oct-11	153,942	1.6%	-0.1%	119,437	0.6%	-0.4%	7,053	-1.4%	-1.7%	11,756	-13.7%	-2.1%	8,793	1.6%	-0.8%	114,705	3.6%	0.3%
48	Nov-11	153,484	1.0%	-0.3%	118,901	0.0%	-0.4%	7,037	-2.8%	-0.2%	11,668	-13.9%	-0.7%	8,854	2.2%	0.7%	114,371	2.6%	-0.3%
49	Dec-11	154,470	1.0%	0.6%	119,626	-0.2%	0.6%	7,132	-2.9%	1.4%	11,787	-14.5%	1.0%	9,006	2.9%	1.7%	115,671	3.0%	1.1%
50	Jan-12	154,765	0.9%	0.2%	119,338	-0.2%	-0.2%	7,189	-0.4%	0.8%	11,781	-14.6%	-0.1%	8,834	1.1%	-1.9%	117,047	3.5%	1.2%
51	Feb-12	155,274	1.5%	0.3%	119,553	0.2%	0.2%	7,312	2.1%	1.7%	11,628	-15.2%	-1.3%	8,792	0.1%	-0.5%	117,293	4.0%	0.2%
52	Mar-12	155,424	0.8%	0.1%	120,382	0.0%	0.7%	7,518	5.5%	2.8%	9,202	-33.0%	-20.9%	8,600	-3.5%	-2.2%	117,250	2.8%	0.0%
53	Apr-12	155,639	0.8%	0.1%	120,538	0.0%	0.1%	7,368	2.0%	-2.0%	8,950	-34.2%	-2.7%	8,595	-4.7%	-0.1%	117,443	2.6%	0.2%
54	May-12	155,789	0.8%	0.1%	120,520	0.1%	0.0%	7,343	3.7%	-0.3%	8,853	-33.6%	-1.1%	8,541	-5.2%	-0.6%	117,744	2.7%	0.3%
55	Jun-12	156,002	0.9%	0.1%	120,335	-0.4%	-0.2%	7,376	4.0%	0.4%	8,774	-33.9%	-0.9%	8,518	-6.3%	-0.3%	117,708	2.9%	0.0%
56	Jul-12	156,637	1.8%	0.4%	129,569	Eff. 7/1/12 CHIP included		7,225	1.2%	-2.0%	8,690	-27.9%	-1.0%	8,405	-6.9%	-1.3%	117,625	3.2%	-0.1%
57	Aug-12	156,966	2.1%	0.2%	129,951	n/a	0.3%	7,448	3.5%	3.1%	8,793	-26.6%	1.2%	8,296	-6.8%	-1.3%	114,916	0.6%	-2.3%
58	Sep-12	156,144	1.4%	-0.5%	129,479	n/a	-0.4%	7,281	1.5%	-2.2%	8,657	-27.9%	-1.5%	8,218	-7.3%	-0.9%	117,569	2.8%	2.3%
59	Oct-12	157,243	2.1%	0.7%	130,393	n/a	0.7%	7,293	3.4%	0.2%	8,704	-26.0%	0.5%	8,216	-6.6%	0.0%	119,101	3.8%	1.3%
60	Nov-12	157,170	2.4%	0.0%	130,110	n/a	-0.2%	7,254	3.1%	-0.5%	8,599	-26.3%	-1.2%	8,181	-7.6%	-0.4%	118,992	4.0%	-0.1%
61	Dec-12	156,588	1.4%	-0.4%	130,001	n/a	-0.1%	7,253	1.7%	0.0%	8,493	-27.9%	-1.2%	8,164	-9.3%	-0.2%	118,817	2.7%	-0.1%
62	Jan-13	157,348	1.7%	0.5%	130,239	n/a	0.2%	7,194	0.1%	-0.8%	8,559	-27.3%	0.8%	8,115	-8.1%	-0.6%	120,153	2.7%	1.1%
63	Feb-13	154,386	-0.6%	-1.9%	129,200	n/a	-0.8%	7,092	-3.0%	-1.4%	8,538	-26.6%	-0.2%	8,059	-8.3%	-0.7%	117,654	0.3%	-2.1%
64	Mar-13	154,504	-0.6%	0.1%	129,413	n/a	0.2%	7,052	-6.2%	-0.6%	8,378	-9.0%	-1.9%	8,011	-6.8%	-0.6%	117,409	0.1%	-0.2%
65	Apr-13	154,159	-1.0%	-0.2%	129,346	n/a	-0.1%	n/a			8,337	-6.8%	-0.5%	8,011	-6.8%	0.0%	117,147	-0.3%	-0.2%
66	May-13	153,625	-1.4%	-0.3%	129,598	n/a	0.2%	7,037	-4.2%		8,169	-7.7%	-2.0%	8,001	-6.3%	-0.1%	119,317	1.3%	1.9%
67	Jun-13	153,197	-1.8%	-0.3%	129,353	n/a	-0.2%	7,038	-4.6%	0.0%	8,005	-8.8%	-2.0%	7,951	-6.7%	-0.6%	118,087	-1.4%	-2.7%
68	Jul-13	153,075	-2.3%	-0.1%	129,255	-0.2%	-0.1%	7,153	-1.0%	1.6%	7,926	-8.8%	-1.0%	7,962	-5.3%	0.1%	115,691	-1.6%	-0.3%
69	Aug-13	153,065	-2.5%	0.0%	129,063	-0.7%	-0.1%	7,284	-2.2%	1.8%	7,922	-9.9%	-0.1%	7,955	-4.1%	-0.1%	115,499	0.5%	-0.2%
70	Sep-13	152,338	-2.4%	-0.5%	128,364	-0.9%	-0.5%	7,145	-1.9%	-1.9%	7,709	-11.0%	-2.7%	7,889	-4.0%	-0.8%	114,725	-2.4%	-0.7%
71	Oct-13	152,132	-3.3%	-0.1%	128,276	-1.6%	-0.1%	7,281	-0.2%	1.9%	7,609	-12.6%	-1.3%	7,945	-3.3%	0.7%	114,915	-3.5%	0.2%
72	Nov-13	150,798	-4.1%	-0.9%	127,359	-2.1%	-0.7%				7,449	-13.4%	-2.1%	7,882	-3.7%	-0.8%	113,514	-4.6%	-1.2%
73	Dec-13																		
74	Jan-14																		
75	Feb-14																		
76	Mar-14																		
77	Apr-14																		
78	May-14																		
79	Jun-14																		

DATA TABLES FOR CHARTS																
Caseloads Vs Unemployment			Caseloads-Actual					Personnel Vacancy Rate								
	NH Unempl. Rate	Unduplicated Persons	FANF Persons Actual	APTD Actual	Medicaid Persons Actual	Authorized	Filled	Vacant	PCT	Caseload	Staff					
43	Jul-10	5.8%	150,572	Jul-10	13,920	8,617	118,831	Jul-10	3,344	2,818	526	15.7%	Jul-10	150,572	2,818	
44	Aug-10	5.7%	151,231	Aug-10	13,981	8,643	118,841	Aug-10	3,344	2,802	542	16.2%		151,231	2,802	
45	Sep-10	5.5%	151,609	Sep-10	14,065	8,650	119,213	Sep-10	3,344	2,795	549	16.4%		151,609	2,795	
46	Oct-10	5.4%	151,486	Oct-10	13,615	8,656	118,770	Oct-10	3,341	2,800	541	16.2%	Oct-10	151,486	2,800	
47	Nov-10	5.4%	151,906	Nov-10	13,553	8,667	118,882	Nov-10	3,344	2,809	535	16.0%		151,906	2,809	
48	Dec-10	5.4%	152,991	Dec-10	13,789	8,749	119,845	Dec-10	3,348	2,815	533	15.9%		152,991	2,815	
49	Jan-11	5.6%	153,338	Jan-11	13,796	8,740	119,554	Jan-11	3,348	2,813	535	16.0%	Jan-11	153,338	2,813	
50	Feb-11	5.4%	152,942	Feb-11	13,705	8,779	119,255	Feb-11	3,348	2,820	528	15.8%		152,942	2,820	
51	Mar-11	5.2%	154,218	Mar-11	13,730	8,912	120,395	Mar-11	3,348	2,827	521	15.6%		154,218	2,827	
52	Apr-11	4.9%	154,397	Apr-11	13,597	9,019	120,532	Apr-11	3,348	2,818	530	15.8%	Apr-11	154,397	2,818	
53	May-11	4.8%	154,589	May-11	13,330	9,009	120,353	May-11	3,348	2,794	554	16.5%		154,589	2,794	
54	Jun-11	4.9%	154,572	Jun-11	13,272	9,088	120,867	Jun-11	3,348	2,767	581	17.4%		154,572	2,767	
55	Jul-11	5.2%	153,928	Jul-11	12,046	9,031	119,814	Jul-11	2,995	2,764	231	7.7%	Jul-11	153,928	2,764	
56	Aug-11	5.3%	153,803	Aug-11	11,980	8,905	119,628	Aug-11	2,995	2,767	228	7.6%		153,803	2,767	
57	Sep-11	5.4%	154,055	Sep-11	12,014	8,864	119,916	Sep-11	2,995	2,774	221	7.4%		154,055	2,774	
58	Oct-11	5.3%	153,942	Oct-11	11,756	8,793	119,437	Oct-11	2,997	2,759	238	7.9%	Oct-11	153,942	2,759	
59	Nov-11	5.2%	153,484	Nov-11	11,668	8,854	118,901	Nov-11	2,997	2,753	244	8.1%		153,484	2,753	
60	Dec-11	5.2%	154,470	Dec-11	11,787	9,006	119,626	Dec-11	2,898	2,672	226	7.8%		154,470	2,672	
61	Jan-12	5.2%	154,765	Jan-12	11,781	8,834	119,338	Jan-12	2,898	2,699	199	6.9%	Jan-12	154,765	2,699	
62	Feb-12	5.2%	155,274	Feb-12	11,628	8,792	119,553	Feb-12	2,898	2,686	212	7.3%		155,274	2,686	
63	Mar-12	5.2%	155,424	Mar-12	9,202	8,600	120,382	Mar-12	2,898	2,694	204	7.0%		155,424	2,694	
64	Apr-12	5.0%	155,639	Apr-12	8,950	8,595	120,538	Apr-12	2,898	2,687	211	7.3%	Apr-12	155,639	2,687	
65	May-12	5.0%	155,789	May-12	8,853	8,541	120,520	May-12	2,898	2,674	224	7.7%		155,789	2,674	
66	Jun-12	5.1%	156,002	Jun-12	8,774	8,518	120,335	Jun-12	2,897	2,671	226	7.8%		156,002	2,671	
67	Jul-12	5.4%	156,637	Jul-12	8,690	8,405	126,569	Jul-12	2,897	2,660	237	8.2%	Jul-12	156,637	2,660	
68	Aug-12	5.7%	156,966	Aug-12	8,793	8,296	129,951	Aug-12	2,897	2,643	254	8.8%		156,966	2,643	
69	Sep-12	5.7%	156,144	Sep-12	8,657	8,218	129,479	Sep-12	2,897	2,640	257	8.9%		156,144	2,640	
70	Oct-12	5.7%	157,243	Oct-12	8,704	8,216	130,393	Oct-12	2,897	2,641	256	8.8%	Oct-12	157,243	2,641	
71	Nov-12	5.6%	157,170	Nov-12	8,599	8,181	130,110	Nov-12	2,897	2,636	261	9.0%		157,170	2,636	
72	Dec-12	5.7%	156,588	Dec-12	8,493	8,164	130,001	Dec-12	2,897	2,633	264	9.1%		156,588	2,633	
73	Jan-13	5.8%	157,348	Jan-13	8,559	8,115	130,239	Jan-13	2,898	2,619	279	9.6%	Jan-13	157,348	2,619	
74	Feb-13	5.8%	154,386	Feb-13	8,538	8,059	129,200	Feb-13	2,898	2,625	273	9.4%		154,386	2,625	
75	Mar-13	5.7%	154,504	Mar-13	8,378	8,011	129,413	Mar- Est	2,900	2,615	285			154,504	2,615	
76	Apr-13	5.5%	154,159	Apr-13	8,337	8,011	129,346	Apr- Est	2,900	2,610	290		Apr-13	154,159	2,610	
77	May-13	5.3%	153,625	May-13	8,169	8,001	129,598	May- Est	2,900	2,605	295			153,625	2,605	
78	Jun-13	5.2%	153,197	Jun-13	8,005	7,951	129,353	Jun-13	2,888	2,600	288	10.0%		153,197	2,600	
79	Jul-13	5.1%	153,075	Jul-13	7,926	7,962	129,255	Jul-13	2,898	2,596	302	10.4%	Jul-13	153,075	2,596	
80	Aug-13	5.0%	153,065	Aug-13	7,922	7,955	129,063	Aug-13	2,898	2,590	308	10.6%		153,065	2,590	
81	Sep-13	5.1%	152,338	Sep-13	7,709	7,889	128,364	Sep-13	2,898	2,584	314	10.8%		152,338	2,584	
82	Oct-13	5.1%	152,132	Oct-13	7,609	7,945	128,276	Oct-13	2,897	2,579	318	11.0%	Oct-13	152,132	2,579	
83	Nov-13		150,798	Nov-13	7,449	7,882	127,359	Nov-13	2,897	2,588	309	10.7%		150,798	2,588	
84	Dec-13			Dec-13				Dec-13								
85	Jan-14			Jan-14				Jan-14					Jan-14			
86	Feb-14			Feb-14				Feb-14								
87	Mar-14			Mar-14				Mar-14								
88	Apr-14			Apr-14				Apr-14					Apr-14			
89	May-14			May-14				May-14								
90	Jun-14			Jun-14				Jun-14								



Margaret D. LaBrecque
Commandant

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December 30, 2013

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court, and

Her Excellency, Governor Margaret Wood Hassan
And the Honorable Council

Linda M. Hodgdon
Commissioner
Department of Administrative Services
State House
Concord, New Hampshire 03301

INFORMATIONAL ITEM

Pursuant to Chp. 144:144, Laws of 2013, the New Hampshire Veterans Home hereby submits their financial report as required.

144:144 New Hampshire Veterans' Home; Report. Amend RSA 119:13 to read as follows:

119:13 Reports. The board shall file with the secretary of state, ***the fiscal committee of the general court, and the commissioner of administrative services***, on or before October 1 [~~next preceding each annual session of the legislature~~] ***and every 6 months thereafter***, a report to the legislature, setting forth the operations and condition of the home, a detailed account of all moneys received and expended on its behalf since the last report, an estimate of the amount of money required for its uses before the meeting of the next legislature, and such other matters and recommendations as they shall think its interests require.

I am pleased to offer the following information in order to summarize activities of the New Hampshire Veterans Home during fiscal year 2013 and for the upcoming 2014 fiscal year. I am also pleased to inform you that all utilities vendor payments for fiscal year 2013, were paid in July of 2013, based on the additional appropriation issued in Chp. 144:143 (HB 2). The Home had hoped that the newly installed Geo Thermal heating system would reduce the expenses incurred by the Home for oil. While the Geo Thermal system saved approximately 20,000 gallons of #2 Heating Oil in fiscal year 2012, the cost of the oil has drastically increased, leaving the Home with no financial savings. For example, a delivery of 8,000 gallons of heating oil in November 2009, cost \$13,300.00. The same number of gallons delivered in November of 2012, cost \$25,354.00 – an increase of \$12,000.00 for the same number of gallons purchased. Exhibit A attached is an analysis of the last five years of utility usage and cost. After reviewing the utilities for the first quarter of FY 2014 they look to be in line with purchases and expenditures from FY 2013, which signifies that the FY 2014 appropriations are sufficient to cover the utilities costs. Exhibit B provides a summary of Fiscal Year 2013 total expenditures and revenues received.

In the area of personnel costs for the Home, qualified staffing, particularly in Nursing, continues to be a challenge in FY 2014 in terms of recruitment and retention in order to meet the increased resident care needs. Vacancies remain in these direct-care positions and we will continue to fill them as necessary. In order for the Home to operate safely, provide nursing oversight and care for the residents in conjunction with vacancies in authorized permanent critical care nursing positions, the Home is required to obtain substitutes which impacts overtime appropriations as needed. A second need for substitutes arises out an increased use of sick time, Family Medical Leave Act, Workers Compensation and/or emergency annual time (approximately 2,400 hours on a monthly basis). This situation forces the Home to expend funds from authorized overtime/holiday/temporary appropriations at a greater than anticipated rate to provide for the required nursing oversight and care for the residents. To address these two issues the Home, a few times a year, submits a formal request to Governor and Council to authorize the payment of overtime costs that have exceeded the appropriated amount citing the Administrative Rule below. Once authorization is granted, the Home process a transfer of expenditure with the Department of Administrative Services approval which transfers the expenditure from the overtime class line to the salary class line (vacancy funds).

Administrative Rule 316:13 Policy Governing Vacant Permanent Positions:

(a) Charges to Permanent Personnel Services or use of the Salary Adjustment Fund to cover vacant positions shall be subject to the following rules and regulations:

- (1) Limited to vacant positions due to sick leave, extended leave of absence, or pending recruitment.
- (2) Limited to temporary employees only
- (3) Recruitment shall be made by a temporary C&D form approved by the department of personnel at a rate of compensation to be determined by personnel.
- (4) Manifest for payment shall be substantiated by an attached identification by position number of the vacant positions so covered.
- (5) Payment of overtime to cover vacant positions due to sick leave, extended leave of absence, or pending recruitment shall be subject to prior approval by the governor and council. Form A-29.

Additional challenges that impact the staff at the Home in FY 2014 are women's health care, bariatric care, palliative and hospice care, dementia with behavior disturbances, as well as the specialized care of our Vietnam veterans, must also be addressed. The staff is also seeing a change in the typical profile of our veterans being admitted to the New Hampshire Veterans Home – they generally are older, frailer and more disabled due to trends towards alternative care programs such as assisted living facilities and an increase in home care. After review of the attached Exhibit C the Home looks to be in financially sound shape for FY 2014. A summary of fiscal year 2014 total expenditures and revenues received through October 31, 2013 is the attached Exhibit C.

The Home's budget for Fiscal Year 2014 was developed with an assumption of a total resident census of 200 veterans per day. Currently, our resident census count is 194 residents with 64 potential residents in the application process. The Home's total budget is supported by revenue from the State of New Hampshire General Fund 50.9%, the U.S. Department of Veterans Affairs Federal revenue 24.7%, and from individual resident's payments- Other revenue 24.4%

The Federal revenues recorded to date are slightly higher than projected for the first four months of FY 2014. Projected revenue was \$ 2,399,250 from July to October but came in as \$ 2,570,623 an increase of \$ 171,373. Federal revenues are made up of three different programs:

- The first is pharmacy reimbursement which is received monthly from the Department of Veterans Affairs for those medications purchased for eligible veterans. The medication must be used to treat a service connected disability or be for one of the veterans who have been determined by the Department of Veterans Affairs to be in need of assistance based on their individual assessment.

- The second is Basic State Home Per Diem, which are the rates for veterans who **do not** qualify for the higher service-connected prevailing rate. The basic state home per diem rates vary depending on the level of care provided. For FY 2013, the basic rate for State Veteran Nursing Homes was \$97.07, per resident, per day in a bed. The Home has been notified that starting on October 1st the new rate for FY 2014 is \$100.37. Veterans qualifying for this basic rate would also be subject to charges for room and board.
- The increase in the FY 2014 Federal Revenues at the Home is due to the third program, which is the VA Prevailing Rates for Certain Veterans Based on Service-Connected Disabilities namely residents who are 70 – 100% service connected disabled. Residents who are 70-100% service connected disabled are funded by the U.S. Department of Veterans Affairs at a higher per diem based on service-connected disabilities. The rates are calculated based on criteria outlined in Title 38 Code of Federal Regulations (CFR) 51.41, Contracts and provider agreements for certain veterans with service-connected disabilities. VA Prevailing Rates are compiled based on information the State Home Per Diem Program Office pulls from the Centers for Medicare & Medicaid Services (CMS) databases, in part, also on the geographic location of each State Home or \$396.82 per day/per resident in a bed. This revenue is based on resident count and those residents who are 70 – 100% service connected disabled are not charged for room and board based on the agreement with the U.S. Department of Veterans Affairs that states if a Home accepts the higher per diem, than that nursing facility cannot seek additional payment from the veteran. The mix of residents receiving basic vs. higher is the attached Exhibit E.

The “other revenue”, or revenue received from individual resident’s payments for their room and board, is below anticipated projections for the first four months of FY 2014. Projected “other revenue” for July to October of fiscal year 2014 was \$ 2,498,790 but came in as \$2,149,311, a shortfall of \$349,479. This is not surprising due to the above noted increase or shift in the federal revenue due to the make up of the current residents to more 70-100% service connected disabled residents. This also clearly demonstrates the difficulties behind projecting and estimating the Home’s future revenues, as well as, the many variables that impact the actual revenue received on a day to day basis. As stated earlier, the only residents that would be subject to payments for room and board are those residents that are below 70% service connected disability. The revenue received from individual resident payments is based on a calculation that involves the resident’s assets (subject to a yearly review + adjustment). When assets such as cash in the bank, annuities, Individual Retirement Accounts, Stocks, Bonds are between \$30,000 and \$275,000: The veteran's room and board charges will be as a self-pay resident at a rate of \$260.00 per day (subject to yearly review + change) until the resident’s assets are spent down to less than \$ 30,000. When a resident’s assets are less than \$30,000: the veteran's room and board charges will be based on the veteran's total monthly income such as social security, private retirement and pension or monies received monthly. The census detail and admissions and discharges for the last three year period are attached in Exhibit D.

Finally the General Fund is the remaining source for funding. This cost is associated with all non-direct care services such as safety and security, dietary, maintenance for building and grounds, laundry, housekeeping, workers compensation and unemployment as well as the remaining portion of the direct care services not otherwise covered by the federal and other revenues.

The Home has worked diligently to meet the challenges of the back of the budget cuts as well as the footnote in our budget that requires a reduction of \$ 250,000 of general funds. The attached spreadsheet’s for FY 2014 appropriations is already reduced by the Home percentage of the 25 million in salary and benefit state wide required reduction. To account for the budget reduction in Chp. 143:13, our budgeted non-direct care positions will not be filled. As noted above, the revenue derived from the census of the veterans is and has always been a moving target based on the admissions and discharges of our residents.

The Home has a tradition of providing high quality care and cost-effective operations in serving the disabled and elderly veteran population in New Hampshire. We are grateful for your ongoing support.

Sincerely,



Margaret D. LaBrecque
Commandant

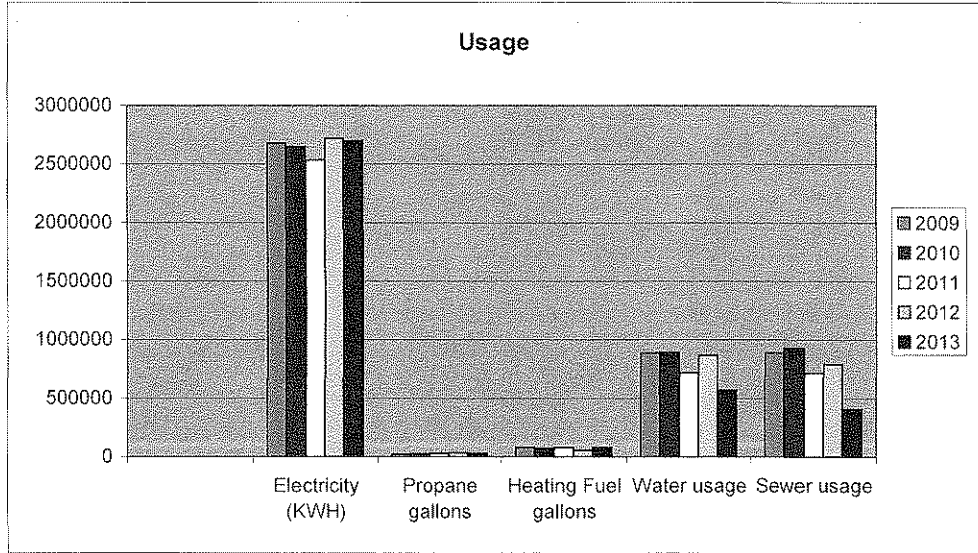
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Attachments: Exhibit A
Exhibit B
Exhibit C
Exhibit D
Exhibit E

The New Hampshire Veterans Home Utility Data: Exhibit A

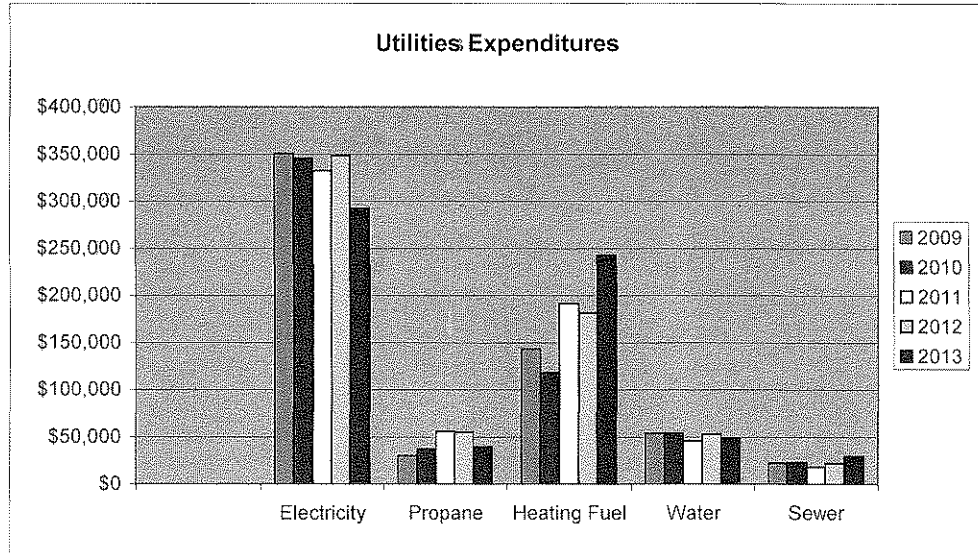
Usage

	2009	2010	2011	2012	2013
Electricity (KWH)	2,676,560	2,647,120	2,534,000	2,719,781	2,698,360
Propane gallons	21,785	24,602	28,751	31,437	26,974
Heating Fuel gallons	80,012	70,989	75,757	55,697	76,496
Water usage	886,700	890,100	716,700	868,300	567,307
Sewer usage	886,700	926,500	714,300	789,100	405,654



Utilities Expenditures

	2009	2010	2011	2012	2013
Electricity	\$ 350,505	\$ 345,324	\$ 332,262	\$ 348,092	\$ 292,209
Propane	\$ 29,862	\$ 37,087	\$ 55,621	\$ 55,064	\$ 39,258
Heating Fuel	\$ 143,110	\$ 117,999	\$ 191,270	\$ 181,306	\$ 242,874
Water	\$ 53,494	\$ 53,754	\$ 45,865	\$ 52,793	\$ 48,833
Sewer	\$ 22,168	\$ 23,163	\$ 17,936	\$ 21,937	\$ 29,393
totals	\$ 598,939	\$ 577,327	\$ 642,954	\$ 659,193	\$ 652,568



All usage and expenditures are based on actual time of usage.
All utilities are paid to date.

FY 13 Fiscal Summary of the New Hampshire Veterans Home - EXHIBIT B

#	Class Description	2013 Adjusted Authorized Appropriation	Prior Year Balance Forward/ 2013 Transfers	Total Authorized Approp	Actual Expenditures	Difference
010	Full-time Personal Services	\$ 15,435,048.00		\$ 15,435,048.00	\$ 14,135,877.98	\$ 1,299,170.02
011	Unclassified	\$ 116,373.00	\$ -	\$ 116,373.00	\$ 110,083.84	\$ 6,289.16
018	Overtime	\$ 118,810.00		\$ 118,810.00	\$ 118,701.12	\$ 108.88
019	Holiday Pay	\$ 347,318.00		\$ 347,318.00	\$ 324,411.13	\$ 22,906.87
020	Current exp	\$ 911,211.00	\$ 5,587.99	\$ 916,798.99	\$ 916,798.99	\$ -
021	Food Costs	\$ 568,250.00		\$ 568,250.00	\$ 565,690.39	\$ 2,559.61
022	Rents	\$ 32,596.00		\$ 32,596.00	\$ 25,685.43	\$ 6,910.57
023	Utilities **	\$ 792,678.00	\$ 70,000.00	\$ 862,678.00	\$ 529,347.15	\$ 333,330.85
024	Maint other than bldg/grds	\$ 65,000.00		\$ 65,000.00	\$ 45,416.72	\$ 19,583.28
026	Dues	\$ 2,000.00		\$ 2,000.00	\$ 1,960.00	\$ 40.00
027	Transfer to other agencies	\$ 100,075.00		\$ 100,075.00	\$ 71,922.91	\$ 28,152.09
030	Equipment	\$ 100,000.00		\$ 100,000.00	\$ 1,685.75	\$ 98,314.25
046	Consultant Services	\$ 300,000.00		\$ 300,000.00	\$ 211,523.24	\$ 88,476.76
047	Own forces	\$ 30,000.00	\$ 1,982.77	\$ 31,982.77	\$ 31,982.77	\$ -
048	Contractual	\$ 150,792.00	\$ 29,953.11	\$ 180,745.11	\$ 180,745.11	\$ -
050	Temporary/Part-time Staff	\$ 752,678.00		\$ 752,678.00	\$ 743,444.30	\$ 9,233.70
060	Benefits	\$ 8,318,680.00		\$ 8,318,680.00	\$ 7,642,187.08	\$ 676,492.92
070	In state travel	\$ 12,500.00		\$ 12,500.00	\$ 4,801.79	\$ 7,698.21
080	Out of State travel	\$ 1,000.00		\$ 1,000.00	\$ -	\$ 1,000.00
100	Pharmacy	\$ 1,332,063.00		\$ 1,332,063.00	\$ 1,023,642.71	\$ 308,420.29
061	Unemployment	\$ 20,990.00		\$ 20,990.00	\$ 20,989.06	\$ 0.94
062	Worker Compensations	\$ 472,471.00		\$ 472,471.00	\$ 472,470.08	\$ 0.92
Total		\$ 29,977,588.00	\$ 107,523.87	\$ 30,085,111.87	\$ 27,171,227.22	\$ 2,913,884.65

** Carried Fwd. to FY 14 to pay invoices

	Estimated Revenue	Revised Est. Revenue	Actual Revenue	Difference
Revenue				
Federal	\$ 8,904,450.00	\$ 18,761.94	\$ 8,923,211.94	\$ (1,185,349.46)
Other	\$ 9,483,076.00	\$ 18,761.94	\$ 9,501,837.94	\$ (3,036,705.20)
General	\$ 11,590,062.00	\$ 70,000.00	\$ 11,660,061.99	\$ 1,308,170.01
Total	\$ 29,977,588.00	\$ 107,523.88	\$ 30,085,111.87	\$ (2,913,884.65)

Utilities - CLASS 23 **	
7/1/12 Appropriation	\$ 459,428.00
Add'l warrant	\$ 333,250.00
Sub total	\$ 792,678.00
xfer of funds between classes	\$ 70,000.00
Total authorized to spend	\$ 862,678.00
Expenses pd. In FY 13	\$ (529,428.00)
Outstanding invoices to be paid in FY 14	\$ 333,250.00
Warrant funds were brought forward to FY 14 to pay outstanding invoices	\$ 333,250.00

FY14 Financial Summary of the New Hampshire Veterans Home (July 1, 2013-October 31, 2013)
Exhibit C

Class	Class Description		Adjusted Authorized Appropriation **	Actual Y-T-D Expenditures	YTD %	Anticipated Expenditures	Estimated Total Expenditures	Adjusted Auth. less total est. Expenditures	
010	Personal Services - Perm	*	\$ 15,054,645.00	\$ 4,117,352.75	27%	\$ 9,264,043.69	\$ 13,381,396.44	\$ 1,673,248.56	
011	Personal Services - Unclassified		\$ 105,953.00	\$ 28,192.34	27%	\$ 63,432.77	\$ 91,625.11	\$ 14,327.90	
018	Overtime		\$ 152,412.00	\$ 178,322.53	117%	\$ 400,418.72	\$ 578,741.25	\$ (426,329.25)	*** Class transfer/Admin Rule
019	Holiday Pay		\$ 368,099.00	\$ 63,619.28	17%	\$ 303,500.00	\$ 367,119.28	\$ 979.72	
020	Current Expense		\$ 1,004,338.84	\$ 361,130.22	36%	\$ 618,500.00	\$ 979,630.22	\$ 24,708.62	
021	Food Institutional		\$ 616,768.55	\$ 220,839.69	36%	\$ 393,400.00	\$ 614,239.69	\$ 2,528.86	
022	Rents - Leases		\$ 35,939.00	\$ 2,142.47	6%	\$ 31,000.00	\$ 33,142.47	\$ 2,796.53	
023	Heat Electricity Water		\$ 1,198,158.85	\$ 472,229.49	39%	\$ 724,500.00	\$ 1,196,729.49	\$ 1,429.36	Includes warrant
024	Maint other than bldg/grds		\$ 56,785.00	\$ 10,502.64	18%	\$ 46,000.00	\$ 56,502.64	\$ 282.36	
026	Dues		\$ 2,000.00	\$ -	0%	\$ 2,000.00	\$ 2,000.00	\$ -	
027	Transfer to DOIT		\$ 169,290.00	\$ 4,589.91	0%	\$ 164,000.00	\$ 168,589.91	\$ 700.09	
030	Equipment		\$ 290,925.00	\$ 9,757.88	3%	\$ 280,500.00	\$ 290,257.88	\$ 667.12	
035	Shared Services		\$ 30,490.00	\$ -	0%	\$ 30,490.00	\$ 30,490.00	\$ -	
039	Telecommunications		\$ 21,340.00	\$ 4,381.72	21%	\$ 16,958.28	\$ 21,340.00	\$ -	
040	Indirect Costs		\$ 246,430.00	\$ -	0%	\$ 246,430.00	\$ 246,430.00	\$ -	
041	Audit Fund Set Aside		\$ 12,766.00	\$ -	0%	\$ 12,766.00	\$ 12,766.00	\$ -	
046	Consultants		\$ 253,358.36	\$ 85,518.47	34%	\$ 163,960.00	\$ 249,478.47	\$ 3,879.89	
047	Own Forces Bldg & Grounds		\$ 50,895.32	\$ 13,727.84	27%	\$ 36,000.00	\$ 49,727.84	\$ 1,167.48	
048	Contractual Bldg & Grounds		\$ 349,044.73	\$ 77,574.74	22%	\$ 230,000.00	\$ 307,574.74	\$ 41,469.99	
050	Personal Services Temp		\$ 764,091.00	\$ 300,348.42	39%	\$ 441,494.07	\$ 741,842.49	\$ 22,248.51	
060	Benefits	*	\$ 8,852,512.00	\$ 2,380,240.43	27%	\$ 4,884,305.04	\$ 7,264,545.47	\$ 1,587,966.53	
070	In State Travel		\$ 6,394.00	\$ 785.27	12%	\$ 4,500.00	\$ 5,285.27	\$ 1,108.73	
080	Out of State travel		\$ 7,500.00	\$ -	0%	\$ 1,000.00	\$ 1,000.00	\$ 6,500.00	
100	Pharmacy		\$ 1,268,878.74	\$ 232,580.65	18%	\$ 465,161.30	\$ 697,741.95	\$ 571,136.79	
061	Unemployment		\$ 7,640.00	\$ 5,835.58	76%	\$ 20,000.00	\$ 25,835.58	\$ (18,195.58)	*****Warrant to be issued
062	Worker Compensation		\$ 300,000.00	\$ 328,588.82	110%	\$ 228,588.82	\$ 557,177.64	\$ (257,177.64)	*****Warrant to be issued
	Total		\$ 31,226,654.39	\$ 8,898,261.14	28%	\$ 19,072,948.68	\$ 27,971,209.82	\$ 3,255,444.57	

Revenue		Estimated Revenue	Actual Y-T-D Revenue	Projected Revenue thru 6/30/14	Total Revenue Anticipated	Estimated Rev. less Total Anticipated Rev.
Federal	0.24	\$ 7,597,231.00	\$ 2,614,288.70	\$ 5,228,577.40	\$ 7,842,866.10	\$ 245,635.10
Other	0.24	\$ 7,496,370.00	\$ 2,149,311.84	\$ 4,298,623.68	\$ 6,447,935.52	\$ (1,048,434.48)
General Funds	0.52	\$ 16,133,053.39	\$ 4,134,660.60	\$ 9,545,747.60	\$ 13,680,408.20	\$ 2,452,645.19
Total		\$ 31,226,654.39	\$ 8,898,261.14	\$ 19,072,948.68	\$ 27,971,209.82	\$ 3,255,444.57

* The FY 14 budget was reduced per Chapter 144:127, Laws of 2013 - Compensation and Benefit Cost Reductions. (Salary,benefit, classes were reduced)

** The FY 14 Appropriation column includes prior year balance forwards

*** As explained in the attached fiscal item, CLASS 18/Overtime is adjusted as determined necessary thru Administrative Rule 316

**** The Veterans Home is subject to a mandated reduction in Chp 143:13 that states: the Home will reduce GF by \$250,000. The Home will have the funds to meet this requirement.

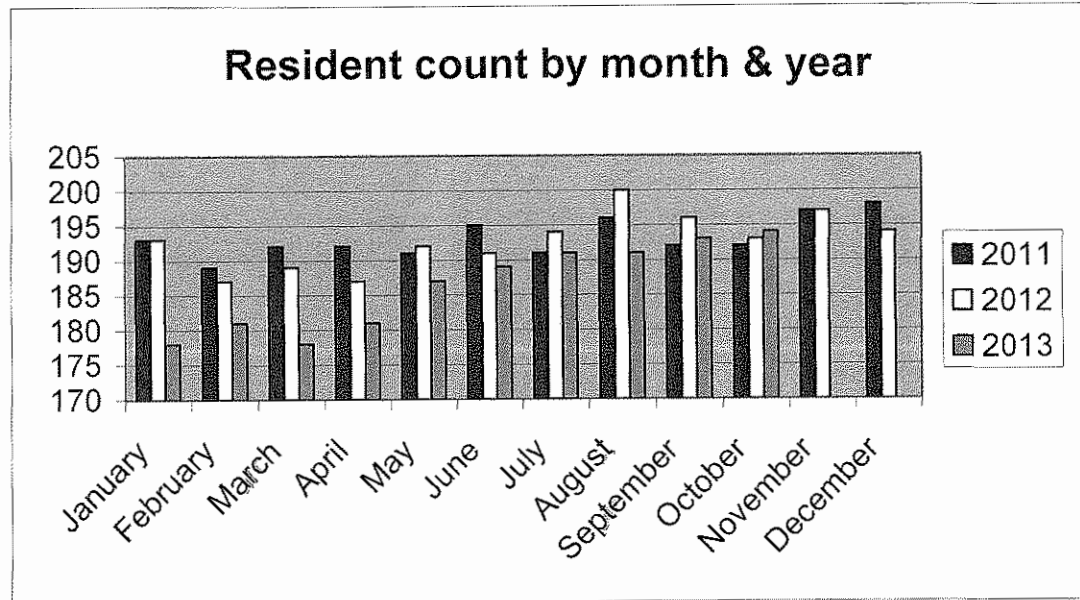
***** Unemployment and Workers' Compensation classes are adjusted by the Department of Administrative Services through warrants

Exhibit D

Resident census count at the New Hampshire Veterans Home

Resident census count by month & year

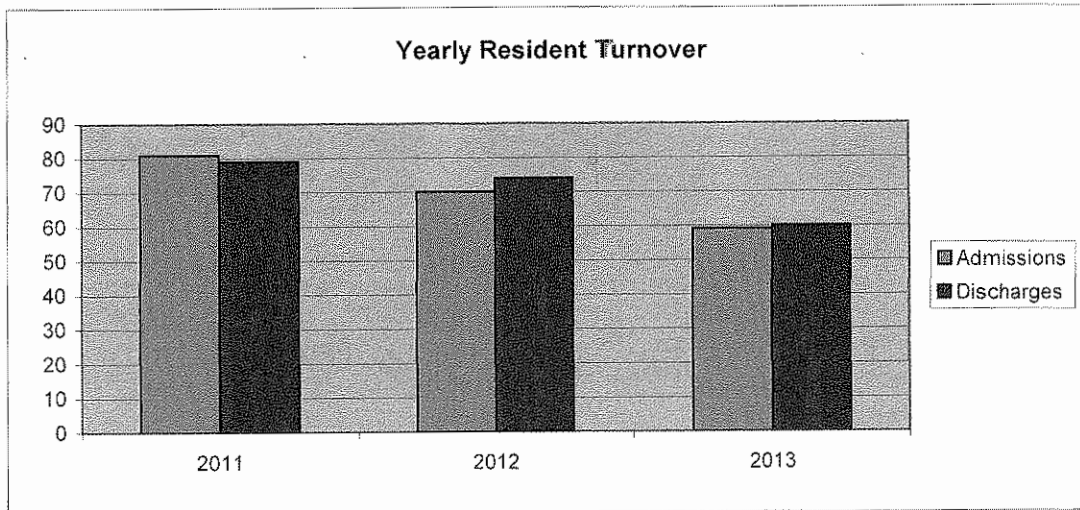
	<u>2011</u>	<u>2012</u>	<u>2013</u>
January	193	193	178
February	189	187	181
March	192	189	178
April	192	187	181
May	191	192	187
June	195	191	189
July	191	194	191
August	196	200	191
September	192	196	193
October	192	193	194
November	197	197	
December	198	194	



Yearly Resident Turnover

	<u>2011</u>	<u>2012</u>	<u>2013</u>
Admissions	81	70	59
Discharges	79	74	60

as of Sept



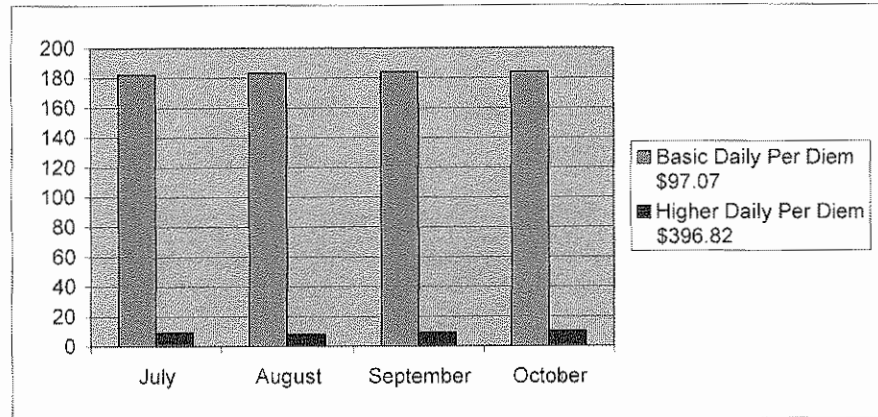
Resident federal revenue break-out in Fiscal 2014

Exhibit E

	Basic Daily Per Diem \$97.07	Higher Daily Per Diem \$396.82	Actual Resident Count
July	182	9	191
August	183	8	191
September	184	9	193
October	184	10	194

** Basic Per Diem is the rate for residents that are below 70% disability criteria
 * Higher Per Diem is the rate for residents that are 70-100% disability criteria

Per Diems are paid to the V. Home based on occupancy. If a resident for example, is hospitalized, the V. Home will not receive the daily per diem.



12/05/2013